

EFFECTS OF SEX ON BLOOD PRESSURE RESPONSES TO SLOW BREATHING IN YOUNG HEALTHY INDIVIDUALS

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June 26, 2019

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A thesis submitted to McGill University in partial fulfillment of the requirements of the degree of Master of Science in Kinesiology and Physical Education.

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33 | PREFACE

34

35 Abstract

36

37 Slow breathing (SLOWB) is recommended for use as an adjuvant treatment for hypertension. However, the
38 extent to which blood pressure (BP) responses to SLOWB differ between men and women are not well-
39 established. Therefore, we tested the hypothesis that an acute bout of SLOWB would induce larger decreases
40 in BP in males than females, given that males typically have higher resting BP. We also examined autonomic
41 contributors to reduced BP during SLOWB, that is, muscle sympathetic nerve activity (MSNA), and
42 spontaneous cardiovagal (sequence method) and sympathetic baroreflex sensitivity (BRS). We tested
43 normotensive females (n=10, age: 22±2y, BMI: 22±2kg/m²) and males (n=12, 23±3y, 26±4kg/m²). Subjects
44 were tested at baseline and during the last 5-min of a 15-min RESPeRATE-guided SLOWB session. Overall,
45 SLOWB reduced systolic BP (SBP) by 3.2±0.8 mmHg (main effect, p<.01). Females had lower SBP (main
46 effect, p=.02); we observed no interaction between sex and SLOWB. SLOWB also reduced MSNA burst
47 incidence by -5.0±1.4 bursts/100hb (main effect, p<.01). Although females tended to have lower burst
48 incidence (main effect, p=.1), there was no interaction between sex and SLOWB. Cardiovagal BRS improved
49 during SLOWB (21.0 vs 36.0 ms/mmHg, p=.03) with no effect of sex. Despite lower overall BP in females,
50 our data support a lack of basement effect on SLOWB-induced reductions in BP, as SLOWB was equally
51 effective in reducing BP in males and females. Our findings support the efficacy of the RESPeRATE device
for reducing BP in both sexes, even in young, normotensive individuals.

52

53 Abstraite

54

55 La respiration lente (SLOWB) est recommandée comme traitement adjuvant de l'hypertension.
56 Cependant, la mesure dans laquelle les réponses de la pression artérielle (PA) à SLOWB diffèrent entre
57 les hommes et les femmes n'est pas bien établie. Par conséquent, nous avons testé l'hypothèse qu'une
58 poussée aiguë de SLOWB induirait des baisses plus importantes de la pression artérielle chez les hommes
59 que chez les femmes, étant donné que les hommes ont généralement une pression artérielle au repos plus
60 élevée. Nous avons également examiné les facteurs contribuant à l'autonomie réduite de la pression
61 artérielle au cours de la SLOWB, c'est-à-dire l'activité nerveuse sympathique du muscle (ANSI) et la
62 sensibilité cardiovagale spontanée (méthode de la séquence) et la sensibilité du baroréflexe sympathique
63 (BRS). Nous avons testé des femmes normotendues ($n = 10$, âge: 22 ± 2 ans, IMC: 22 ± 2 kg / m²) et des
64 hommes ($n = 12$, 23 ± 3 ans, 26 ± 4 kg / m²). Les sujets ont été testés au début et au cours des 5 dernières
65 minutes d'une session de SLOWB guidée par RESPeRATE de 15 minutes. Globalement, SLOWB a
66 réduit la pression systolique (PAS) de $3,2 \pm 0,8$ mmHg (effet principal, $p < 0,01$). Les femmes avaient une
67 PAS inférieure (effet principal, $p = 0,02$); nous n'avons observé aucune interaction entre le sexe et
68 SLOWB. SLOWB a également réduit l'incidence des salves MSNA de $-5,0 \pm 1,4$ rafales / 100hb (effet
69 principal, $p < 0,01$). Bien que l'incidence chez les femmes ait tendance à être plus faible (effet principal, p
70 $= 0,1$), il n'y a pas eu d'interaction entre le sexe et le SLOWB. La BRS cardiovagale s'est améliorée
71 pendant le SLOWB ($21,0$ vs $36,0$ ms / mmHg, $p = 0,03$) sans effet du sexe. Malgré une TA globale
72 inférieure chez les femmes, nos données corroborent l'absence d'effet de sous-sol sur les réductions de TA
73 induites par SLOWB, ce dernier étant tout aussi efficace pour réduire la TA chez les hommes et les
74 femmes. Nos résultats confirment l'efficacité du dispositif RESPeRATE pour réduire la pression artérielle
75 chez les deux sexes, même chez les personnes jeunes et normotendues.

76 Acknowledgments

77

78 I would like to thank my supervisor, Dr. Charlotte Usselman, for being a truly inspirational
79 mentor through the thick and thin. She thinks always; really works at research success. I also
80 would like to thank Yasmine Coovadia, my fellow lab-mate and partner in crime. Making it
81 scientifically sound: You're obviously university-material!

82 I would also like to acknowledge the thoughtful feedback of my advisory committee: Dr.
83 Heather Edgell, Dr. Jenna Gibbs, and Dr. Dennis Jensen.

84 This project was financially supported by a Discovery Grant from the Natural Sciences and
85 Engineering Research Council of Canada, the McGill Differential Fee Waiver for International
86 Students, the McGill Graduate Excellence Fellowship, and the McGill Faculty of Education's
87 Graduate Research Enhancement and Travel Award.

88 Domenica Cirone and Maha Khemakhem were incredibly helpful in finalizing data analysis for
89 this study. Evan Jette aided in piloting methodologies. I would also like to thank the following
90 lab volunteers for their assistance in data collection: Zachary Brown, Sarah Kapur, and Tianzi
91 Zhao.

92 Finally, I would like to thank my family and friends for laughing with me in success and in
93 failure—Don't forget slow breathing if you're feeling stressed!

94

95 Contribution of Authors

96

97 All chapters were written by Tessa E. Adler. Drs. Charlotte Usselman, Jenna Gibbs, and Dennis
98 Jensen contributed advisory feedback for all chapters.

99

100 | CHAPTER 1: LITERATURE REVIEW

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102 | Clinical Relevance of Blood Pressure Regulation

103

104 Blood pressure (BP) is one of the strongest predictors for cardiovascular disease, the
105 leading cause of death for people in the United States (128). Even compared to people with only
106 moderately high BP (systolic BP: 130-139 mmHg or diastolic BP: 85-89 mm Hg), people with
107 optimal BP are 20% less likely to experience an adverse cardiovascular event within 10 years
108 (121). Unfortunately, hypertension is exceedingly prevalent. In the United States, it is estimated
109 that 46% of adults are above the threshold for Stage 1 Hypertension (systolic BP: 130-139
110 mmHg or diastolic BP: 80-89 mm Hg), as defined by the 2017 joint recommendations from the
111 American College of Cardiology and American Heart Association (128). With the goal of
112 reducing the incidence of hypertension, it is important to understand why hypertension occurs
113 and how it might be prevented. From a mechanistic perspective, high BP is often associated with
114 dysfunction in the reflexes or control systems that govern BP regulation. It is therefore critically
115 important to understand how these mechanisms function and how they might be optimized to
116 maintain BPs below the threshold for elevated cardiovascular risk.

117

118 Acute Regulation of Blood Pressure

119

120 Blood pressure in the human body is in constant flux. It is this dynamism that enables
121 human beings to survive severe hemorrhage, perform prolonged exercise, and tolerate orthostatic
122 challenges. Given the wide variety of circumstances to which BP can adapt, it is perhaps
123 unsurprising that the physiological mechanisms responsible for the regulation of BP are complex
124 and multifactorial. As a complex, self-regulating system, BP regulation is comprised of many
125 individual processes that are collectively both synergistic and redundant (90). Despite the
126 significant mechanistic diversity of specific reflexes and controls that govern BP regulation,
127 these feedback loops generally share a common pattern. First, changes in BP are detected by
128 receptors sensitive to chemical or mechanical stress. These signals are integrated centrally in the
129 brainstem and hypothalamus. Efferent signals travel through the two branches of the autonomic
130 nervous system (sympathetic and parasympathetic) towards the three end-organs that can alter
131 BP: the heart, the vasculature, and the kidneys. Selected feedback loops that govern acute BP
132 regulation will be described in the following sections, with a close focus on differences between
133 the sexes.

134 AUTONOMIC CONTROL OF BLOOD PRESSURE

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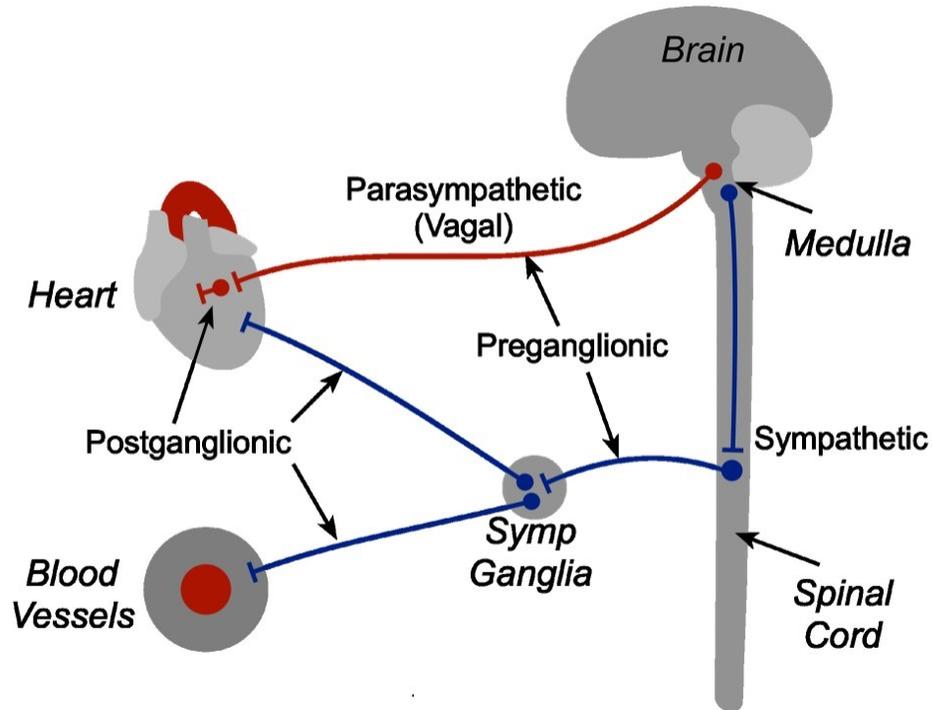
136 The capacity of the autonomic nervous system to mediate changes in blood pressure
137 evolved over 300 million years ago, which underscores its fundamental role in maintaining
138 homeostasis across a wide diversity of species (125). The autonomic nervous system is split into
139 two branches, the parasympathetic (PNS) and sympathetic nervous systems (SNS). The PNS is
140 primarily characterized by cholinergic neurotransmission, in which post-ganglionic release of

141 acetylcholine stimulates post-synaptic muscarinic acetylcholine receptors located in various
142 effector organs (34). The SNS acts by noradrenergic neurotransmission, in which post-ganglionic
143 release of catecholamines bind to post-synaptic adrenergic receptors located in target organs
144 (38). The PNS and SNS maintain appropriate BP for a given circumstance by altering vascular
145 resistance and cardiac output, the determinants of BP. Vascular resistance can be modulated by
146 inducing vaso-constriction or dilation, and cardiac output can be changed by modifying heart rate
147 and contractility, among other factors. Autonomic balance refers to the relative activity of the
148 PNS and SNS, which generally induce opposing effects on BP, although recent findings have
149 complicated this picture (44, 45). The paramount importance of the autonomic nervous system
150 for short-term BP regulation has long been established (27), while more recent discoveries have
151 demonstrated the significance of the autonomic nervous system as a determinant of long-term BP
152 regulation as well (58).

153 The PNS and SNS both innervate the heart, where they induce opposing effects (Figure
154 1). Both are tonically active, though parasympathetic activity predominates at rest (63).
155 Sympathetic neurons stimulate β_1 -adrenoreceptors on both cardiac myocytes and pacemaker
156 cells, resulting in a faster and stronger beat. This increases both heart rate and stroke volume,
157 which increases cardiac output and therefore BP (assuming constant vascular conductance).
158 Parasympathetic innervation mainly targets the muscarinic receptors on modified cardiac
159 myocytes of the sinoatrial and atrioventricular nodes, with some innervation of the atria and
160 ventricles (26). For this reason, PNS stimulation of the heart results in a slower beat with
161 minimal impact on contractility (39). PNS-driven decreases in heart rate reduce cardiac output
162 and therefore, BP.

