General Depressive Symptoms Predicted Post-Myocardial Infarction Mortality After Controlling for Somatic Symptoms of Depression

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Abstract

Objective: Reported links between depression and post-acute myocardial infarction [AMI] mortality may be due to confounding between somatic symptoms of depression and symptoms related to the AMI. The objective of this study was to assess the relationship between depressive symptoms and 12-month post-AMI mortality after removing potential bias from somatic symptoms of depression.

Study Design and Setting: 477 hospitalized AMI patients from 12 cardiac care units. The relationship of a General Depression factor with mortality was assessed using a probit structural equation regression model, controlling for an uncorrelated somatic symptom factor, age, Killip class, previous AMI and other potential confounders.

Results: Mortality was significantly predicted by the General Depression factor [P = .009], controlling for age [P = .128], Killip class [P = .210], history of AMI [P = .001] and other predictors in a structural equation model that removed variance related to somatic factors, but unrelated to the General Depression factor.

Conclusion: This study demonstrated that the use of structural equation modeling presents a viable mechanism to test links between symptoms of depression and health outcomes among patients with AMI after explicitly removing variance due to somatic symptoms that is unrelated to the General Depression factor.

Running Title: Depressive Symptoms and Post-AMI Mortality.

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WHAT IS NEW?

- Structural equation modeling (SEM) methods can be used to model a general depression factor free of variance from somatic symptoms that are unrelated to depression, but including variance from somatic symptoms that are related to depression.
- This methodology is useful to assess the independent contribution of depressive symptoms to important outcomes free of bias from somatic symptom overlap.
- In a sample of 477 post-acute myocardial infarction (AMI) patients, the general depression factor predicted mortality 12 months post-AMI, controlling for somatic symptoms unrelated to depression per se.
- Future studies should incorporate SEM methods to assess the independent association of depressive symptoms with important outcomes and should include greater coverage of cardiac risk variables than were available in this study.
1. Introduction

Numerous studies have found that symptoms of depression are related to increased risk of mortality following acute myocardial infarction (AMI) after controlling for demographic and disease severity variables [1-4], although not all studies have reported a significant association [5, 6]. Several recent systematic reviews or meta-analyses of the independent association between post-AMI depression and mortality have concluded that unambiguous conclusions could not be reached due to incomplete control for confounding, [7-9]. The authors of one of the reviews [7] and others [3, 10-12] have argued that existing studies linking depression to cardiac and all-cause mortality post-AMI have not adequately controlled for confounding in measurement of depressive symptoms related to overlap between somatic symptoms of depression and cardiac symptoms. Indeed, symptoms characteristically associated with depression, such as fatigue, anhedonia, changes in sleep patterns, changes in appetite, or poor concentration, for instance, could occur as a normal reaction to the AMI, from side effects of its treatment, or from the hospitalization itself [13, 14]. The BDI is the most commonly used method to screen for symptoms of depression among post-AMI patients [15], and specific concerns have been raised about its validity in medical patients since 6 of 21 items assess somatic symptoms [16-20].

It is important to be able to accurately distinguish somatic symptoms related to depression from non-depressive somatic symptoms. Clinically, bias in the assessment of depressive symptoms could result in the over-identification of patients with the most severe AMIs as depressed. In outcomes research, bias could lead to artifactual links between symptoms of depression and post-AMI morbidity and mortality. Structural equation modeling techniques present an alternative to traditional regression models that allows for the possibility of explicitly
separating variance related to depression per se and variance related to extraneous somatic factors through the use of latent variables. Ward [21] recently published a confirmatory factor analysis (CFA) model for the BDI-II that explicitly separated variance from a General Depression factor from variance from unrelated somatic symptoms. Ward demonstrated in five different samples that a BDI-II model with orthogonal General Depression, Somatic, and Cognitive factors (G–S–C) fit as well or better than previously published correlated-factor models. In Ward’s model, all BDI-II item scores are indicators of the General Depression factor, and some items also load on Somatic or Cognitive factors that are orthogonal to the General Depression factor and each other.

Although Ward’s model is a promising alternative, most post-AMI research continues to use the original BDI rather than the revised BDI-II. Many items on the BDI and BDI-II are equivalent, but 6 of 21 items are not. Thus, the objectives of this study were (1) to develop a G-S-C model for the BDI in post-AMI patients and (2) to use the model to assess the relationship between the General Depression latent factor and mortality 12-months post-AMI after removing potential bias from somatic symptoms on the BDI.

