1	Standardizing the CRISPR-Cas9 System in Oat to
2	Understand Beta-glucan Regulation
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22 23	A thesis submitted to McGill University in partial fulfillment of the requirements of the degree of a Master's in Science
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### 28 Abstract

29 The common oat (Avena sativa L.) is a cereal grown worldwide for animal and human 30 consumption. This cereal has grown in popularity because of its many health benefits. Namely, 31 oats contain a significant amount of dietary fibre, beta-(1,3;1,4)-glucan, which has been well 32 documented to reduce the incidence of cardiovascular disease. Despite the plethora of data 33 exploring the health benefits, little is known about the role, regulation, and synthesis of beta-glucan 34 within cereals themselves. Recently, our laboratory discovered that *Thaumatin-like protein* 8 35 (TLP8) expression in germinating barley seeds was inversely correlated with the beta-glucan 36 content. This finding sparked our interest in inquiring about this relationship within the common 37 oat. Using the newly released oat genome (variety OT3098), the orthologs of *TLP8* in barley were found in the oat variety park (AsTLP8-A, -C, and -D). Interestingly, the A and C subgenomes 38 39 homoeologs of TLP8 demonstrate mutations in the previously described sugar-binding motif. Each 40 homoeolog contained conserved residues found in TLPs showing the beta-glucanase activity, 41 further hinting that AsTLP8 homoeologs may be involved in beta-glucan regulation. To 42 demonstrate this, we report a standardized analysis of AsTLP8 homoeolog expression through 43 qRT-PCR in different oat varieties. Further studies involving measuring the relative expression of 44 germinating seeds are required to demonstrate the same relationship observed in barley. Three 45 CRISPR/Cas9-based gene editing constructs were designed to target each homoeolog. The 46 AsTLP8-A, -C, and -D targeting constructs demonstrated a 5.23, 0.47, and 2.86% transformation 47 frequency, respectively. The confirmed transformed T0 was brought to the T1 generation and 48 analyzed through Sanger sequencing. This study reports the first-ever successful CRISPR/Cas9 49 mediated mutagenesis of the common oat. Further studies are required to determine whether 50 AsTLP8 knockout mutants directly affect the beta-glucan content of oat.

### 51 Résumé

52 L'avoine commune (Avena sativa L.) est une céréale cultivée autour du monde pour la 53 consommation animale et humaine. Cette céréale a augmenté en popularité en raison de ses 54 nombreux bienfaits pour la santé. Plus précisément, l'avoine contient une quantité importante de 55 fibres alimentaires, le bêta-(1,3;1,4) -glucane, qui a été bien documenté de pouvoirs réduire 56 l'incidence des maladies cardio-vasculaires. Malgré la pléthore de données explorant les bienfaits 57 pour la santé, on sait peu sur le rôle, la régulation et la synthèse du bêta-glucane dans les céréales 58 elles-mêmes. Récemment, notre laboratoire a découvert que l'expression de la protéine similaire 59 à la Thaumatine 8 (Thaumatin-like protein 8, TLP8) dans les graines d'orge en germination était 60 inversement corrélée à la teneur en bêta-glucane. Cette découverte a suscité notre intérêt à explorer 61 cette relation au sein de l'avoine commune. En utilisant le génome de l'avoine nouvellement publié 62 (variété OT3098), les orthologues de *TLP8* dans l'orge ont été trouvés dans la variété Park d'avoine 63 (AsTLP8-A, -C et -D). Fait intéressant, les homéologues des sous-génomes A et C de TLP8 64 présentent des mutations dans le motif de liaison au sucre décrit précédemment. Chaque 65 homéologue contenait des résidus conservés trouvés dans les TLP montrant l'activité bêta-66 glucanase, suggérant en outre que les homéologues AsTLP8 peuvent être impliqués dans la régulation du bêta-glucane. Pour le démontrer, nous rapportons une analyse standardisée de 67 68 l'expression des homéologues AsTLP8 par qRT-PCR dans différentes variétés d'avoine. D'autres 69 études impliquant la mesure de l'expression relative des graines en germination sont nécessaires 70 pour démontrer la même relation observée chez l'orge. Trois constructions d'édition de gènes 71 basées sur CRISPR/Cas9 ont été conçues pour génétiquement bloquées chaque homéologue. Les 72 constructions de génétiquement bloquées AsTLP8-A, -C et -D ont démontré une fréquence de 73 transformation de 5,23, 0,47 et 2,86 %, respectivement. La T0 transformée confirmée a été amenée à la génération T1 et analysée par séquençage de Sanger. Cette étude rapporte la première 74

75	mutagenèse réussie de l'avoine commune par CRISPR/Cas9. D'autres études sont nécessaires pour
76	déterminer si les mutants génétiquement bloquées AsTLP8 affectent directement la teneur en bêta-
77	glucane de l'avoine.
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# 102 Table of Contents

103	Abstract	2
104	Résumé	3
105	List of Abbreviations	8
106	Acknowledgements	. 12
107	Preface	. 13
108	Contribution of authors	. 13
109	Chapter I: Introduction	. 14
110	1.1 General hypotheses	. 14
111	1.2 General objectives	. 15
112	Chapter II: Literature Review	. 16
113	2.1 Feeding a growing population	. 16
114	2.2 The common oat (Avena sativa)	. 17
115	2.3 Genetics of oats	. 17
116	2.4 Beta-glucan	. 20
117	2.5 Health benefits of beta-glucan	. 23
118	2.6 Improving the beta-glucan content of crops	. 24
119	2.7 Gene editing techniques	. 27
120	2.8 CRISPR/Cas9	. 28
121	2.9 Development of the CRISPR/Cas9 gene-editing system	. 33
122	2.10 Construct delivery systems	. 34
123	2.11 Tissue culture	. 36
124	2.11.1 Selection markers	. 36
125	2.11.2 Plant regeneration	. 37
126	Connecting statement	. 38
127	Chapter III:	. 39
128	3.1 Abstract	. 39
129	3.3 Introduction	. 40
130	3.3 Materials and Methods	. 41
131	3.3.1 Plant growth	. 41
132	3.3.2 Determining HvTLP8 orthologs in Avena sativa	. 41
133	3.3.3 Guide RNA design	. 42

3.3.4 Construct design	
3.3.5 Digestion of Construct	
3.3.6 Formation of undifferentiated cells	
3.3.7 Microprojectile bombardment	
3.3.8 Selection of transgenic plants	
3.3.9 Regeneration of shoots/roots	
3.3.10 Genomic DNA extraction	
3.3.11 Analysis of transgenic plants	
3.3.12 Primer design	
3.3.13 RNA extraction	
3.3.14 DNase treatment of RNA samples	
3.3.15 cDNA synthesis	
3.3.16 qRT-PCR analysis	
3.4 Results	
3.4.1 Discovery of <i>HvTLP8</i> orthologs in oat	
3.4.2 Analyzing the TLP8 homologs in oat	
3.4.3 Standardizing qRT-PCR-Based Analysis of AsTLP8 homoeologs	
3.4.4 Designing CRISPR/Cas9 gene-editing constructs	55
3.4.5 Transformation of oats using the AsTLP8 gene-editing constructs	59
3.4.6 Analyzing the CRISPR/Cas9 efficiency in transgenic lines	
3.5 Discussion	66
3.6 Future studies	
Chapter IV General Conclusion	74
Appendices	
References	
	<ul> <li>3.3.4 Construct design</li></ul>

# 165 List of Figures

# 166 Chapter II

167	Figure 2. 1: The proposed two-phase mechanism of beta-glucan synthesis	. 23
168	Figure 2. 2: Summary of the CRISPR/Cas9 system as a form of adaptive immunity	. 31
169	Figure 2. 3: Summary of homologous non-homologous end joining (NHEJ) and homologous	
170	directed repair (HR)	. 32

## 171

## 172 Chapter III

	1	
173	Figure 3. 1: PCR-amplification of AsTLP8 homoeologs in oat.	. 51
174	Figure 3. 2: Sugar-binding motif variations between TLP8 amino acid sequences in barley and	l
175	oat	. 53
176	Figure 3. 3: All TLP8 homoeologs and orthologs contain conserved amino acids in fungal beta	<b>ì</b> -
177	1,3-glucanases	. 54
178	Figure 3. 4: Transcript abundance of AsTLP8 homoeologs in the mature seed of different	
179	varieties	. 55
180	Figure 3. 5: Gene-editing constructs targeting each AsTLP8 homoeolog.	. 58
181	Figure 3. 6: Digestion of AsTLP8 targeting CRISPR/Cas9 constructs with SacI	. 59
182	Figure 3. 7: PCR-based analysis of putative pTAN transformed plants	. 60
183	Figure 3. 8: PCR-based analysis of putative pTCN transformed plants	. 61
184	Figure 3. 9: PCR-based analysis of putative pTDN transformed plants	. 61
185	Figure 3. 10: Screening for CRISPR/Cas9 induced deletion within the AsTLP8-A gene of T1	
186	transgenic lines	. 63
187	Figure 3. 11: Screening for CRISPR/Cas9 induced deletion within the <i>AsTLP8-C</i> gene of T1	
188	transgenic lines	. 63
189	Figure 3. 12: Screening for CRISPR/Cas9 induced deletion within the <i>AsTLP8-D</i> gene of T1	
190	transgenic lines	. 64
191	Figure 3. 13: Mutations detected through sanger sequencing of gene-edited T1 plants	. 65
100		

## 192

# 193 Appendices

194	Appendix A 1: Shuttle vector carrying the first sgRNA	76
195	Appendix A 2: Shuttle vector carrying the second sgRNA.	77
196	Appendix A 3: Shuttle vector carrying the third sgRNA	
197	Appendix A 4: Destination vector of the CRISPR/Cas9 gene editing constructs	79
198	Appendix A 5: Sequences of HvTLP8 orthologs in Avena sativa variety Park	80
199	Appendix A 6: Sequences of <i>HvTLP8</i> orthologs in <i>Avena sativa</i> variety OT3098	
200	Appendix A 7: Sequences of <i>HvTLP8</i> orthologs in <i>Avena byzantina</i> (red oat)	
201	Appendix A 8: Beta-glucan content by dry weight (d.w.) of various Avena sativa varietie	s 83
202		

# 203 List of Tables

# 204 Chapter III

205	Table 3. 1: Components of regeneration and rooting media	44
206	Table 3. 2: Primers used in PCR-based analysis of putative gene-edited plants	
207	Table 3. 3: Percent Identity between AsTLP8 homoeologs in OT3098 and Park	
208	Table 3. 4: Single-guide RNA designed for gene-editing <i>AsTLP8</i> homoeologs	
209	Table 3. 5: Regeneration frequency of gene-editing constructs	60
210	Table 3 6: Transformation frequency of gene-editing constructs	62
210	Table 3. 7: Mutation frequency in AsTLP8-D of CRISPR/Cas9 by guide RNA	
212	Tuble 5. 7. Mutuation nequency in Ast 24 6 2 of Craist to Casy by guide references	
212		
213		
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- 228 List of Abbreviations
- 229 ANOVA Analysis of variance
- 230 BAP: 6 benzylaminopurine
- 231 BLAST Basic Local Alignment Search Tool
- 232 bp Base pair (nucleotide)
- 233 Cas CRISPR Associated protein
- 234 Cas9 CRISPR associated protein 9
- 235 cDNA Complementary DNA
- 236 CDS Coding sequence
- 237 CRISPR Clustered Regularly Interspaced Short Palindromic Repeats
- 238 crRNA CRISPR-RNA
- 239 Csl Cellulose synthase-like
- 240 CslA Cellulose synthase-like A
- 241 CslF Cellulose synthase-like F
- 242 CslF6 Cellulose synthase-like F6
- 243 CslH Cellulose synthase-like H
- 244 CVD Cardiovascular disease
- 245 DNA Deoxyribonucleic acid
- 246 DP3 cellotriosyl
- 247 DP4 cellotetraosyl
- 248 DSB Double-stranded break
- 249 EDTA Ethylenediaminetetraacetic acid
- d.w. Dry weight
- 251 FISH Fluorescent in situ hybridization
- 252 FSH<sub>2</sub>O Filter sterile water
- 253 Gb Giga-base pairs (nucleotides)
- 254 gRNA Guide RNA
- 255 GWAS Genome-wide association studies

- 256 HDR Homologous-directed repair
- 257 Hpt Hygromycin phosphotransferase
- 258 IAA indole-3-acetic acid
- 259 IBA indole-3-butyric acid
- 260 Ma Million years
- 261 MS Murashige & Skoog Basal Salt
- 262 MSP Monocot-specific promoter
- 263 NAA -Naphthaleneacetic acid
- 264 NHEJ Non-homologous end joining
- 265 PAM Protospacer adjacent motif
- 266 Pol III RNA polymerase III
- 267 PR Pathogenesis-related protein
- 268 pre-crRNA pre-CRISPR-RNA
- 269 PCR Polymerase Chain Reaction
- 270 qRT-PCR Real-Time Quantitative Reverse Transcription PCR
- 271 QTL Quantitative trait loci
- 272 RNA Ribonucleic acid
- 273 RNAi Ribonucleic acid interference
- 274 sgRNA Single-guide RNA
- 275 snRNA Small nuclear RNA
- 276 TALEN Transcription activator-like effector nuclease
- 277 TE buffer Tris/EDTA buffer
- 278 TLP Thaumatin-like protein
- 279 TLP8 Thaumatin-like protein 8
- 280 tracrRNA Trans-activating CRISPR RNA (tracrRNA)
- 281 USDA United States Department of Agriculture
- 282 USE Upstream sequence element
- 283 v. Variety

284	w/w - Wet weight
285	ZFN - Zinc-finger nuclease
286	2,4-D - 2,4-dichlorophenoxyacetic acid
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## 334 Preface

- 335 The entirety of this thesis is original work. The third chapter is formatted in the style of a
- 336 manuscript, of which the contributing authors are as follows.

## 337 Contribution of authors

- 338 The experiments in chapter III were planned and designed by Thomas Donoso and Jaswinder
- 339 Singh. Chapters 1-IV were written by Thomas Donoso. Rajiv Kumar Tripathi performed
- 340 quantitative Real Time Reverse Transcription PCR (qRT-PCR), and Thomas Donoso and Rajiv
- 341 Kumar Tripathi analyzed the data.
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#### 353 Chapter I: Introduction

354 The common oat (Avena sativa) is a cereal grown around the globe. Oat production reached 23.33 355 million metric tons worldwide in 2019/2020 (USDA 2021). This cereal demonstrates economic 356 importance in Canada, as it is the leading exporter and second-leading producer of oats (USDA 357 2021). The economic value of Avena sativa is further compounded by its recent gain in popularity 358 due to its plethora of health benefits. Specifically, oats contain a significant amount of (1,3;1,4)-359 beta-glucan, a dietary fibre. This dietary fibre has demonstrated the ability to reduce dietary intake 360 (Lumaga et al. 2012), lower the occurrence of bacterial and viral infections (Daou and Zhang 361 2012), and reduce the incidence of cardiovascular heart disease (Whitehead et al. 2014). Despite 362 these fascinating features, relatively little is known about the mechanism and regulation behind 363 beta-glucan production. Excitingly, Singh et al. (2017) demonstrated a reverse correlation between 364 the expression of *Thaumatin-like protein 8* (*TLP8*) and the beta-glucan content in barley (*Hordeum* 365 vulgare). This study also highlighted that TLP8 contained a sugar-binding motif (CQTGDCC), 366 suggesting that this protein may interact with beta-glucan. Considering this evidence, our lab was 367 interested in investigating this gene within Avena sativa. The recent availability of the sequenced 368 oat genome and precise gene-editing capabilities of the CRISPR/Cas9 system opened the door to 369 uncovering the potential role of *TLP8* in beta-glucan regulation.

#### 370 1.1 General hypotheses

- 371 1. Avena sativa contains three orthologs to the HvTLP8 gene in barley.
- 372 2. *TLP8* expression corresponds to beta-glucan content in oat
- 373 3. *Avena sativa* v. Park will be amenable to microprojectile bombardment transformation and
- 374 CRISPR/Cas9 gene-editing
- 4. CRISPR/Cas9 constructs could generate knockouts in *AsTLP8* homoeologs

The first and second hypotheses are based on the hexaploid state of *Avena sativa*, and that barley and oat are closely related species in terms of synteny (Maughan et al. 2019). These hypotheses will be fulfilled by determining the *AsTLP8* homoeologous sequences through sequencing and determining the expression of these genes in varieties with differing beta-glucan content.

The third hypothesis stems from the knowledge that microprojectile bombardment has historically demonstrated success in oats (Cho et al. 1999; Ismagul et al. 2018; Mahmoud 2019) and demonstrated capabilities in CRISPR/Cas9-based gene editing (Zhang et al. 2016). The final hypothesis derives from the efficiency of CRISPR/Cas9 in other cereals (Galli et al. 2021; Shan et al. 2014; Wang et al. 2014c). These hypotheses will be fulfilled by analyzing microprojectile bombarded plantlets through PCR-based methods and sequencing.

#### 386 1.2 General objectives

- 387 In this thesis, the main research goals were to:
- 388 1) Determine if *TLP8* orthologs were present in *Avena sativa* and whether the same
   389 correlation with beta-glucan exists.
- 390 2) Knockout the *TLP8* orthologs in oat v. Park using CRISPR/Cas9 gene-editing to ascertain
- 391 if this Thaumatin-Like Protein is associated with the beta-glucan content.