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166 **Figure 1: Autonomic innervation of the heart and vasculature.** Diagram from Klabunde, R.E.

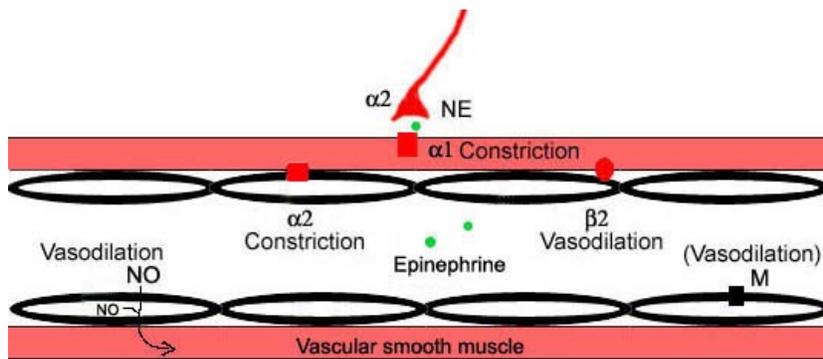
167 Cardiovascular Physiology Concepts (2016).

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While the effects of the PNS on BP are limited to the heart, the SNS also regulates BP by modulating resistance in the peripheral vasculature (Figure 1). Broadly, sympathetic activation at the vasculature induces vasoconstriction, thereby increasing total peripheral resistance and BP (if cardiac output remains constant). Upon activation, post-ganglionic sympathetic fibers release quanta of norepinephrine (NE) into the synaptic cleft where NE binds to receptors on vascular smooth muscle cells that surround the walls of the vasculature (76) (Figure 2). Alpha-adrenoreceptors on vascular smooth muscle cells respond to NE by contracting, thus inducing vasoconstriction (82). By Poiseuille's Law, decreasing radii in the vasculature equates to a rise in total peripheral resistance, which leads to a proportional rise in BP (Figure 3). However, as a complicating factor, β_2 -adrenoreceptors are also found on the vascular smooth muscle, and these respond to NE by inducing vasodilation (105). Therefore, the balance of α - and β_2 -adrenoreceptors determines the degree to which the vascular smooth muscle cells will constrict in response to a given level of sympathetic activation.

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185

186 **Figure 2: Adrenoreceptors in the vascular smooth muscle.** Diagram from the University of

187 California San Francisco, iROCKET learning module. (2007)

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$$Q = \frac{\pi Pr^4}{8\eta l}$$

194 **Figure 3: Poiseuille's Law.** The equation relates flow (Q), pressure (P), vessel radius (r), fluid

195 viscosity (η), and the length of the tube (l).

196

197 *Sex differences:*

198 In the past twenty years, it has come to light that autonomic regulation of BP differs
199 greatly between males and females (16, 59, 79). Compared to age-matched males, young females
200 have lower resting BP (25, 130) and lower sympathetic activation of the peripheral vasculature,
201 as quantified through measures of muscle sympathetic nerve activity (MSNA) (49, 86). Sex
202 steroids have been implicated as a major contributor to these sex differences (79, 119).

203 Within the family of sex steroids, the cardiovascular effects of estrogens are the most
204 established, and estradiol is widely acknowledged to be a primary contributing factor to the
205 “cardioprotection” observed in young females (119). A primary mechanism by which estradiol
206 acts on the vasculature is through nitric oxide (NO)-dependent vasodilation, which occurs via the
207 upregulation of both acute production (22) and long-term genomic expression (126) of
208 endothelial NO synthase (eNOS). By upregulating NO, a potent vasodilator, estradiol counteracts
209 sympathetically-mediated vasoconstriction with corresponding vasodilation to maintain BP. The
210 impact of progesterone remains poorly-understood, as it can induce either vasoconstriction or -
211 dilation depending on the hormone’s concentration and the location of the target blood vessel
212 (reviewed in (127)). Testosterone exerts divergent effects on BP between the sexes (79). In
213 males, the relationship between testosterone and hypertension remains unclear (79) given the
214 discrepancy between animal studies that indicate a positive relationship between androgens and
215 hypertension (reviewed in (79)), and longitudinal studies demonstrating an inverse relationship
216 between endogenous testosterone production and systolic BP (65). In females, androgen
217 exposure increases both BP (79) and MSNA (107). BP in females is also affected by menstrual
218 cycle phase (29) and aging, with females experiencing a more rapid increase in BP during the
219 menopausal transition than males of similar age (85). Similarly, MSNA in females is affected by
220 menstrual cycle phase (21, 81) and rapidly increases after menopause (85), though it bears

221 mentioning that these findings regarding the effects of aging on MSNA was a cross-sectional
222 rather than longitudinal study (85). Collectively, these studies point to the major impact of sex
223 steroids on BP regulation in both males and females.

224 Neurovascular transduction, the translation of MSNA to constriction in the vasculature, is
225 quite distinct between young males and females. In young males, MSNA correlates with total
226 peripheral resistance (TPR) (24), while in young females, these two variables are unrelated
227 (Figure 4) (45). These findings indicate that major sex differences exist in neurovascular
228 transduction. Subsequently, hypotheses for the mechanistic explanation for these sex differences
229 have pointed to the balance of α - and β_2 - adrenergic receptors in the vasculature, with respect to
230 either the relative population of these receptors or their sensitivity (44, 53, 59). It was observed
231 that infusing NE in the brachial artery induced brisk and potent vasoconstriction in young males,
232 but not young females; however, these sex differences were eradicated when β_2 - adrenergic
233 receptors were blocked with propranolol in the females (44). It has therefore been proposed that
234 sympathetically-mediated vasoconstriction is offset in young females by the vasodilatory effects
235 of β_2 - adrenergic receptors (16, 57, 59). Mechanistically, β_2 - adrenergic vasodilation occurs via
236 an NO-dependent pathway, so it has been hypothesized that estrogen-associated upregulation of
237 NO may also play a role in determining these sex differences (16).

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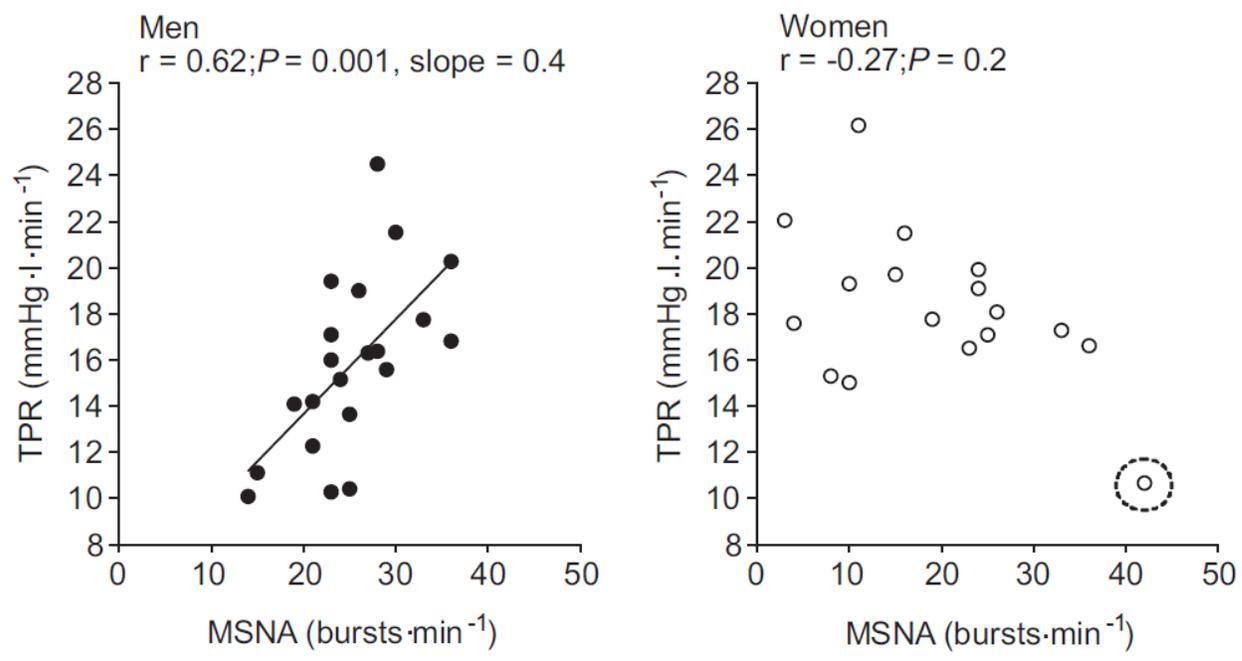
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245 **Figure 4: Relationship between total peripheral resistance (TPR) and muscle sympathetic nerve activity**

246 **(MSNA) in young healthy males and females.** In males, there is a positive correlation between MSNA and

247 TPR, while in young females, there is no relationship between these two variables. Adapted from Hart et al.

248 2009 (45)

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250 MECHANISMS OF ACUTE BLOOD PRESSURE REGULATION
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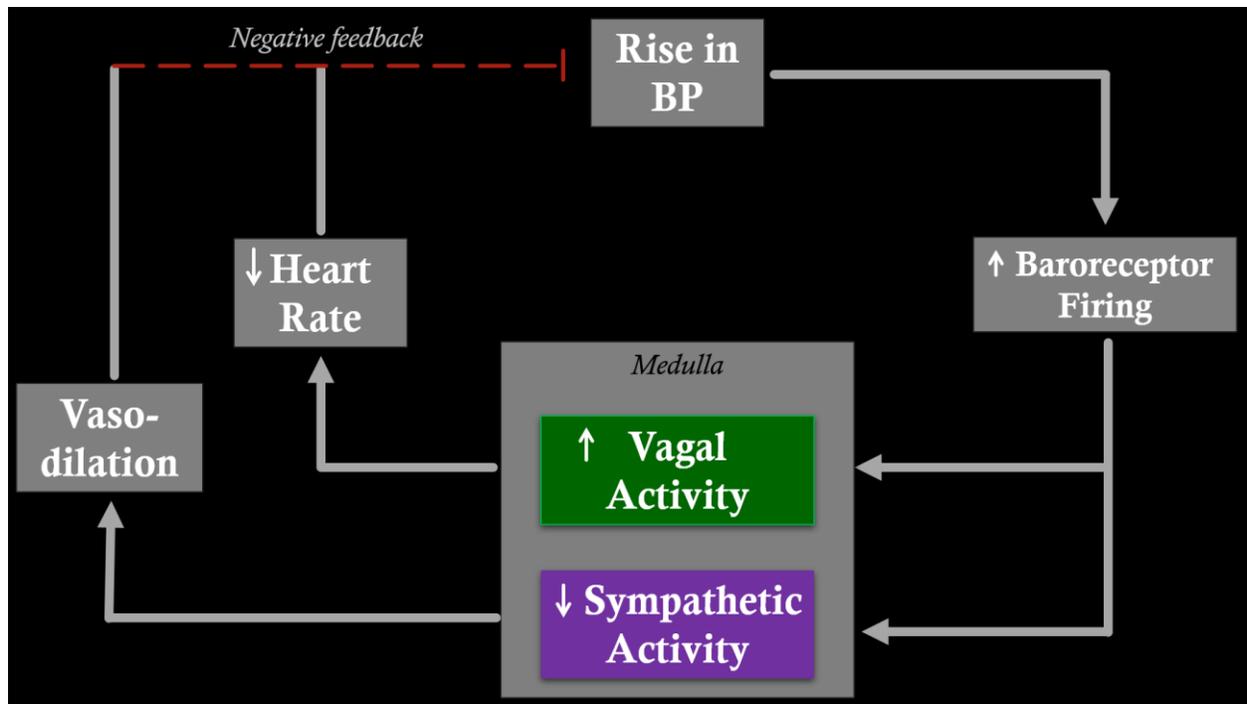
252 *The Baroreflex*
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254 The baroreflex is the primary physiological mechanism responsible for regulating acute
255 changes in BP. Baroreceptors are spray-type, mechano-sensitive nerve endings located in the
256 walls of large arteries in the neck and chest, predominantly the carotid sinus and the aortic arch.
257 When BP rises, baroreceptors are stimulated by the corresponding stretch of the arterial walls.
258 The afferent signals from aortic and carotid baroreceptors travel via the vagal and
259 glossopharyngeal nerves, respectively, to the medulla oblongata. Within the nucleus tractus
260 solitarius, the baroreceptor input is organized into two distinct efferent arms. In one efferent
261 pathway, inhibition of the sympathetic vasoconstrictor center decreases outflow of MSNA; this
262 results in vasodilation of the peripheral vasculature and decreased total peripheral resistance. In
263 parallel, the excitation of the parasympathetic center results in vagal release of acetylcholine at
264 the sinoatrial and atrioventricular nodes of the heart, thereby decreasing heart rate. The two
265 efferent arms of the baroreflex act in concert to decrease BP by decreasing both total peripheral
266 resistance and cardiac output (via decreased heart rate). The baroreflex feedback loop is
267 summarized in Figure 5.