2. Methods

2.1. Patients and Procedures

Data were collected as part of a longitudinal study of depression following acute coronary syndromes (ACS). The methods of the study have been described previously [22]. Adult patients (18 years and older) who were diagnosed with a confirmed AMI or unstable angina (UA) were recruited in the coronary care unit (CCU) by a research nurse on the 2nd to 5th day of the acute hospitalization. Patients were recruited from 12 CCUs in both large urban teaching hospitals and community hospitals in small- and medium-sized cities across
Southcentral Ontario, Canada. Patients were excluded if they were medically unstable or unable to read or speak English. Patients who met study criteria and agreed to participate signed a consent form and were provided with a self-report questionnaire, which included the BDI. Questionnaires were also administered via mail at 6 months and 12 months post-AMI. All patients received standard aftercare for their ACS. The original study protocol was approved by the Research Ethics Boards of the University of Toronto and University Health Network. In-hospital data from AMI patients, but not UA patients, were included in the present analyses.

2.1. Measure

2.1.1. Symptoms of depression. The BDI [23] is a 21-item measure of depressive symptoms. Each item consists of four statements, scored 0-3, indicating increasing symptom severity, and total scores range from 0-63. Respondents are instructed to describe the way they have been feeling during the past week. The authors of the BDI recommend cutoff scores of ≥ 10 for at least mild symptoms of depression [24], and this cutoff is typically used in studies of patients with AMI [15].

2.1.2. Medical variables. Killip class, measured on a 4-point scale, was used to indicate the severity of the AMI by assessing the presence of heart failure at the time of the AMI. Killip class and history of previous AMI were determined from the medical record. Other health status variables, such as comorbidities and smoking status were determined from the patient health questionnaire.

2.1.3. Mortality Status. The primary outcome variable was all-cause mortality within 12 months of discharge from the hospital. For patients who did not return 12-month follow-up questionnaires, vital status was determined by contacting by telephone, in sequence as necessary,
patients, patients’ family members or contacts listed in the initial data collection form, patients’
general practitioners, and patients’ specialist physicians.

2.4. Data Analyses

Patient demographic and medical data were compared between patients who were alive 12 months post-AMI and patients who died during this period. Differences between the groups on categorical variables were assessed using the χ² statistic and on continuous variables with 2-tailed t tests. Comparisons were conducted with SPSS version 15.0 (Chicago, IL) with a significance level of P < .05.

2.4.1 Confirmatory Factor Analysis Models. Two CFA models were fit to the BDI data following the procedure used by Ward with the BDI-II [21]. First, the data were fit to the most commonly used BDI factor model, the 3-factor Negative Attitudes – Performance Impairment – Somatic Disturbance model (NA-PI-SD) [24]. In the NA-PI-SD model, the items sadness, pessimism, past failure, guilty feelings, punishment feelings, self-dislike, self-blame, suicide ideation, and physical appearance load on the NA factor; the items loss of pleasure, irritability, loss of interest, indecisiveness, ability to work, fatigue, and health concerns load on the PI factor; and the items sleep problems, appetite, weight loss, and sexual disinterest load onto the SD factor. For the NA-PI-SD model, modification indices were used to identify pairs of items within factors for which model fit would improve if error estimates were freed to correlate, and for which there appeared to be theoretically justifiable shared method effects [25]. Based on modification indices, correlated errors were permitted between two items: ability to work and fatigue.

After fitting the NA-PI-SD model, an orthogonal G-S-C factor model similar to Ward’s G–S–C model for the BDI-II was developed for the BDI. Consistent with Ward’s procedure, all
21 items were specified to load on the General factor. In addition, 6 items were specified to load on the Somatic factor (ability to work, sleep problems, fatigue, appetite, weight loss, health concerns), 8 items on the Cognitive factor (pessimism, past failure, guilty feelings, punishment feelings, self-dislike, self-blame, suicidal thoughts, physical appearance), 2 items on a minor Self-Criticalness factor (self-dislike, self-blame), and 2 items on a minor Anhedonia factor (loss of pleasure, loss of interest). The pairs of items that loaded on each of the latter two factors were constrained to equality for model identification purposes. It is important to note that all items that loaded on the Somatic, Cognitive, Anhedonia, or Self-Criticalness factors also loaded on the General Depression factor. This is because the G-S-C model estimates the proportion of variance from a single item, such as fatigue, for example, that is related to depression and the proportion of variance in that item due to somatic factors unrelated to depression. For model identification purposes, each of the latent factors was specified to have a mean of 0 and a variance of 1.

