# Rectilinear Computational Geometry

by

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### Abstract

In this thesis it is demonstrated that the structure of rectilinear polygons can be exploited to solve a variety of geometric problems efficiently. These problems include.

- (1) recognizing polygonal properties, such as star-shapedness, monotonicity, and edgevisibility,
- (2) removing hidden lines,
- (3) constructing the rectilinear convex hull,
- (4) decomposing rectilinear polygons into simpler components, and
- (5) placing guards in rectilinear polygons.

A new tool for computational geometry is introduced which extracts information about the winding properties of rectilinear polygons. Employing this tool as a preprocessing step, efficient and conceptually clear algorithms for the above problems have been designed.

Dans cette thèse nous démontrons que la structure des polygones rectilignes permet de résoudre toute une gamme de problèmes géométriques associés à ces polygones. En particulier, nous étudierons:

- (1) la vérification de propriétés telles la configuration en étoile, la monotonicité et la visibilité d'une arête
- (2) la suppression des lignes cachées
- (3) la construction d'une region convexe et rectiligne qui contient le polygone choisi
- (4) ' la décomposition en corps simples
- (5). l'insertion de "surveillants" a l'intérieur d'un polygone.

Nous présentons un outil géométrique qui accumule des renseignements au sujet des propriétés "circulaires" des polygones rectilignes. Plusieurs algorithmes clairs et efficaces ont été développés en utilisant cet outil comme étape initiale.

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Meinen Eltern Gewidmet

# Chapter 1 Introduction

# 1.1. Computational Geometry, some Terminology and Methodology

Ge6metry is a subject that has inspired Man for thousands of years. It has played an integral role in the origin of mathematics and other related sciences. Geometrical problems arise in such areas as computer graphics, pattern recognition, image analysis, robotics and VLSI. Consequently, geometrical algorithms have been developed in these fields. As a discipline of its own computational geometry did not become recognized until after the dissertation of M. Shamos in 1978 [Sh77]. Shamos showed that the available knowledge in classical geometry does not always provide us with the right "ammunition" to solve certain problems efficiently. The aim of computational geometry is to design efficient and possibly optimal algorithms for solving geometrical problems.

The efficiency of a solution for a given geometrical problem is often dependent on the specific nature of the objects involved. Knowledge of the type of objects is frequently available when a geometrical problem arises in a particular environment. A first distinction is whether the objects are given as sets of points or as polygons. A more detailed analysis might reveal structural knowledge to determine into which class of polygons, if any, the objects fall. Often algorithms for structured polygons are easier to write, thus easier to prove correct, and exhibit lower space/time complexities. We illustrate these points by first considering a problem which is harder for a set of points than for a polygon. We then demonstrate that it might be easier to design algorithms for certain restricted classes of polygons rather than for arbitrary simple, i.e. not self-

intersecting polygons.

Consider the task of determining the convex hull of an arbitrary set of points versus that of a simple polygon connecting these points. A polygon is convex if all its internal angles are less than 180°. The convex hull of a set points is defined as the minimum area convex polygon enclosing all points, (see Figure 1.1). The vertices of the convex hull are points of the set. The convex hull of a simple polygon is defined analogously. The convex hull of a set of n points can be determined in O(n log n) time and this is optimal [Sh77, Ya79, Gr72]. Kirkpatrick and Seidel [KiS83] have recently shown that the convex hull of a set of n points can be determined in O(n log h) time, where h is the number of vertices on the convex hull. However, linear time algorithms for computing the convex hull of a simple polygon exist [McA79, Le83a, GrY83, BhE81]. To obtain these bounds, the implicit order of the vertices along the boundary of the polygon has been exploited.

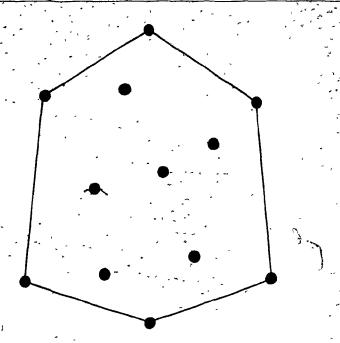


Figure 1.1

The convex hull of a set of points.

If not otherwise mentioned, we will assume all objects considered in this thesis are simple polygons. Furthermore, we specify a polygon P by its vertices  $(p_1,...,p_n)$  listed in clockwise order. Each vertex is specified by its Cartesian coordinates. An edge  $e_i$  of P is the line-segment joining  $p_{i-1}$  to  $p_i$  (we identify  $p_0$  with  $p_n$  and use modulo operations on all index operations). Polygons are assumed to be in standard form, i.e. no three consecutive vertices are collinear and  $e_i$  is the edge with maximum y-value.

The Jordan Curve Theorem for polygons states that a simple polygon partitions the plane into two disjoint regions, the *interior* and the exterior, which are separated by the boundary of the polygon. The *inside* of the polygon is the interior together with its boundary. Similarly we define the outside.

Before proceeding we define several structural properties of polygons, illustrated in Figure 1.2.

A polygonal chain  $C_{i,j}$  is a sequence of consecutive vertices  $p_i,...,p_j$  of P. A polygonal chain is monotone with respect to a line  $\ell$  if the projections of  $p_k$ , k=i,...,j on  $\ell$  are ordered in exactly the same way as the vertices in  $C_{i,j}$ .

A polygon is monotone if there exists a line  $\ell$  s.t. the boundary of P can be partitioned into two chains  $C_{i,j}$  and  $C_{j,i}$  each of which is monotone with respect to  $\ell$ .

A point x in P sees a point y in P, if the open line segment joining x and y lies inside P. In case that a vertex  $p_i$  sees a vertex  $p_j$ , the line segment  $p_i p_j$ , if inserted into P, is called (internal) diagonal of P. In case that the open line segment  $p_i p_j$  lies completely in the exterior of P, we refer to  $p_i p_j$  as an external diagonal of P.

A polygon P is star-shaped if there exists at least one point x inside P such that x can see the entire polygon. The set of all points x from which the

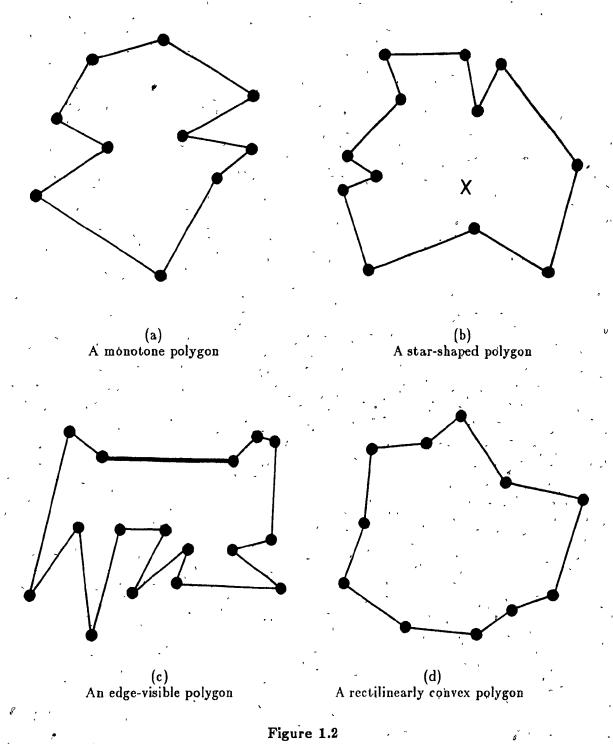
A polygon is weakly visible from an edge pq of P if for every point x in P there exists a point y on pq such that y sees x. A polygon is edge-visible if there exists an edge from which the polygon is weakly visible.