268 Baroreflex sensitivity (BRS) refers to the capacity of the baroreflex to buffer changes in
269 BP (Figure 6). Due to the fact that the baroreflex decreases BP by two distinct efferent arms,
270 cardiovagal and sympathetic BRS can be assessed separately. Cardiovagal BRS is the
271 relationship between systolic BP and heart rate (reflecting the cardiac effect of the PNS) while
272 sympathetic BRS is the relationship between diastolic BP and muscle sympathetic nerve activity
273 (reflecting the vasoconstrictor effects of the SNS).

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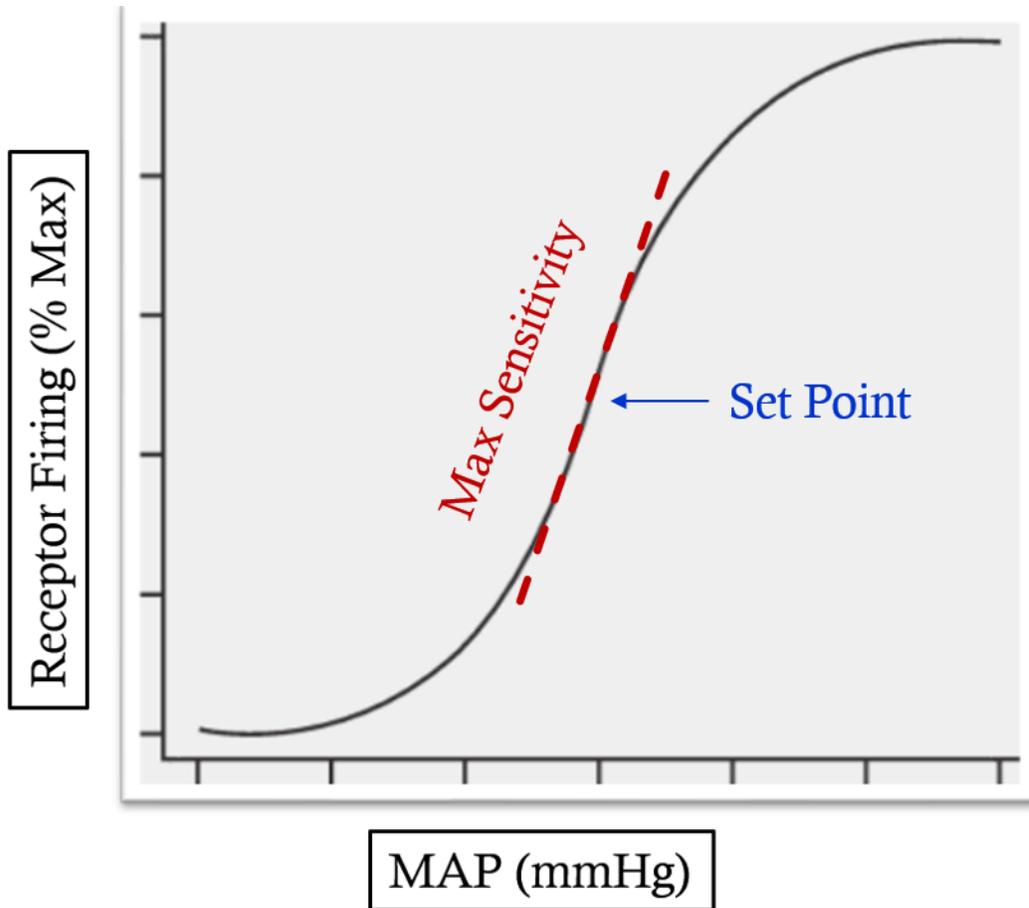
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279 **Figure 5: Diagram of the baroreflex negative feedback loop.** Following a rise in BP, baroreceptors are
 280 stimulated by mechanical stretch in the carotid sinus and aortic arch. Increased baroreceptor firing rate is
 281 integrated at the medulla, inhibiting the sympathetic vasoconstrictor center and increasing vagal outflow. This
 282 results in increased vasodilation and decreased heart rate, with a net effect of reducing BP.

283



284

285

286 **Figure 6: Sigmoidal relationship between mean arterial pressure (MAP) and baroreceptor firing rate.**

287 Above a threshold, baroreceptor firing increases in frequency as mean arterial pressure increases, until a

288 saturation point is reached. Two aspects of this curve are notable for their important implications for

289 cardiovascular health. The gain refers to the maximum slope of the response curve; this indicates the

290 sensitivity of the baroreceptors to changes in arterial pressure (i.e. maximum sensitivity). The set point is the

291 arterial pressure that the reflex maintains.

292

293

294

295 *Sex differences:*

296 In assessments of resting baroreflex function in young healthy males and females, some
297 groups have reported no differences between the sexes with respect to either cardiovagal or
298 sympathetic baroreflex sensitivity (30, 110, 111). Other studies have indicated that females have
299 reduced baroreflex buffering of BP (25, 100) and reduced cardiovagal baroreflex gain (12). One
300 recent study has shown that females may rely more on cardiovagal baroreflex control of BP,
301 while males rely more on the sympathetic arm of the reflex (67). While further research is
302 needed to clarify differences in baroreflex function between the sexes, these studies indicate that
303 there may be sex differences in functional reliance on different efferent arms of the baroreflex to
304 achieve BP outcomes. Additionally, recent studies have shown that cardiovagal and sympathetic
305 baroreflex sensitivity are uncorrelated in young people (30, 111), underscoring that the ability to
306 regulate BP via each pathway is quite distinct. Given these findings, investigations into
307 baroreflex function are incomplete without information regarding both efferent arms of
308 baroreflex function.

309 **THE CHEMOREFLEX**

310

311 The chemoreflex is a feedback loop that acts to maintain appropriate blood chemistry
312 (i.e., pH) and oxygen levels by inducing both respiratory and cardiovascular changes. Activation
313 of the chemoreflex induces both stimulation of ventilation and cardiovascular changes in
314 response to changes in arterial blood chemistry (62). Chemoreceptors detect chemical changes in
315 the concentration of hydrogen ions in the bloodstream, which reflects the partial pressures of
316 carbon dioxide and oxygen in the bloodstream. Peripheral chemoreceptors located in the carotid
317 bodies are primarily sensitive to depressed oxygen concentrations in the bloodstream (hypoxia),

318 whereas central chemoreceptors, located in the brainstem, are primarily sensitive to elevated
319 concentrations of carbon dioxide (hypercapnia) (62). In response to either hypoxia or
320 hypercapnia, the chemoreflex stimulates the vasomotor center of the brainstem, eliciting
321 increased sympathetic nerve activity and thereby increasing BP (62). Under resting normal
322 physiological conditions in healthy humans, the changes in arterial oxygen concentrations with
323 respiration are insufficient to elicit a peripheral chemoreflex response that would affect BP or
324 heart rate (15). Further, activation of the baroreflex (in response to high BP) directly inhibits
325 both the respiratory and autonomic effects of the chemoreflex (reviewed in (62)). Given these
326 factors, the effects of chemoreflex activation on BP are more significant at lower levels of mean
327 arterial pressure (43), when the baroreflex is not simultaneously activated.

328 *Sex differences:*

329 The effects of sex on chemoreflex-driven sympathetic excitation remain poorly
330 understood (120). In one investigation, hypoxic breathing induced the same degree of
331 sympathetic excitation in young healthy males and females, though activation of MSNA peaked
332 earlier in females than males (54). This study did not control for phase of menstrual cycle (54).
333 In another study, sympatho-excitation in response to severe chemoreflex stress (i.e., a maximal
334 voluntary end-inspiratory apnea after re-breathing) was similar between young healthy males and
335 females only during the mid-luteal phase, when endogenous hormone production is high (118).
336 During the early follicular phase, when endogenous hormone production is low, sympatho-
337 excitation in females exceeded that of males (118). Though data is limited, these two studies
338 indicate that chemoreflex activation of the SNS may be exaggerated in young females compared
339 to age-matched males, depending on menstrual cycle phase (120). It is currently unknown

340 whether these sex differences are primarily linked to central or peripheral chemoreflex
341 activation.

342 RESPIRATORY SINUS ARRHYTHMIA

343 Heart rate variability (HRV), an important clinical marker of cardiovascular health, refers
344 to the dynamic changes in heart rate that occur on a beat-to-beat basis in healthy humans.

345 Reduced HRV is strongly associated with both modifiable and non-modifiable cardiovascular
346 risk factors, and factors that increase (improve) HRV are associated with reduced cardiovascular
347 risk (see (113) for a recent review). Respiratory sinus arrhythmia (RSA), which specifically refers
348 to the cyclic fluctuations in heart rate that correspond to breathing frequency, is the primary
349 determinant of HRV in the high-frequency band of oscillations (0.15 to 0.40 Hz) (13). In the 19th
350 century, Carl Ludwig first described the tendency of the heart rate to increase during inspiration
351 and decrease during expiration (78). RSA results in periodic oscillations in BP, with an increase
352 in MAP at the beginning of expiration, while MAP is slightly decreased during the rest of the
353 respiratory cycle (43, 52). Both the mechanisms that generate RSA and its potential
354 physiological role remain controversial (70).

355 The most well-established theory for the mechanism generating RSA involves the
356 interaction of the respiratory and circulatory centers in the brainstem (32). The impact of
357 respiration rhythm on autonomic responsiveness has been termed “respiratory gating” (32, 77).
358 During inspiration, it has been shown that chemoreceptor activation of the respiratory center of
359 the medulla “spills over” into the vasomotor center, hyperpolarizing the cardiac vagal neurons
360 and rendering them briefly unresponsive to baroreceptor input, which increases heart rate (37). A
361 second central mechanism for RSA involves the activation of slowly adapting pulmonary stretch

362 receptors during inspiration (108). This afferent feedback from the lungs induces vagal
363 withdrawal, which induces tachycardia (5, 108). In double-lung transplant patients with intact
364 hearts, RSA is present but blunted (53% of that in healthy subjects), indicating that pulmonary
365 stretch receptor feedback via the vagal nerve plays a necessary role in generating RSA (108).

366 Respiratory changes in intrathoracic pressure may also play a role in inducing RSA (5,
367 10, 114). During inspiration, the diaphragm muscles generate negative pressure in the thoracic
368 cavity (10). The corresponding drop in transmural pressure between the pulmonary vasculature
369 and the thoracic cavity induces passive vasodilation in the pulmonary veins, which reduces the
370 flow of oxygenated blood to the left atrium (43). This directly reduces stroke volume and cardiac
371 output (114). It has been theorized that the corresponding drop in BP would trigger an inhibition
372 of baroreceptor afferent activity, subsequently mediating a reflex increase in heart rate and BP
373 (5, 114). However, the contribution of the baroreflex to RSA remains controversial (70, 131),
374 with some suggesting that the time latency associated with the baroreflex (~1.5 seconds) renders
375 this explanation implausible (31). Further, in heart transplant patients, RSA is blunted but
376 present, indicating a necessary role for non-neural factors in generating RSA (10, 101). The
377 prevailing hypothesis for a non-neural mechanism is that increased intrathoracic pressure during
378 inspiration induces mechanical stretching of the sinoatrial node, and this results in a slight
379 cardiac acceleration during inspiration (70, 101).

380 *Sex differences:*

381 Young healthy females have higher RSA than young males, as measured by spectral
382 analysis of the high-frequency range of HRV (55) and mean difference in inter-beat interval
383 during inspiration and expiration (103). Further, a recent meta-analysis of over 60,000 young
384 adult participants illustrated major differences in HRV between the sexes (68). The ratio of low-

385 frequency to high-frequency HRV is lower in young females than males (68), indicating higher
386 RSA and potentially, greater parasympathetic than sympathetic control of heart rate in females
387 (68), although it remains controversial whether PNS and SNS influences on heart rate can be
388 distinguished by these frequency components (113). Sex differences in RSA disappear after the
389 age of 50 (117). Given the endocrinological changes associated with the menopausal transition
390 (115), this observation suggests a potential role for sex steroid hormones in mediating
391 differences in RSA among young healthy adults. One potential explanation for these observed
392 sex differences is the presence of estrogen receptors in the medulla (96). It is therefore possible
393 that estrogen levels in premenopausal females may modulate the “respiratory gating” of
394 autonomic outflow, which could alter the magnitude of RSA.