In addition to the main G-S-C model, a simplified G-S-C model that would allow for easier implementation in outcomes research was tested. This model did not include the minor Self-Criticalness and Anhedonia factors. For the G-S-C and simplified G-S-C models, item communalities [$h^2$s] that represented the percent of variance in each item predicted by the factors were calculated from standardized factor loadings.

All CFA models were conducted with Mplus (version 3.11) [26], explicitly modeling the BDI items as ordinal data. A robust least square estimator was used to accommodate the ordinal BDI item data and the binary mortality outcome variable. Mplus provides adjusted mean and mean-and-variance adjusted $\chi^2$ values and goodness-of-fit statistics. A chi-square goodness-of-fit test and four fit indices were used to assess model fit, including the Tucker-Lewis Index (TLI) (27), the comparative fit index (CFI) [28], the root mean square error of approximation
(RMSEA) [29], and the standardized root-mean-square residual (SRMR) [30]. Since the chi-square test is highly sensitive to sample size and can lead to the rejection of well-fitting models, practical fit indices were emphasized [31]. Guidelines proposed by Hu and Bentler [32] suggest that models with TLI and CFI close to .95 or higher, RMSEA close to .06 or lower and SRMR close to .08 or lower are representative of good fitting models. A CFI of .90 or above [33] and a RMSEA of .08 or less [34], however, are also considered to represent reasonably acceptable model fit.

2.4.2 Mortality Prediction. To assess the independent relationship between the General Depression factor and all-cause mortality 12 months post-AMI, regression models were carried out using Mplus. The G-S-C model was extended, and mortality status was regressed on the General Depression factor, controlling for known predictors of mortality [age, Killip class, and history of AMI]. Regressing mortality status on the General Depression factor was done to estimate the association between depressive symptoms and mortality after removing variance from somatic and cognitive factors unrelated to depression. Only 3 covariates were used to avoid overfitting the prediction model since there were only 25 deaths in the first 12-months post-AMI [35]. Post-hoc testing of additional variables was done by adding each additional predictor variable [sex, marital status, history of angina, diabetes, smoking] one at a time to the initially-specified model to determine if the inclusion of these variables affected the relationship between mortality and the General Depression factor.

3. Results

3.1. Sample Characteristics.

A total of 477 AMI patients consented to participate, and 417 completed the BDI during their acute hospitalization with no missing items. As shown in Table 1, of the 417 patients included in
the study, vital status was available for 416, and 25 (6.0%) died by 12 months post-AMI. Patients who died were significantly older (P = .016), more likely to have had a previous AMI (P = .002), and more likely to have a history of angina (P = < .001). A higher percentage of patients who died within 12 months of the AMI had Killip class >1, although this was not statistically significant (P = .158). Mean total BDI scores were significantly higher for patients who died (P = .031), and there was a higher percentage, albeit non-significantly so (P = .077), of patients with BDI ≥ 10 among patients who died (44.0% versus 27.6%).