A polygon P is rectilinearly convex if every pair of points in P having the same y-coordinate or x-coordinate is visible. It should be clear that every convex polygon is rectilinearly convex but that the converse is not necessarily true.

We are given the task of solving a certain geometrical problem involving polygons. If the polygons have specific structural properties, it may often be possible to exploit these to obtain a clearer algorithm design or to gain efficiency. We now illustrate this by considering the triangulation problem; where the task is to insert diagonals into a given n-vertex polygon P such that P is partitioned into triangles with non-intersecting interiors. In linear-time one can triangulate star-shaped, monotone, edge-visible and convex polygons, (see the algorithms described in [GJPT78, ScL80, ToA82, To83]). In contrast to this, any simple polygon can be triangulated in O(n log n) time [GJPT78, Ch82, Chl83]. However, this has not yet been shown to be optimal, i.e. no non-trivial lower bound on this problem exists. Other examples of problems for which fast algorithms exist for restricted polygon classes include point inclusion in convex or star-shaped polygons [Sh77], medial axis of a convex polygon [Pr77], and intersections between convex or star-shaped polygons [Ch80, MF82].

Note that all complexity results stated in this thesis are worst-case analyses with the underlying machine model of a real RAM, as described in [AHU74]. Unless otherwise stated, all complexities stated in this thesis are functions in the number of vertices of the polygons under consideration.

Imagine now an environment in which little or no knowledge about the specific



Various types of polygons.

structure of the input to the geometric task is available. Even in under these circumstances, we may still be able to use some of the efficient algorithms which work correctly only on restricted classes of polygons. To achieve this, the usual strategy is to decompose the polygon into simple components, solve the problem on each component using a specialized algorithm, and then combine the partial solutions [GJPT78, ScL80, MF82, AT81]. This strategy is a primary motivation for the development of efficient decomposition techniques.

Polygons are typically decomposed into convex, star-shaped, monotone, or edge-visible polygons. We say that two polygons are non-overlapping if their interiors are non-intersecting. A decomposition is called a partitioning if the object is decomposed into non-overlapping pieces. If overlapping pieces are allowed, the decomposition is called a covering. Algorithms to partition arbitrary simple polygons into convex polygons [FeP75, ChD79, Ch82], star-shaped polygons [AT81], monotone polygons [GJPT78], triangles [GJPT78, Ch183] and trapezoids [AA83] have appeared in the literature. Given an n-vertex simple polygon, these decomposition techniques typically exhibit a worst-case run-time of O(n log n). For a survey of polygon decomposition techniques see [To80, KS84].

Decomposing a polygonal object into simpler component parts can be done with or without introducing additional vertices which are called *Steiner points*. Whether or not Steiner points are allowed often makes a big difference in the solution of a problem Clearly some problems are only solvable if Steiner points are allowed.

These decomposition techniques have also received attention in such areas as pattern recognition and image analysis [Sk70, FcP75]. In pattern recognition, one extracts information from an object in order to identify or classify it. A description of an object can be an enumeration of its simple components, and a characterization of the relation among them [FcP75, Pa77].

From the practical as well as theoretical point of view, the class of rectilinear

polygons merits attention. Rectilinear polygons are defined as polygons whose edges are parallel to either of two given orthogonal directions. In order to obtain shorter algorithm descriptions, we assume that in any rectilinear polygon considered, no two horizontal (vertical) edges have the same y-coordinate (x-coordinate). In image processing, the boundaries of objects are stored on a grid which usually implies that digitized images are rectilinear polygons. Other typical domains where rectilinear polygons naturally occur are VLSI design and processing of satellite data. We see rectilinearity as another source of structure in polygons, which originates from the specific hardware being used. We will call the computational geometry which deals with rectilinear polygons, rectilinear computational geometry (some authors [Wö84] prefer isothetic instead of rectilinear).

#### 1.2. Thesis Results Related to the Literature

In this thesis, we show how to exploit the rectilinear structure for the design of efficient algorithms. Most of the results obtained in this thesis are directly or indirectly tied to visibility problems in rectilinear polygons. In the first part of the thesis we introduce a new tool in rectilinear computational geometry, called the *labeling scheme*. We found this tool useful for almost all aspects of rectilinear geometry discussed in this thesis. In Section 3.1 we give a construction for generating rectilinear polygons algorithmically. Besides a theoretical aspect, also discussed in Section 3.1, this has the practical benefit of being able to generate n-vertex rectilinear polygons for any choice of n.

As mentioned above, an important aspect in the design of efficient algorithms is the presence or absence of structure in polygons. In Section 3.2 we therefore turn our attention to the design of efficient algorithms for testing rectilinear polygons for structural properties. We can test rectilinear polygons for star-shapedness, monotonicity, rectilinear convexity and edge-visibility in linear time. Previously the best algorithm for testing a polygon for edge-visibility had been quadratic in the

number of vertices [AT81a]. Linear-time algorithms for testing a polygon for monotonicity [PrS81] or star-shapedness [LeP79] had been known previously. The rectilinear structure allows us to develop more efficient algorithms. Efficiency is of particular importance in rectilinear geometry since the rectilinear images produced by today's scanning devices typically contain large quantities of points.

In Chapter 4 we present efficient linear-time hidden-line elimination algorithms for several models of visibility. Prior to the work described in this thesis, the best algorithm for hidden-line elimination in polygons was linear and utilized three stacks [ElA81]. The hidden-line algorithm presented here exhibits the same worst-case time complexity but uses only one stack and is conceptually simpler [Sa83]. Recently, Lee [Le83] independently obtained a similar algorithm. The newly developed tool allows for a unified, succinct approach to solving these rectilinear computational geometry problems. In Chapter 5 we show further applications of the labeling-scheme to a shortest path problem, and a convex hull problem relevant to rectilinear geometry, the determination of the rectilinear convex hull.