395 **MAYER WAVES**

396 Mayer waves are spontaneous fluctuations in BP that oscillate at a frequency slower than
397 respiration (~ 0.1 Hz) (60). These waves have also been termed “vasomotor waves” because these
398 waveforms cohere most strongly with oscillations in sympathetic nerve activity, and their
399 amplitude increases during sympathetic activation (see (60) for review). It is most likely that
400 Mayer waves owe their existence to the baroreflex, which displays the intrinsic resonance of any
401 closed negative feedback loop (60). As described above, a rise in BP triggers baroreceptor
402 excitation, which inhibits sympathetic outflow and therefore reduces BP. This drop in BP would
403 then reduce baroreceptor firing, thereby disinhibiting sympathetic outflow and increasing BP.
404 These fluctuations in BP are therefore both spontaneous and self-sustaining (60). On the basis of
405 this model, it has been proposed that Mayer waves serve no particular physiological purpose and
406 are a secondary consequence arising from the intrinsic resonant properties of the baroreflex as a
407 negative feedback loop (23).

408 *Sex differences:*

409 In the most recent review of Mayer waves, it was stated that no sex differences exist with
410 respect to either the frequency or amplitude of these oscillations (60). However, it must be noted
411 that the only study to directly compare these variables between young healthy males and females
412 did not control for the effects of hormonal status or menstrual cycle (112). This is a serious
413 limitation given known effects of sex hormones on BP regulation (127). Therefore, it would be
414 imprudent at present to rule out the possibility that sex may modulate the frequency or amplitude
415 of Mayer waves.

416

417 Slow Breathing and Blood Pressure Regulation

418

419 WHAT IS SLOW BREATHING?

420

421 Slow breathing, defined in a recent review as a respiration rate of 4-10 breaths per minute

422 (95), induces various effects on the respiratory, cardiovascular, and autonomic nervous systems.

423 The practice of slow breathing was developed by Eastern cultures; pranayama yoga breathing

424 has been practiced for thousands of years as both a spiritual and health-enhancing technique (18).

425 Since the mid-20th century, the scientific community has documented the physiological effects

426 and potential health benefits of slow breathing, including reduced BP, increased respiratory

427 efficiency, and a shift towards parasympathetic rather than sympathetic dominance (95). This

428 increase in scientific attention has culminated in clinical recognition, with the American Heart

429 Association's 2013 recommendation of slow breathing as an effective adjunctive treatment for

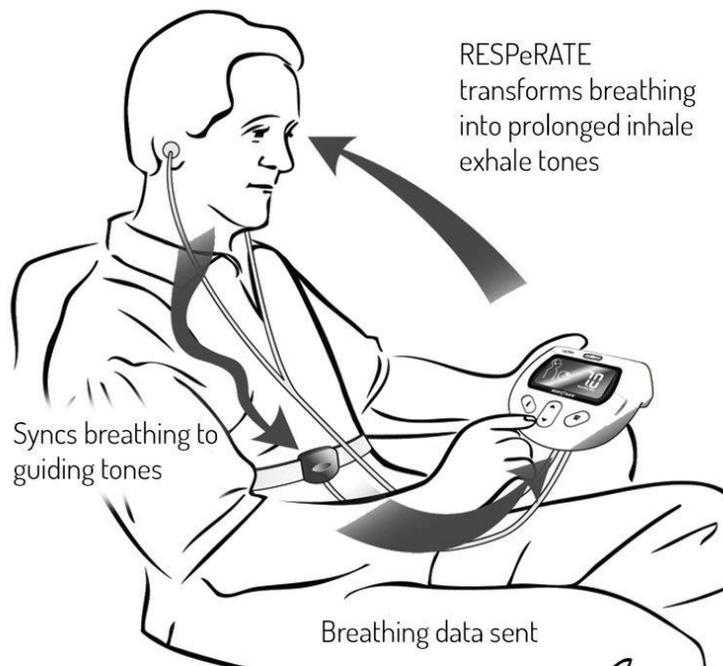
430 reducing BP in people with hypertension (17). Specifically, the AHA evaluated evidence

431 associated with use of the RESPeRATE (17), (Intercure Ltd., Israel), an FDA-approved device

432 that plays interactive guiding tones to coach an individual to slowly reduce their respiration rate

433 to a targeted "therapeutic breathing zone" (5-10 breaths/minute) over the course of a session

434 (between 10-60 minutes) (Figure 7).



435

436 **Figure 7: Diagram illustrating proper use of the RESPeRATE device for slow breathing.**

437 Image from Intercure Ltd., Israel.

438 EFFECTS OF SLOW BREATHING ON BLOOD PRESSURE 439

440 Slow breathing has been shown to reduce BP, both in the short- and long-term. In
441 laboratory settings, slow breathing has been shown to acutely reduce BP in young, healthy
442 individuals (28, 83) and in various clinical populations, including people with type 1 diabetes (9)
443 and hypertension (56). With respect to chronic changes, a recent meta-analysis of thirteen
444 clinical trials (608 individuals in the pooled sample population) found that, on average, eight
445 weeks of daily slow breathing with the RESPeRATE device reduces BP by 4/3 mmHg (systolic/
446 diastolic BP), after accounting for the placebo effect in control groups (17). A threshold effect
447 has been observed, in that participants who achieved at least 23 min of slow breathing per week
448 experienced clinically meaningful (>3 mmHg) reductions in BP (33). On the basis of this meta-
449 analysis, the AHA indicated Level of Evidence B (moderate quality of evidence) and Class of
450 Recommendation IIA (moderate recommendation) for the efficacy of the RESPeRATE device in
451 reducing BP in people with hypertension (17).

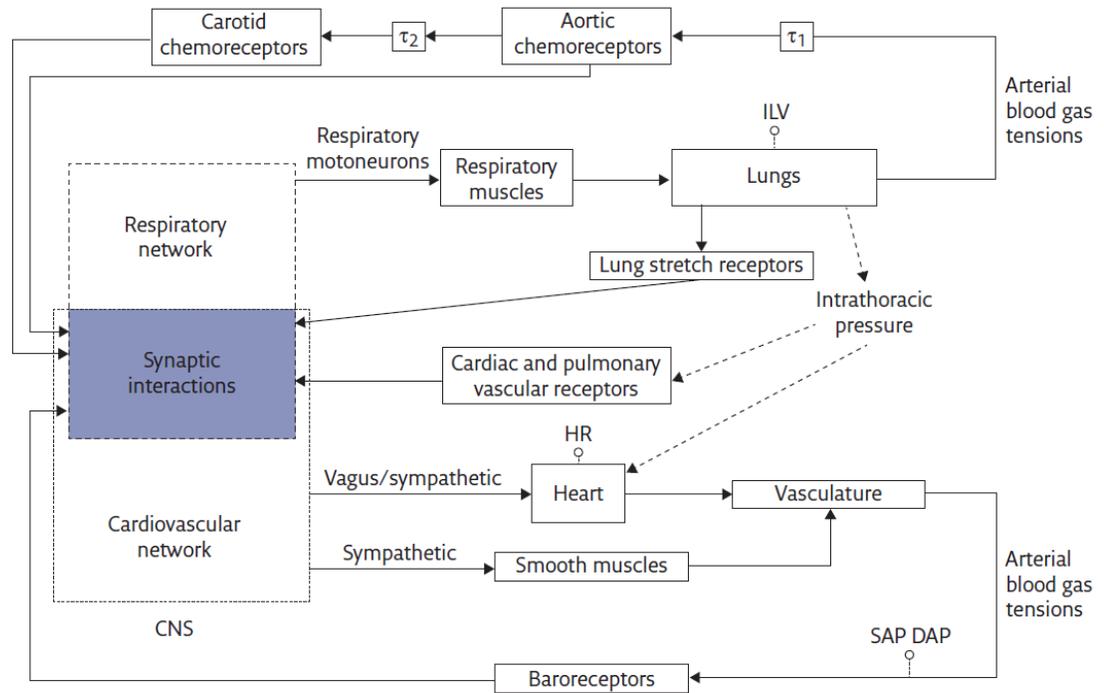
452 PROPOSED MECHANISMS OF ACTION 453

454 The mechanism by which slow breathing reduces BP remains incompletely understood
455 (17), although there is evidence for the involvement of multiple physiological pathways (see (95)
456 for a recent review; Figure 8). It has been suggested that slow breathing induces an autonomic
457 balance that is favorable for cardiovascular health (95). Indeed, slow breathing with the
458 RESPeRATE device has been shown to reduce MSNA, compared to baseline (7, 48, 99) and
459 compared to listening to relaxing music (88). Slow breathing has also been shown to acutely
460 improve cardiovagal baroreflex sensitivity (9, 56, 91, 92) and sympathetic baroreflex sensitivity
461 (91), although it must be noted that this measure of sympathetic baroreflex sensitivity relied on

462 indirect measures rather than the direct method of microneurography. There is also evidence for
463 the relevance of chemoreflex sensitivity in achieving reduced BP with slow breathing (8). In
464 young healthy individuals, it was shown that reducing the breathing rate from 15 to 6 breaths per
465 minute reduced the chemoreflex response to both hypercapnia and hypoxia (8). One potential
466 mechanistic explanation for this finding is that baroreflex activation during slow breathing may
467 inhibit activation of the chemoreflex (62).

468 Slow breathing may enhance phasic modulation of sympathetic activity by entraining the
469 baroreflex (50, 95). Slow breathing increases RSA (50, 91, 122). Further, when slow breathing is
470 performed at 0.1 Hz (equivalent to 6 breaths per minute), the oscillations in BP due to RSA
471 synchronize with the frequency of Mayer wave oscillations (50). This synchronization amplifies
472 HRV and may entrain the baroreflex, thereby improving the capacity of the baroreflex to buffer
473 changes in BP (95).

474



475

476 **Figure 8: Overview of respiratory and cardiovascular regulation: aspects relevant to slow**477 **breathing.** Figure from Russo et al. 2017 (95).

478

479 *Sex Differences*

480

481 The effect of sex on BP and autonomic responses to slow breathing in young healthy
482 individuals remains to be fully elucidated. Most studies investigating the effects of slow
483 breathing on BP have focused on hypertensive and older (i.e., post-menopausal) individuals (3,
484 4, 11, 20, 33, 40, 56, 74, 88, 93, 97, 98, 123, 124). Some studies of the long-term effects of slow
485 breathing on BP in older individuals included sex as a covariate, among multiple other variables,
486 and found that it did not affect BP outcomes (20, 97, 98). In one investigation, device-guided
487 slow breathing had a significant effect on daytime BP in post-menopausal females only,
488 compared to age-matched males (4). This finding led these authors to suggest that slow breathing
489 may be more tightly linked to changes in autonomic arousal in females than males (4), though it
490 must be noted that this assertion can only be applied to post-menopausal females, given the
491 sample population. The American Heart Association's 2013 recommendations regarding slow
492 breathing makes no mention of potential sex differences, and none of the thirteen studies
493 included in the corresponding meta-analysis included young females in their sample (17).

494 To date, no study has been designed to investigate whether sex affects the autonomic
495 mechanisms associated with slow breathing. Many investigations into physiological mechanisms
496 associated with slow breathing have focused exclusively on males (7, 28, 48, 50, 56). Among
497 those that included females, none have examined potential sex differences within the sample nor
498 properly accounted for hormonal status by current standards (i.e., menstrual cycle phase,
499 menopausal status, hormonal contraceptive use) (9, 56, 73, 92).