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### 398 Chapter II: Literature Review

#### 399 2.1 Feeding a growing population

The challenge of meeting the increasing food demands of our growing population proves difficult 400 401 considering current agricultural practices. The population is expected to grow to over 9 billion by 402 2050 (Godfray et al. 2010). Consequently, an agricultural output increase of 100-110% will be 403 needed to sustain this rising population (Tilman et al. 2011). Traditional breeding methods have 404 led to substantial crop improvement in the past (Alston et al. 2009; Tester and Langridge 2010; 405 Zerai et al. 2010). Despite their success, these techniques prove to be slow, inefficient and 406 laborious. In addition, these practices often reduce genetic diversity and, in turn, lead to disease 407 susceptibility (Babiker et al. 2015; Keneni et al. 2012). The need for faster crop improvement has 408 sparked an interest in genetic engineering. The theory behind gene modification is simple. As DNA 409 acts as the blueprint for the cell, altercations within its instructions can lead to a different outcome. 410 Harnessing the powerful ability of gene editing will allow for endless applications of crop 411 improvement. Consider the imminent threat of climate change; Through the ability to alter the 412 genetic makeup of plants, one can increase the tolerance of plants to extreme temperatures, 413 decrease their susceptibility to drought or floods, or improve the traits that are beneficial to 414 providing a healthier diet. While the green revolution focused on providing enough calories to a 415 starving world at any cost, the gene-editing frontier aims to develop nutritional-focused 416 agricultural practices. In other words, there is a call for functional foods. Recently, the beta-glucan found in oat  $(1 \rightarrow 3, 1 \rightarrow 4)$  has been shown to have significant health benefits, including lowering 417 418 blood cholesterol (Pereira et al. 2004; Whitehead et al. 2014), strengthening the immune system 419 (Daou and Zhang 2012), and lowering food intake (Lumaga et al. 2012).

#### 420 2.2 The common oat (*Avena sativa*)

421 The common oat (Avena sativa) is a cereal grown worldwide mainly for its grain. After Russia, 422 Canada is the second-largest oat producer, with 4 million metric tons produced in 2019/2020 (FAO 423 2019). To add, Canada is the largest exporter of oat worldwide, with 1.8 million metric tons. As 424 this figure accounts for about half of all production, oat has evident economic importance in 425 Canada (USDA 2019). Although most oat production is for livestock feed, this cereal has garnered 426 interest in nutrition as a health food (Kaur and Singh 2017). This interest stems from the many 427 components of oat that contribute to its health benefits. For example, Avena sativa contains potent 428 antioxidants known as avenanthramides. This powerful molecule has been shown to reduce DNA 429 damage, inflammation and reactive oxygen species (Hastings and Kenealey 2017; Kang et al. 430 2018). Other components that add to their whole food status include tocols (Vitamin E), phenolic 431 acids, vitamin B<sub>1</sub>, fibre, magnesium, and iron (Chen et al. 2004; Gutierrez-Gonzalez et al. 2013; 432 Kemppainen et al. 2010). Most importantly, the high amount of beta-glucan found within the grain 433 of the common oat contributes significantly to its health benefits.

#### 434 2.3 Genetics of oats

The common oat is a member of the Poaceae family, an agronomically important group. 435 436 Colloquially known as cereals, the Poaceae family includes three subfamilies: Oryzoideae (rice), 437 Panicoideae (maize, sorghum), and Pooidea (wheat, rye, barley, and oat). The Pooidea subfamily 438 is further split into 14 tribes, including Brachypodieae, Poeae (syn Aveneae), and Triticeae (barley, 439 wheat, and rye) (Maughan et al. 2019). The tribe Triticeae demonstrates the largest synteny with 440 the tribe Poeae, which includes the common oat. These two tribes are estimated to have diverged 441 around 49 Ma (Schubert et al. 2019). The Avena genus is a large group with thirty families, 442 spanning various ploidy levels, including diploids, tetraploids, and hexaploids (Baum and Baum 443 1977; Ladizinsky 1998). Due to the variety of ploidy levels, the Avena genus has a wide range of

444 genome sizes between 4.1 and 12.8 Gb (Yan et al. 2016b). A variety of genome subtypes and 445 combinations have been characterized within the Avena genus, including AsAs, AdAd, ApAp, 446 AcAc, AlAl, CvCv, and CpCp diploids, AABB, and AACC tetraploids, and an AACCDD 447 hexaploid (Jellen et al. 1994). The A genome, specifically the subgenome AsAs, demonstrates a 448 large amount of synteny with the barley (Hordeum vulgare) genome, while the C genome 449 demonstrates a relatively large amount of chromosomal rearrangement. It has been suggested that 450 this chromosomal rearrangement indicates genomic instability in C-genome diploid species 451 (Maughan et al. 2019). The common oat is a hexaploid species, meaning it has more than two 452 homologous chromosomes. In total, Avena sativa has 42 chromosomes (6x = 42) and three 453 different ancestral genomes (AACCDD) (Leggett and Thomas 1995). The A, C, and D genomes 454 are concluded to have been acquired through the crossing of a tetraploid and diploid Avena species 455 (Loskutov 2008). It is suspected, due to a high similarity evidenced in the morphology (Baum et 456 al. 1973), fluorescent in situ hybridization (FISH) (Linares et al. 1998), and isoenzyme variation 457 (Craig et al. 1974), that the D genome diverged from the A genome in a recent diploid ancestor 458 (Yan et al. 2016a).

459 In contrast, the C genome has highly diverged from the A genome. This divergence has been 460 supported through a more pronounced C-banding of the C genome (Fominaya et al. 1988; Jellen 461 and Gill 1996) and through FISH (Hayasaki et al. 2000; Linares et al. 1998). It has been generally 462 proposed that the hexaploidy of oat occurred from the crossing of a tetraploid with an AACC 463 genome and a diploid with the DD genome. The reason for this inference is that there are several 464 current tetraploid species with an A+C genome (Loskutov 2008; Thomas 1992). Interestingly, 465 evidence also challenges the presumption that the A+C configuration merged with the D genome. 466 According to molecular evidence (Oliver et al. 2011a), FISH (Linares et al. 1998), and meiotic chromosome pairing (Ladizinsky 1998), it is possible that these known tetraploids contain the D
genome, rather than the A genome. In other words, the hexaploid oat was likely obtained through
a tetraploid with the CCDD genome and a diploid with the AA genome (Yan et al. 2016a). So, a
hexaploid AACCDD genome is formed when the A genome is acquired.

471 The appearance of acquired genomes in A. sativa means it is an allopolyploid. In other words, their 472 polyploidy is caused by the crossing of multiple different species (Leggett and Thomas 1995). The 473 polyploidy state has several advantages. For example, an extra set of chromosomes reduces the 474 chance of deleterious effects caused by mutations (Comai 2005). Polyploid crops are more 475 resistant to these deleterious mutations because they have extra copies of each gene. So, if a gene 476 essential for a plant's survival is mutated, there is still another copy to provide that vital trait. This 477 buffer also allows for diversification. As extra copies are redundant, a polyploid can mutate 478 redundant sequences and produce genes with a new function (Adams and Wendel 2005; Prince 479 and Pickett 2002). However, this polyploidy creates difficulty in the field of genomics. When 480 undergoing SNP discovery, more complex analysis is required to differentiate true SNPs from 481 pseudo-SNPs, which occur from the misalignment of homoeologous sequences found within other 482 acquired genomes (Oliver et al. 2011b). In other words, it is difficult to tell whether these detected 483 SNPs are occurring because of variation between different individual plants or because there is 484 variation between the homologous genome sequences within the same individual. The hexaploid 485 oat's highly redundant genome has led to a challenge in sequencing and characterizing it. The 486 accessibility of the functionally characterized gene sequences, of course, is the groundwork for the 487 gene-editing field.

#### 488 2.4 Beta-glucan

489  $\beta$ -glucan is a polymer of glucose, characterized by the  $\beta$ -glycosidic bonds linking the monomers 490 together. In its simplest structure, the monomers of beta-glucan bind in the form of (1,3)-beta-491 glucan, which are unbranched, linear, and typically found within prokaryotes (Zeković et al. 2005). 492 However, more complex forms of beta-glucan occur in nature. For example, fungal species often 493 incorporate the branched form of beta-glucan,  $(1 \rightarrow 3, 1 \rightarrow 6)$ -beta-glucan, within their cell walls at 494 varying branching frequencies (Chen and Seviour 2007). The complexity of beta-glucans is not 495 limited to the branching of the monomers, though. The presence of a variety of linkages can also 496 change the physiochemical properties of beta-glucan. A unique beta-glucan exists for the family 497 Gramineae, composed of grasses and commercial cereals. This molecule consists of both  $(1 \rightarrow 3)$ 498 and  $(1 \rightarrow 4)$  beta-glycosidic bonds binding the glucose monomers together. Depending on the ratio 499 of the two linkages, the physiochemical properties of this beta-glucan are altered (Burton and 500 Fincher 2009). Despite the variance in properties,  $(1 \rightarrow 3, 1 \rightarrow 4)$ -beta-D-glucan is typically viscous. 501 In fact, a high concentration of this form of beta-glucan in barley has been shown to present 502 problems throughout the brewing process (Edney and Mather 2004; Vis and Lorenz 1998). Within 503 cereals,  $(1 \rightarrow 3, 1 \rightarrow 4)$ -beta-D-glucan is approximately 20% of the starchy endosperm's dry weight 504 (Nemeth et al. 2010). In addition, for both oat and barley, beta-glucan is also found within the 505 aleurone and subaleurone of the endosperm-coating bran (Butardo and Sreenivasulu 2016; Miller 506 and Fulcher 2011). There is also a biochemical link between the synthetic pathways of both starch 507 and beta-glucan. Increasing the expression of starch and other grain components leads to an alternative method of influencing beta-glucan content (Marcotuli et al. 2016). Although the 508 509 function of beta-glucan is not entirely proven, it seems that this dietary fibre acts as alternative 510 storage for metabolizable glucose. The metabolizable glucose role is exemplified in the fact that 511 the beta-glucan content reaches 10% w/w while exposed to a natural light day cycle but reaches

512 near zero when placed in the dark (Roulin et al. 2002). Furthermore, the expression of (1,3;1,4)-513 beta-D-glucan hydrolase increased 2- to 4-fold when dark-incubated, indicating that beta-glucan 514 acts as glucose storage when light levels are low (Roulin et al. 2002). In addition, it has been 515 theorized that beta-glucan is synthesized as a much more accessible source of glucose than starch. 516 The reason for this is that the biosynthesis of beta-glucan is relatively simple compared to the 517 complex synthesis of starch (Burton and Fincher 2012). Beta-glucan synthesis is maintained 518 through the Cellulose synthase-like (Csl) gene family. The Csl family is generally involved in 519 synthesizing various non-starch polysaccharides, and not every member of this gene family has 520 been assigned a biological function. For example, the *CslA* gene group was shown to encode for 521 the *mannan synthase* gene when expressed in insect cells (Liepman et al. 2005). This gene family 522 has two subgroups, dubbed CslF and CslH, attributed to beta-glucan synthesis. As beta-glucan is 523 not found within the cell walls of Arabidopsis, both of these genes were introduced to determine 524 whether they would induce the synthesis of (1,3;1,4)-beta-glucan. Interestingly, the introduction 525 of both CslF and CslH led to the production of beta-glucan in Arabidopsis, demonstrating that 526 both of these genes are involved in synthesizing this soluble fibre (Burton et al. 2006; Doblin et 527 al. 2009). It has been hypothesized that the production of beta-glucan through CslH and CslF 528 happens independently from each other (Doblin et al. 2009). In other words, the expression of 529 CslF genes are not altered by the expression of the CslF gene and vice versa. As both the CslF and 530 CslH families do not seem to be close on the phylogenetic tree, it is likely that these two gene 531 families arose independently of one another (Fincher 2009; Kaur et al. 2017). Despite the 532 knowledge surrounding beta-glucan synthesis, it is still unclear where the soluble fibre is produced. 533 Using immunocytochemistry to detect adjacent (1,3)- and (1,4)-beta-glycosyl residues in barley 534 tissues, detection of (1,3;1,4)-beta-glucan in the cell walls was demonstrated, but not within the

535 cells themselves (Meikle et al. 1994). Interestingly, it was also found that the enzymes known to 536 be involved in the beta-glucan synthesis, such as CslH, were detected within the Golgi and the 537 endoplasmic reticulum (Doblin et al. 2009). This result spawned a new question: Why were the 538 enzymes synthesizing beta-glucan found within the cell, but not beta-glucan itself? It has been 539 theorized that, within the Golgi and ER, (1,4)-beta-linkages are first synthesized and that (1,3)540 branches are then connected either within the plasma membrane or the periplasmic space, as seen 541 in Figure 2.1 (Burton et al. 2010; Doblin et al. 2009). The structure of (1,3;1,4)-beta-D-glucan 542 lends a hand to the solubility of this dietary fibre. Approximately 90% of the beta-glucan chain is 543 comprised of cellotriosyl (DP3) and cellotetraosyl (DP4) separated by one (1,3)-beta-linkage 544 (Figure 2.2) (Woodward et al. 1983). In other words, there are two (DP3) or three (DP4) (1,4)-545 beta-linkages in a chain before another (1,3)-beta-linkage occurs. The other 10% consists of ten or 546 more (1,4)-beta-linkages in a chain (Woodward et al. 1983). The random distribution of 547 cellotriosyl and cellotetraosyl groups makes it nearly impossible for the (1,3;1,4)-beta-D-glucan 548 chains to align with one another. For this reason, these chains are partly soluble in water (Fincher 549 and Stone 2004). The solubility is often related to the DP3:DP4 ratio. A higher DP3:DP4 ratio is 550 met with low solubility. Meanwhile, a low ratio leads to high solubility. Grains in which (1,3;1,4)-551 beta-D-glucan concentration is high, such as in oat and barley, the ratio between DP3:DP4 is 552 typically low, meaning they are highly soluble (Trafford and Fincher 2014).

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556 Figure 2. 1: The proposed two-phase mechanism of beta-glucan synthesis. The blue circles demonstrate (1,4)-beta-linkages. The red circles represent (1,3)-beta-linkages. In the absence of 557

- both (1,4)- and (1,3)-beta-linkages, the antibody would test negative in the Golgi but positive on 558 the plasma membrane (Derived from Burton et al. (2010)).
- 559
- 560 2.5 Health benefits of beta-glucan

As hull-less groats are the most commonly consumed product of oat, the presence of beta-glucan 561 562 within the groats plays a vital role in the dietary benefits of beta-glucan. Beta-glucan is a dietary 563 fibre, meaning it is a carbohydrate polymer with ten or more monomers that cannot be hydrolyzed 564 by the endogenous enzymes found within the small intestine of humans. However, dietary fibre 565 does undergo partial or complete fermentation within the large intestine (Phillips and Cui 2011). 566 Dietary fibre has been shown, in many aspects, to be an essential aspect in reducing calorie intake. 567 To begin, dietary fibre in the morning was demonstrated to reduce food consumption for the rest 568 of the day (Lumaga et al. 2012). So, when consuming beta-glucan, one can prevent overeating. 569 Furthermore, the beta-glucan of cereals has been shown to have immune system stimulatory 570 effects. Therefore, this soluble fibre helps reduce the incidence of bacterial and viral infections 571 (Daou and Zhang 2012). Beyond all of these health components, the strongest proponent of the 572 health benefits is oat beta-glucan's ability to combat cardiovascular heart disease by lowering blood 573 cholesterol (Pereira et al. 2004; Theuwissen and Mensink 2008; Whitehead et al. 2014). This

574 characteristic of oat beta-glucan consumption is theorized to promote cholesterol and lipid lysis in 575 the blood (Grundy et al. 2017). In particular, cholesterol lysis is thought to be because highly 576 soluble beta-glucans lead to greater production of bile acids. Highly soluble dietary fibres tend to 577 bind the bile acids and not allow them to be reabsorbed by the intestine (Eastwood and Hamilton 578 1968; Kritchevsky and Story 1974). So, more bile acid synthesis is required, and because bile acid 579 production requires the breakdown of cholesterol, the blood cholesterol concentration is lowered 580 (Theuwissen and Mensink 2008). Andersson et al. further exemplified this theory by 581 demonstrating that consumption of oat bran stimulated bile acid synthesis (Andersson et al. 2002). 582 Considering all of the research, many governmental agencies, including the UK, Sweden, Finland, 583 Netherlands, and the USA, support the health claims of beta-glucan in oat (Tiwari and Cummins 584 2009). Despite the improvements in health care and education, heart disease remains the leading 585 cause of mortality worldwide (Mozaffarian et al. 2016). Considering the potency of beta-glucan in 586 lowering the incidence of heart disease, increasing the concentration of soluble fibre in the grain 587 of oat will play an essential role in combatting this public health crisis.