The last chapter of this thesis is devoted to decomposition problems in rectilinear computational geometry. As an application of a particular partitioning problem, we discuss the guard-placement problem for rectilinear polygons. The problem description in its more general form is due to Klee [Ho76] in 1973. It can be stated as follows. What is the minimum number of guards always sufficient to see the inside of an n-vertex polygon? Guards are placed on fixed locations. The first solution is due to V. Chva'tal who showed that  $\left\lfloor \frac{n}{3} \right\rfloor$  guards are always sufficient (and sometimes necessary) [Ch75]. A simpler proof based on triangulation was later given by Fisk [Fi78]. Kahn, Klawe and Kleitman [KKK83] showed that in the case of rectilinear polygons,  $\left\lfloor \frac{n}{4} \right\rfloor$  guards suffice. This bound is also tight in the sense that for some rectilinear polygons,

 $\left|\frac{n}{4}\right|$  guards are also necessary. As will be illustrated in Chapter 6, the main step in

their proof is to show that rectilinear polygons can be partitioned into convex quadrilaterals. A partitioning of a polygon P into convex quadrilaterals will be called a (convex) quadrilaterization of P. Their primary concern was to show the existence of a convex quadrilaterization for rectilinear polygons, whereas our interest is in developing efficient algorithms to actually perform such a task.

The basic building units in designing our algorithms are a special class of edgevisible rectilinearly convex polygons, which we will call pyramids. First we demonstrate how to quadrilaterize pyramids Next we present an algorithm to quadrilaterize rectilinear star-shaped polygons by first partitioning them into pyramids, then quadrilaterizing these and subsequently merging the resulting solutions. We then show how to extend these results to rectilinear polygons which are monotone in some direction. We employ the same basic methodology of partitioning the polygon into pyramids and merging the resulting pyramid quadrilaterizations. Both algorithms are optimal, i.e. the run-time is linear in the number of vertices. Subsequently we show how to partition an arbitrary rectilinear polygon into monotone pieces. Notice however, that not every decomposition of a rectilinear polygon into monotone pieces will produce polygons that are rectilinear As not every arbitrary monotone polygon is quadrilaterizable, the decomposition step has to ensure that only those monotone components are created that do admit a quadrilaterization. The run-time of partitioning an n-vertex rectilinear polygon into monotone polygons admitting quadrilaterization turns out to be O(n log n). Thus the entire task to quadrilaterize an n-vertex rectilinear polygon can be performed in O(n log n) time.

Often when dealing with a decomposition, one is interested in minimizing either its weight or the number of pieces created by the decomposition. The weight of a decomposition may be defined as the sum of the edge-lengths (usually Euclidean) of all

diagonals. Klincsek [Kl80] describes an  $O(n^3)$  algorithm for the minimum-weight triangulation of a simple n-vertex polygon. In a joint work with M. Keil, we showed how to solve the minimum-weight quadrilaterization problem in  $O(n^4)$  time [KS81].

The algorithms presented in this thesis were implemented in part by Shigeo Inoue as a Master's Project under G. T. Toussaint at McGill University, Montréal, and in part by James Dean, Kai Ng and Mark Waldvogel as course projects in CS-95-590 at Carleton University, Ottawa under the author's supervision. This thesis presents and analyses the algorithms from a theoretical point of view. A discussion of implementation considerations may be found in [In82] and [DNW84].

#### 1.3. Summary of Results

The main results of the thesis can be briefly summarized as follows:

- (1) A new tool, the labeling scheme, for rectilinear polygons is introduced and analyzed. The technique captures information about the winding properties of rectilinear polygons, making processing in subsequent algorithms simpler.
- (2) A linear-time algorithm for detecting whether a given rectilinear polygon is edgevisible is presented. This improves the  $O(n^2)$  algorithm for arbitrary polygons suggested in [AT81a]. A variety of classes of rectilinear polygons are characterized.
- (3) Improvement in the efficiency of algorithm for detecting monotonicity and rectilinear convexity of rectilinear polygons.
- (4) New, efficient algorithms, based on the labeling scheme, for hidden-line elimination for rectilinear polygons have been designed. Based on these algorithms, an algorithm for the construction of the convex hull of a rectilinear polygon and an algorithm for a shortest rectilinear path problem has been designed
- (5) Algorithms for quadrilateralization of rectilinear polygons have been designed.

The algorithms described for star-shaped rectilinear polygons and for monotone rectilinear polygons require linear time, which is optimal. The algorithm for simple rectilinear polygons requires  $O(n \log n)$  time. These quadrilateralization algorithms were the first known algorithms for placing  $\left\lfloor \frac{n}{4} \right\rfloor$  guards in rectilinear polygons.

### Chapter 2

### A New Tool for Computational Geometry

In this chapter we introduce a new tool for designing efficient algorithms in rectilinear computational geometry. The key idea is to extract information about the "winding properties" of a given rectilinear polygon. We can see this tool as a preprocessing step to subsequent algorithms. This preprocessing step serves a dual purpose. In the presence of structural information, certain algorithms may gain in efficiency. Secondly, a variety of cases and subcases are often tested inside the body of algorithms, which may lead to complex program structures as well as to involved proofs of correctness, if not even to faulty algorithms. Having knowledge about the winding properties of the polygon, the number of such cases and subcases may be reduced, leading to clear and conceptually simple solutions. To illustrate this point, we will present a hidden-line algorithm which has the simplicity of a one stack algorithm, similar to Sklansky's convex hull algorithm [Sk70] and whose run-time is linear. For a discussion of Sklansky's convex hull algorithm, see [ToA82]. The gain in efficiency by using this preprocessing step is even greater if several algorithms subsequently utilize the structural information so extracted.

#### 2.1. The Labeling-Scheme

Informally, the new tool is a specific way of labeling the edges of a given rectilinear polygon P, where the labels are dependent on the "turns" exhibited during a clockwise traversal of P. Before giving a formal definition, we will introduce some terminology. Let  $\alpha_i$  denote the clockwise outer angle from edge  $e_i$  to edge  $e_{i+1}$  at a vertex  $p_i$ . The exterior angle  $\theta_i$  at  $p_i$  is defined as  $\alpha_i - \pi$ . We speak of a right-turn at a vertex  $p_i$  if

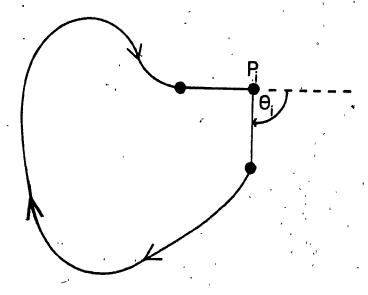


Figure 2.1

Exterior angle  $\theta_i$  at  $p_i$ 

 $\theta_{i} > 0$  and of a *left-turn* otherwise (see Figure 2.1).

We now generalize the notion of left-turn and right-turn defined at vertices, to that of turns of polygonal chains,  $C_{i,j} = \{p_i, p_{i+1}, ..., p_j\}$ . The angular turn  $t'_{i,j}$  of a polygonal chain  $C_{i,j}$ , for i < j is defined as  $\sum_{k=1}^{j-1} \theta_k$ . In case that i > j,  $t'_{i,j}$  is defined as  $2\pi - t'_{j,i}$ . If  $t'_{i,j} > 0$  we say that the chain  $C_{i,j}$  performs a right-turn and  $C_{i,j}$  performs a left-turn in case  $t'_{i,j} < 0$ .