500

501

502 Methods to Assess Autonomic Function

503

504 MICRONEUROGRAPHY

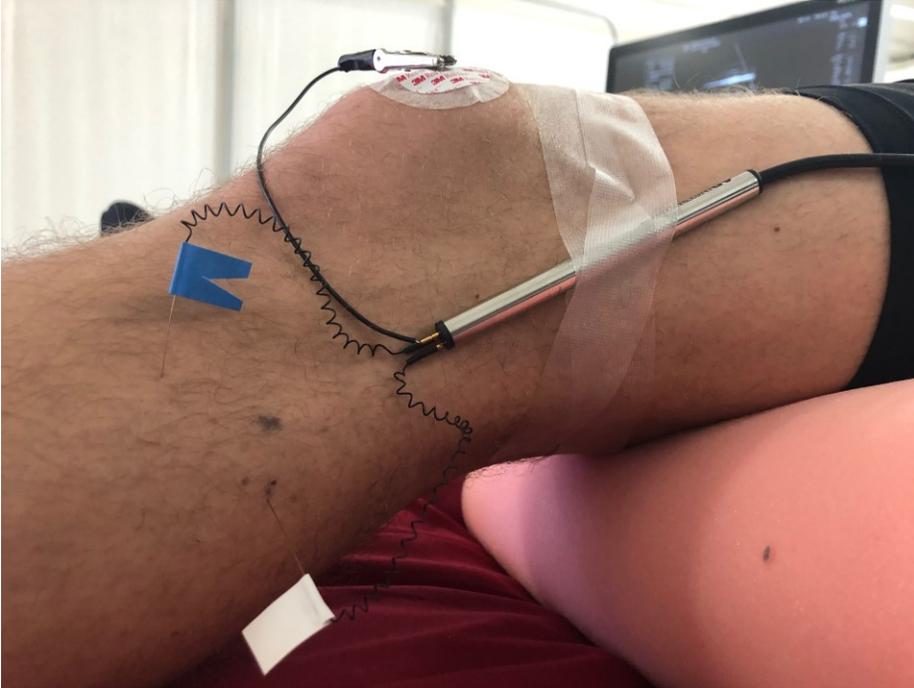
505

506 As action potentials travel through sympathetic neurons from the brainstem to the rest of
507 the body, the corresponding electrical activity can be measured in fully conscious human
508 subjects. This technique, called microneurography, was first achieved in 1965 by Hagbarth and
509 Vallbo at the Uppsala Academic Hospital in Sweden (42). Microneurography can be performed
510 in a variety of nerves to suit the experimental aims. The deep branch of the peroneal nerve
511 typically facilitates other aspects of experimentation and is most commonly recorded by
512 microneurographers (129). The peroneal nerve of the lower leg, accessed dorsally to the fibular
513 head, is relatively easy to immobilize for long periods of time in various postures for
514 experimentation (from supine to seated-upright) (129). In microneurography, as depicted in
515 Figure 9, a small recording electrode is inserted transcutaneously into a nerve and positioned
516 within recording range of post-ganglionic sympathetic axons (42). A second electrode is
517 embedded in the nearby skin and serves as a reference by which changes in the electrical
518 potential of the recording electrode can be detected (42). Electrodes are typically made of
519 tungsten, a highly conductive but biologically inert metal (129).

520 In the decades since the invention of microneurography, standards of data collection have
521 been established (129). Within the nerve bundle, activity from sympathetic neurons that
522 innervate both the skin and muscle may be detected, and this interference threatens the quality of
523 subsequent data analysis (129). Fortunately, there are established techniques to verify whether
524 the electrode is positioned in recording range of muscle sympathetic neurons, skin sympathetic
525 neurons, or a mixture of the two. Muscle sympathetic neurons burst with greater frequency

526 during an apnea and fire in a pulse-synchronous fashion, whereas skin sympathetic neurons are
527 responsive to startle stimuli (i.e., loud noise) and not pulse-synchronized (41). It is also
528 imperative to avoid recording activity from motor units, which result from muscle tension and
529 can be prevented by ensuring participant comfort and properly immobilizing their lower limb
530 throughout the experiment (129). Generally, a high-quality microneurographic recording has a
531 signal-to-noise ratio of 3:1 (129). After an adequate raw signal is acquired, it is amplified,
532 filtered, rectified, and integrated. Acquisition of a high-quality MSNA recording facilitates
533 subsequent data analysis.

534



535

536 **Figure 9: Microneurography experimental set-up.** The recording electrode, indicated by the
537 white flag, is inserted transcutaneously into the peroneal nerve. The reference electrode,
538 indicated by the blue flag, is embedded in subcutaneous tissue located near the site.

539

540 *Analytical Techniques*

541
542 Proper identification of sympathetic bursts requires training and adherence to established,
543 physiologically-based standards. First, due to the delay in signal conduction from the brainstem
544 to recording site, MSNA data must be time-shifted backwards by ~1-1.4 seconds. Second, as
545 previously mentioned, muscle sympathetic bursts are pulse-synchronous and only occur once per
546 cardiac cycle during diastole. Therefore, each presumed burst in the time-shifted MSNA signal
547 must be verified by ensuring that the initiation of the burst occurs in coordination with both
548 diastole and the R-wave of an ECG signal, and that no two bursts occur within the same cardiac
549 cycle.

550 Quantification of MSNA can be performed by a variety of approaches. Given the utility
551 and limitations of each approach, it is currently recommended to report MSNA as quantified by
552 the three most common methods: burst frequency, burst incidence, and total MSNA.

553 Burst frequency, the first established method for MSNA quantification, is simply the
554 number of identified bursts per minute. This approach is intuitive and useful, but its utility for
555 between-subject comparisons is limited because muscle sympathetic bursts can only occur once
556 per cardiac cycle. Therefore, subjects with a faster heart rate have more “opportunities” for
557 sympathetic bursts to occur. A normalization technique was developed to account for this
558 variation: Burst incidence is calculated as the number of bursts per hundred heart beats. Finally,
559 total MSNA accounts for the amplitude of each burst in addition to the rate of burst firing.
560 Typically, burst amplitude is calculated by assigning a relative value of 100 to the tallest burst
561 that occurred during quiet rest, and a relative value of 0 to the mean non-bursting voltage (i.e.,
562 background noise). All identified bursts are assigned values in arbitrary units respective to this

563 scale. Total MSNA for a given epoch is then calculated by multiplying the mean burst height
564 throughout the epoch by either burst frequency or burst incidence.

565 BAROREFLEX SENSITIVITY

566

567 Historically, baroreflex sensitivity (BRS) has been investigated in human subjects by
568 measuring pressor responses to pharmacological interventions (102) or physical stimulation of
569 the carotid baroreceptors (104). In the modified Oxford technique, human participants are
570 injected with a potent vasodilator (sodium nitroprusside) followed in quick succession by a
571 vasoconstrictor (phenylepinephrine hydrochloride) via the brachial artery (94). This 2-minute
572 sequence of infusions induces a wide range of BPs (varying by about 30 mmHg), which enables
573 visualization of the entire sigmoidal BRS curve (36). The response of the baroreceptors to these
574 drastic changes can be measured in both efferent arms of the reflex (cardiovagal and
575 sympathetic).

576 While the modified Oxford technique has often been described as the “gold standard” for
577 measuring BRS, researchers have recently pointed out that there are serious limitations for the
578 utility of this approach to measure sympathetic BRS (111). Particularly in young people, the high
579 BPs associated with the administration of phenylepinephrine hydrochloride result in near-
580 complete inhibition of sympathetic nerve activity (30), which precludes the measurement of
581 sympathetic baroreflex gain. Therefore, non-invasive techniques to assess spontaneous BRS may
582 yield a more comprehensive picture of both efferent arms of the baroreflex in young healthy
583 subjects (111).

584 It is now known that investigations into overall BRS are incomplete without information
585 about the function of both efferent arms of the reflex. Recent studies have indicated that
586 cardiovagal and sympathetic indices of BRS are not correlated in young healthy individuals (30,

587 111). When groups were stratified by sex, it revealed that cardiac BRS might predict some of the
588 variation in sympathetic BRS among young females, but not young males (30, 111). These
589 researchers have therefore concluded that indices of cardiovagal and sympathetic BRS cannot
590 stand on their own as a complete picture of baroreflex function; studies must investigate both
591 arms of the reflex (30, 111).

592 CARDIOVAGAL BAROREFLEX SENSITIVITY

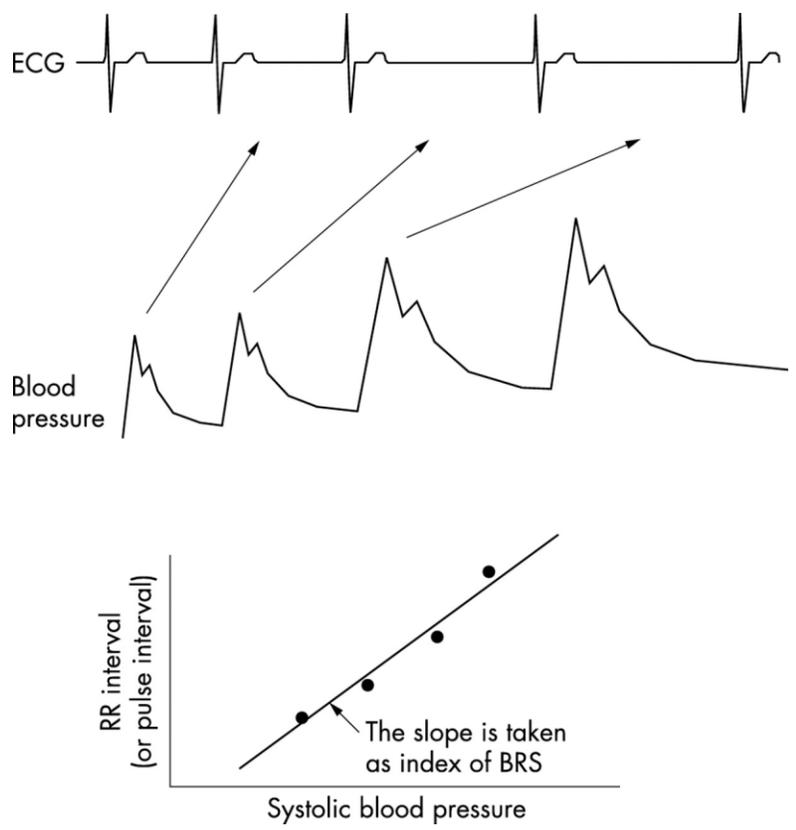
593 Upwards of twenty distinct methodological approaches have been developed to estimate
594 spontaneous cardiovagal BRS (71). Most of these approaches rely on one of two major
595 techniques: spectral analysis or the sequence method. The spectral analysis method involves
596 computation of the *alpha*-coefficient in either the low- or high-frequency bands of HRV (71). In
597 the sequence method, the slope between changes in heart rate and changes in BP is reported as
598 the index of BRS (71).

599 In the sequence method (Figure 10), automated analysis detects sequences in which a
600 given number of consecutive cardiac cycles exhibit synchronous increases or decreases in BP
601 and heart rate. Specific approaches using the sequence method differ in two main ways: first, the
602 specific measures of BP and heart beat rhythm used; and, second, the threshold criteria used to
603 validate a given sequence. The standardized values for BRS parameters are systolic BP and R-R
604 interval. While alternative measures of BP (i.e., mean BP) and heart beat rhythm (i.e., pulse
605 interval) have been used, these alternative measures result in poor concordance with other
606 indices of BRS such as the spectral method and Z-method (71).

607 Various threshold criteria have been developed with the intention of reducing artifacts
608 and verifying whether a given sequence is truly a spontaneous baroreflex response, with the goal
609 of increasing the reliability of the BRS index. These thresholds include: the number of

610 consecutive heartbeats required per sequence, the correlation coefficient between changes in BP
611 and R-R interval in each sequence, and the number of sequences that determine a BRS estimate
612 (71). However, as threshold requirements become more stringent, they can limit the ability of the
613 procedure to estimate BRS if few sequences meet the criteria. In a validation study that included
614 subjects with known baroreflex impairment (i.e., heart failure and diabetes mellitus with known
615 neuropathy), approaches with higher thresholds for sequence inclusion were completely unable
616 to report a value for BRS in these individuals (71).

617



618

619

620 **Figure 10: Sequence method for assessing cardiovagal baroreflex sensitivity.** Concurrent
621 increases or decreases in both R-R interval and SBP represent individual sequences. The mean
622 slope of all individual sequences within a dataset is used as an index of cardiovagal BRS.

623

624 SYMPATHETIC BAROREFLEX SENSITIVITY

625 While assessment of cardiovagal BRS has been performed since the 1960s, a
626 methodological approach for assessing sympathetic BRS was established more recently (66). In
627 this approach, a measure of sympathetic activity is plotted against diastolic BP, because baseline
628 sympathetic nerve activity is most tightly linked to diastolic BP (46, 66). Recently, it has been
629 recommended to quantify sympathetic BRS as a function of both MSNA burst incidence and
630 total MSNA, as the two measures can yield distinct information (129). Compared to other indices
631 of sympathetic activity, burst incidence has been shown to yield a greater number of significant
632 baroreflex slopes for analysis (66), but total MSNA may provide important insights into the
633 functional impact of sympathetic activity on the vasculature (30, 64).