#### 588 2.6 Improving the beta-glucan content of crops

589 Considering the ample evidence that dietary fibre is beneficial for health, increasing the amount 590 of beta-glucan in the diet is important. According to various meta-analyses of randomized 591 controlled trials, the consensus is that one should consume more than 3g a day of oat beta-glucan 592 (Tiwari and Cummins 2011; Whitehead et al. 2014). However, this amount of fibre is difficult to 593 consume. The reason for this difficulty is that oat bran is highly satiating (Lumaga et al. 2012). An 594 argument could be made that extracted beta-glucan could be a source of the needed daily 3 grams 595 instead of consuming whole oats. However, it has been shown that extracted beta-glucan does not 596 as effectively lower glycosylated hemoglobin, fasting glucose, and fasting insulin when compared 597 to beta-glucan acquired from consuming oats (He et al. 2016). There is a clear genetic component 598 to the beta-glucan content, as shown by Ajithkumar et al. (2005). This study showed that beta-599 glucan was more of a heritable trait rather than being associated with environmental conditions. 600 Knowing this, efforts have been made to increase the beta-glucan content through traditional 601 breeding methods. In barley, Transit and CDC Alamo varieties demonstrate a beta-glucan content 602 as high as 97 g kg<sup>-1</sup> (Obert et al. 2011). To add, many quantitative trait loci (QTL) have been 603 associated with a high concentration of beta-glucan through the use of QTL mapping (Gutiérrez et 604 al. 2011; Islamovic et al. 2013; Kim et al. 2011). QTL mapping involves crossing two 605 phenotypically distinct cultivars to understand the genomic loci associated with the variation in 606 phenotypes. For example, in the case of beta-glucan, one could cross a cultivar with high beta-607 glucan content with a cultivar with a low concentration. Through the further crossbreeding of 608 successive generations, one can map the genomic loci associated with the production of beta-609 glucan. In barley, the 1H, 2H, 3H, 4H, 5H, and 7H chromosomes have been shown to contain 610 genes potentially involved in beta-glucan regulation or synthesis (Han et al. 1995; Igartua et al. 611 2002; Islamovic et al. 2013; Li et al. 2008; Molina-Cano et al. 2007; Singh et al. 2012). In oat, 612 genome-wide association studies (GWAS) and QTL mapping studies have been conducted to 613 determine the genomic regions involved in beta-glucan concentration. Genome-wide association 614 studies differ from QTL mapping in that they do not require the crossing of phenotypically distinct 615 parental lines. Instead, GWAS uses the slight variations between a large population of the same 616 cultivar to map genomic loci associated with the trait of interest. QTLs were identified in oat 617 through the crossing of Kanota x Ogle (Orr and Molnar 2008) and Terra x Marion (De Koeyer et 618 al. 2004). Through GWAS, many QTLs have been discovered, indicating that beta-glucan is a 619 complex trait with many genomic components (Asoro et al. 2013; Newell et al. 2012). 620 Interestingly, Newell et al. (2012) discovered a QTL that contained genes with sequence homology

621 to the *CslF* gene family in rice. These QTL regions prove especially useful in breeding programs. 622 This is because breeders can select for QTL variations positively associated with a trait of interest. 623 As a production of breeding, one of the leading barley varieties in beta-glucan content is HiFi, 624 which boasts a concentration of 4.5-5.0% (McMullen et al. 2005). However, considering the 625 downsides to traditional breeding methods, such as loss of genetic diversity and disease 626 susceptibility (Babiker et al. 2015; Keneni et al. 2012), it is clear that gene editing methods are 627 required to improve the beta-glucan content of cereals. For this reason, it is important to 628 functionally understand the genes involved within a QTL. After their discovery in QTL mapping, 629 genes involved in the synthesis of beta-glucan have previously been used to improve the beta-630 glucan in barley through overexpression. Burton et al. (2011) overexpressed the CslF6 gene and 631 found an increase of up to 80% in total beta-glucan content. Of the known genes within the CslF 632 family, there is evidence supporting *CslF6* as being the most influential with respect to the beta-633 glucan content. This evidence is based on the transcript abundance during endosperm development 634 (Burton et al. 2008), in the complete removal of (1,3;1,4)-beta-D-glucan synthesis through the 635 mutation of HvCslF6 (Taketa et al. 2012), and RNAi leading to lower concentrations of (1,3;1,4)-636 beta-D-glucan in wheat (Nemeth et al. 2010). Unfortunately, although the CslF6 overexpression 637 construct led to a substantial improvement in the trait of beta-glucan, it was often lethal (Burton et 638 al. 2011). Additional complications of *CslF6* overexpression included an elongated aleurone layer 639 and changes to the endosperm transfer cell. These altercations lead to a squeezed starch layer and 640 a reduced ability to uptake sucrose (Lim et al. 2019). Recently, the expression of Thaumatin-Like 641 Protein 8 has been demonstrated to be associated with the beta-glucan content in barley (Singh et 642 al. 2017). Thaumatin-Like Proteins (TLPs), also known as pathogenesis-related (PR) proteins, are 643 a family of proteins that have a function in host-defence and a wide range of developmental

644 processes (Liu et al. 2010). TLP8 has been shown to potentially be implicated in the regulation of 645 beta-glucan. In malting varieties, which are varieties with low beta-glucan content, the expression 646 of TLP8 is relatively high. In contrast, the feed varieties with high beta-glucan content have low 647 expression of TLP8 (Singh et al. 2017). Although association does not necessarily imply causation, 648 the same study demonstrated that TLP8 contained a carbohydrate-binding motif, suggesting it 649 could bind to beta-glucan. Considering that this form of beta-glucan is found in a high 650 concentration in cereals, it is likely that TLP8 plays a regulatory role in beta-glucan content. 651 Overall, beta-glucan remains a complex genetic trait, and it is of interest to study the regulatory 652 role of TLP8 to identify a putative new target for gene editing. However, to implement gene-653 editing techniques in oat, it is important to understand the challenges involved within the genetics 654 of the species.

#### 655 2.7 Gene editing techniques

656 Since discovering the central dogma of biology, in that DNA produces RNA, which produces 657 proteins, the manipulation of DNA sequences has been of interest. Within the past few decades, a 658 plethora of techniques have been developed to perform the task of gene-editing. Some of the initial 659 promising gene-editing practices involved the nuclease domain of the FokI restriction enzyme. 660 This FokI was sequence-independent and could be used in precise genome editing when coupled 661 with other components, such as zinc-finger mediated DNA binding. Zinc-fingers are transcription 662 factors that bind to DNA. They typically bind to approximately three to six nucleotides in triplets 663 (Pavletich and Pabo 1991). Interestingly, the zinc-fingers can be designed to appear in tandem, 664 binding to an extended sequence of nucleotides. For example, three zinc fingers could bind a 665 sequence of DNA nine base pairs long, further increasing the specificity of the designed zinc 666 fingers. By engineering these zinc fingers to interact with a single sequence of DNA, one can harness both the DNA interaction of the zinc fingers alongside the nuclease activity of FokI to 667

668 incorporate double-stranded cuts at a site of interest (Hartung and Schiemann 2014). The creation 669 of a double-stranded break (DSB) can lead to an insertion or deletion, disrupting the proper 670 expression of a protein. FokI, however, requires dimerization for the nuclease activity to work. 671 Therefore, two adjacent zinc fingers need to be designed to allow dimerization. In other words, 672 one zinc finger needs to be designed upstream of the target site, and another needs to be designed 673 downstream. Altogether, the engineering of the zinc-finger nuclease (ZFN) system is complicated. 674 As an alternative to this complexity, transcription activator-like effector nucleases (TALENs) take 675 advantage of TALE proteins' one-to-one code (Gao et al. 2012). That is, each TALE protein binds 676 to a specific nucleotide, allowing for the ability to string a combination of TALE proteins that bind 677 to a sequence of interest. Like the ZFN, TALEN takes advantage of the catalytic domain of the 678 FokI nuclease to induce DSBs (Maeder and Gersbach 2016). However, the large size of these 679 TALE proteins makes this system complicated, and the cost of designing a TALEN system hinders 680 this technology (Khan 2019).

#### 681 2.8 CRISPR/Cas9

682 Over 30 years ago, a research group in Japan discovered repeated, palindromic sequences of about 683 30 bp, separated by a 36 bp spacer region in Escherichia coli (Ishino et al. 1987). Then, Mojica et 684 al. (1995) discovered this same pattern in Archaea. Considering the vast phylogenetic differences 685 between Bacteria and Archaea, the appearance of these same patterns in both domains 686 demonstrated that these repeats played an important functional role. The name clustered regularly 687 interspaced short palindromic repeats (CRISPR) was then coined, followed by the discovery of 688 CRISPR-related genes, such as the cas (CRISPR-associated) genes (Jansen et al. 2002). Despite 689 these findings, the function of this system remained unclear. This mystery began to unravel when 690 Mojica et al. (2009) searched for sequences similar to the spacer sequences found between the 691 repeats. This group found that these spacers were homologous to bacteriophages, plasmids,

692 transposons, and chromosomal sequences. From this information, the question arose: Why would 693 it be so important to store the genetic information of these transposable elements? The answer 694 came from developing bacteriophage-resistant strains in the fermentation process of yogurt. While 695 studying resistant strains and comparing them to susceptible strains, it was found that resistance 696 was acquired by incorporating the bacteriophage DNA into the spacer regions (Barrangou et al. 697 2007). In other words, these CRISPR regions were acting as an acquired immune system for the 698 bacteria. The findings did not end there, as it was also found that the short palindromic repeats and 699 spacers were not the only required component for the acquisition of immunity. When the *cas* genes 700 were removed, bacteria lost their ability to resist bacteriophages, demonstrating that these 701 CRISPR-associated genes played an essential role in the acquired immunity of bacteria (Barrangou 702 et al. 2007). It was then discovered that the CRISPR and spacer regions would encode for small 703 RNA sequences. Cas proteins processed these small sequences and then guided other cas proteins 704 to the target DNA (Brouns et al. 2008). These small RNAs were dubbed pre-crRNA (pre-CRISPR-705 RNA) before processing, and crRNA after processing. Then, Mojica et al. (2009) discovered that 706 these crRNA spacer regions contained a conserved protospacer adjacent motif (PAM). This 707 discovery suggests that the incorporated sequence is chosen based on the presence of this motif. 708 Strikingly, it was then found that the CRISPR-associated protein Cas9 created double-stranded 709 breaks three nucleotides upstream of the PAM sequence in the CRISPR type II system (Garneau 710 et al. 2010). In short, the spacer region is taken from the bacteriophage-based on the presence of a 711 PAM sequence, as it dictates where the double-stranded break occurs. Bringing it all together, 712 Deltcheva et al. (2011) discovered a *trans*-encoded small RNA (tracrRNA), which is a necessity 713 for the processing of pre-crRNA through the RNA-specific ribonuclease RNase III in the presence 714 of Cas9. Interestingly, this discovery demonstrates the utility of the type II system over other

715 CRISPR systems. While other systems require specialized processing cas proteins, the type II 716 system uses the already available RNase III. So, tracrRNA acts as both a signal for the processing 717 of pre-crRNA and activation of Cas9 activity (Jinek et al. 2012). In summary, the CRISPR-Cas9 718 system is a form of adaptive immunity in bacteria. The bacteria will incorporate foreign DNA 719 containing a PAM sequence between palindromic repeats. When infected by the same foreign 720 DNA, the pre-crRNA and Cas9 will be expressed. Pre-crRNA is processed into crRNA with the 721 help of *cas* proteins and tracrRNA. The processed crRNA and tracrRNA, becoming a guide for 722 the Cas9 enzyme, brings the nuclease to its intended target. Once it reaches the foreign DNA, Cas9 723 deactivates it through the formation of double-stranded breaks (DSBs). Overall, the CRISPR-Cas9 724 system acts as an effective protection against repeat infections.

725 The interest in CRISPR-Cas9 has grown in the past decade due to its ability to precisely target and 726 cleave sequences of DNA. After the characterization of the adaptive bacterial immunity, the 727 CRISPR-Cas9 system showed potential to revolutionize the genome-editing field. Yet, the system 728 was still far from demonstrating its efficacy. Progress began when Gasiunas et al. (2012) 729 demonstrated that the crRNA could be efficiently used at a length of 20 nucleotides. Additionally, 730 this group showed that the crRNA could be replaced with any sequence and still cleave the 731 intended target. The idea of harnessing the nuclease ability for gene editing was then further 732 popularized by Jinek et al. (2012) by studying the CRISPR type II system in Streptococcus 733 pyogenes. This group demonstrated that the Cas9 nuclease could be led to its target through a 734 single guide RNA (gRNA). The gRNA consisted of a combination of the tracrRNA and the 735 crRNA. In other words, instead of individual expression of these two critical components of the 736 CRISPR-Cas9 system, the tracrRNA and crRNA could be fused and retain their function. The 737 valuable aspect of the CRISPR-Cas9 system in this form is that one only needed to attach the target to the overall sequence to create DSBs at any point in the genome. However, the improvement ofthe system is meaningless without the demonstration of its functionality as a tool in gene editing.



Figure 2. 2: Summary of the CRISPR/Cas9 system as a form of adaptive immunity (a) and as a
gene-editing tool (b). (*Derived from Belhaj et al.* (2015))

743 The CRISPR-Cas9 system was first used for gene editing in mice and humans. In a particular 744 study, it was shown that not only can the CRISPR-Cas9 system be used to perform precise genetic 745 modification, but it can also be used for multiplex genome engineering (Cong et al. 2013). Simply 746 put, this technique can be used to edit multiple loci of the genome simultaneously. In the case of 747 other gene-editing methods, only one mutation was possible at a time, making the process 748 laborious. Depending on the goal, the CRISPR-Cas9 system can use several DNA double-stranded 749 break (DSB) repair mechanisms. The most common DBS repairing mechanism used is the non-750 homologous end joining (NHEJ). The popularity behind this mechanism is that it is the most 751 common to occur. The NHEJ repair mechanism joins the ends of a DSB. However, this form of

752 repair is not perfect and often leads to insertions or deletions (Figure 2.3). The insertion/deletion 753 causes a frameshift, thereby inactivating the protein that the sequence is expressing (Bortesi and 754 Fischer 2015). The NHEJ repair mechanism can also be used to insert foreign DNA. This process 755 is done by introducing segments with the same overhangs as the nuclease creates when it cleaves 756 its target (Cristea et al. 2013). The second mechanism is homologous-directed repair (HR). This 757 mechanism uses a template to repair the DSB to provide a mistake-free fix (Figure 2.3) (Iliakis et 758 al. 2004). Usually, the HDR will use the sister chromatin as the repair template. However, if a gene 759 of interest is introduced with homologous ends, one can use this system to produce an error-free 760 insertion. So, if exogenous DNA with compatible homologous ends is presented with the CRISPR-761 Cas9 system, one can insert any sequence into the genome at a specific location. The HDR, 762 however, is not as common as the NHEJ repair. For this reason, the efficiency of DNA insertion 763 using the HDR is low (Puchta 2004).



<sup>Figure 2. 3: Summary of homologous non-homologous end joining (NHEJ) and homologous
directed repair (HR) (</sup>*Derived from Bortesi and Fischer (2015)*)

<sup>767</sup> Precise gene editing is a potent tool for the improvement of modern-day crops. Yet, these tools are 768 limited by incomplete genome sequences, difficulty in delivery systems due to the presence of the 769 cell wall, and the lack of functional characterization of the known sequences. Despite these

obstacles, progress in the gene-editing of plants has occurred. Improvements in the resistance of
biotic stress using the CRISPR/Cas9 system have been demonstrated in *A. thaliana* (Ji et al. 2015),
rice (Wang et al. 2016; Zhou et al. 2015), and wheat (Wang et al. 2014b). In terms of abiotic stress,
improvements have been made in maize (Shi et al. 2017), tomato (Wang et al. 2017a), and rice
(Shan et al. 2014; Xie and Yang 2013). Lastly, the improvement of nutritional traits has been
explored in soybean (Du et al. 2016), maize (Liang et al. 2014), and tomato (Ito et al. 2015).

#### 2.9 Development of the CRISPR/Cas9 gene-editing system

An essential aspect of introducing the CRISPR-Cas9 system into the genome of any species is the 777 778 selection of a promoter. An appropriate promoter should allow for the expression of both the Cas9 779 nuclease and the gRNA at a high level. Kumar et al. (2018) demonstrated the efficacity of the 780 HvU3 promoter for the expression of the CRISPR/Cas9 system in barley. This sequence is a U3 781 snRNA promoter, an RNA polymerase III (Pol III) specific promoter. U3 snRNA promoters have 782 successfully been used in rice (Miao et al. 2013), wheat (Belhaj et al. 2013), and maize (Feng et 783 al. 2016). Kumar et al. (2018) considered three characteristics of the promoter sequence when 784 searching for an appropriate U3 promoter. These characteristics include a TATA box, an upstream 785 sequence element (USE), and a monocot-specific promoter (MSP). An upstream sequence element 786 (USE) acts as a cis-regulating segment of DNA. In other words, it is a sequence that increases 787 neighbouring genes' expression (Bachhawat et al. 1995). However, the TATA box and USE are 788 not enough to promote gene expression, as the MSP also plays an important role. MSPs are found 789 upstream of the USE and are essential for binding RNA polymerase III (Pol III) (Connelly et al. 790 1994). Altogether, these three elements play an important role in the success of this type of 791 promoter in tandem with the CRISPR/Cas9 system and are all found within the HvU3 promoter. 792 Under this promoter, only 40% of all calli bombarded did not have a mutation at the target 793 sequence (Kumar et al. 2018). Simply put, this promoter is highly efficient for gene editing.

However, the expression of the genes is not solely enough to efficiently perform genomicalteration.

796 The efficiency of the CRISPR/Cas9 system is highly dependent on the development of the sgRNA. 797 Single guide RNA design has been experimentally optimized based on specificity and on-target 798 efficiency. In terms of specificity, a study conducted by Hsu et al. (2013) analyzed the parameters 799 that would allow a sgRNA to tolerate binding to a non-identical off-target. This study found that 800 the further the nucleotide position was to the PAM sequence, the more likely the sgRNA was to 801 tolerate a mismatch. However, it was also found that off-target binding could tolerate a maximum 802 of 2 mismatches within the seed region (2-8 nucleotides from the PAM) of the sgRNA (Cho et al. 803 2014). Hsu et al. (2013) also discovered that the CRISPR/Cas9 system tolerated a PAM sequence 804 of NAG instead of NGG, but at a much lower cleaving efficiency. An important aspect to consider 805 is the abundance of the Cas9 enzyme and the sgRNA transcripts, as off-target effects with low 806 cleaving efficiency are more likely to occur at high concentrations (Young et al. 2019). On-target 807 efficiency is another crucial component of gRNA design. Doench et al. (2014) looked at sequence 808 features that improved the on-target efficiency of different sgRNA. In terms of the PAM sequence, 809 CGGT demonstrated the highest efficiency level, while a PAM of TGGG demonstrated the lowest. 810 Interestingly, a high or low GC content is detrimental to the productivity of the gRNA (Wang et 811 al. 2014a). Lastly, gRNA targeting the untranscribed strand was more effective than those targeting 812 the transcribed strand (Wang et al. 2014a). Despite the ability to develop an efficient CRISPR/Cas9 813 system in oat, these findings are meaningless without delivering the construct to the plant cells.