Exterior angles at vertices of rectilinear polygons take one of two possible values:  $\frac{\pi}{2}$  or  $-\frac{\pi}{2}$ . Therefore in rectilinear computational geometry turns between edges are integer multiples of  $\frac{\pi}{2}$ . This implies that we can assign to each edge  $\epsilon_i$  an integer label  $\ell_i$  as follows:

 $\ell_1 := 0$  (\* the edge with maximum y-value is arbitrarily labeled 0 \*)

$$\ell_i := \frac{2}{\pi} t'_{1,i}$$
, for  $1 < i \le n$ 

An alternative definition of the labeling-scheme is given below:

$$\ell_i = 0$$

$$\ell_{i+1} = \ell_i + 1 \text{ if } p_{i-1}, p_i, p_{i+1} \text{ is a right-turn}$$

$$\ell_{i+1} = \ell_i - 1 \text{ otherwise.}$$

This equivalent definition suggests immediately an algorithm to determine the labels of a rectilinear polygon. We define the (integer) turn  $t_{i,j}$  between  $e_i$  and  $e_j$  as the angular turn  $\frac{2}{\pi}t'_{i,j}$ . We will speak of an even turn between two edges  $e_i$  and  $e_j$  if the corresponding integer  $t_{i,j}$  is even, otherwise the turn is odd. The net-turn between two edges  $e_i$  and  $e_j$  is defined as  $t_{i,j}$  modulo 4. We use the term i-edge to denote all edges labeled i. For implementation considerations, it is interesting to note that no computations involving angles are needed in order to determine the labels.

We recall now that the vertices of polygon P are given in clockwise order. An edge c of a polygon P is called a top-edge, bottom-edge, left-edge, or right-edge, if the interior of P is below, above, to the right, or to the left of a line collinear with c, respectively. This defines four types of edges. We say that two edges are oriented in the same way if they are of the same type.

#### 2.2. Some Properties of the Labeling-Scheme

Before studying the properties of the labeling-scheme, it is convenient, to state the following Theorem of Turning Tangents known in differential geometry [Kli78, Ca76]. It is often referred to by its German name Umlaufsutz. A complete clockwise traversal of a simple polygon describes a  $2\pi$  revolution. In the labeling-scheme, this is reflected by the fact that for a complete turn:  $t_{i,j}+t_{j,i}=4$ . An immediate consequence, also observed by O'Rourke [OR83], is that the number of reflex vertices in any n-vertex rectilinear polygon is exactly  $\frac{n}{2}-2$ . We can similarly observe that the number of vertices in any rectilinear polygon is even.

Property 2.1.—Labels of horizontal edges are even, while those of vertical edges are odd.

**Proof:** We note that in a rectilinear polygon, horizontal and vertical edges alternate. We restrict ourselves to proving the result for the set of horizontal edges  $\{e_1, e_3, ..., e_{n-1}\}$ . We will show by induction on the index j=1,3,...,n-1 that for all horizontal edges  $e_j$  the turn  $t_{1,j}$  between  $e_1$  and  $e_j$  is even. Let j=3 then by inspection verify that  $t_{1,3}$  is either 0 or 2. Therefore let  $3 < j \le n-2$ . We can write  $t_{1,j+2}$  as  $t_{1,j} + t_{j,j+2}$ . By induction we assume that  $t_{1,j}$  is even. Between  $e_j$  and  $e_{j+2}$  are exactly two exterior angles contributing each 1 or -1 to the labeling thus  $t_{j,j+2}$  is also even. As the label  $\ell_j$  is equal to  $t_{k,j}$  the correctness of Property-2.1 follows.

Property 2.2. The net-turn between parallel edges oriented in the same direction is

**Proof:** Between parallel edges oriented in the same way a (clockwise) traversal of a polygon performs a  $2\pi$  net-turn As each  $\frac{\pi}{2}$ -turn contributes adding +1 to the labeling, the result follows.

#### 2.3. Rectilinear Cuts.

We define a horizontal cut h through a rectilinear polygon P, as a horizontal line which intersects all those vertical edges of P having the same y-coordinate as h. We assume that no horizontal cut has the same y-value as any horizontal edge in P. By  $(e_{i_1}, \dots, e_{i_k})$ , we denote the sorted list of all k edges intersected by h, where  $e_{i_1}$  is the leftmost such edge and  $e_{i_k}$  the rightmost edge. The corresponding label-list, denoted by  $(\ell_{i_1}, \dots, \ell_{i_k})$ , is called a horizontal cut-sequence of length k. In a similarly way we can define vertical cut-sequences. A rectilinear cut is a cut which is either horizontal or vertical. Similarly a rectilinear line is defined as a line that is either vertical or horizontal. The main use of the cut concept is the following important Property 2.3

and Theorem 2.1.

Let h be a rectilinear line intersecting a rectilinear polygon P and let  $e_i$ ,  $e_j$  be two edges with labels  $\ell_i$ ,  $\ell_j$  respectively, adjacent in the corresponding rectilinear cut. Let  $q_iq_j$  be the open line-segment of h connecting  $e_i$  and  $e_j$ . The function sign(x) returns -1 if its argument x is negative and +1 if x is positive.

#### Property 2.3.

(a) 
$$q_i q_j$$
 is inside  $P$  iff  $\ell_j - \ell_i = +2*sign(j-i)$ , and

(b) 
$$q_i q_j$$
 is outside  $P$  iff  $\ell_j - \ell_i = -2*sign(j-i)$ 

Proof: We assume w.l.o.g. that the line h intersecting P is horizontal.

(a): An implication of the Jordan Curve Theorem is that edges which are adjacent in any rectilinear cut have opposite orientation. To prove (a) we introduce Steiner points  $q_1,...,q_k$  at the points of intersection between h and  $e_i,...,e_j$  respectively. Let  $q_iq_j$  be an internal line-segment connecting  $q_i$  and  $q_j$ . W.l.o.g. let  $e_i$  be to the left of  $e_j$ , then  $e_i$  is a left edge and  $e_j$  is a right edge. (Refer to Figure 2.2) The line-segment  $q_iq_j$  partitions P into two polygons  $Q_1 = \{q_i, p_1, ..., p_j, q_j\}$  and  $Q_2 = \{q_j, p_j, ..., p_{i-1}, q_i\}$ . In  $Q_1$  and  $Q_2$  both exterior angles at  $q_i$  and  $q_j$  are identical, thus  $t_{i,j} = t_{j,i} = 2$ . We conclude that (i) if i < j then  $t_{i,j} = \ell_j - \ell_i = 2$  and (ii) if i > j then  $t_{j,i} = \ell_i - \ell_j = 2$ , thus  $j_j - \ell_i = -2$ . (b): If  $q_iq_j$  is an external line-segment joining  $q_i$  and  $q_j$ . Conversely, to (a) the orientations of the edges  $e_i$  and  $e_j$  are reversed and thus are the two-cases. Therefore if i < j then  $t_{i,j} = \ell_j - \ell_i = -2$ . If i > j then  $t_{j,i} = \ell_i - \ell_j = -2$  implying that  $\ell_j - \ell_i = 2$ 