634 Similar to assessment of cardiovagal BRS, sympathetic BRS requires at least 5 minutes
635 of data collected during quiet rest (46). First, MSNA burst incidence and total MSNA must be
636 quantified for the duration of the protocol. Next, MSNA data for each cardiac cycle is binned by
637 diastolic BP. Usually, these bins are 3-mmHg to account for variation in diastolic BP due to
638 factors outside of the baroreflex, such as RSA (46). The number of bursts per hundred heart beats
639 in each 3-mmHg bin is then plotted against diastolic BP, and linear regression analysis is
640 performed. The slope of the line is taken to represent sympathetic BRS. For total MSNA, the
641 total MSNA per 3-mmHg bin is plotted against diastolic BP and again, the slope is reported as an
642 index of sympathetic BRS. Some groups incorporate a threshold criteria of a minimum
643 correlation coefficient of 0.5 to report the corresponding slope (47, 111). However, it has been
644 noted that this may exclude individuals with low sympathetic BRS (111).

645

CHAPTER 2: MANUSCRIPT

Introduction

Based on the 2017 AHA guidelines for blood pressure (BP) management, 46% of adults in the United States have hypertension (128). These numbers are equally concerning for women as for men— 43% of adult women are hypertensive (128). Given that even slightly elevated BP is associated with increased risk of adverse cardiovascular events (121), and that approximately 13-16% of adults with high BP have drug-resistant hypertension (1), the evaluation and establishment of non-pharmacological treatments is clearly a public health priority.

Slow breathing (SLOWB; <10 breaths/min) has a variety of favorable effects on the cardiovascular system, including the clinically relevant effect of reducing BP (95). Even in normotensive middle-aged women and men, a single 2-minute bout of SLOWB has been shown to reduce systolic BP by 3.4 to 3.9 mmHg (83). Persistent reductions in 24-hour ambulatory BP have been observed after as little as 1-2 weeks of daily SLOWB sessions (93). As a result, in 2013 the American Heart Association (AHA) issued a Class IIA recommendation for SLOWB as an effective adjuvant treatment to lower BP in hypertensive individuals (17). However, investigations into the effects of device-guided SLOWB on the cardiovascular system have largely focused on older, hypertensive individuals (17). Interestingly, it has been shown that *pranayama* breathing, a slow breathing technique practiced in yoga, can acutely reduce BP in young normotensive adolescents of both sexes (69). As BP in adolescence is strongly predictive of BP in middle age, these data present the intriguing possibility that SLOWB could be usefully employed as a preventative therapy against future development of hypertension in normotensive individuals (61).

670 Given the potential therapeutic benefits associated with SLOWB, it is imperative to
671 understand whether this BP-lowering effect is sex-dependent. Moreover, while the precise
672 mechanisms by which SLOWB reduces BP have yet to be fully elucidated (95), several of the
673 key mechanisms which are thought to govern the BP-lowering effects of SLOWB are known to
674 differ between the sexes. From a mechanistic perspective, previous investigations into the role of
675 the autonomic nervous system in mediating the BP response to SLOWB either focused
676 exclusively on men (7, 28, 48, 56) or did not address potential sex differences within the sample
677 (9, 56, 73, 92). Potentiation of the baroreflex is thought to play a major role in the BP response to
678 SLOWB (91, 95), as SLOWB acutely increases cardiovagal baroreflex sensitivity (9, 56, 91, 92)
679 and reduces sympathetic nerve activity (7, 48, 88, 99). With respect to potential sex differences
680 in these mechanisms, it has been established that basal sympathetic outflow is higher in young
681 men relative to age-matched women (49, 86). Likewise, young women exhibit blunted
682 cardiovagal and sympathetic baroreflex responsiveness to decreases in BP relative to similarly-
683 aged men (6, 25, 100). Further, cardiovagal and sympathetic baroreflex sensitivity are slightly
684 correlated in young healthy women, while these measures are completely unrelated in men (30,
685 111). Due to these sex differences and mounting evidence that autonomic regulation is
686 fundamentally different between the sexes (59), we therefore posit that sex may exert an effect
687 on the neural and cardiovascular outcomes of SLOWB. In other words, there exists a strong
688 possibility that device-guided SLOWB may not be an equally effective BP-lowering adjunctive
689 therapy in women and men.

690 The purpose of this study was to determine whether there is an effect of sex on BP and
691 associated autonomic responses to an acute 15-min bout of device-guided SLOWB among young
692 healthy individuals. We hypothesized that SLOWB-induced reductions in systolic BP would be

693 greater among healthy young normotensive men than women, because young men have higher
694 resting systolic BP than similarly-aged women (35). In order to investigate the role of the
695 autonomic nervous system in mediating these responses, we compared SLOWB-induced changes
696 in sympathetic and cardiovagal baroreflex sensitivity between women and men.

697

698 Methods

699 *Participants:* We recruited 22 healthy participants aged 18-35, grouped by sex assigned
700 at birth (10 female; 12 male). As the present study was designed to examine differences on the
701 basis of sex, not gender, groups are divided into male and female individuals rather than men and
702 women. Participants were physically active, non-obese, non-smokers with no known
703 endocrinological, respiratory, and cardiovascular diseases. Participants were normotensive
704 (<130/80 mmHg) and were not taking any medications. We recruited potential participants via
705 posters in the community who participated after providing written, informed consent. This study
706 conformed to guidelines in the *Declaration of Helsinki* and was approved by the Faculty of
707 Medicine Institutional Review Board at McGill University (IRB Study Number A05-M14-18A).

708 *Experimental procedures:* On a separate day before testing, participants were
709 familiarized to non-invasive aspects of instrumentation and trained to perform SLOWB using the
710 RESPeRATE device (Intercure Ltd., Israel). Briefly, the RESPeRATE device detects the user's
711 baseline respiration rate with a belt transducer, then plays auditory musical cues that coach the
712 user to progressively reduce their respiratory rate from baseline levels (40). For 12h prior to
713 testing, participants were asked to fast and abstain from caffeine, alcohol, and strenuous exercise.
714 All experiments were performed in the morning (08h00-12h00) and female individuals were
715 tested during the early follicular phase of the menstrual cycle or placebo phase of oral
716 contraceptive use when both estrogen and progesterone concentrations are low. Nine female
717 participants were not taking hormonal contraceptives and one was taking an oral contraceptive
718 (desogestrel and ethinyl estradiol); all were eumenorrheic (cycle length: 22-30 days). Testing
719 took place in a dimly lit room at an ambient air temperature of 22-25°C. Upon arrival at the
720 laboratory, participants were asked to void their bladders. Participants lay supine on a padded

721 table for instrumentation. Following instrumentation, baseline data was recorded during 10-min
722 of quiet rest. Each participant then performed a 15-min SLOWB session, following auditory cues
723 from the RESPeRATE device.

724 *Instrumentation:* Subjects were instrumented for beat-to-beat BP (Finometer MIDI,
725 Finapres, Amsterdam, The Netherlands), heart rate (standard 5-lead ECG), and respiration rate
726 (RESP; respiratory belt transducer, ADInstruments, Bella Vista, NSW, Australia). Beat-by-beat
727 BP values were calibrated to the mean of three resting BPs (manual sphygmomanometry). All
728 cardiovascular variables were acquired at a frequency of 1.0 kHz and saved for offline analysis
729 (PowerLab and LabChart, ADInstruments).

730 Postganglionic multi-unit muscle sympathetic nerve activity (MSNA) was recorded from
731 the common peroneal nerve via the microneurographic technique, as previously described
732 (NeuroAmp EX, ADInstruments, New South Wales, Australia) (42, 129). A tungsten
733 microelectrode (35 mm in length, 200 μm in diameter, epoxy insulated impedance of 2 ± 0.4 M-
734 Ohms with an uninsulated 1-5 μm tip) was inserted transcutaneously into the peroneal nerve and
735 positioned within recording distance of sympathetic neurons innervating the vasculature of the
736 muscle. MSNA recordings were verified by pulse-synchronized bursts of activity that increased
737 in firing frequency during an end-expiratory apnea, but were unaffected by arousal to a loud
738 noise (41). A reference electrode was positioned subcutaneously 1-3 cm from the recording site.
739 Multi-unit neural activity was amplified 100 times by a head stage before bandpass filtration
740 (0.7-2 kHz) and integration (absolute value, time constant 0.1 s). The MSNA signal was acquired
741 at a sampling frequency of 10.0 kHz (PowerLab and LabChart, ADInstruments).

742 *Data analysis:* A single trained observer (YC) identified bursts of sympathetic activity
743 via semiautomated peak detection. MSNA was quantified as burst frequency (bursts/min) and
744 burst incidence (bursts/100 heartbeats).

745 Systolic BP (SBP), diastolic BP (DBP), and mean arterial BP (MAP) were obtained from
746 the beat-to-beat BP waveforms. Cardiac output (Q; L/min) was calculated offline using the Non-
747 Invasive Cardiac Output algorithm (three-element Windkessel model; ADInstruments). Cardiac
748 index was calculated as Q/ Body-surface area, with body-surface area estimated using the
749 Mostellar formula (84). Total peripheral resistance (TPR; L/min/mmHg) was calculated as
750 MAP/Q and total peripheral resistance index was calculated as TPR/ Body-surface area.

751 Spontaneous cardiovagal baroreflex sensitivity was assessed via the sequence method
752 (14). Sequences of three or more consecutive heartbeats in which R-R interval and SBP
753 concurrently increased or decreased were identified using Ensemble (Elucimed Ltd., Wellington,
754 New Zealand). A minimal coefficient of correlation between changes in SBP and changes in R-R
755 interval was required to validate a sequence ($r^2 > 0.8$). Given the relatively slow heart rate of
756 participants during quiet rest and SLOWB, we expected that the effects of the baroreflex on the
757 R-R interval would be observed within the same cardiac cycle; we therefore did not apply a lag
758 of one beat to the dataset (14). Of all identified sequences, the mean slope between SBP and R-R
759 interval was taken as the index of baroreflex sensitivity (BRS). We also calculated BRS
760 separately for up sequences (in which SBP and R-R interval were both increasing) and down
761 sequences (in which SBP and R-R interval were both decreasing) while the set point for
762 cardiovagal BRS was computed as the prevailing R-R interval (ms) divided by the prevailing
763 SBP (mmHg) (80).

764 Spontaneous sympathetic baroreflex sensitivity was determined by calculating the slopes
765 of the relationships between DBP and MSNA burst incidence (106). Sympathetic data were
766 shifted backward to align the peak of each sympathetic burst with the diastolic period that
767 initiated it. DBP data was averaged into 2-mmHg bins, and the percent occurrence of a
768 sympathetic burst (ranging from 0 to 100%) within each DBP bin was taken as sympathetic burst
769 incidence. We also calculated the set point for sympathetic BRS as mean burst incidence divided
770 by the prevailing DBP.

771 *Statistical analysis:* All data for baseline and SLOWB were compiled into 5-min time
772 bins, and all comparisons were made between the 5-min baseline period and the final 5-min of
773 SLOWB. Two-factor repeated measures ANOVAs were used to assess main effects of time
774 (repeated measures within-subjects, baseline vs. final 5 min of SLOWB) and sex (2 levels: male,
775 female) on all outcome variables (GraphPad Prism 8, La Jolla, California). This study's primary
776 outcome variable was SBP because this metric of BP has been shown to be most affected by
777 SLOWB in a past meta-analysis (17). Our secondary outcome variable was MSNA burst
778 incidence and frequency, and our tertiary variables were cardiovagal and sympathetic BRS. We
779 also quantified DBP due to its correlation with MSNA (46, 66), and MAP due to its ubiquity in
780 the literature. If a statistically significant interaction between time and sex was observed, we
781 performed Tukey's *post hoc* pairwise comparisons to detect differences. Values are reported as
782 means \pm SD for all analyses except where we observed a main effect in an ANOVA; these
783 results were reported as the difference between means \pm SEM to reflect the overall main effect of
784 condition. Alpha was set to 0.05.

785

786 Results

787 *Participants:* Baseline characteristics, including hemodynamics, are presented in Table 1.