814 2.10 Construct delivery systems

815 Many delivery systems for the insertion of exogenous DNA into a plant's genetic makeup have 816 been developed. The transformation of plant cells entails two significant components—first, the 817 introduction of foreign DNA into the plant cell. Then, the integration of the foreign DNA into the 818 genome (Altpeter et al. 2016). The first case of introducing a novel foreign gene into a plant was 819 achieved in tobacco (Nicotiana tabacum) using a Polyethylene Glycol technique (Paszkowski et 820 al. 1984). Since then, many transformation systems have been applied in plants, including 821 ultraviolet laser electroporation, microprojectile, microbeam. viral vector-mediated 822 transformation, agrobacterium-mediated transformation, and microprojectile bombardment 823 (Keshavareddy et al. 2018). Of those mentioned above, agrobacterial-mediated and microprojectile 824 bombardment are the most extensively used for plant transformation.

825 Agrobacterium tumefaciens has proven to be an effective delivery technique in many species, as 826 it can deliver a discrete segment of DNA to its host. This trait has been exploited to transform a 827 wide range of hosts, including cereals (Repellin et al. 2001). While Agrobacterium-mediated 828 transformation can involve callus induction and regeneration, several methods have been adapted 829 to allow for transformation *in planta*, such as the floral drop, agroinfiltration, spraying, and 830 sonification (Ratanasut et al. 2017). This method has been demonstrated in cereals, including 831 wheat (Cheng et al. 1997), barley (Tingay et al. 1997), and rice (Supartana et al. 2005). However, 832 despite demonstrating potential, agrobacterial-mediate transformation remains inefficient in Avena 833 sativa. This low efficiency is likely a result of oats not having an optimized train of A. tumefaciens 834 (Gasparis et al. 2008). Fortunately, particle bombardment has been shown to have a higher 835 transformation frequency in oats (Shrawat and Lörz 2006).

Microprojectile bombardment involves directly introducing DNA into the plant cell through its adhesion to gold particles (Sanford 1988). These DNA-covered gold particles are delivered to the plant cells at high velocity, penetrating the plant cell wall. In turn, these introduced sequences can be expressed transiently or stably expressed through their integration into the genome (Baltes et

840 al. 2017). This method has many advantages, including its high frequency and wide compatible 841 species range (Altpeter et al. 2016; Cho et al. 1999; Mahmoud 2019). As a delivery system, it is 842 also helpful for insertion using modern techniques. For example, the biolistic method can prove 843 more effective than agrobacterial gene transfer when introducing a foreign sequence using the 844 HDR repair pathway and CRISPR/Cas9. This is because the biolistic method provides many copies 845 of the introduced sequences of DNA and will therefore provide a higher number of repair templates 846 (Svitashev et al. 2015). Nonetheless, these benefits can also act as a crutch in some cases. To begin, 847 the integration of the introduced sequence is random. So, the insertion can interrupt essential genes. 848 To add, multiple insertions are common during transformation using biolistics (Cho et al. 1998; 849 Cho et al. 1999; Ismagul et al. 2018). Despite these hindrances, the biolistic method remains a 850 favourable delivery system due to its ability to transform a wide range of species, co-bombard 851 multiple genes at once, and the flexibility of incorporating modern gene-editing techniques such 852 as the CRISPR/Cas9 system.

#### 853 2.11 Tissue culture

#### 854 2.11.1 Selection markers

855 The ability to deliver foreign DNA into a cell is an essential aspect of gene editing. Without this 856 crucial step, it is impossible to manipulate the phenotypes of various crops. However, post-edit, 857 an effective method to differentiate between transgenic and wild-type, and the ability to regenerate 858 transgenic plants is required to analyze the DNA changes. For this reason, it is crucial to have a 859 protocol developed to have a practical selection and high regenerability. Hygromycin 860 phosphotransferase (*hpt*) has been shown to be an effective selectable marker with few escapes 861 due to hygromycin's aggressive killing of non-transgenic calli (Olhoft et al. 2003; Tian et al. 2009; 862 Van Den Elzen et al. 1985; Yu et al. 1999).
#### 863 2.11.2 Plant regeneration

864 Alongside hygromycin, the media will be supplemented with 2,4-dichlorophenoxyacetic acid (2,4-865 D), 6-benzylaminopurine (BAP), and high cupric sulfate. The 2,4-D and BAP both increase the 866 regeneration potential of calli post-transformation by up to 4.4-fold (Cho et al. 1999). In 867 combination, these two chemicals increase green shoot formation. BAP and copper together 868 produce shinier, more compact and brownish calli. These calli are also meristem-like in their 869 ability to produce shoots (Cho et al. 1998). In a comparison of DBC2 and DBC3 media (DBC2 = 870 2mg/L BAP, 0.1 µM CuSO<sub>4</sub>, DBC3 = 1mg/L BAP 0.5µM CuSO<sub>4</sub>), regenerated plants had 871 improved shoot formation and higher fertility in DBC3 (Cho et al. 1998; Choi et al. 2000). These 872 results imply that DBC3 concentrations are optimal for oat growth. Altogether, the supplements 873 BAP, CuSO<sub>4</sub>, and 2,4-D are used to maintain calli that have a high potential to regenerate. With 874 this goal achieved, the possibility of losing transgenic calli to lack of regenerability lessens.

875 Supplementation using the compounds mentioned above (BAP, CuSO<sub>4</sub>, 2,4-D) works 876 extraordinarily for the production of shoots but poorly induces root formation. As a result, the use 877 of the auxin hormones indole-3-acetic acid (IAA), indole-3-butyric acid (IBA), and 1-878 Naphthaleneacetic acid (NAA) are important for root production. The plant hormones IAA and 879 IBA both can induce lateral root growth (Woodward and Bartel 2005; Zolman et al. 2000). These 880 auxins also share the ability to promote the adventitious formation of roots (King and Stimart 1998; 881 Woodward and Bartel 2005). That is, they increase the likelihood of root cells forming in 882 anatomically incorrect positions. This trait proves helpful when producing plants from 883 undifferentiated cells and when producing roots in any position is valuable, such as in the case of 884 putative transgenic plants. While IAA and IBA share root-promoting traits, they also share an 885 inhibition of root elongation (Woodward and Bartel 2005). However, the inhibition by IBA is

much less than that of IAA. Therefore, IBA can be used at a much higher effective concentration
without detrimental inhibition of root elongation. Lastly, NAA has also been shown to promote
lateral root formation (Woodward and Bartel 2005). Therefore, this chemical proves helpful in
overall lateral root formation without inhibition. Altogether, IAA, IBA, and NAA aid in the root
growth of plants from tissue culture.

# 891 Connecting statement

Until recently, the lack of genomic resources in Avena sativa has held back the ability to employ 892 893 precise gene-editing techniques such as CRISPR/Cas9. The setbacks were two-fold: A lack of a 894 sequenced genome inhibited the ability to perform effective off-target analysis, and the ability to 895 discover orthologous genes from other species. However, the sequenced genome of oat variety 896 OT3098 v1 (https://wheat.pw.usda.gov/GG3/graingenes\_downloads/oat-ot3098-pepsico) has 897 newly been released, opening the floodgates for the implementation of precise gene-editing 898 techniques. Considering the lacking information surrounding the mechanism of beta-glucan 899 regulation and synthesis, we endeavoured to add to this body of knowledge. Recently, our lab 900 discovered that Thaumatin-like protein 8 (TLP8) was negatively correlated with the beta-glucan 901 content in barley. In the following study, we aimed to explore this relationship in the common oat 902 using the published genome and the CRISPR/Cas9 system. The following chapter is formatted as 903 a manuscript. The co-authors are listed as follows: Thomas Donoso, Rajiv K. Tripathi, Jaswinder 904 Singh. The contribution of each author is fully described in the preface.

905

906 Chapter III

907 3.1 Abstract

908 Dietary fiber has gained interest due to the growing evidence that it lowers the incidence of 909 cardiovascular disease (CVD), the second leading cause of mortality in Canada. Despite this 910 attention, few genes have been identified to be involved in the regulation of dietary fibers, such as 911 beta-glucan. Recently, our lab identified that the expression of *Thaumatin-Like Protein 8 (TLP8)* 912 has a negative correlation with beta-glucan content in barley (Hordeum vulgare L.). This result 913 prompted the investigation of the relationship between TLP8 and beta-glucan in the common oat 914 (Avena sativa L.) using the precise gene-editing tool CRISPR/Cas9. The orthologous TLP8 915 sequences in oat were then sequenced for all three subgenomes (A, C, and D). Each homoeolog 916 was determined to contain our previously reported sugar-binding motif and conserved residues in 917 TLPs demonstrating beta-glucanase activity. Using this data, measuring relative gene expression 918 of six different oat varieties was standardized using qRT-PCR analysis and homoeolog-specific 919 primers. To further investigate the relationship between beta-glucan and AsTLP8, three 920 CRISPR/Cas9-based constructs were designed to target each homoeolog specifically. All 921 constructs were transformed in the oat variety Park, using hygromycin as a selection marker. The 922 A, C, and D targeting constructs demonstrated a transformation frequency of 5.23%, 0.47% and 923 2.86%, respectively. Transgenic lines (T0) were moved to the T1 generation and sequenced 924 through Sanger sequencing. All but one transgenic plant demonstrated a CRISPR/Cas9-induced 925 mutation within the AsTLP8-D sequence. Further studies will involve analysis of AsTLP8 926 knockout lines for modifications in the beta-glucan content.

### 928 3.3 Introduction

929 The ever-growing population and limited arable land have developed the challenge of balancing 930 sustainability and agricultural production. In other words, not only does the output of the 931 agricultural industry need to increase, but so does the quality of the crops. These improvements 932 must consider the health needs of the population, rather than just the caloric requirements. The 933 common oat is an essential crop in Canada, as they are the largest exporter of this grain and second-934 largest producer (USDA 2019). Oats are also growing in popularity due to their myriad of health 935 benefits, including boosting the immune system, promoting skin health, and reducing the risk of 936 cardiovascular heart disease (CDV) (Daou and Zhang 2012; Du et al. 2014; Whitehead et al. 2014). 937 The reduction in heart disease is of particular interest as CVD is the second leading cause of 938 mortality in Canada, claiming the lives of over fifty-thousand Canadians in 2018 (Canada 2019). 939 Despite acknowledging the effectiveness of dietary fibre in preventing heart disease, there is little 940 known about the genetics involved in the synthesis and regulation of beta-glucan in cereals. 941 Intriguingly, Thaumatin-like Protein 8 (TLP8) has been associated with a reduced beta-glucan 942 content in barley (Singh et al. 2017). In other words, varieties with a higher amount of TLP8 expression, have a lower beta-glucan content. In this study, we aimed to uncover the nature of this 943 944 relationship within the oats.

The common oat (*Avena sativa*) is a hexaploid (AACCDD) species derived from alloploidy of ancestral diploid and tetraploid oat species (Leggett and Thomas 1995; Maughan et al. 2019). The complexity of oat genetics has led to difficulties in producing the sequenced genome. Fortunately, the sequence of oat variety OT3098 has been recently released. This data allows us to determine the orthologs of *HvTLP8* in oats and measure the relationship between their expression and the beta-glucan content. 951 The CRISPR/Cas9 system presents the opportunity to precisely gene-edit the oat genome. This 952 genetic modification tool has been implemented in many cereals, including wheat (Liang et al. 953 2017; Sánchez-León et al. 2018), barley (Kapusi et al. 2017; Kumar et al. 2018), maize (Char et 954 al. 2017; Shi et al. 2017) and rice (Dong et al. 2020; Wang et al. 2020). Surprisingly, this 955 revolutionary tool has never been implemented in oats. Using the newly released oat genome, and 956 the CRISPR/Cas9 system, our goal is to implement and standardize precise gene-editing 957 techniques in Avena sativa for the first time. We will explore the association between AsTLP8 958 expression and the beta-glucan content using this protocol.

### 959 3.3 Materials and Methods

## 960 3.3.1 Plant growth

Oat seeds from a variety, Park were planted and grown in the Macdonald campus growth chambers at McGill University. A 16:8 photoperiod was used, with a day temperature of 22°C and a night temperature of 15°C. A fertilizer containing 20:20:20 (nitrogen: phosphorus: potassium) was added after sowing and when seeds began to form and promote tiller growth.

# 965 3.3.2 Determining *HvTLP8* orthologs in *Avena sativa*

966 Using the known TLP8 sequence in barley, a BLAST (https://blast.ncbi.nlm.nih.gov/Blast.cgi) 967 was performed on the red oat (Avena byzantina) sequences provided by Dr. Tim Langdon of 968 Aberystwyth University. Primers were designed using the upstream and downstream sequences of 969 the predicted CDS of each BLAST hit (Table 3.1). Each copy was amplified by its respective 970 primers. Amplicons are ligated into the pGem-T Easy Vector (Promega), and then plasmid 971 extractions were done using the QIAGEN Plasmid Midiprep Kits using provided protocols. The 972 extracted plasmids are then sent for Sanger sequencing using the primers M13F and M13R (Table 973 3.1). Genome Quebec performed sequencing. The sequences in Park were then used to perform a

974	BLAST using the Geneious Prime software version 2022.0.1 against the PepsiCo OT3098 genome
975	v1. The subgenomes of each Park sequence were determined by comparing the percent identity to
976	the homoeologs of the OT3098 genome.

977 3.3.3 Guide RNA design

978 The guide RNA design was conducted through the software Geneious, as it allows the creation of 979 a local database for off-target analysis. The Avena sativa variety OT3098 genome (Pepsico v1, 980 https://wheat.pw.usda.gov/GG3/graingenes\_downloads/oat-ot3098-pepsico) was used as a 981 database. Three guide RNAs were chosen for each subgenome's copy of AsTLP8. The guide RNA 982 was determined based on position, off-target score (Hsu et al. 2013), and efficiency score (Doench 983 et al. 2014). The positions of the gRNA were chosen with an approximately 100bp gap between 984 the first and last, potentially leading to a deletion large enough to be visible when run on an agarose 985 gel.

986 3.3.4 Construct design

987 The construct design will be based on the previously designed shuttle and recipient vectors. 988 (Kumar et al. 2018). All shuttle and recipient vectors were provided by Dr. Johannes Stuttmann of 989 the Martin Luther University Halle-Wittenberg. Oligos designed for each sgRNA (Table 3.4) were 990 mixed with their respective oligo at a concentration of 10µM. The mixture was incubated at 98 °C 991 for 5 minutes. The mixture is then allowed to cool/hybridize at room temperature for several 992 minutes. The hybridized oligos are then diluted to a concentration of 100 fmol/ $\mu$ l. These oligos 993 were placed in three separate shuttle vectors (Appendix A1-3) using the described cut/ligation 994 reaction (Kumar et al. 2018). This cut/ligation reaction used a Thermocycler program of 30 cycles 995 of 37 °C for 2 minutes and 16 °C for 5 minutes, 50 °C for 10 minutes, and then 80 °C for 10 minutes. 996 Ligated shuttle vectors were then transformed into competent DH5 $\alpha$  cells and grown on selective

997 media (Lysogeny broth (LB) supplemented with 100mg/L Ampicillin). Colonies are picked, and 998 plasmid extraction is performed using the QIAGEN Plasmid Midiprep Kits using provided 999 protocols. The shuttle vectors are then combined with the destination vector (Appendix A4), and 1000 a previously described cut/ligation reaction is done through Golden Gate Cloning to produce the 1001 final gene-editing plasmid (Engler and Marillonnet 2014; Kumar et al. 2018). The same 1002 aforementioned thermocycler program is used. Ligated final vectors were then transformed into 1003 competent DH5a cells and grown on selective media (Lysogeny broth (LB) supplemented with 1004 100mg/L Streptomycin). A final plasmid extraction is performed using the same extraction kit.

1005 3.3.5 Digestion of Construct

The insertion of the gRNA and *U3* promoters into the destination vector was confirmed through digestion using High Fidelity SacI (SacI-HF) from New England Biolabs. The produced *AsTLP8*targeting gene-editing constructs were all digested at  $37^{\circ}$ C for three hours. The construct without the insert was also digested as a control. The constructs with an insert contain three cut sites within the *HvU3* promoters, leading to two segments 777bp in size, and a backbone of 16,580bp. The digested products were run on a 0.8% agarose gel.

1012 3.3.6 Formation of undifferentiated cells

Mature seeds of the oat spring variety GAF/Park-1 were used for their past success in microprojectile bombardment (Cho et al. 1999). Seeds were surface sterilized for 10 minutes in a 1.5% bleach solution. Then, seeds were placed on Regeneration media (Table 3.1), covered with aluminum foil, and allowed to germinate. Once germinated, the germinated embryo is separated, and any formed roots/shoots are removed. The trimmed embryo is placed on C' media (Table 3.1). To maintain undifferentiated cells, any formed shoots and roots are removed from the calli every two weeks.