3.2. Confirmatory Factor Analyses

Model fit statistics for each of the two models tested are shown in Table 2. The 3-factor NA-PI-SD fit reasonably well based on fit indices. All factor loadings for the model were statistically significant with standardized loadings of .51 or higher for all items except item number 19 (weight loss), for which the factor loading was .27. The fit of the G-S-C model and the simplified G-S-C model were similar to that of the NA-PI-SD based on the fit indices, suggesting that the G-S-C model is a reasonable alternative to standard models. The total communalities attributable to the Anhedonia and Self-Criticalness factors were negligible (<.01% each) in the initial G-S-C model, and factor loadings were not statistically significant. There was no appreciable difference between the G-S-C model and the simplified G-S-C model in overall fit. Thus, results are presented here only for the simplified version. All factor loadings from the simplified G-S-C model were significant with the exception of the hypothesized loading of item number 14 (physical appearance) on the Cognitive factor, so this item was removed from that factor. Item-factor specifications for the simplified G-S-C model are shown in the measurement model portion of Figure 1. On the General Depression factor, loadings ranged from .45 (sexual disinterest) to .81 (past failure). The most salient factor loading on the Somatic factor
was fatigue (.61) with other factor loadings ranging from .22 (weight loss) to .50 (ability to work). Loadings on the Cognitive factor ranged from .21 (pessimism) to .53 (self-dislike). Item communalities were calculated for each item along with communality estimates for each factor that represented the proportion of total communality attributable to that factor (Table 3). As in Ward’s results for the BDI-II, the General factor explained the highest proportion of total covariance (communality = 81%) with the Somatic and Cognitive factors contributing modest amounts (9% each). Item-factor loadings and other model data are available upon request from the corresponding author.

3.3. Mortality Prediction

Figure 1 shows the regression model in which 12-month mortality status is regressed on the General Depression factor, age, Killip class, and history of MI. Path coefficients and the $R^2$ value from the model are provided in Table 5. The General Depression factor significantly predicted mortality at 12 months post-AMI ($P = .009$), controlling for age, Killip class, and history of AMI. History of AMI and history of angina were the only other variables that were significantly associated with death at 12 months. The fit indices indicated a reasonably good fit for the model: $TLI = .94$, $CFI = .91$, $RMSEA = .07$. The inclusion/exclusion of predictors not in the initially-specified model (history of angina, sex, marital status, smoking) did not meaningfully alter the relationship between the General Depression factor and mortality. When the Somatic and Cognitive factors were similarly included as predictors of mortality post-hoc, neither was significant. The Somatic factor was positively associated with mortality risk ($P = .204$) whereas the Cognitive factor was negatively related to mortality risk ($P = .269$).

4. Discussion
The main findings of this study were that (1) Ward’s [21] G-S-C model for the BDI-II was successfully adapted for the original BDI and fit as well as or slightly better than a widely-published, correlated 3-factor model in a sample of patients hospitalized with AMI, and (2) the General Depression factor of the G-S-C model was a significant predictor of 12-month all-cause mortality in multivariate models even after potential measurement bias from somatic symptoms unrelated to depression was removed. The General factor of the G-S-C model accounted for 81% of total explained variance, which is within the range of 71% to 82% reported by Ward across five non-medical samples. The Somatic and Cognitive factors each accounted for 9% of total explained variance, within the ranges of 6% to 11% (Somatic) and 8% to 14% (Cognitive) reported by Ward. The finding that 9% of explained variance in BDI scores was accounted for by somatic factors unrelated to depression indicates that, as suggested by several authors [3, 7, 10-12], measurement of depressive symptoms with the BDI does pick-up some variance related to somatic experience, but not depression. The amount of potential bias due to somatic symptoms, however, was small, and measurement bias did not explain the relationship between depressive symptoms and mortality. When variance from the Somatic symptom factor was removed from the measurement model with structural equation modeling techniques, symptoms of depression continued to predict mortality.

The Somatic symptom factor was positively related to mortality risk, whereas the Cognitive symptom factors was negatively related. These findings may be related to the likely meaning of the Somatic and Cognitive factors in the context of post-AMI hospitalization. The Somatic factor was dominated by items related to fatigue and loss of energy, both of which are commonly experienced during hospitalization for AMI and which may or may not be related to depression. Variance from items on the Cognitive factor may take on special meaning in the
context of an AMI given the predominance of self-blame on the Cognitive factor. Cognitive theories of depression [36] associate self-blame with poor adjustment. On the other hand, studies of the consequences of illness attributions among patients with medical illness [37], suggest that self-blame or attribution of consequences to one’s own behavior may be related to more positive coping and better subsequent outcomes [38]. These two theoretical models may be addressing two distinct constructs, characterological and behavioral self-blame, however. Whereas characterological or personality-related self-blame would be expected to be maladaptive, behavioral self-blame may be a useful coping strategy after an acute medical event that provides a sense of controllability of the future and over one’s own health and a motivation to behavior change [38].