**Theorem 2.1.** Let  $(\ell_{i_1}, ..., \ell_{i_k})$  be a horizontal cut-sequence of length k, then

(i) 
$$|\ell_{i_1} - \ell_{i_{j+1}}| = 2$$
, and

(ii) 
$$\ell_{i_1} = 3$$
,  $\ell_{i_k} = 1$ ,

**Proof:** (i) Follows from Property 2.3.

(ii) Let  $(\ell_{i_1},...,\ell_{i_k})$  be a horizontal cut-sequence of length k determined by a horizontal

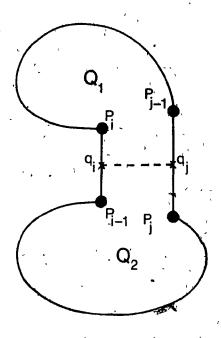


Figure 2.2

Partitioning of a polygon by an internal line segment.

cut h. We now prove that the label  $\ell_{i,k}$  of the rightmost edge intersected by h is equal to 1. Since edge  $e_2$  is adjacent to the y-max edge  $e_1$ , its label is 1. Let  $e_j$  be the rightmost edge intersected by h whose corresponding label is  $\ell_j$ . By the Jordan Curve Theorem  $e_j$  and  $e_2$  have the same orientation thus by Property 2.2.  $\ell_j$  mod  $\ell_j$  mod  $\ell_j$ . If the turn  $\ell_{2,j}$  from  $\ell_2$  to  $\ell_j$  is zero then the result follows; otherwise  $\ell_{2,j}$  describes at least one full revolution between  $\ell_j$  and  $\ell_j$ . This however contradicts either the simplicity of P or the fact that  $\ell_j$  is the rightmost edge intersected by h, see Figure 2.3 a,b, respectively. In a similar way we can show that the leftmost edge in any horizontal cut-sequence is labeled 3.

We now turn our attention to partitionings of the plane induced by inserting interior or external line-segments into a polygon P such that points on the boundary of P are connected. Any such interior line-segment partitions the polygon P into two regions. In the case that d is an external line-segment of P, a different situation arises.

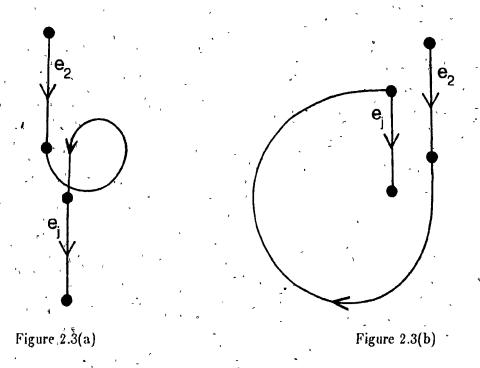


Figure 2.3

If edge  $e_2$  is visible and the turn from  $e_2$  to edge  $e_1$  is a full revolution, then either (a) the simplicity is violated or (b)  $e_1$  is not visible.

We can describe this as d inducing a partitioning of a plane having a polygonal hole P. One such component is bounded while the other is unbounded. The following Theorem characterizes which of the two regions is bounded and which is unbounded. This is illustrated in Figure 2.4.

Theorem 2.2. Let q,q, be an external line-segment connecting the edges e, and e, of

- (i) In the case that  $\ell_1 \ell_j = 2$ , the bounded region induced by d is the polygon  $\{q_i\}$
- $p_i, p_{i+1}, ..., p_{j-1}, q_j$ .
- (ii) In the case that  $\ell_i \ell_j = -2$ , the bounded region is described by the polygon
- ${q_i, p_{i-1}, p_{i-2}, ..., p_{j+1}, q_j}.$

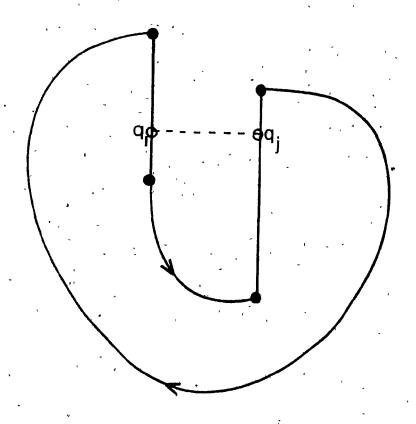


Figure 2.4

A bounded region and an unbounded region are created by inserting the external diagonal  $q_i q_{\chi}$ .

**Proof:** As a consequence of the Jordan Curve Theorem, the bounded region is obtained by connecting  $q_i$  to  $q_j$  by a polygonal chain of P being oriented oppositely to the clockwise orientation of P. To find this chain, we apply the previously derived Property 2.3 and obtain that as  $q_iq_j$  is an external line-segment,  $\ell_j - \ell_i = -2*\text{sign}(j-i)$ . Therefore, if  $\ell_i - \ell_j = 2$  then sign(j+i) = 1, implying that i < j. The bounded region is therefore  $\{q_j, p_{j-1}, p_{j-2}, ..., p_{i+1}, p_i, q_i\}$ . The converse holds for the case (ii) that j < i and thus the bounded polygon is  $\{q_i, p_{i-1}, p_{i-2}, ..., p_{j+1}, p_j, q_j\}$ .

We sketch a consequence resulting from Theorem 2.2. Take any two points p, q located in the exterior of P. By the Jordan Curve Theorem, p and q can be joined by a simply connected polygonal path, path(p,q), which does not intersect the boundary of P properly. If now exactly one of the points falls within a bounded region as constructed above, then path(p,q) has to intersect the external line-segment separating the regions. This result is used in Chapter 5, when we consider a shortest path problem.

#### 2.4. Generalized Cuts.

We will now generalize the notion of horizontal cut-sequences by defining generalized cut-sequences. Let  $\ell$  denote an oriented line intersecting a rectilinear polygon P. Let  $(e_{i_1},...,e_{i_k})$  denote the sorted list of edges intersected by  $\ell$  in the order in which they are intersected by  $\ell$ . Edge  $e_{i_1}$  is the first and  $e_{i_k}$  the last such edge. The corresponding label-sequence is called a *(generalized) cut-sequence* and is denoted by  $(\ell_{i_1},...,\ell_{i_k})$ .

Theorem 2.3. Let (\$\ell\_{i\_1},...,\ell\_{i\_k}\$) be a generalized cut-sequence; then

$$|\ell_{i_{j+1}} - \ell_{i_j}| \le 3$$
, for  $j=1, k-1$ .