Media	Regeneration	Rooting	C'
MS salts (g/L)	4.4	4.4	4.4
Maltose (g/L)			30
Sucrose (g/L)	30	30	
Casein hydrolysate (g/L)			1
Proline (g/L)			0.69
Myo-inositol (g/L)			0.25
Thiamine HCl (mg/L)	1	1	1
Pyridoxine HCl (mg/L)	0.5	0.5	
Nicotinic acid (mg/L)	0.5	0.5	
CuSO <sub>4</sub>	0.16 mg/L	0.16 mg/L	5 μΜ
2,4-D (mg/L)			2
BAP (mg/L)	1		0.5
IAA (mg/L)	1	1	
IBA (mg/L)		1	
NAA (mg/L)		1	
рН	5.8	5.8	5.8

1020 Table 3. 1: Components of regeneration and rooting media

# 1022 3.3.7 Microprojectile bombardment

1023 The transformations were all done in the oat variety Park. To prepare for microprojectile 1024 bombardment, a circle of undifferentiated cells (calli) about 3 cm in diameter is first placed on 1025 osmotic media for three hours (c' supplemented with 0.2 *M* mannitol and 0.2 *M* sorbitol). 1026 Approximately 35 calli are bombarded per plate. For each bombarded plate, 6µl of suspended 1027 gold-stock solution (60mg of 0.6 µm gold particles in 1ml of 100% ethanol). The following 1028 protocol is for a total of 36 µl of gold stock. The suspension is centrifuged at 13,000 rpm for 1 1029 minute and the supernatant is discarded. To the pellet, 200-300 µl of filtre sterile water (FSH<sub>2</sub>O) 1030 is added, and the mixture is centrifuged at 13,000 rpm for 1 minute. The supernatant is discarded. 1031 To the pellet, 6 µg of plasmid DNA is added, and the tube is gently tapped. To this mixture, up to 1032  $250 \,\mu$ l of FSH<sub>2</sub>O from the volume added in DNA),  $250 \,\mu$ l of calcium chloride (CaCl<sub>2</sub>) and  $50 \,\mu$ l 1033 of spermidine are added. This concoction is vortexed briefly to mix and incubated on ice for 30 1034 minutes, occasionally tapping to remix. After incubation, the mixture is centrifuged at 13,000 rpm 1035 for 1-2 minutes. The supernatant is removed, and 200  $\mu$ l of ethanol is added. The sample is 1036 centrifuged again at 13,000 rpm and the supernatant removed. To the pellet, 36 µl of ethanol is 1037 added, and the plasmids are now ready for bombardment.

1038 The microprojectile bombardment was accomplished using the BioRad PDS-1000/He system. All 1039 removable pieces are autoclaved to ensure sterility. The laminar hood and inside of the PDS-1000 1040 device are cleaned using 70% ethanol. For each plate, 6 µl of the above prepared mixture is loaded 1041 onto a macrocarrier. The ethanol is allowed to evaporate. The macrocarrier is then placed onto a 1042 macrocarrier holder. The system is turned on in the order of helium tank, vacuum, and then the 1043 PDS-1000 device. A rupture disc (pressure retention of 1100psi) is placed in its retaining cap and 1044 screwed tightly to the gas acceleration tube. A stopping screen is placed on the microcarrier launch 1045 assembly. The macrocarrier in the macrocarrier holder is placed above the stopping screen, with 1046 the dried plasmid mixture facing down. The macrocarrier cover lid is screwed onto the assembly 1047 to ensure it stays fixed. The prepared calli on osmotic media are uncovered and placed on the target 1048 plate shelf. With the door closed, the vacuum is brought to a value of 25-30 Hg, and the button is 1049 switched to hold to maintain the vacuum. Then, the fire button is pressed until the gauge hits a 1050 value of 1100 psi and then released. The plate is removed and covered. The stopping screen, 1051 rupture disc, and the macrocarrier are discarded. Then, the inside of the PDS-1000 device is 1052 cleaned and the process repeated for each plate. Subsequently, the bombarded calli are left on1053 osmotic media overnight before being transferred to callus induction media (C', Table 3.1).

1054 3.3.8 Selection of transgenic plants

Following bombardment, calli were exposed to DBC3 media supplemented with 10 mg/L of Hygromycin. Each selection round lasted 2-3 weeks before healthy calli were sub-cultured on fresh media containing the same concentration of Hygromycin. In total, two rounds of selection were used.

1059 3.3.9 Regeneration of shoots/roots

Selected calli undergo shoot/root formation on regeneration and rooting media (Table 3.1). The
regenerating plants are left on each media until shoot or root formation is substantial. This process
takes approximately 1.5-2.5 weeks on each medium.

1063 3.3.10 Genomic DNA extraction

1064 Genomic DNA extraction was done using leaf samples of the variety Park. A one-inch leaf sample 1065 is placed into an Eppendorf tube containing small metal beads and immediately frozen in liquid 1066 nitrogen. The tissue is then lysed using a QIAGEN TissueLyser II at 30 oscillations/second for 3 1067 minutes until made into a fine powder. Then, 500  $\mu$ l of urea buffer (Chen and Dellaporta 1994) is 1068 added to each sample and is immediately vortexed for 2-3 seconds. To this mixture, 500 µl of the 1069 bottom layer of 1:1 phenol:chloroform is added. Samples are mixed on a tabletop shaker for 1hr. 1070 The mixture is centrifuge at 13,000 rpm for 6 minutes and the top layer pipetted into a clean 1071 Eppendorf tube. To this tube, 400 µl of Isopropanol and 40 µl of ammonium acetate are added. 1072 The samples are then mixed by inversion and centrifuged for two minutes. The supernatant is 1073 discarded, 400 µl of 70% ethanol is added, and the mixture is centrifuged at 13,000 rpm for 2 1074 minutes. The supernatant is discarded carefully so as not to lose the pellet of DNA and allowed to

1075 dry for 15 minutes. Once dry, 40  $\mu$ l of TE buffer is added. Genomic DNA samples are then stored 1076 at -20°C.

1077 3.3.11 Analysis of transgenic plants

1078 A thermocycler and Promega Green Master Mix (PCR) will amplify the inserted construct. The 1079 thermocycler conditions for PCR-based analysis were 95°C for 2 minutes, 30 cycles of the 1080 combined primer melting temperature for 30 seconds and 72°C for 45 seconds, and 72°C for 5 1081 minutes. The combined temperature is calculated by taking the forward and reverse primers' 1082 average melting temperature. Each construct will be amplified by its respective inserted gRNA 1083 (Table 3.2). The amplified genes were run on a 0.8% agarose gel with the wild type (v. Park) and 1084 water as a negative control and the construct as a positive control. The subsequent generation of 1085 transgenic plants containing the insert (T1) was screened for deletions caused by the gRNA. 1086 Subgenome-specific primers were designed for each copy of AsTLP8 (Table 3.2). PCR amplicons 1087 were then run on a 2% agarose gel to compare against the wild type (v. Park). Any shift indicates 1088 a possible deletion by the designed gRNA.

#### 1089 3.3.12 Primer design

All primers used for analysis are displayed in Table 3.2. Primers were ordered from Integrated DNA Technologies (IDT). Primers used for PCR-based screening (Columns 1-14, 21-26) were designed using the Geneious prime primer design tool. Primers designed for qRT-PCR analysis (Columns 15-20, 27-30) were designed using the IDT RealTime qPCR Assay design tool (www.idtdna.com/scitools/Applications/RealTimePCR).

- 1095
- 1096
- 1097
- 1098

#	Primers	Orientation	Sequence	Notes	Amplicon Size (bp)
1	TLP8 Oat1 gF	F	TAGTCTCGTGTCTTGCTACCT	Amplifying C- Genome <i>TLP8</i>	1015
2	TLP8 Oat1 gR	R	GAATACATCCATTGCCAGCTTTAC	for sequencing	
3	TLP8 Oat2 pF	F	GGAGTCCAGCTACCTCGT	Amplifying D- Genome <i>TLP8</i>	1027
4	TLP8 Oat2 pR	R	AGAGAAGATTTCTCTCCATGAAAGAG	for sequencing	
5	TLP8 Oat3 bF	F	TCCACGTTACGCAGCAATATAA	Amplifying A- Genome <i>TLP8</i>	1349
6	TLP8 Oat3 bR	R	CCCTAATTCCAGATGAGGTGATG	for sequencing	
7	M13F	F	GTTTTCCCAGTCACGAC	Sequencing pGem-T Easy	N/A
8	M13R	R	CAGGAACAGCTATGACCAT	Vector (Promega)	
9	ASG101	F	AGCAGCAACAGTGGAAGGTCGAGG	Screening for Transgenic	804
10	ASG3O2	R	AAACGTACGAAGGCCAGGACTTCA	Plants (A- Genome)	
11	CSG2O1	F	AGCATGGAACATCAACGTGCCGGC	Screening for Transgenic	784
12	CSG102	R	AAACGCAGGAAGTTCATGGGCACG	Plants (C- Genome)	
13	DSG101	F	AGCATGGAACATCAACGTGCCTGC	Screening for Transgenic	784
14	DSG2O2	R	AAACTGGCCGAGTTCGGGCTCAAC	Plants (D- Genome)	
15	qPCR-TLP8A-1F	F	GACTTCATCGACATCTCCGTC	qPCR for A- Genome	139
16	qPCR-TLP8A-1R	R	CCTTGAGCTCGTTCGGG		
17	qPCR-TLP8C-2F	F	AACGTGCCCATGAACTTCC	qPCR for C- Genome	153
18	qPCR-TLP8C-2R	R	CTTGTCCTGCTTGAACACC		
19	qPCR-TLP8D-2F	F	CAATGTGCCCATGAACTTCC	qPCR for D- Genome	155
20	qPCR-TLP8D-1R	R	ACTTGTCCTGCTTGAACACC		
21	AsTLP8A-In2F	F	ACCAACAAGTGCCAGTTCA	Screening for CRISPR/Cas9	605
22	AsTLP8A-In3R	R	GGCAGAAGATGACCTGATAGTT	Induced Deletions	

1099 Table 3. 2: Primers used in PCR-based analysis of putative gene-edited plants.

23	AsTLP8C-In2F	F	CTCTCCACCTCTTCCATGTTG	Screening for CRISPR/Cas9	531
24	AsTLP8C-In2R	R	GCAGTACTTGTCCTGCTTGA	Induced Deletions	
25	AsTLP8D-In2F	F	GTCACCAACAAGTGCCAGTA	Screening for CRISPR/Cas9	603
26	AsTLP8D-In1R	R	CAGAAGATGACCTGGTAGTTGG	Induced Deletions	
27	qRT_AsEIF4A_F	F	TCTCGCAGGATACGGATGTCG	Housekeeping Gene	88
28	qRT_AsEIF4A_R	R	TCCATCGCATTGGTCGCTCT	(Yang et al. 2020)	
29	qRT_AsEF1A_F	F	GTGAAGATGATTCCCACCAAGC	Housekeeping Gene	87
30	qRT_AsEF1A_R	R	CCTCATGTCACGCACAGCAAA	(Yang et al. 2020)	

# 1101 3.3.13 RNA extraction

1102 The RNA extractions were done using the Sigma-Aldrich Spectrum Plant Total RNA Kit with a 1103 modified protocol. Two replicates were done of six oat cultivars, including AC Morgan, CDC 1104 Morrison, Marion, Park, Terra, and Goslin. Starting with 2-3 seeds, the samples are frozen in liquid 1105 nitrogen and ground with a mortar and pestle until homogenized. The lysis solution (700µl lysis 1106 solution  $+ 7 \mu l$  2-Mercaptoethanol) is added to the crushed sample and vortexed immediately. The 1107 mixture is incubated for 5 minutes at room temperature, followed by centrifugation at 13,000 rpm 1108 for 3 minutes. The lysate is pipetted (supernatant) and put into the centre of the filtration column 1109 in a clean Eppendorf tube. The column is centrifuged at 13,000 rpm for 3 minutes. To the 1110 flowthrough, a 700µl binding buffer is added and mixed by pipetting. The mixture is then loaded 1111 into the centre of a binding column in a clean Eppendorf tube. The column is centrifuged at 9000 1112 rpm for 1 minute. The flowthrough is discarded, and the rest of the filtrate from the filtration 1113 column step is loaded into the binding column. The column is centrifuged again at 9000 rpm. To 1114 the column, 500 µl of the Washing Solution I is added and centrifuged at 9000 rpm for 1 minute. 1115 The filtrate is discarded. To the column, 500 µl of the Washing Solution II is added and centrifuged

1116 at 9000 rpm for 1 minute. After pouring the filtrate, this washing step (Washing Solution II) is 1117 repeated. Again, the filtrate is poured, and dry spun at 13,000 rpm for 1 min is performed. The 1118 binding column is placed in a new Eppendorf tube. To the centre of the column,  $30 \,\mu$ l of the elution 1119 buffer is added and centrifuged at 13,000 rpm for 1 minute. The flowthrough is then stored at -1120 80°C.

1121 3.3.14 DNase treatment of RNA samples

1122 DNase treatment was done by initially taking a total of 1000ng of RNA. Each sample was then 1123 brought to a total volume of 8  $\mu$ l with nuclease-free water. To this mixture, 1  $\mu$ l of DNase I enzyme 1124 and 1  $\mu$ l of RQ1 DNase reaction 10x buffer are added (Invitrogen) to bring the final volume to 10 1125  $\mu$ l. Then, the samples are incubated at 37°C for 40 minutes. After incubation, 1 $\mu$ l of EDTA 1126 (25mM) is added. The mixture is incubated at 65°C for 10 minutes and stored at 4°C prior to cDNA 1127 synthesis.

1128 3.3.15 cDNA synthesis

1129 The synthesis of cDNA was accomplished with 1000ng of DNAse treated RNA in each sample.

1130 The Agilent Technologies cDNA synthesis kit was used with the conditions of 42 °C for 5 minutes,

- 1131 55 °C for 15 minutes, and 95 °C for 5 minutes.
- 1132 3.3.16 qRT-PCR analysis

Quantitative PCR analysis will be done using the synthesized cDNA and the primers designed for each subgenome homoeolog (Table 3.2). The housekeeping gene used was the *Eukaryotic translation elongation factor* (*EF1A*) (Table 3.2) used in previous studies (Yang et al. 2020). In the qRT-PCR process, SYBR Green qPCR Master Mix from Wisent and the Stratagene Mx3005P qPCR System was used. Each variety had two biological and two technical replicates. The qPCR conditions used were 95°C for 2 minutes, 40 cycles of 95°C for 5 seconds, and 60°C for 30 seconds.

- 1139 The relative gene expression of each sample was analyzed using the  $2^{-\Delta\Delta Cq}$  method (Livak and
- 1140 Schmittgen 2001).
- 1141 3.4 Results
- 1142 3.4.1 Discovery of *HvTLP8* orthologs in oat
- 1143 A Basic Local Alignment Search Tool (BLAST) was used to find highly similar sequences in red
- 1144 oat to the *TLP8* sequence in barley. Three sequences were found within the genome of *Avena*
- 1145 *byzantina* (Appendix A5-7). Primers were designed using the upstream and downstream sequences
- 1146 of the BLAST hits (Table 3.2). The primers were used to amplify the three homoeologs in oat
- 1147 variety Park (Figure 3.1). Amplified sequences were then ligated into a pGEM-T vector and sent
- 1148 for Sanger sequencing using the primers M13-F and M13-R (Appendix A5-7).



- 1150 Figure 3. 1: PCR-amplification of AsTLP8 homoeologs in oat. The well M has a 1kb plus ladder
- 1151 (NEB). Lane 1 contains the PCR amplification of *AsTLP8-A* in oat variety Park at the size of
- 1152 1349bp. C1 has a water control. Samples 1 and C1 were PCR amplified using the primers TLP8-
- 1153 Oat3-bF and TLP8-Oat3-bR (Table 3.2).Well 2 contains a PCR amplification of *AsTLP8-C* in

1154 oat variety Park at the size of 1015bp. Well C2 has a water control. The samples in well 2 and C2

1155 were PCR amplified using the primers TLP8-Oat1-gF and TLP8-Oat1-gR (Table 3.2). Well 3

- 1156 contains the PCR amplification of *AsTLP8-D* in oat variety Park at a size of 1027bp. Well C3
- 1157 includes a water control. The samples in well 3 and C3 were PCR amplified using the primers
- 1158 TLP8-Oat2-pF and TLP8-Oat2-pR (Table 3.2).
- 1159 The subgenome of each copy and the sequence of each AsTLP8 homoeolog in OT3098 were
- 1160 determined through a BLAST against the PepsiCo v1 oat genome
- 1161 (https://wheat.pw.usda.gov/GG3/graingenes\_downloads/oat-ot3098-pepsico.) and by comparing
- 1162 the hits by percent identity. In each case, only one v. Park homoeolog had the highest percent
- 1163 identity with the corresponding OT3098 homoeologs (Table 3.3). The AsTLP8-A, and -C in Park
- demonstrated a high percent identity with their respective homoeolog in OT3098 (97.126 and
- 1165 99.713%, respectively). However, the Park AsTLP8-D showed a relatively low percent identity
- 1166 (76.101%) due to the deletion within this homoeolog in OT3098 (Table 3.3).
- 1167 Table 3. 3: Percent Identity between *AsTLP8* homoeologs in OT3098 and Park. The percent 1168 identity was measured by taking the percent of bases that are identical in the Geneious alignment 1169 tool.