788 All participants identified as cis-gender, as self-reported by questionnaire. Race was also self-
789 reported by questionnaire; within the male group, 10 participants were white, one was Chinese,
790 and one was black. Within the female group, three participants were white, three were Chinese,
791 two were South Asian, one was Arab, and one abstained from answering. Body mass index
792 (BMI) was higher in males than females (Table 1). We did not exclude 7 male and 2 female
793 subjects with relatively high BMI (25-34 kg/m²) because these subjects were not overweight/
794 obese; via our health history questionnaire, we determined that these subjects were extremely
795 physically active with a high level of resistance training, resulting in high muscle mass which
796 elevated BMI (19). This group of participants was physically active, engaging in regular physical
797 activity, 3-5 times per week. BPs were similar between the sexes at baseline (Table 1).

798 Sympathetic nerve recordings were obtained in 18 of 22 participants (8 female and 10 male
799 individuals). Sympathetic burst frequency and burst incidence were not different between the
800 sexes at baseline (Table 1). There were no sex differences in baseline cardiovagal or sympathetic
801 BRS (Table 1).

802 Respiration rate did not differ between the sexes at baseline, and significantly decreased
803 during SLOWB (-7.0 ± 0.9 breaths/min; Table 2).

804 During SLOWB, we observed reductions in both SBP (-3.2 ± 0.8 mmHg; Fig. 1A) and
805 DBP (-1.3 ± 0.4 mmHg; Fig. 1B). Although SBP and DBP were consistently lower in female
806 individuals, we observed no interactions between the effects of SLOWB and sex on BP (Fig. 1A,
807 B).

808 SLOWB reduced MSNA burst frequency (-2.7 ± 1.0 bursts/ min; Fig. 2A) and burst
809 incidence (-5.0 ± 1.4 bursts/ 100hb; Fig. 2B). We observed non-significant trends towards lower
810 MSNA burst frequency and incidence in females compared to males and observed no
811 interactions between the effects of SLOWB and sex (Fig. 2A, 2B).

812 There was no effect of SLOWB on cardiovagal BRS for down sequences ($P = 0.99$; Fig.
813 3A). SLOWB increased cardiovagal BRS for up sequences (18.9 vs 23.7 ms/ mmHg; mean \pm SE
814 of difference: 4.7 ± 2.2 ms/mmHg; main effect of SLOWB, $P < 0.01$; Fig. 3B). No sex
815 differences were observed in any measure of cardiovagal BRS. The set point for cardiovagal
816 BRS did not differ between the sexes ($P = 0.8$) and was unaffected by SLOWB (8 ± 2 vs 8 ± 1
817 ms/mmHg; $P = 0.2$).

818 The slope of sympathetic BRS became steeper during SLOWB (-3.3 ± 1.3 vs -4.2 ± 1.7
819 bursts \cdot 100 heart beats $^{-1}\cdot$ mmHg $^{-1}$; $P = 0.04$; Fig. 4). There was no effect of sex ($P = 0.77$) and no
820 interaction between the effects of sex and SLOWB ($P = 0.98$). The set point for sympathetic
821 BRS did not differ between the sexes and was unaffected by SLOWB (0.2 ± 0.1 vs 0.2 ± 0.1
822 bursts/100hb/mmHg; $P = 0.2$). Three individuals (2 female; 1 male) were excluded from this
823 analysis because the correlation coefficient for the relationship between DBP and MSNA burst
824 incidence did not meet the threshold criteria defined *a priori* (i.e., $R^2 > 0.8$).

825

826 Discussion

827 Contrary to our hypothesis, we found that BP responses to SLOWB were not sex-
828 dependent in our population of young, normotensive individuals. Rather, device-guided SLOWB
829 using RESPeRATE induced similar decreases in BP in individuals of both sexes, even though
830 females had lower BP overall. Mechanistically, we observed no sex differences in the autonomic
831 responses to slow breathing. Namely, despite a trend towards lower MSNA burst frequency and
832 incidence in females, SLOWB-induced reductions in MSNA burst frequency and incidence,
833 increases in sympathetic BRS and sensitization of cardiovagal BRS were similar between male
834 and female participants. SLOWB also increased sympathetic BRS in both sexes. Finally, we
835 found that cardiovagal BRS was sensitized in response to increases in SBP (i.e., up sequences)
836 but not decreases in SBP (i.e. down sequences); again, this improvement did not differ between
837 sexes. Taken together, our study suggests that BP reductions are achieved equally in young
838 healthy individuals of both sexes during an acute bout of SLOWB, and that these reductions are
839 mediated autonomically by a combination of sympathetic withdrawal and parasympathetic
840 activation.

841 Our finding that SLOWB acutely reduces systolic BP is in alignment with past research
842 (reviewed in (17)), but we are the first to demonstrate that these trends are also observed in
843 young female individuals. The acute decreases that we observed in systolic BP were modest yet
844 consistent with past investigations; the meta-analysis conducted by the AHA reported an average
845 reduction in SBP of 4 mmHg (17) and we report a reduction of 3 mmHg, which falls within the
846 range of a clinically meaningful reduction (89). It is notable that even in young normotensive
847 individuals, SLOWB acutely achieved this magnitude of BP reduction. In other words, even
848 though young healthy females have low baseline BP, we did not observe a “basement effect:”

849 Device-guided SLOWB effectively induced a further, clinically-relevant decrease in BP in these
850 individuals (89).

851 To the best of our knowledge, we are the first to investigate whether the effects of
852 SLOWB on a direct measure of sympathetic activity (i.e. MSNA) are sex-dependent. Although
853 we did not find a statistically significant difference in baseline burst frequency and incidence
854 between the sexes, the values we report are similar to the ranges of previous studies, especially
855 given that MSNA tends to be lower in young healthy individuals (49, 86). Overall, when taking
856 into account the time periods of baseline and SLOWB, female individuals tended to have lower
857 MSNA burst frequency ($P = 0.11$) and incidence than males ($P = 0.09$). Despite these relatively
858 low values of baseline MSNA burst frequency and incidence, SLOWB induced equivalent
859 reductions in both measures of MSNA in both sexes. Our observation that SLOWB acutely
860 reduces MSNA aligns with past research studies (7, 48, 88, 99), but we make the important
861 contribution of extending these findings to include individuals of both sexes.

862 In alignment with past research (9, 56, 91, 92), we found evidence that the SLOWB-
863 induced reduction in BP is mediated by sensitization of the baroreflex, via both efferent arms of
864 the reflex. In both sexes, SLOWB increased the steepness of the slope in sympathetic BRS; that
865 is, during SLOWB, a similar change in diastolic BP was associated with a greater change in burst
866 incidence. This finding aligns with previous research (91), although we are the first to examine
867 sympathetic BRS during SLOWB by the direct measure of MSNA. Concurrently, we also
868 observed exaggerated parasympathetic activation in response to transient increases in systolic BP
869 during SLOWB. However, the parasympathetic response to transient decreases in BP was
870 unaffected by SLOWB. In sum, we found no uniform effect of SLOWB on cardiovagal
871 baroreflex sensitivity; rather, baroreceptor unloading and baroreflex activation were

872 differentially affected by SLOWB. In a sample of young normotensive men, Tzeng et al.
873 observed the same differential effects of SLOWB on cardiovagal BRS (116). These authors
874 concluded that if the cardiac baroreflex were the sole mediator of the SLOWB-induced BP
875 reduction, a definitive response would have been observed during both increases and decreases
876 in BP (116). Therefore, other mechanisms must be at play, and indeed, our finding that the
877 sympathetic efferent arm of the baroreflex is also sensitized contributes importantly to our
878 understanding of the fundamental mechanisms by which SLOWB reduces BP.

879 Taken together, these data provide compelling preliminary support for the use of
880 SLOWB as a preventative therapy in normotensive individuals who may possess cardiovascular
881 risk factors, such as a family history of cardiovascular disease (51). Our study contributes to the
882 clinically-relevant question of whether the AHA's 2017 recommendation of SLOWB as an anti-
883 hypertensive approach may be applicable to young female individuals, as this population was
884 under-represented in the pooled study population (17). While young, healthy individuals might
885 not be currently at risk of severe hypertension, it remains important to investigate approaches to
886 maintain healthy BP in this population. With the aim of reducing the incidence of hypertension
887 and cardiovascular disease, an intriguing option is to preemptively target BP earlier in the
888 lifespan. Adolescent BP strongly predicts BP in middle age (132), and concerningly, early signs
889 of endothelial dysfunction are observed even in otherwise healthy young men (61) and women
890 with mild hypertension (2). Therefore, by aiming to reduce BP in young, apparently healthy
891 people, perhaps these lower BPs can be maintained throughout the lifespan and reduce the
892 incidence of cardiovascular disease later in life. While our study provides preliminary support for
893 the use of the RESPeRATE device to reduce BP in young female individuals, a double-blinded
894 randomized control trial is necessary to evaluate the long-term efficacy of this potential anti-

895 hypertensive therapeutic option for this population (i.e., assessing 24h ambulatory BP after 8
896 weeks of use).

897 *Methodological Considerations*

898 In our SLOWB protocol, participants followed the respiratory pace set by the
899 RESPeRATE device, but we did not measure or control for other important aspects of
900 respiration. During deep inspiration, slowly-adapting pulmonary stretch receptors are activated
901 (108), stimulating vagal withdrawal and tachycardia (5, 108). Therefore, differences in tidal
902 volume during SLOWB may have affected the extent of vagal withdrawal due to pulmonary
903 stretch receptor activation. This may explain some of the variation that we observed in the effects
904 of SLOWB on cardiovagal baroreflex sensitivity. Given known sex differences in airway size
905 and lung volume regulation (75), it is possible that our SLOWB protocol may have affected
906 respiratory influences on autonomic regulation differently between the sexes. Additionally, we
907 did not measure the partial pressures of oxygen or carbon dioxide (as in (87)). In two participants
908 (1 male; 1 female), we observed small net *increases* in MSNA burst frequency and incidence
909 during SLOWB. We speculate that chemoreflex activation may have played a role in mediating
910 these increases in MSNA. Given that females have greater chemoreflex activation of the
911 sympathetic nervous system compared to males (118), it is possible that SLOWB may have
912 differentially affected chemoreflex activation between the sexes. Measuring PO₂ and PCO₂
913 along with MSNA would enable a more complete understanding of the potential sex-dependent
914 interactions between activation of the baro- and chemoreflex during SLOWB.

915 An unforeseen issue with RESPeRATE-guided SLOWB was that many participants
916 began to fall asleep while using the device. This occurred despite the fact that we actively
917 monitored use of the device, instructed participants to open their eyes and gave regular prompts

918 to follow the breathing patterns. This raises concerns as to whether the device could be correctly
919 employed in private use. Further, this may constitute a confounding variable, given that our
920 findings mirror known effects of light sleep on cardiovascular and autonomic outcomes. During
921 stage 1 non-REM sleep, BP and MSNA are known to decrease (109), while cardiovagal
922 baroreflex gain increases in response to hypertensive stimuli (72). Therefore, we cannot conclude
923 with absolute certainty that the acute effects we observed on BP, MSNA, and baroreflex
924 sensitivity were due to the SLOWB rather than the effect of falling asleep. For future
925 investigations, we recommend that future researchers compare the effects of device-guided
926 breathing to a control protocol, such as a device playing quiet music (as in (3)). Including a
927 control protocol would also reduce the potential role of the placebo effect.

928

929 Conclusions

930 Our study provides preliminary and novel support for the efficacy of the RESPeRATE
931 device to acutely reduce blood pressure (BP) in young normotensive females. Moreover, we also
932 demonstrate that slow breathing appears to reduce BP by similar mechanisms between the sexes.
933 In both female and male individuals, slow breathing reduced muscle sympathetic nerve activity
934 and sensitized the cardiovagal efferent arm of the baroreflex (in response to increasing SBP
935 only). Given our finding that device-guided slow breathing was able to induce acute reductions
936 in BP even in young, healthy, normotensive individuals, we propose that slow breathing may be
937 an effective preventative therapy against development of hypertension. In the future, applying
938 this therapy in normotensive individuals with a family history of hypertension, both acutely and
939 long-term, would provide support for this hypothesis.

940

941 **Table 1. Baseline subject characteristics, grouped by sex.** BMI, body mass index; BSA, body
 942 surface area; MAP, mean arterial pressure; SBP, systolic BP; DBP, diastolic blood pressure; PP,
 943 pulse pressure; HR, heart rate; CO, cardiac output; CO_i, cardiac index; RESP, respiration rate,
 944 TPR, total peripheral resistance.