**Proof:** Let  $\ell$  be a line whose induced cut-sequence with respect to P is  $(\ell_{i_1},...,\ell_{i_k})$ . For ease of notation let  $e_i$ ,  $e_j$  be two edges which are adjacent in a generalized cut. Furthermore let the intersection point of  $e_i$  with  $\ell$  be denoted by  $q_i$  and that of  $e_j$  be denoted by  $q_i$ . W.l.o.g. assume that  $e_i$  is horizontal. We consider two polygons defined as  $Q_1 := (q_i, p_1, ..., q_{j-1}, q_j)$  and  $Q_2 := (q_j, p_j, ..., p_{i-1}, q_i)$ . If  $e_j$  is horizontal then the discussion is analogous to that of Theorem 2.1. Otherwise,  $e_j$  is vertical. The sum of the interior angles at  $q_i$  and  $q_j$  in either  $Q_1$  or  $Q_2$  is  $\frac{\pi}{2}$  or  $\frac{3}{2}\pi$  In case that i < j we consider the polygon  $Q_1$ . A clockwise traversal of  $Q_1$  from  $q_i$  to  $q_j$  performs a  $2\pi - (\alpha_i - \alpha_j)$  revolution, which is by the above

(i) The point  $q_i$  is above  $e_j$ . As the interior of P is above  $e_j$  the segment  $q_iq_j$  of  $\ell$  is

inside P. In this case if j < i then  $\ell_j - \ell_i = -3$  and if i < j then  $\ell_j - \ell_i = 1$ .

- (ii) The point  $q_i$  is below  $e_j$ . As the exterior of P is below  $e_j$ , conversely to case (i), we get for j < i,  $\ell_j \ell_i = 1$  while for j > i,  $\ell_j \ell_i = -3$ . Similarly to the proof of the corresponding lemma for horizontal cut-sequences, the simplicity of P forbids other cases.
- (b) The case that e, is a top-edge is similar to (a) and is thus omitted.

Corollary 2.2. Under the assumptions of Theorem 2.3,

- (a) Let q, q, be inside P. C, is a right-turn if and only if i < j.
- (b) Let  $q_iq_j$  be outside P.  $C_{i,j}$  is a left-turn if and only if i < j.

**Theorem 2.4.** The label difference  $\ell_i - \ell_j$  is positive if and only if  $\{q_j, p_{j-1}, p_{j-2}, \dots, p_{i+1}, p_i, q_i\}$  is a bounded polygonal region. Similarly, the label difference  $\ell_i - \ell_j$  is negative if and only if  $\{q_i, p_{i-1}, p_{i-2}, \dots, p_{j+1}, p_j, q_j\}$  is a bounded polygonal region.

Proof: The result is a straight forward generalization of the corresponding Theorem 2.2 for rectilinear cuts. We therefore omit its proof. ■

In this chapter we have introduced the labeling-scheme for rectilinear polygons. Several properties which we will use throughout the remainder of this thesis have been derived. The next chapter will now investigate structural properties of the rectilinear polygons.

# Structural Properties of Rectilinear Polygons

In this chapter we will design several efficient algorithms for testing a rectilinear polygon for structural properties. The algorithms are based on the labeling-scheme as introduced in the previous chapter.

#### 3.1. Construction of Rectilinear Polygons

We will characterize a rectilinear polygon P by its label-sequence. The label-sequence is defined as the concatenation of all labels encountered in a clockwise traversal of P starting with label  $\ell_1$ . It is important to note that a given label-sequence does not uniquely characterize a rectilinear polygon. However two simple rectilinear polygons with the same label-sequences are somewhat "similar". We therefore say that two simple rectilinear polygons are similar if their corresponding label-sequences are identical

Given an arbitrary sequence of integers, a rectilinear polygon, whose label-sequence is s, may not necessarily exist. We are therefore interested in characterizing those sequences of integers that are label-sequence for some rectilinear polygon. This characterization will be given using a formal language-like approach. We will use this characterization to generate one representative for each class of similar rectilinear polygons. Furthermore a necessary condition for simplicity of a rectilinear polygon is given, which can be determined in linear time.

In order to describe the label-sequence formally, we now introduce some notation which is analogous to the characterization of languages in formal language theory, see [HoU79]. We will establish a correspondence between rectilinear polygons and strings generated by a grammar. Structural properties of rectilinear polygons can then be

detected by parsing the corresponding strings. We define a grammar G=(N, T, P, S), where N={A, S} is the set of nonterminal symbols, T={integers numbers} is the set of terminal symbols, and S is the start-symbol of the grammar. We use i as a variable to denote integers. The production set P of G is defined as follows: we have used the notion grammar in a more general sense, by allowing an infinite number of terminal symbols as well as productions.

(a) S<sub>c</sub> --> 0 1 A · · · (b) i A --> i i+1 A (c) i A --> i i-1 A (d) 
$$3 \cdot A --> 3$$

We give an example. The label-sequence for the rectilinear polygon of Figure 3.1 is 0 1 0 1 2 3. It is generated by applying the productions (a) (c) (b) (b) (d) in sequence.

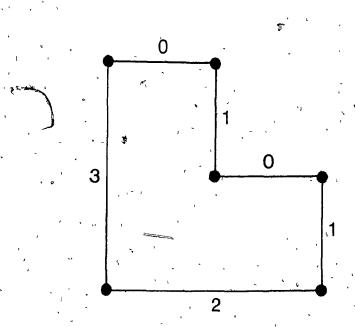


Figure 3.1

Label-sequence 0 1 0 1 2 3 generated by applying productions (a) (c) (b) (b) (d).

**Property 3.1.** All label-sequences for simple rectilinear polygons can be generated by the above grammar G

Proof: We show that for a given simple rectilinear polygon I', its label-sequence can be generated by the grammar G. The label-sequence for any rectangle is 0 1 2 3. This sequence can be generated by applying production rules (a), (b), (b) and (d) in that order. Furthermore, by Theorem 2.1, we know that label-sequences of simple rectilinear polygons start with 0.1 and end with 3. Since the production (a) and (d) are applied the initial and final productions, respectively, any word created by the grammar also has this property. Both these productions are applied exactly once The difference between any two consecutive labels in the label-sequence is either 1 or -1 depending on whether the turn at the vertex adjacent to both edges is a right-turn or left-turn, respectively.

Property 3.2. For each word s generated by G there exists a simple rectilinear polygon whose label-sequence is s.