Homoeolog	AsTLP8-A (OT3098)	<i>AsTLP8-C</i> (OT3098)	<i>AsTLP8-D</i> (OT3098)
AsTLP8-A (Park)	97.126%	86.063%	69.969%
AsTLP8-C (Park)	86.063%	99.713%	74.057%
AsTLP8-D (Park)	85.057	96.695%	76.101%

1170

1171

# 1172 3.4.2 Analyzing the TLP8 homologs in oat

- 1173 The amino acid sequences of TLP8 in oat varieties were compared to the HvTLP8 sequence in
- 1174 barley (Figure 3.2). The A genome in both varieties (OT3098 and Park) demonstrated an amino
- 1175 change in the second residue of the previously described sugar-binding motif of CQTGDCGG

1176	(Singh et al. 2017). Glutamine was changed to glutamic acid (Q ( E) in both cases. In the case of
1177	the C genome homoeolog in the variety Park, this same residue was changed to arginine (Q $\rightarrow$ R).
1178	Interestingly, a 20 amino acid deletion was observed in the D genome of OT3098, a variety with
1179	high beta-glucan content (Appendix BG). Certain thaumatin-like proteins have been reported to
1180	break down polymeric beta-1,3-glucans(Grenier et al. 1999). Some conserved residues have been
1181	observed among these TLPs and fungal beta-1,3-glucanases (Grenier et al. 2000). Every
1182	homoeolog in both varieties OT3098 and Park contain these conversed amino acids (Figure 3.3).

OT3098-AsTLP8-A	WGAAVP-GGGQKLDPGQQWKVEVPAGTTSGRVWARTGCNFDGSGNGK <mark>CETGDCGG</mark> KLQCT	96
Park-AsTLP8-A	WGAAVP-GGGQQLDPGQQWKVEVAAGTTSGRVWARTGCNFDGSGNGK <mark>CETGDCGG</mark> KLQCT	96
HvTLP8	WAAAVPAGGGQKLDAGQTWSINVPAGTTSGRVWARTGCSFDGAGNGR <mark>CQTGDCGG</mark> KLRCT	120
OT3098-AsTLP8-D	WAAAVPAGGGRKLDPGQSWNINVPAGTTGGRVWARTGCNFDGSG	80
Park-AsTLP8-D	WAAAVPAGGGRKLDPGQSWNINVPAGTTGGRVWARTGCNFDGSGNGR <mark>CQTGDCGG</mark> KLQCT	96
Park-AsTLP8-C	WAAAVPVGGGRKLDPGQTWNINVPAGTTSGRVGARTGCNFDGSGNGR <mark>CRTGDCGG</mark> KLQCT	96
OT3098-AsTLP8-C	WAAAVPVGGGRKLDPGQTWNINVPAGTTSGRVWARTGCNFDGSGNGR <mark>CQTGDCGG</mark> KLQCT	96
OT3098-AsTLP8-A	QYGQAPNTLAEFGLNQYEGQDFIDISVIDGFNVPMDFLPADGTTGCPKGGPRCDADITAQ	156
Park-AsTLP8-A	*YGQAPNTLAEFGLNQYEGQDFIDISVIDGFNVPMDFLPADGTTGCPKGGPRCDADITAQ	156
HvTLP8	QYGQAPNTLAEFGLNKYMGQDFFDISLIDGYNVPMSFVPAPGSPGCPKGGPRCPKVITPA	180
OT3098-AsTLP8-D	APNTLAEFGLNKFNNLDFFDISLIDGFNVPMNFLPAGSGAGCPKGGPRCPKVITPQ	136
Park-AsTLP8-D	QYGQAPNTLAEFGLNKFNNLDFFDISLIDGFNVPMNFLPAGSGAGCPKGGPRCPKVITPQ	156
Park-AsTLP8-C	QYGQAPNTLAEFGLNKFNNLDFFDISLIDGFNVPMNFLPAGSGAGCPKGGPRCPKVITPQ	156
OT3098-AsTLP8-C	QYGQAPNTLAEFGLNKFNNLDFFDISLIDGFNVPMNFLPAGSGAGCPKGGPRCPKVITPQ	156

1184 Figure 3. 2: Sugar-binding motif variations between TLP8 amino acid sequences in barley and oat. The sequence in all three subgenomes (A, C, D) of both variety Park and OT3098 are 1185 partially shown. The sequence in barley (HvTLP8) is also shown. The yellow highlighted 1186 1187 sequences demonstrate the sugar-binding motif described in (Singh et al. 2017). Residues highlighted in red indicate a change in the sugar-binding motif. The sequences of subgenomes A 1188 1189 and C in Park and A in OT3098 demonstrate a one residue change in the second position of the sugar-binding motif. The D genome sequence in Park has a 20 amino acid deletion that 1190 completely removes the sugar-binding motif. The value in the right column indicates the position 1191 1192 of the last amino acid in the row within its respective sequence. Alignment was done using the 1193 EMBL-EBI MUltiple Sequence Comparison by Log-Expectation (MUSCLE) tool

1194 (https://www.ebi.ac.uk/Tools/msa/muscle/).

OT3098-AsTLP8-A	MASAAASS-ALRVLPLFLLVAAAHA <mark>A</mark> TFTVT <mark>N</mark> KCQFTV	37
Park-AsTLP8-A	MASAAVSS-ALRVLPLFLLVAAAHA <mark>A</mark> TFTVT <mark>N</mark> KCQFTV	37
HvTLP8	MPFFLTTGTLKLYYVQGRGENTMASLPTSSVLLPILLLVLVAATADA <mark>A</mark> TFTVI <mark>N</mark> KCQYTV	60
OT3098-AsTLP8-D	LLVAAADAATFTVTNKCQYTV	36
Park-AsTLP8-D	LLVAAADAATFTVTNKCQYTV	36
Park-AsTLP8-C	LLVAAADAATFTVTNKCQYTV	36
OT3098-AsTLP8-C	LLVAAADAATFTVTNKCQYTV	36
OT3098-AsTLP8-A	WGAAVP-GGGQKLDP <mark>G</mark> QQWKVEVPAGTTSGRVWARTGCNFDGSGNGKCETGDCGGKLQCT	96
Park-AsTLP8-A	WGAAVP-GGGQQLDP <mark>G</mark> QQWKVEVAAGTTSGRVWARTGCNFDGSGNGKCETGDCGGKLQCT	96
HvTLP8	WAAAVPAGGGQKLDA <mark>G</mark> QTWSINVPAGTTSGRVWARTGCSFDGAGNGRCQTGDCGGKLRCT	120
OT3098-AsTLP8-D	WAAAVPAGGGRKLDP <mark>G</mark> QSWNINVPAGTTGGRVWARTGCNFDGSG	80
Park-AsTLP8-D	WAAAVPAGGGRKLDP <mark>G</mark> QSWNINVPAGTTGGRVWARTGCNFDGSGNGRCQTGDCGGKLQCT	96
Park-AsTLP8-C	WAAAVPVGGGRKLDP <mark>G</mark> QTWNINVPAGTTSGRVGARTGCNFDGSGNGRCRTGDCGGKLQCT	96
OT3098-AsTLP8-C	WAAAVPVGGGRKLDP <mark>G</mark> QTWNINVPAGTTSGRVWARTGCNFDGSGNGRCQTGDCGGKLQCT	96

1196 Figure 3. 3: All TLP8 homoeologs and orthologs contain conserved amino acids in fungal beta-1197 1,3-glucanases. The sequence in all three subgenomes (A, C, D) of both variety Park and 1198 OT3098 are partially shown. The sequence in barley (HvTLP8) is also shown. The highlighted 1199 sequences are residues conserved in Thaumatin-Like Proteins that exhibit endo-beta-1,3glucanase activity (Grenier et al. 2000). All sequences contain the conserved residues. The value 1200 in the right column indicates the position of the last amino acid in the row within its respective 1201 sequence Alignment was done using the EMBL-EBI MUltiple Sequence Comparison by Log-1202 Expectation (MUSCLE) tool (https://www.ebi.ac.uk/Tools/msa/muscle/). 1203

1204 3.4.3 Standardizing qRT-PCR-Based Analysis of *AsTLP8* homoeologs

1205 The sequence-based analysis led to interesting inferences into the role of AsTLP8 homoeologs in beta-glucan regulation. However, we were interested in demonstrating a similar relationship 1206 1207 between beta-glucan and TLP8 expression in oat as observed in barley (Singh et al. 2017). 1208 Therefore, primers for qRT-PCR were designed to target each homoeolog separately. Considering 1209 the beta-glucan content data available in the literature is typically measured by dry weight of the 1210 mature seed (Appendix A8), we looked at the relative expression of AsTLP8 homoeologs within 1211 the mature seed. Although the means of certain varieties varied greatly, namely Terra and Goslin, 1212 the standard deviation was high between replicates (Figures 3.4). Further analysis using the Tukey 1213 test, a post hoc analysis of the ANOVA one-way test, determined no statistically significant 1214 difference between the relative expressions (Keselman and Rogan 1977). Therefore, it is difficult to infer the relationship between TLP8 expression and beta-glucan content and deserves further 1215 1216 attention. Validation of genotypic and phenotypic relations could be discerned by generating and



analysing gene specific mutants. In the next section, efforts have been made to edit each

1218 homoeologs of TLP8 in oat.

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Figure 3. 4: Transcript abundance of *AsTLP8* homoeologs in the mature seed of different varieties. The relative gene expression was measured in the mature seed of each variety through qRT-PCR. The variety park was used as the control for relative gene expression. Error bars were calculated by taking the relative expression's standard deviation of biological and technical replicates. None of the relative gene expressions were significantly different based on the Tukey test with a p < 0.05 (Keselman and Rogan 1977).

1226

# 1227 3.4.4 Designing CRISPR/Cas9 gene-editing constructs

In order to further evidence the role of *TLP8* in the beta-glucan content of oat, CRISPR/Cas9 geneediting constructs were made. Gene-editing constructs were designed to target the *TLP8* homoeolog of each subgenome. Three guide RNA were designed for each homoeolog. When designing the guide RNA, the position within the gene, the predicted on-target efficiency (Doench et al. 2014), and potential off-targets (Hsu et al. 2013) were considered. For each subgenome, two guide RNA were designed upstream of the sugar-binding motif (position 250bp) and one

1234	downstream of this motif. So, in the occurrence of a deletion, the sugar-binding motif would be
1235	removed from the sequence altogether. After position, gRNAs were chosen based on having both
1236	high activity and specificity score (Table 3.4). The specificity score of most gRNA was low
1237	(<80%) because the OT3098 genome was used as a database and considered the targeted gene
1238	itself as an off target. In other words, when designing a gRNA to target the AsTLP8-A sequence in
1239	variety Park, the program considered the AsTLP8-A sequence in OT3098 as an off-target. In each
1240	case, the potential off-targets were analyzed to have an off-target score of less than 15% (Hsu et
1241	al. 2013).
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gRNA	Direction	PAM Sequence	Target Sequence	Doench (2014) Activity Score	Specificity Score (%)	Cut position (bp)
AsTLP8-A Guide 1	Forward	TGG	GCAACAGTGGAAGGTCGAGG	0.497	56.4	173
AsTLP8-A Guide 2	Reverse	TGG	CTTGTTGGTGACGGTGAACG	0.623	57.51	80
AsTLP8-A Guide 3	Reverse	TGG	TGAAGTCCTGGCCTTCGTAC	0.361	62.18	338
AsTLP8- C Guide 1	Forward	CGG	CGTGCCCATGAACTTCCTGC	0.709	55	404
AsTLP8- C Guide 2	Forward	CGG	TGGAACATCAACGTGCCGGC	0.752	73.29	180
AsTLP8- C Guide 3	Reverse	CGG	AGCTTCCTGCCCCGCCGAC	0.376	78.18	130
AsTLP8- D Guide 1	Forward	CGG	TGGAACATCAACGTGCCTGC	0.602	70.12	180
AsTLP8- D Guide 2	Reverse	GGG	GTTGAGCCCGAACTCGGCCA	0.866	68.5	317
AsTLP8- D Guide 3	Forward	CGG	GTGCCTGCCGGCACGACGGG	0.35	71.81	192

1254 Table 3. 4: Single-guide RNA designed for gene-editing *AsTLP8* homoeologs

The designed sgRNA were inserted into shuttle vectors and then into the destination vector through
Golden Gate Cloning. Each construct contains three gRNA targeting the same subgenome (Figure
3.5). The insertion of the intended sequence was checked through digestion (Figure 3.6) and further
confirmed through sanger sequencing.



## 1261

1262 Figure 3. 5: Gene-editing constructs targeting each AsTLP8 homoeolog. Each construct shares a 1263 backbone sharing various features. Rep origin demonstrates the replication origin (PVS1) of the 1264 destination vector backbone. ParA CDS and ParB CDS denote the coding sequences of the partitioning proteins ParA and ParB, respectively. The aadA CDS is the coding sequence of 1265 streptomycin adenyltransferase, which confers streptomycin resistance. The hph CDS produces 1266 hygromycin phosphotransferase and provides Hygromycin resistance. pZmUbifragment denotes 1267 1268 the Zea mays ubiquitin promoter. Cas9-p encodes for Streptococcus pyogenes Cas9 protein. (A) Construct targeting AsTLP8 in the A subgenome. The designed gRNA are placed in an order (5' 1269 1270  $\rightarrow$  3') of AsTLP8-A Guide 2, AsTLP8-A Guide 1, and AsTLP8-A Guide 3 (Table SG). Each 1271 gRNA is under the control of the *Hordeum vulgare* U3 promoter (Kumar et al. 2018). (**B**) 1272 Construct targeting AsTLP8 in the C subgenome. The designed gRNA are placed in an order (5'  $\rightarrow$  3') of AsTLP8-C Guide 2, AsTLP8-C Guide 1, and AsTLP8-C Guide 3 (Table SG). Each 1273 1274 gRNA is under the control of the Hordeum vulgare U3 promoter (Kumar et al. 2018). (C) 1275 Construct targeting AsTLP8 in the D subgenome. The designed gRNA are placed in an order (5' 1276  $\rightarrow$  3') of AsTLP8-D Guide 1, AsTLP8-D Guide 2, and AsTLP8-D Guide 3 (Table SG). Each

1277 gRNA is under the control of the *Hordeum vulgare* U3 promoter (Kumar et al. 2018).



Figure 3. 6: Digestion of *AsTLP8* targeting CRISPR/Cas9 constructs with SacI. Lanes 1, 2, and 3 contain the constructs targeting the A-, C-, and D-genome, respectively. The C lane contains the destination vector without the insertion of gRNAs and HvU3 promoters. The M lane contains a 1kb+ ladder (NEB). The insertions have three SacI cut sites leading to three segments. The first segment is present in lanes 1-3 at a size of 16,580bp. The two other segments are identical in size (777bp) and are also visible in lanes 1-3. The destination plasmid contains no cut sites and appears as one band in lane C (17,272bp).

1286 3.4.5 Transformation of oats using the *AsTLP8* gene-editing constructs

1287 The three gene-editing constructs were introduced into the common oat (v. Park) via 1288 microprojectile bombardment. Considering the presence of Hygromycin phosphotransferase in

1289 each construct, Hygromycin was used to select for calli carrying the foreign DNA. A total of 210,

1290 210, and 280 calli were bombarded for the AsTLP8-A, AsTLP8-C, and AsTPL8-D targeting

1291 constructs, respectively. The A-genome targeting plasmid (pTAN) demonstrated the highest

1292 plantlet regeneration frequency at 87.5% of the calli that survived Hygromycin selection.

1293 Meanwhile, the D- and C-genome targeting plasmids correspondingly demonstrated a 65% and

1294 66% plantlet regeneration frequency. Regenerated plantlets were then PCR tested for

1295 transformation using gRNA within their respective constructs (Table 3.2).

Construct	Plates Bombarded	Calli pieces	Selection Medium	Calli Passed Selection	Plantlets Regenerated	Regeneration Frequency (%)
pTAN	6	210	Hygromycin	48	42	87.5
pTCN	6	210	Hygromycin	40	26	65
pTDN	8	280	Hygromycin	53	35	66

1297 Table 3. 5: Regeneration frequency of gene-editing constructs.



1299

1300 Figure 3. 7: PCR-based analysis of putative pTAN transformed plants. Samples were PCR

amplified using the primers ASG1O1 and ASG3O2 (Table P). The M Lane contains a 1kb+

1302 ladder (NEB). Lanes 1-17 denote genomic DNA of plantlets that survived Hygromycin selection

and bombardment using the pTAN construct. Lane C1 contains the genomic DNA of the wild-

1304 type Park as a negative control, and C2 contains the pTAN construct as a positive control.

Samples were run on a 0.8% agarose gel. Lanes 2, 4, 6, 7, 13, 14, 16, 17, and C2 contain a band approximately the size of the expected 804bp.

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1312 Figure 3. 8: PCR-based analysis of putative pTCN transformed plants. Samples were PCR

amplified using the primers CSG2O1 and CSG1O2 (Table P). The M lane contains a 1kb+

1314 ladder (NEB). Lanes 1-17 denote genomic DNA of plantlets that survived Hygromycin selection

1315 and bombardment using the pTCN construct. Lane C2 contains the genomic DNA of the wild-

1316 type Park, and C1 contains water as negative controls. The C3 lane contains the pTCN plasmid

1317 as a positive control Samples were run on a 0.8% agarose gel. Lanes 14 and C3 contain a band

1318 approximately the size of the expected 784 bp.

1319



1320

1321 Figure 3. 9: PCR-based analysis of putative pTDN transformed plants. Samples were PCR

amplified using the primers DSG1O1 and DSG2O2 (Table P). The M lane contains a 1kb+

1323 ladder (NEB). Lanes 1-13 denote genomic DNA of plantlets that survived Hygromycin selection

1324 after bombardment using the pTDN construct. Lane C2 contains the genomic DNA of the wild-

type Park, and C1 contains water as negative controls. The C3 lane contains the pTDN plasmid

as a positive control. Samples were run on a 0.8% agarose gel. Lanes 6, 9, 11, 12 and C3 contain

a band approximately the size of the expected 784 bp.