As reviewed by Ward [21], in addition to essentially equal fit to the data, the orthogonal G-S-C model for the BDI has several advantages over correlated factor models. Many different correlated factor models for the BDI have been reported Correlated models tend to produce highly correlated factors that provide only limited discriminant validity. Due to the high factor correlations, item-factor allocations often vary substantially across samples, which limits their substantive interpretability [21, 39]. In addition, the NA-PI-SD model for the BDI does not provide clear theoretical coherence, and several items are not easily described as either purely somatic or performance-related. The G-S-C model, on the other hand, has been shown to provide a stable fit that is as good or better than the correlated factor models across several different samples, including the sample of AMI patients in this study using an adapted version for the BDI. Interpretation of the G-S-C is also consistent with the use of a single summary score to estimate the severity of depressive symptoms as described by Beck et al. [40]. On the other hand,
one potential advantage of a correlated factor model is that the degree of relationship between somatic and non-somatic elements is built into the model.

In the context of post-AMI depression, the most important advantage of the G-S-C is that it allows for prediction of outcomes, such as mortality, free from bias from somatic symptoms that are unrelated to depression per se. Mortality prediction with structural equation modeling of the G-S-C has important advantages over the approaches used in the two previous studies that have addressed this issue. Irvine et al. [3] used cognitive symptoms alone to predict mortality. That approach, however, may be overly restrictive and may in some cases fail to detect valid depression-mortality links since it removes all somatic items, and somatic symptoms are central to the experience of depression for many patients, regardless of medical illness [41, 42]. The G-S-C approach used in this study, on the other hand, allows variance from the somatic items to load on the General Depression factor and, thus, includes them in the latent predictor variable, excluding only systematic variance from the somatic items that is unrelated to the General Depression factor. De Jonge et al. [11] used exploratory factor analysis techniques to assess whether measurement bias influences the relationship between symptoms of depression as measured by the BDI and cardiac outcomes. They regressed the cardiac outcomes variable on both the cognitive and somatic factors of a traditional 2-factor correlated model. This method, however, would not be expected to differentiate well between cognitive and somatic factors because several items loaded on both factors and the high correlation between the factors (> .70) may lead to problems with multicollinearity in regression models [43, 44]. Although many items in the present study loaded on 2 factors, the factors were uncorrelated, which allowed for explicit isolation of variance on somatic items that was not related to the General Depression factor.
There are limitations in our study that should be acknowledged. The study had a moderate-sized patient population and a relatively small number of total mortalities. Mortality data did not include whether or not death was cardiac-related and was done via report of patients’ family members, general practitioners, or specialist physicians, the accuracy of which has not been demonstrated. Adjustment for confounders only included age, Killip class, and a history of AMI. Other potentially important confounders, such as sociodemographic factors, indicators of AMI severity (e.g., left-ventricular ejection fraction), comorbid conditions, and hospital course were not measured, but should be included in future studies that use these methods. In addition, we used a probit structural equation model rather than a survival model, since time to death was not available and because this is not an option in structural equation modeling of latent variables with programs like Mplus. On the other hand, structural equation modeling paradigms allow for much greater sophistication in modeling sources of variance within and across items that cannot be implemented with traditional survival models.

It is not clear how the findings of this study would apply to other widely used depression symptom assessment instruments, such as the Center for Epidemiological Studies Depression Scale (CES-D) [45], the Hamilton Rating Scales for Depression (HRSD) [46] or the Patient Health Questionnaire – 9 (PHQ-9) [47]. Although the CES-D, HRSD, and PHQ-9 have generally similar emphases on somatic or vegetative symptoms (7 of 20 items on the CES-D, 4 of 14 on the HRSD, and 4 of 9 on the PHQ-9) [48], a similar exercise would need to be conducted for each instrument to determine the degree to which findings from this study would replicate.

In summary, the G-S-C model provides a reasonably good-fitting explanation of BDI data from patients hospitalized with AMI that is as good as or better than model fit with an alternative 3-factor model. The G-S-C model has important theoretical and practical advantages,
including the ability to model the relationship of a General Depression factor with mortality after explicitly removing variance from somatic factors unrelated to the General Depression factor. When this model was implemented, controlling for important demographic and medical variables, the General Depression factor significantly predicted 12-month all-cause mortality in a sample of 417 post-AMI patients.
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