**Proof:** Let s be a word generated by the grammar G. Let |s| denote the length of s. We show by induction on |s| how to generate the rectilinear polygon whose label-sequence is s. We first observe that the length of s is equal to the number of vertices in the polygon whose label-sequence is s. The shortest word generated by G is 0.1.2.3. Any rectangle has this label-sequence and thus the existence follows for this case. Now we assume that for any word s' and even number n > 4, for which  $|s'| \le n - 2$ , we can construct a rectilinear polygon whose label-sequence is s'. We have to show that for any word s with |s| = n, we can construct a rectilinear polygon P, s.t. the label-sequence of P is s. In a derivation of s, say at steps j-1 and j, productions (b) and (c) are applied either in the order (b) followed by (c), or (c) followed by (b). If we now apply all productions used for s except those at steps j, j+1 then a shorter word s' of length n-2 is generated by G. We demonstrate how P' is used to construct the desired

polygon P. W.l.o.g. assume that the edge  $e_j$  in P<sup>i</sup> inserted at step j is a right edge. Otherwise a similar construction can be given Let d denote the minimum perpendicular distance between any pair of parallel edges in P<sup>i</sup>. Now construct a point  $p^{-i}$  located on  $e_j$ , whose distance from  $p_j$  is  $\frac{d}{2}$ . Furthermore, let R, be a rectangle satisfying the following properties:

- (i) width=d, height= $\frac{d}{2}$ ,
- (ii) the edges of the rectangle are parallel to the polygonal edges,
- (iii) the line segment  $p^{*}p_{j+1}$  is a symmetry axis of R.

We label the four corner points of R in a clockwise fashion by r, s, t, u, starting with the top, rightmost corner point as r. The construction is illustrated in Figure 3.2.

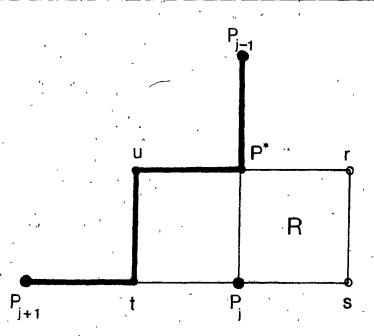


Figure 3.2

An example of the creation of new edges.  $P_{j-1}$ ,  $P_j$ ,  $P_{j+1}$  is replaced here by  $P_{j-1}$ , P',  $u, t, P_{j+1}$ .

As the distance between any two parallel edges is at least d so is the length of any edge and no edge of P, except  $e_j$  intersects the interior of R. Edge  $e_j$  and the boundary st of R are collinear. We only discuss the case that the turn at  $p_j$  in P' is a right-turn. A similar argument can be made otherwise. Next, we incorporate the two production rules (b), (c) we previously eliminated. Two cases arise depending on the order in which, in the derivation of s at steps j-1 and j, the productions (b), (c) are applied.

- (i) Production (b) is followed by (c). In this case we replace vertex  $p_j$  by  $p^*$ , u, t. This has the effect that the chain  $p_{j-1}$ ,  $p_j$ ,  $p_{j+1}$  is replaced by  $p_{j-1}$ ,  $p^*$ , u, t,  $p_{j+1}$ .
- (ii) Production (c) is followed by (b). In this case we replace vertex  $p_j$  by  $p_j$ ,  $r_j$ ,  $s_j$ . This has the effect that the chain  $p_{j-1}$ ,  $p_j$ ,  $p_{j+1}$  is replaced by  $p_{j-1}$ ,  $p_j$ ,  $p_j$ ,  $p_{j+1}$ .

The construction of P is completed by making all other vertices of P' also vertices of P. The label-sequences s and s' differ exactly at the two positions determining the two new vertices. Therefore the total number of vertices in P is n. As the rectangle R possesses the intersection properties discussed above, P is a simple rectilinear polygon. Lastly, the right-turn at  $p_j$  is maintained, as a right-turn at either t or s, depending on whether case (i) or (ii) applies. We have thus constructed a simple rectilinear polygon P with n vertices whose label-sequence is s.  $\blacksquare$ 

# 3.2. Algorithms for Detection of Structure in Rectilinear Polygons

In this section we will sketch further applications of the labeling-scheme in relation to detecting structural properties of rectilinear polygons. We will show in Chapter 6, how rectilinear polygons with specific properties can be convexly quadrilaterized in linear time. Classes of rectilinear polygons which can be quadrilaterized in linear time include monotone, star-shaped and edge-visible polygons. For arbitrary simple, fectilinear, n-vertex polygons, such a partitioning into convex quadrilaterals takes O(n log n) time. In order to apply any of these linear time algorithms, we must first establish that the given rectilinear polygon has any one of these structural properties. If the test took O(n log n) time then clearly little would be gained. We will therefore present efficient linear-time algorithms for testing a rectilinear polygon for structural properties. These algorithms detect star-shapedness, rectilinear convexity, monotonicity and edge-visibility. We start by discussing a necessary condition for determining whether a given rectilinear polygon is simple or not.

#### 3.2.1. A Necessary Condition for Simplicity

A seemingly difficult open problem in computational geometry is to find a linear-time algorithm or an  $\Omega(n \log n)$  lower bound proof on the problem of deciding whether a given polygon is simple. Shamos showed that for a given n-vertex polygon,  $O(n \log n)$  is upper bound for this problem [Sh77]. His solution is obtained by considering each polygonal edge as a line-segment by itself and subsequently testing whether any two line-segments so obtained intersect.  $\Omega(n \log n)$  is lower bound for the problem of testing whether any two of n line-segment intersect. However the edges of a polygon are not simply a collection of line-segments. Therefore this lower bound does not hold for the problem of testing whether a given polygon is simple or not. Rather than developing another  $O(n \log n)$  algorithm for testing a rectilinear polygon for simplicity, we present a necessary condition for simplicity. This condition can be verified in linear time, since from Property 3.1 it follows that if a rectilinear polygon P is simple, its label sequence can be generated by G.

We give an example for a rectilinear polygon which is not simple and show that its label-sequence cannot be generated by G. The label-sequence s for the rectilinear polygon shown in Figure 3.3 is s = 0 1 2 3 4 5 6 7. As this sequence ends with 7, it

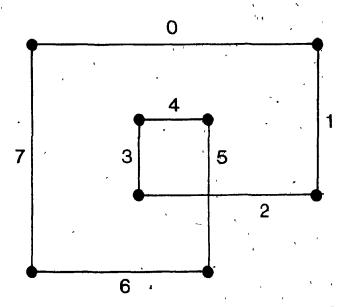


Figure 3.3'

The polygon has label sequence 0 1 2 3 4 5 6 7, and thus is not simple.

The fact that the label-sequence of an arbitrary rectilinear polygon P is generated by G; does not necessarily imply that P is simple. Figure 3.4 illustrates such a situation. We will always detect, in linear time, that a rectilinear polygon is not simple, if a traversal of P does not perform a  $2\pi$  revolution and thus its label sequence cannot be generated. For example a traversal of the polygon in Figure 3.3 performs a  $4\pi$  revolution. It remains an open problem whether simplicity of a polygon which performs a  $2\pi$ -turn, can be tested for in linear time.

We will next discuss algorithms to test polygons for star-shapedness, rectilinear convexity, monotonicity and edge-visibility.