1329 The PCR-based analysis confirmed transformants in all three constructs in the T0 generation

- 1330 (Figures 3.7-3.9). The pTAN construct also boasted the highest transformation frequency at 5.23%
- 1331 (Table 3.6). The AsTLP8-D (pTDN) targeting construct had a transformation frequency of 2.86,
- 1332 while the *AsTLP8-C* (pTCN) targeting construct had a 0.47% frequency.

1333 **Table 3. 6: Transformation frequency of gene-editing constructs** 

Construct	Calli pieces bombarded	Transformants (PCR +)	<b>Transformation Frequency</b> (%)
pTAN	210	11	5.23
pTCN	210	1	0.47
pTDN	280	8	2.86

1334 3.4.6 Analyzing the CRISPR/Cas9 efficiency in transgenic lines

1335 Plants confirmed to be transgenic were continued into the next generation (T1). The T1 generation 1336 was then screened for deletions caused by the gRNA and Cas9 targeting AsTLP8 homoeologs. 1337 Those transformed with the pTAN construct demonstrated no visible shift in band size (Figure 1338 3.10). The pTCN transformed T1 generation had a notable size shift in one sample, which 1339 potentially indicates a deletion within the C-genome AsTLP8. However, this sample contained two 1340 bands, suggesting that this suspected mutation is in the heterozygous state (Figure 3.11). Lastly, 1341 small size shifts were observed in the T1 generation of confirmed pTAN transformed T0 lines 1342 (Figure 3.12)



1344 Figure 3. 10: Screening for CRISPR/Cas9 induced deletion within the *AsTLP8-A* gene of T1

transgenic lines. Samples were PCR amplified using the A-genome specific AsTLP8A-In2F and

AsTLP8A-In3R primers (Table 3.1). Samples 1-12 indicate genomic DNA of the T1 generation of confirmed T0 transgenic lines. The C1 lane contains a negative water control, and the C2 lane

1348 contains the negative wild-type Park control. The M lane contains a 1kb+ marker. Samples were

run on a 2% agarose gel. Samples 1-12 and C2 had a band of approximately 605bp with no

1350 noticeable shift in size. The water control contained no band.



- 1352 Figure 3. 11: Screening for CRISPR/Cas9 induced deletion within the *AsTLP8-C* gene of T1
- 1353 transgenic lines. Samples were PCR amplified using the C-genome specific AsTLP8C-In2F and
- AsTLP8C-In2R primers (Table 3.1). Samples 1-16 indicate the genomic DNA of T1 generation
- 1355 of confirmed T0 transgenic lines. The C1 lane contains a negative water control, and the C2 lane
- 1356 contains the negative wild-type Park control. The M lane contains a 1kb plus (NEB) marker.
- 1357 Samples were run on a 2% agarose gel. Samples 1-12 and C had a band of approximately 531bp.

1358 The water control contained no band. Potential shifts in size can be seen in lanes 2, 5, 9, and 10.

1359 A clear shift can be seen in lane 6.



1360

Figure 3. 12: Screening for CRISPR/Cas9 induced deletion within the *AsTLP8-D* gene of T1 transgenic lines. Samples were PCR amplified using the D-genome specific AsTLP8D-In2F and AsTLP8D-In1R primers (Table 3.1). Lanes 1-16 indicate the genomic DNA of the T1 generation of confirmed T0 transgenic lines. The C lane contains the negative wild-type Park control. The M lane contains a 100bp marker (NEB). Samples were run on a 2% agarose gel. Samples 1-12 and C had a band of approximately 603bp. The water control contained no band. Potential variations in size can be seen in lanes 1, 2, 6, 7, 13, and 14.

1368The T1 plants of the pTDN lines that demonstrated shifts were sent for Sanger sequencing. There

1369 were no changes at the site of the second gRNA within any of the sequences (Figure 3.13). At the

1370 site of the first gRNA, there were discrepancies between the expected sequence and the sequencing

1371 results. Specifically, five of the six shown mutants demonstrated deletions or base pair changes

1372 bases three nucleotides upstream from the PAM sequence (CGG) (Figure 3.13). Evidence of gene

1373 editing was also visible within the site of the third gRNA. In four of the lines, a four-base deletion

1374 is visible three nucleotides upstream of the PAM sequence (CGG). In the same position, the line

1375 TD-1C-3 (Figure 3.13) demonstrated a 3-nucleotide deletion. Overall, the AsTLP8-D targeting

1376 guide 1, 2, and 3 demonstrated a mutation frequency of 70, 0, and 80%, respectively (Table 3.7).

1377 These gene-edited lines are being pursued further to develop homozygous lines for downstream

1378 analysis for homoeologous specific TLP8 expression (standardized in section 3.2.3) and beta-

1379 glucan content in mature and imbibed seeds.

# A

	Position(bp)	163	182	
	AsTLP8-D Guide 1	TGGAACATCA	ACGTGCCTGC	
	AsTLP8-D Wild Type	GGGGCAGTCATGGAACATCA	ACGTGCCTGC <mark>CGG</mark> CAC	Change
T1 Mutants	TD-1C-1	GGGGCAGTCATGGAACATCA	ACGTGCC <mark>CGG</mark> CAC	-3bp
	TD-1C-3	GGGGCAGTCATGGAACATCA	ACGTGCC <mark>G</mark> GC <mark>CGG</mark> CAC	T/G
	TD-1C-4	GGGGCAGTCATGGAACATCA	ACGTGCC <mark>G</mark> GC <mark>CGG</mark> CAC	T/G
	TD-1C-6	GGGGCAGTCATGGAACATCA	ACGTGCC <mark>G</mark> GC <mark>CGG</mark> CAC	T/G
	TD-5B-4	GGGGCAGTCATGGAACATCA	ACGTGCGC <mark>CGG</mark> CAC	-2bp
	TD-6D-4	GGGGCAGTCATGGAACATCA	ACGTGCCTGC <mark>CGG</mark> CAC	None

В

	Position(bp)	333	314	
	AsTLP8-D Guide 2	GTTGAGCCC	GAACTCGGCCA	
	AsTLP8-D Wild Type	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTCGG	Change
T1 Mutants	TD-1C-1	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None
	TD-1C-3	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None
	TD-1C-4	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None
	TD-1C-6	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None
	TD-5B-4	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None
	TD-6D-4	GAACTTGTTGAGCCC	GAACTCGGCCA <mark>GGG</mark> TGTTGGG	None

C

$\mathbf{C}$				
	Position(bp)	175	194	
	AsTLP8-D Guide 3	GTGCCTGC	CGGCACGACGGG	
	AsTLP8-D Wild Type	CATCAACGTGCCTGC	CGGCACGACGGG <mark>CGG</mark> GCGCGT	Change
	TD-1C-1	CATCAACGTGCC	CGGCACGACGGGCGCGT	-4bp
	TD-1C-3	CATCAACGTGCCGGC	CGGCACGACCGGGCGCGT	-3bp
Τ1	TD-1C-4	CATCAACGTGCCGGC	CGGCACGACGGGCGCGT	-4bp
Mutants	TD-1C-6	CATCAACGTGCCGGC	CGGCACGACGGG <mark>CGG</mark> GCGCGT	None
	TD-5B-4	CATCAACGTGCGC	CGGCACGACGGGCGCGT	-4bp
	TD-6D-4	CATCAACGTGCCTGC	CGGCACGACGGGCGCGT	-4bp

1381

1382 Figure 3. 13: Mutations detected through sanger sequencing of gene-edited T1 plants. Sequences were aligned using the Geneious alignment tool. The position row denotes the position of the 1383 1384 respective guide RNA within the AsTLP8-D gene. The PAM sequence of each gRNA is denoted in blue type. The gRNA is denoted in green type. (A) The site of gRNA 1 within the AsTLP8-D 1385 1386 sequence (Table 3.4). The D1 and expected have the same sequence based on the Sanger 1387 sequencing results. Two mutants demonstrate a deletion ranging from 2bp to 3bp three positions 1388 upstream of the PAM sequence (CGG). Likewise, three mutants have a one nucleotide change (T 1389  $\rightarrow$ G). (B) The site of gRNA 2 within the AsTLP8-D sequence (Table 3.4). All the gene-edited 1390 lines have no changes from the wild-type sequence based on the Sanger sequencing results. (C) 1391 The site of gRNA 3 within the AsTLP8-D sequence (Table 3.4). Five of the six gene-edited 1392 mutants demonstrated a 3bp to 4bp deletion next to the PAM sequence.

1393

Guide RNA	Transgenic T1	Transgenic T1 plants	Mutation	Predicted
	plants tested	with CRISPR/Cas9	frequency	Activity Score
		induced mutation		(Doench 2014)
AsTLP8-D	10	7	70%	0.62
Guide 1				
AsTLP8-D	10	0	0%	0.866
Guide 2				
AsTLP8-D	10	8	80%	0.35
Guide 3				

1395 Table 3. 7: Mutation frequency in *AsTLP8-D* of CRISPR/Cas9 by guide RNA.

## 1397 3.5 Discussion

1398 Beta-glucan comes in a plethora of forms across a vast range of species. This polysaccharide plays 1399 an essential role in prokaryotes, algae, fungi, and within cereal grains (Zeković et al. 2005). Within 1400 cereals, the unique beta-(1,3;1,4)-glucan exists. This soluble fibre plays a crucial role in glucose 1401 storage for the plant (Roulin et al. 2002). In terms of human nutrition, beta-(1,3;1,4)-glucan is 1402 important in reducing the incidence of cardiovascular heart disease (Cicero et al. 2020), reducing 1403 daily consumption (Lumaga et al. 2012), and lowering the rate of bacterial and viral infection 1404 (Daou and Zhang 2012). Despite the growing interest in oat consumption due to these health 1405 benefits, the body of knowledge surrounding the mechanism of beta-glucan production is deficient. 1406 So, the correlation between *HvTLP8* expression and beta-glucan content spikes interest. In this 1407 study, we first described the sequences of AsTLP8 in oat variety Park through PCR-amplifying 1408 and sequencing each homoeologue. The sequences of the variety OT3098 were also described, 1409 derived from the released OT3098 genome v1. Through comparing these sequences to the barley 1410 TLP8, significant differences were observed. The A-genome amino acid sequences in Park and 1411 OT3098 have a mutation within the second residue of the previously described sugar-binding motif 1412 (CQTGDCC) (Singh et al. 2017). This change is significant because Glutamine (Q) is a non-1413 charged amino acid, while the A-genome's glutamic acid (E) is positively charged. Considering 1414 the A-genome diploid species of oat have been associated with higher beta-glucan content (Welch et al. 2000), this change could suggest that this mutation contributes to a reduced sugar-bindinginteraction.

1417 Interestingly, this same residue was mutated in the C-genome of the Park variety. The second 1418 amino acid was changed from the neutral Glutamine (Q) to a positively charged Arginine (R). This 1419 result came as a surprise, as the C-genome diploid species are not known to have substantial beta-1420 glucan content. However, there is evidence that certain QTLs for beta-glucan content are not 1421 present within the C-genome. Specifically, the Cellulose synthase-like F6 (CslF6) of OT3098's C 1422 genome was, unlike the A- and D-genome, considered to have a neutral or negative contribution 1423 to the beta-glucan content in a genome-wide association study (GWAS) (Fogarty et al. 2020). In 1424 other words, the AsCslF6-C was not found within a beta-glucan controlling QTLs, suggesting that 1425 this homoeolog of the gene did not contribute to overall beta-glucan content. Another vital aspect 1426 to consider is that Glutamine and Arginine are considered flexible side-chains during ligand 1427 binding (Najmanovich et al. 2000). In other words, both have a high chance to undergo a 1428 conformational change during the binding of a ligand. As a sugar-binding domain, having similar 1429 flexibility would minimize the potential for functional changes. Further evidencing the potentially 1430 reduced binding of the A-genome homoeologue of AsTLP8, glutamic acid has a lower ligand 1431 flexibility score than Glutamine. Beyond the ligand flexibility scale, the change in charges cannot 1432 be discounted. Beta-glucan is a zwitterionic polysaccharide, meaning it carries both positive and 1433 negative charges (Chan et al. 2009). So, depending on the adherence site of the sugar-binding 1434 motif, a change in the charge could disrupt the binding of this motif with beta-glucan. Further 1435 studies are required to determine the effect of these charge-changing mutations on the beta-glucan 1436 binding described by Singh et al. (2017).

1437 The amino acid sequence of all AsTLP8 homoeologs in both varieties Park and OT3098 contain 1438 conserved residues in fungal and TLP beta-1,3-glucanases (Grenier et al. 2000). Many Thaumatin-1439 Like Proteins have been described to be involved in host defence against fungal infection. In spruce 1440 trees, certain TLPs were shown to inhibit the mycelial growth of pathogenic fungi through the 1441 degradation of beta-1,3-glucan in the cell wall (Liu et al. 2021). These conserved residues allude 1442 to the putative role of AsTLP8 in host defence. Still, the question remains: Could AsTLP8 also be 1443 involved in regulating beta-glucan content within oats itself? To answer this, it is essential to look 1444 at where TLPs are expressed and where beta-glucan is produced. In the case of both barley and 1445 oat, beta-glucan is found within the aleurone of the endosperm-coating bran (Butardo and 1446 Sreenivasulu 2016; Miller and Fulcher 2011). Similarly, during oat and barley seeds development, 1447 TLP expression has been shown to switch to the aleurone layer (Skadsen et al. 2000). It is not out 1448 of the realm of possibility that the expression of *HvTLP8* began as a host defence mechanism but 1449 now has the side effect of lowering beta-glucan content. To further explore this potential 1450 relationship, we sought to definitively correlate beta-glucan content to AsTLP8 homoeologs 1451 through CRISPR/Cas9 induced knockout.

1452 The TLP8 gene in Hordeum vulgare was demonstrated to have an inverse correlation with the 1453 beta-glucan content. So, this relationship was explored in the common oat. The expression of 1454 AsTLP8 between varieties with varying beta-glucan content was measured using quantitative RT-1455 PCR primers designed to target each homoeolog specifically. Relative gene expression between 1456 each variety demonstrated some variance in means (Figure 3.4). Nevertheless, in every 1457 homoeolog, the differences were not statistically significant based on the Tukey test (Keselman 1458 and Rogan 1977). However, comparing certain groups using an unpaired T-test did demonstrate 1459 significance. For example, the difference within the relative expression of AsTLP8-D in varieties

1460 Terra and Goslin were determined to be statistically significant using the T-test (p < 0.05). This 1461 result is intriguing, considering there are differences between the beta-glucan content of these two 1462 varieties in previous field trials (Appendix A8). Unfortunately, the beta-glucan content of oats is 1463 heavily influenced by their environment, and no definitive relationship can be extrapolated with 1464 these results. Future studies would benefit from comparing the same plant's beta-glucan content 1465 and relative gene expression. The relative expression was also measured in the mature seed. 1466 However, the relationship between HvTLP8 and beta-glucan was determined within the 1467 germinating seeds of barley (Singh et al. 2017). This study has standardized the measurement of 1468 relative gene expression in all three homoeologs of AsTLP8. In further studies, this process can be used to compare the beta-glucan content and gene expression of germinating seeds. In doing so, 1469 1470 the relationship described by Singh et al. (2017) can be investigated in the common oat.

1471 The CRISPR/Cas9 system opens the door for precise gene-editing experiments. This system has 1472 been used successfully in various plant species for improving biotic stress resistance (Ji et al. 2015; 1473 Wang et al. 2014c; Zhou et al. 2015), abiotic stress resistance (Shan et al. 2014; Shi et al. 2017; 1474 Wang et al. 2017b), and nutritional traits (Du et al. 2016; Ito et al. 2015). Despite the growing use 1475 of precise gene-editing tools, the CRISPR/Cas9 system has yet to be implemented in Avena sativa. 1476 Therefore, this study aimed to use this technique in oats for the first time. A total of three constructs 1477 (Figure 3.5), each targeting a different AsTLP8 homoeolog, were designed and transformed 1478 through microprojectile bombardment. The construct targeting AsTLP8-A, AsTLP8-C, and 1479 AsTLP8-D demonstrated a transformation frequency of 5.23, 0.47, and 2.86%, respectively. These 1480 transformation frequencies were much lower than previously reported studies of oat, which ranged 1481 from 26-35% (Cho et al. 1999; Somers et al. 1992). However, the transformed constructs in these 1482 studies (<6kb) were relatively small compared to those used in our study (18.1kb). It has been well 1483 documented that a larger plasmid leads to a lower transformation frequency (Li et al. 2017; Parveez 1484 and Majid 2008). Another potential explanation for a low transformation frequency could be the 1485 use of the Cauliflower mosaic virus 35S promoter (CaMV35S). Similar studies using this sequence 1486 as a promoter for Hygromycin resistance demonstrated a similar transformation frequency (Liang 1487 et al. 2021), suggesting that transgenic plants may have been eliminated during selection due to 1488 the low expression of *Hygromycin phosphotransferase*. In addition, a recent study from our lab 1489 also indicates a much lower transformation (1.9%) frequency when hygromycin was used in 1490 selection (Mahmoud et al. 2021). Nonetheless, PCR-confirmed transgenic lines were brought to 1491 the T1 generation and further analyzed.