945

	Females	Males	<i>p</i>-
	Mean ± SD	Mean ± SD	value
<i>n</i>	10	12	n/a
Age (yr)	22 ± 2	23 ± 3	0.4
BMI (kg/m ²)	22 ± 2	26 ± 4	0.02
BSA (m ²)	1.67 ± 0.12	2.04 ± 0.14	<0.01
MAP (mmHg)	83.3 ± 11.8	90.2 ± 6.6	0.10
SBP (mmHg)	113.4 ± 10.7	121.0 ± 8.5	0.08
DBP (mmHg)	67.7 ± 10.6	72.7 ± 7.0	0.20
PP (mmHg)	45.7 ± 6.8	48.2 ± 7.9	0.44
HR (bpm)	60.2 ± 9.4	57.5 ± 5.6	0.41
CO (L/min)	4.6 ± 1.1	5.5 ± 1.0	0.05
CO _i (L/min/m ²)	2.8 ± 0.8	2.7 ± 0.5	0.76
TPR (mmHg/L/min)	19.3 ± 5.4	17.1 ± 3.6	0.27
TPR _i (mmHg/L/min/m ²)	11.5 ± 2.9	8.5 ± 1.8	0.01
RESP (breaths/min)	13.4 ± 4.7	12.7 ± 3.5	0.70
MSNA burst frequency (bursts/min)	9 ± 5	10 ± 6	0.52
MSNA burst incidence (bursts/100hb)	14 ± 8	18 ± 9	0.37
Cardiovagal baroreflex sensitivity (ms/mmHg)	23.5 ± 9.6	21.9 ± 10.9	0.25
Sympathetic baroreflex sensitivity (bursts·100 heart beats ⁻¹ ·mmHg ⁻¹)	-3.4 ± 1.6	-3.2 ± 1.2	0.8

946

947

948 **Table 2. Hemodynamics during baseline and slow breathing.** Values are presented as means
 949 \pm SD. BSL, baseline; SLOWB, last 5-min of slow breathing; RESP, respiration rate; HR, heart
 950 rate; CO, cardiac output; CI, cardiac index; TPR, total peripheral resistance; TPR_i, total
 951 peripheral resistance index.

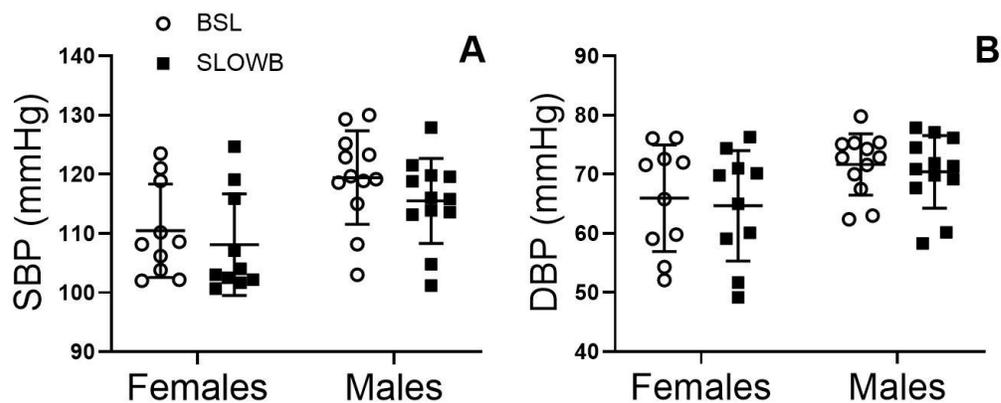
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	Women (n = 10)		Men (n = 12)		Effect of Sex <i>p</i> - value	Effect of Time <i>p</i> -value	Sex x Time <i>p</i> -value
	BSL	SLOWB	BSL	SLOWB			
RESP (breaths/min)	13.6 \pm 4.5	5.5 \pm 0.8	11.2 \pm 4.0	5.1 \pm 0.8	0.16	<0.01	0.28
HR (bpm)	61.3 \pm 11.2	60.8 \pm 10.2	58.0 \pm 6.0	59.5 \pm 7.5	0.55	0.64	0.13
CO (L/min)	4.86 \pm 1.10	4.98 \pm 1.12	5.71 \pm 1.15	5.97 \pm 1.29	0.07	0.12	0.53
CO _i (L/min/m ²)	2.95 \pm 0.81	3.01 \pm 0.78	2.82 \pm 0.60	2.95 \pm 0.69	0.73	0.11	0.43
TPR (mmHg/L/min)	17.7 \pm 4.0	17.0 \pm 3.9	16.5 \pm 4.0	15.6 \pm 4.3	0.45	0.02	0.81
TPR _i (mmHg/L/min/m ²)	10.6 \pm 2.1	10.2 \pm 2.1	8.1 \pm 2.0	7.7 \pm 2.1	0.01	0.03	0.91

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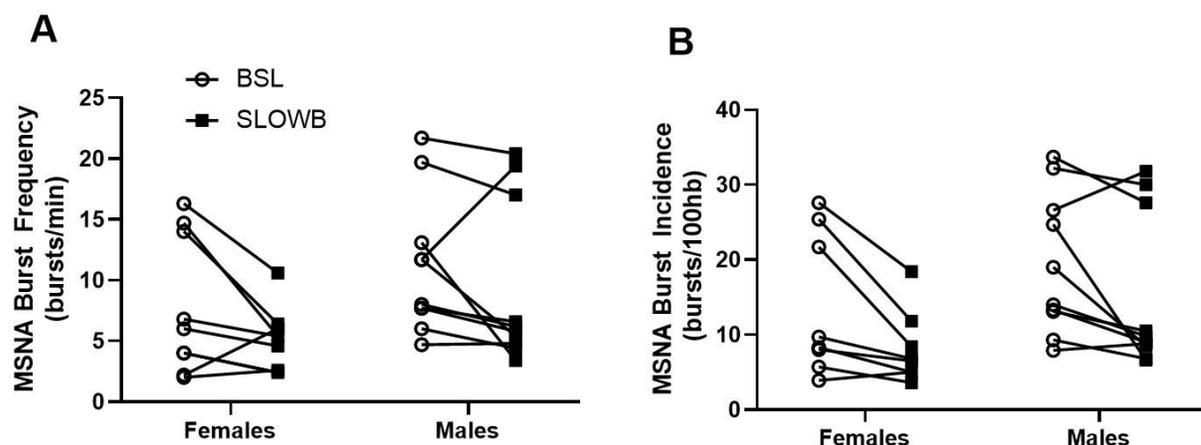
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958 **Figure 11: Effect of slow breathing on blood pressure.** (A) SBP decreased in both females and
 959 males during SLOWB. Effect of SLOWB, $P < 0.01$; effect of sex, $P = 0.02$; SLOWB \times sex, $P =$
 960 0.33 . (B) DBP decreased in females and males during SLOWB. Effect of SLOWB, $P < 0.01$;
 961 effect of sex, $P = 0.09$; SLOWB \times sex, $P = 0.99$. Data are presented as group means \pm SD.
 962 Groups: females, $n=10$; males, $n=12$.

963 BSL, baseline; SLOWB, last 5 minutes of slow breathing; SBP, systolic blood pressure; DBP,
 964 diastolic blood pressure.

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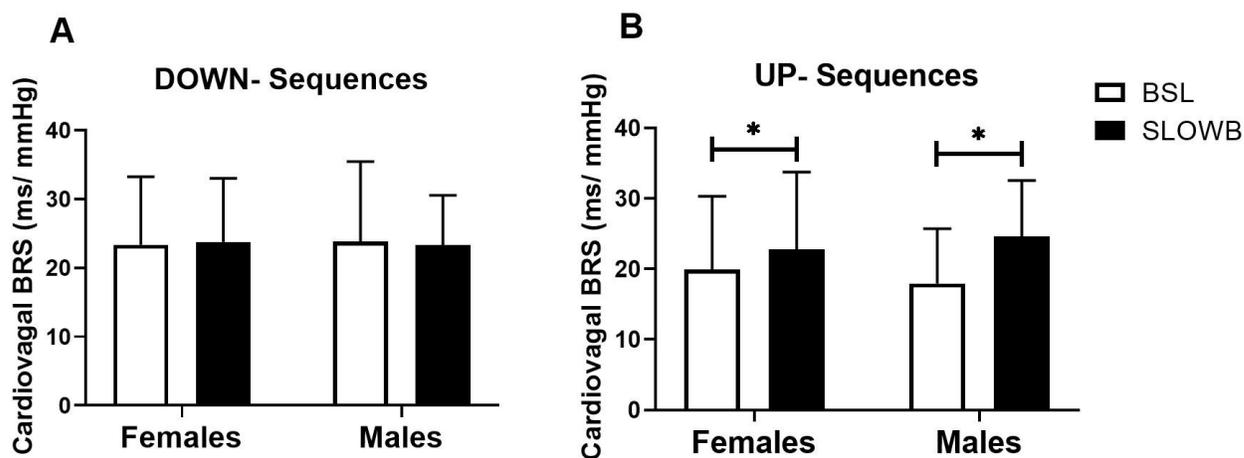


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 968 **Figure 12: Effect of slow breathing on muscle sympathetic nerve activity.** (A) MSNA burst
 969 frequency decreased in both females and males during SLOWB ($P = 0.02$); individual data
 970 shown. Burst frequency tended to be lower in females than males ($P = 0.16$); no SLOWB x sex
 971 interaction ($P = 0.41$). (B) MSNA burst incidence decreased during SLOWB ($P < 0.01$);
 972 individual data shown. Females tended to have lower burst incidence ($P = 0.14$); no interaction
 973 between SLOWB and sex ($P = 0.69$). Groups: females, $n=8$; males, $n=10$.

974 MSNA, muscle sympathetic nerve activity; BSL, baseline; SLOWB, last 5 minutes of slow
 975 breathing; SBP, systolic blood pressure; DBP, diastolic blood pressure.

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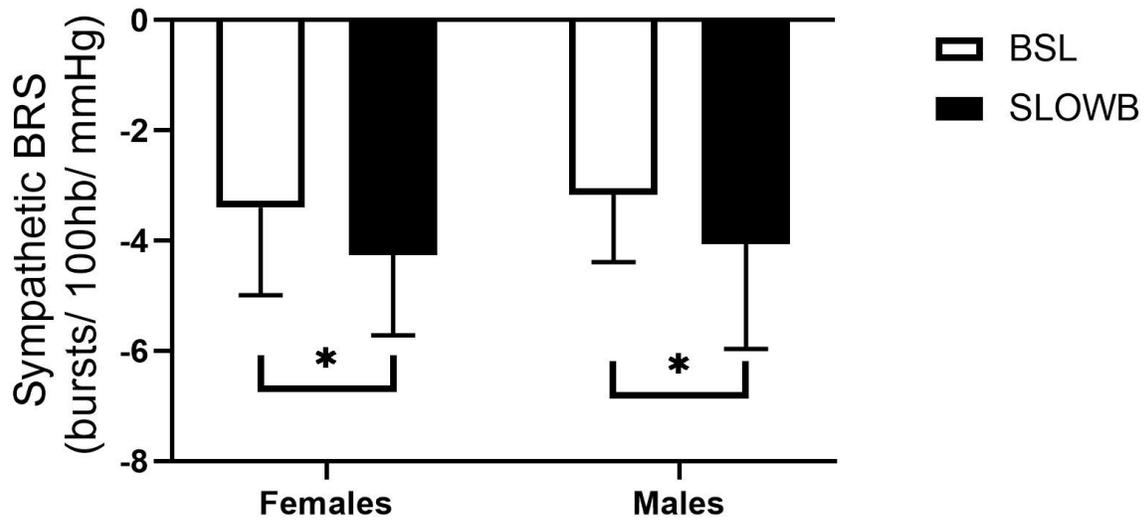
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980 **Figure 13: Effect of slow breathing on cardiovagal baroreflex sensitivity.** (A) Cardiovagal
 981 BRS in response to hypotensive stimuli (DOWN-sequences) was unchanged with SLOWB in
 982 both female and male individuals. (B) Cardiovagal BRS in response to hypertensive stimuli (UP-
 983 sequences) increased during SLOWB in both females and males. Groups: females, n=10; males,
 984 n=12.

985 BRS, baroreflex sensitivity; BSL, baseline; SLOWB, last 5 minutes of slow breathing; SBP,
 986 systolic blood pressure; DBP, diastolic blood pressure.

987

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989

990

991 **Figure 14: Effect of slow breathing on sympathetic baroreflex sensitivity.** Sympathetic BRS
992 decreased in response to the SLOWB stimuli in both females and males. Groups: females, n = 6,
993 males, n = 9.

994 BRS, baroreflex sensitivity; BSL, baseline; SLOWB, last 5 minutes of slow breathing.

995

996

997 | WORKS CITED

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