1492 Every T1 plant was analyzed for an approximately 100bp deletion in their respective targeted 1493 homoeolog. In all but one plant, no apparent deletion is visible (Figure 3.10-3.12). It is essential 1494 to consider that the designed 100bp deletion is only one of the possible outcomes. For a deletion 1495 to occur, both ends of the desired deletion must have a double-stranded break (DSB) at the same 1496 moment. In this case, the NHEJ repair mechanism will ligate the two blunt ends, thereby removing 1497 the desired sequence. However, in the absence of the second DSB, the NHEJ repair mechanism 1498 repairs the strand, leading to an indel. To add, even in the case of simultaneous DSBs, inversion 1499 and reinsertion are possibilities (Canver et al. 2014). In other words, even when the desired 1500 sequence has been excised with CRISPR/Cas9, the NHEJ mechanism may repair the sequence 1501 back into its original position (reinsertion) or flip it (inversion). Still, reports have shown that 1502 deletions of up to 431bp have demonstrated deletion frequencies of 21% in rice (Wang et al. 1503 2017b). The low deletion frequency may also be explained by the promoter used for each sgRNA. Within the constructs, the inserted sgRNA were under the control of the Hordeum vulgare U3 1504 1505 promoter (HvU3). The study from which the HvU3 promoter was derived developed this promoter 1506 in response to a similar concern that we faced in our results (Kumar et al. 2018). When using 1507 promoters of other cereal species to drive sgRNA expression, such as the Oryza sativa U6 (OsU6) 1508 promoter and Triticum aestivum U6 (TaU6) promoter in CRISPR/Cas9 gene editing, low mutation 1509 rates were observed in barley (Gasparis et al. 2018; Kapusi et al. 2017; Lawrenson et al. 2015). 1510 Future CRISPR/Cas9 gene-editing experiments in oat would benefit from establishing an efficient 1511 endogenous U3/U6 promoter. The activity score of the sgRNA may have also contributed to the 1512 low deletion efficiency of our gene editing constructs. Considering the strong similarities between 1513 the three AsTLP8 homoeologs, the specificity score was prioritized over the activity score (Figure 1514 SG). In some cases, the Doench et al. (2016) activity score is below 0.5. In these cases, the mutation 1515 frequency is predicted to be low.

1516 The pTDN T1 lines demonstrating a potential shift (Figure 3.12) were sent for further Sanger 1517 sequencing analysis. Within the ten sequences sent for analysis, the AsTLP8-D targeting guide 1518 RNA 1, 2, and 3 had CRISPR/Cas9-induced mutations frequencies of 70%, 0% and 80%, 1519 respectively. The mutation frequencies of gRNAs 1 and 3 are higher than previously reported 1520 values of 7-48% in maize and wheat (Char et al. 2017; Tang et al. 2021). Interestingly, these 1521 frequencies are similar to those of the study by Kumar et al. (2018) in barley (77%), from which 1522 the gene-editing constructs were received. As previously mentioned, the gRNA mutation 1523 frequency is highly variable and depends on the gRNA sequence, position on the genomic DNA 1524 sequence, and promoter used (Doench et al. 2016; Kumar et al. 2018). The Doench (2014) activity 1525 score did not reliably predict the mutation frequency of each gRNA (Table 3.7). This prediction 1526 model is based on the activity of gRNA within animal cells, which may explain the discrepancy 1527 between the predicted and actual mutation frequency. To further evidence this, Naim et al. (2020) 1528 compared various gRNA optimizing tools against In vivo effectiveness in Nicotiana benthamiana.

This study found no statistically significant correlation between predicted and *in vivo* efficiency.
So, most gRNA optimizing tools are not a good indicator of *in vivo* gene-editing activity.

1531 Ten lines were screened for CRISPR/Cas9-induced mutations. The detected mutations included 1532 deletions and nucleotide substitutions. However, no insertions were demonstrated. Although the 1533 NHEJ repair mechanism typically causes both, a study by Song et al. (2021) showed that the gRNA 1534 can skew towards a preferred mutation in some cases. This study demonstrated that some targets 1535 demonstrated a deletion mutation rate of more than 80%. Considering the number of mutants 1536 detected and the tendency of some guide RNA target sites to cause deletions, it is understandable 1537 that no insertions were detected. Overall, this is the first instance of successful CRISPR/Cas9-1538 induced gene-editing within the common oat.

#### 1539 3.6 Future studies

1540 The presented study is the foundation for future works in divulging the relationship between *TLP8* 1541 and beta-glucan in oat. There are many avenues to explore to truly characterize the role of AsTLP8 1542 homoeologs in the common oat. Our results demonstrate that certain homoeologs have mutations 1543 within the previously described sugar-binding motif (Singh et al. 2017). As discussed, these 1544 mutations lead to residue changes that could have significant impacts on the physiochemistry of 1545 TLP8 binding with beta-glucan. Subsequent studies demonstrating both the binding of AsTLP8 1546 homoeologs with beta-glucan, and the effect of mutations on these putative bindings would be 1547 crucial to confirm any potential interaction between the two parties. Preliminary results 1548 demonstrate that there was no statistically significant difference between the varieties differing in 1549 beta-glucan content and the gene expression of the AsTLP8 homoeologs (Figure 3.12). However, 1550 the expression of these homoeologs was measured within the mature seeds of each variety. On the 1551 other hand, the relationship between the beta-(1,3;1,4)-glucan content and HvTLP8 expression in
barley was determined within germinating seeds (Singh et al. 2017). Future work would benefit
from comparing the beta-glucan content of each oat variety with the *AsTLP8* homoeologs in
imbibed seeds.

The homoeologs of *AsTLP8* in oat contained conserved residues in *TLPs* demonstrating betaglucanase activity (Grenier et al. 2000). However, these residues do not prove that *AsTLP8s* contain beta-glucanase activity. Measuring the hydrolyzing capabilities of these proteins would provide further clues to the role of *AsTLP8* homoeologs in *Avena sativa*.

This study demonstrates the first case of CRISPR/Cas9-induced gene editing in the common oat. Although the process yielded success, improvements can be made within the process. Namely, the HvU3 promoter is used to drive the gRNA. In the study by Kumar et al. (2018), the HvU3 promoter was characterized and optimized because the U3 promoter from wheat and rice demonstrated relatively weaker efficiency in barley. Using similar methods, the U3 promoter within *Avena sativa* should be identified and optimized to improve efficiency in further CRISPR/Cas9 experiments in oat.

1566 The confirmed CRISPR/Cas9 mutated lines will be analyzed further. Primarily, the influence of 1567 AsTLP8-A, -C, and -D knockouts on the beta-glucan content will be measured. Thaumatin-like 1568 proteins are involved in host defence, abiotic stress resistance, and cell signalling (Liu et al. 2010; 1569 Liu et al. 2021). Specifically, in the naked oat (Avena nuda), TLPs have been shown to play a 1570 crucial role in resisting fungal pathogens (Liu et al. 2019). Although the primary purpose of this 1571 study was to explore the relationship between AsTLP8 homoeologs and the beta-glucan content, 1572 these proteins may also play some role in host defence. Therefore, quantifying any change in the 1573 pathogen resistance in AsTLP8-A, -C and -D knock-out mutants is an essential future perspective to consider. 1574

#### 1575 Chapter IV General Conclusion

1576 The common oat (Avena sativa) is a valuable crop in Canada. The importance of oats in Canada 1577 is compounded by the growing interest in its health benefits. This cereal contains its own class of 1578 strong antioxidants, a high protein content, and soluble dietary fibre (Andersson and Börjesdotter 1579 2011; Hastings and Kenealey 2017; Mäkinen et al. 2017). These soluble fibres, beta-(1,3;1,4)-1580 glucan, are of economic importance because of their various applications, including skin health 1581 (Du et al. 2014), antitumour activity (Choromanska et al. 2018), and cholesterol-lowering 1582 capabilities (Theuwissen and Mensink 2008; Whitehead et al. 2014). Therefore, one can 1583 understand the importance of understanding the synthesis mechanism of these valuable 1584 components of cereal grains. Singh et al. (2017) demonstrated an inverse relationship between the 1585 beta-glucan content in barley and the expression of *Thaumatin-like protein 8 (TLP8)*. This study 1586 sought to investigate this relationship within the common oat.

1587 Our first objective was to determine whether *TLP8* orthologs were present in oats and whether the 1588 same relationship with beta-glucan was observed. First, the sequences of each TLP8 homoeolog 1589 within Avena sativa were determined. When compared to the sequence in barley, exciting changes 1590 were observed. Within the previously described sugar-binding motif (Singh et al. 2017), the A-1591 and C-genome AsTLP8 homoeologs of the variety Park demonstrated a mutation in the second 1592 residue. Furthermore, residues described as being conserved in TLPs boasting beta-glucanase 1593 activity were also present in every AsTLP8 homoeolog. To further explore the relationship between 1594 beta-glucan and the AsTLP8 genes, the relative gene expression in the mature seed of 6 varieties 1595 was measured through qRT-PCR using homoeolog-specific primers. No statistically significant 1596 difference was observed within the varieties in any of the AsTLP8 homoeologs. However, the 1597 relationship between *HvTLP8* and dietary fibre was within germinating seeds (Singh et al. 2017).

1598 Therefore, the measurement of *AsTLP8-A*, *-C*, and *-D* gene expression has been standardized to 1599 analyze further this relationship within germinating oat seeds.

1600 The second objective was to knockout AsTLP8 homoeologs in the variety Park using the 1601 CRISPR/Cas9 system. Three constructs, each containing three guide RNA, were designed to 1602 separately target the different AsTLP8 sequences. The guide RNAs were designed to create a 1603 100bp deletion detectable through PCR-based analysis. Through microprojectile bombardment, 1604 the constructs pTAN, pTCN, and pTDN demonstrated transformation frequencies of 5.23, 0.47, 1605 and 2.86%, respectively. The T1 generation of the PCR-confirmed pTDN transformants was sent 1606 for Sanger sequencing. The majority of the transformants sequenced demonstrate CRISPR/Cas9-1607 induced mutations at one of the predicted sites. These results show the first-ever successful gene-1608 editing of Avena sativa using the CRISPR/Cas9 system. Future research should first and foremost 1609 include an analysis of the AsTLP8 mutations on the overall beta-glucan content.

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## 1613 Appendices



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Appendix A 1: Shuttle vector carrying the first sgRNA. Replicon of pMB1 demonstrates the replication origin of the shuttle vector backbone. The *bla* CDS is the coding sequence of betalactamase, which confers ampicillin resistance. The lacZ CDS is the coding sequence for betagalactosidase, which cleaves X-gal to act as a marker for successful plasmid transformation. pHvU3 is the U3 promoter optimized for *Hordeum vulgare* (barley) (Kumar et al. 2018). The CmR sequence gives chloramphenicol resistance. The ccdB sequence produces a postsegregational killing (PSK) toxin. The shuttle vector contains a BpiI (BbsI) cut site before the

1622 CmR and after the ccdB CDS. The shuttle vector also contains a BsaI cut site that leads to

1623 overhands of ACTA and CGGT.



1625

Appendix A 2: Shuttle vector carrying the second sgRNA. Replicon of pMB1 demonstrates the replication origin of the shuttle vector backbone. The bla CDS is the coding sequence of beta-

1628 lactamase, which confers ampicillin resistance. The lacZ CDS is the coding sequence for beta-

1629 galactosidase, which cleaves X-gal to act as a marker for successful plasmid transformation.

1630 pHvU3 is the U3 promoter optimized for *Hordeum vulgare* (barley) (Kumar et al. 2018). The

1631 CmR sequence gives chloramphenicol resistance. The ccdB sequence produces a post-

1632 segregational killing (PSK) toxin. The shuttle vector contains a BpiI (BbsI) cut site before the

1633 CmR and after the ccdB CDS. The shuttle vector also contains a BsaI cut site that leads to

1634 overhands of CGGT and GCAC.



1637 Appendix A 3: Shuttle vector carrying the third sgRNA. Ori demonstrates the replication origin

1638 of the shuttle vector backbone. The AmpR is the coding sequence of beta-lactamase, which

1639 confers ampicillin resistance. The lacZ CDS is the coding sequence for beta-galactosidase, which

1640 cleaves X-gal to act as a marker for successful plasmid transformation. pHvU3 is the U3

1641 promoter optimized for *Hordeum vulgare* (barley) (Kumar et al. 2018). The CmR sequence gives

1642 chloramphenicol resistance. The ccdB sequence produces a post-segregational killing (PSK)

1643 toxin. The shuttle vector contains a BpiI (BbsI) cut site before the CmR and after the ccdB CDS.

1644 The shuttle vector also includes a BsaI cut site that leads to overhands of GCAC and GGGA.



1647 Appendix A 4: Destination vector of the CRISPR/Cas9 gene editing constructs. Rep origin

1648 demonstrates the replication origin (PVS1) of the destination vector backbone. ParA CDS and

1649 ParB CDS denotes the coding sequences of the partitioning proteins ParA and ParB,

1650 respectively. The aadA CDS is the coding sequence of streptomycin adenyltransferase, which

1651 confers streptomycin resistance. The *hph* CDS produces hygromycin phosphotransferase and

1652 provides Hygromycin resistance. pHvU3 is the U3 promoter optimized for *Hordeum vulgare* 

1653 (barley) (Kumar et al. 2018). pZmUbifragment denotes the Zea mays ubiquitin promoter. Cas9-p

1654 encodes for *Streptococcus pyogenes* Cas9 protein. The CmR sequence confers chloramphenicol
 1655 resistance. The ccdB sequence produces a post-segregational killing (PSK) toxin. The shuttle

1656 vector contains a Bpil (BbsI) cut site before the CmR and after the ccdB CDS that lead to

- 1657 overhangs of ACTA and GGGA.
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1663 Appendix A 5: Sequences of *HvTLP8* orthologs in *Avena sativa* variety Park

Species	Genome	Sequence
Avena sativa v. Park	A	ATGGCGTCGGCAGCCGTCTCCTCCGCCCTGCGCGTCCTGCCTCTGTTCCTC CTCGTCGCCGCCGCCACGCGGCCACGTTCACCGTCACCAACAAGTGCCA GTTCACCGTGTGGGGGGCGGCGGCCGGCGGCGGGCGGCAGCAGCGGCGC CGGGGCAACAGTGGAAGGTCGAAGTGGCGGCGGCCGGCACGACCAGCGGGCG CGTGTGGGCGCGCACGGGCTGCAACTTCGACGGCAGCGGCAACGGGGAAG TGCGAGACGGGCGACTGCGGCGGCAAGCTGCAGTGCACGTAGTACGGGC AGGCGCCCAACACGCTGGCCGAGTTCGGGCTGAACCAGTACGAAGGCCA GGACTTCATCGACATCTCCGTCATCGACGGCTTCAACGTGCCCATGGACT TCCTGCCCGCCGACGGCACCACCGGGTGCCCCAAGGGCGGGC
	С	ATGGCATCCCTCTCCACCTCTTCCATGTTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGGCGGCCGTGCCGGTCGGCGGGGGCAGGAAGCTCGAC CCGGGGCAGACATGGAACATCAACGTGCCGGCCGGCACGACAAGCGGGC GCGTGGGGGCGCGCACGGGCTGCAACTTCGACGGCAGCGGCAACGGGCG GTGCCGGACGGGCGACTGCGGCGGCAAGCTGCAGTGCACGCAGGACGGGC CAGGCGCCCAACACGCTGGCCGAGTTCGGGCTCAACAAGTTCAACAACCT CGACTTCTTCGACATCTCCCTCATCGACGGCTTCAACGTGCCCATGAACTT CCTGCCGGCCGGCAGCGGCGCGGGGGGCCCCAAGGGCGGGC
	D	ATGGCATCCCTCTCCACCTCTTCCATGCTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGGCGGCGGCGGCGGCGGGGGGGGGG

1668 Appendix A 6: Sequences of *HvTLP8* orthologs in *Avena sativa* variety OT3098

Species	Genome	Sequence	
Avena sativa v. OT309 8	A	ATGGCATCGGCAGCTGCCTCCTCCGCCCTGCGCGTCCTCCCTC	
	С	ATGGCATCCCTCTCCACCTCTTCCATGTTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGCGCGCGGCGGCCGGCCGGCGGGGGGGG	
	D	ATGGCATCCCTCTCCACCTCTTCCATGCTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGCGGCGGCGGCGGCGGGGGGGGGG	

1671 Appendix A 7: Sequences of *HvTLP8* orthologs in *Avena byzantina* (red oat)

Species	Genome	Sequence		
Avena byzantin a	А	ATGGCATCGGCAGCTGCCTCCTCCGCCCTGCGCGTCCTCCCTC		
	С	ATGGCATCCCTCTCCACCTCTTCCATGTTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGGCGGCGGCCGTGCCGGTCGGCGGGGGCAGGAAGCTCGAC CCGGGGCAGACATGGAACATCAACGTGCCGGCCGGCACGACAAGCGGGC GCGTGTGGGCGCGCACGGGCTGCAACTTCGACGGCAGCGGCAACGGGGG GTGCCAGACGGGCGACTGCGGCCGACGACGACGACGACGACGGGG CAGGCGCCCAACACGCTGGCCGAGTTCGGGCTCAACAAGTTCAACAACCT CGACTTCTTCGACATCTCCCTCATCGACGGCTTCAACGTGCCCATGAACTT CCTGCCGGCCGGCAGCGGCGCGGGGGCCGGGGTGCCCCAAGGGCGGCGGGGGCGGGGGGCGGGGGCGGGGGCGGGGCGGGG		
	D	ATGGCATCCCTCTCCACCTCTTCCATGCTGCCCGTGCTCCTCCTCTTGCTC GTCGCTGCCGCCGACGCGGCGGCGACCTTCACCGTCACCAACAAGTGCCAGTA CACGGTGTGGGCGGCGGCGGCGGCGGGCGGGGGGGGGG		

	Variety	Beta-glucan content (g/kg d.w.)	Reference
	AC Morgan	37	.8 POGA (2018)
	Terra		57 Havrlentova and Kraic (2006)
	Marion	58	.7 Lee et al. (1997)
	CDC Morrison	47	.1 Herrera et al. (2016)
	Goslin	2	47 Blake et al. (2016)
	OT3098		50Beattie and Rossnagel (2017)
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1672 Appendix A 8: Beta-glucan content by dry weight (d.w.) of various Avena sativa varieties.

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