#### 3.2.2. Star-Shapedness Test

Recall that the kernel of a simple polygon P is the set of all points in P which can each see the entire polygon. Each edge e, of P splits the plane into two half-planes, a

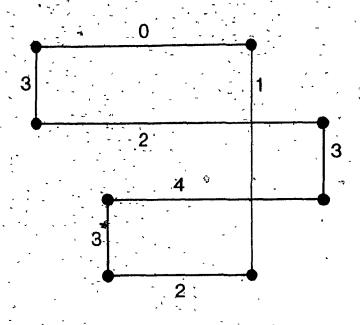


Figure 3.4

Although the label sequence is legal, the polygon is not simple.

positive and a negative one. The positive half plane contains all points on the plane located to the right of the line through  $e_i$  and oriented in the same way as  $e_i$ . The kernel of a polygon P can be constructed by intersecting all positive half-planes defined by edges of P. Although not optimal, this procedure demonstrates that the kernel can be constructed by intersecting convex regions and is thus itself convex. Lee and Preparata [LeP76] describe an algorithm which uses the order of the edges as given by the polygon to construct the kernel in linear time. Their procedure can easily be simplified for rectilinear polygons as we will demonstrate below, see also [ToE81].

Consider the four extremal chains  $C_{y_{\min}, x_{\min}}$ ,  $C_{x_{\max}, y_{\min}}$ ,  $C_{y_{\min}, x_{\min}}$ ,  $C_{x_{\min}, y_{\max}}$  of a rectilinear polygon P. These extremal chains are either disjoint or meet at an edge. We call two extremal chains adjacent chains if they share a common edge. We say that a chain  $C_{i,j}$  is empty if j=i+1, otherwise  $C_{i,j}$  is non-empty. We define a stair as a rectilinear polygonal chain monotone in both the x-direction and y-direction. In this

section we only consider stairs which are extremal chains of a given rectilinear polygon.

Recall that an edge  $e_i$  is completely visible from a point p if each point on  $e_i$  is visible from p. Similarly a polygonal chain  $C_{i,j}$  is said to be completely visible from a point p if all edges on  $C_{i,j}$  are completely visible from p. We continue by examining properties of stairs:

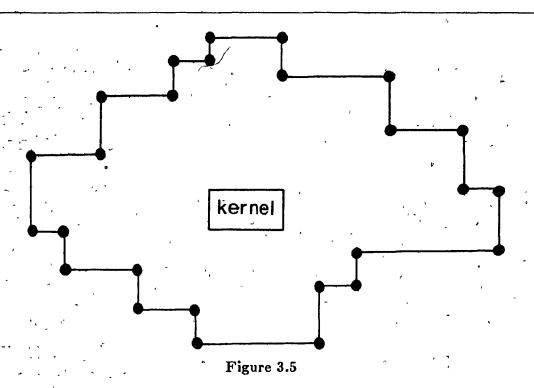
**Property 3.3.** It is necessary and sufficient for a stair  $C_{i,j}$  to be completely visible from a point p that the endpoints  $p_i$ ,  $p_{i+1}$  of the first edge and  $p_{j-1}$ ,  $p_j$  of the last edge are visible from p.

**Proof:** The necessity is obvious. To prove the sufficiency we assume that there exists a point p which sees the endpoints of the first and last edge of  $C_{i,j}$ . We commence by recalling a result by Avis and Toussaint [AT81a], stating that an edge is completely visible from a point if and only if both its endpoints are visible from this point. We will prove the result for  $C_{i,j} = C_{y_{\max}, z_{\max}}$ . The other stairs can be handled similarly. W.l.o.g we let  $C_{i,j}$  be a stair of alternating top and right-edges. In this case,  $C_{i,j}$  is a chain of vertices with monotonically decreasing y-values and increasing x-values. As p sees the endpoint of the lowest edge of the stair, p must be located to the right of the rightmost reflex vertex in  $C_{i,j}$ . Similarly, p is located above of the highest reflex vertex in  $C_{i,j}$ . We assume now that a point q located on some edge  $e_k$ ,  $i \le k \le j$ , is not visible from p, then there exists some edge  $e_m$  intersecting the line-segment pq. The intersection point of  $e_m$  with pq must be between p and q. Two cases arise (a) m > k and (b) m < k. (a) in a case that m > k the chain  $C_{k,m}$  is not monotonically increasing in the y-direction. In case (b), m < k, the chain  $C_{m,k}$  is not monotonically decreasing in the x-direction. In either case, P is not a stair which is a contradiction.

Property 3.4. The extremal edges of rectilinear star-shaped polygons are connected by stairs.

Proof: The proof is straight forward and thus omited.

In Figure 3.5 we give an example of a rectilinear star-shaped polygon.



A rectilinear star-shaped polygon.

The structural properties of rectilinear polygons are now related to label-sequences. We show that label-sequences provide a concise notation, for structural properties. As strings defining label-sequences can be parsed efficiently, we will express structural properties using label-sequences. We use the *Kleene star* to denote zero or more repetitions of labels, i.e. (ab)' = ababababababab..., (see [Hol/79]). The symbol "+" is used to denote one or more repetitions of the letters, i.e.  $(ab)^+$ := (ab)(ab)'.

Fact: A rectilinear polygon P with label-sequence s is star-shaped iff (i)  $s=(01)^+(21)^*(23)^+(43)^*$ , and (ii) the  $y_{max}$ -edge sees the  $y_{min}$ -edge and the  $x_{max}$ -edge sees the  $x_{min}$ -edge.

**Proof:** (i) Edges on  $C_{y_{\max}, z_{\max}}$  have alternating labels 0 or 1, starting with 0 as the label of  $e_{y_{\max}}$  and ending with 1 as label of  $e_{z_{\max}}$ . The sequence (21) characterizes the possibly empty stair  $C_{x_{\max}, y_{\min}}$ . (In case that the stair is empty its description is the empty string, denoted by  $\epsilon$ ). (23) describes  $C_{y_{\min}, z_{\min}}$  and similarly, (43) describes the possibly empty stair  $C_{x_{\min}, y_{\max}}$ .

(ii) Let  $e_{\max} = t_{\ell}t_r$ ,  $e_{t_{\max}} = r_{\ell}r_b$ ,  $e_{y_{\min}} = b_r$ ,  $b_{\ell}$  and  $e_{t_{\min}} = l_b$ ,  $l_{\ell}$ . Furthermore let  $[a,b] := [\min\{y\text{-value of }\ell_{\ell}, \text{ y-value of }r_{\ell}\}]$ ,  $\max\{y\text{-value of }\ell_{\delta}, \text{ y-value of }r_{\delta}\}]$  and  $[c,d] := [\max\{x\text{-value of }\ell_{\ell}, \text{ x-value of }b_{\ell}\}]$ ,  $\min\{x\text{-value of }\ell_{\tau}, \text{ x-value of }b_{\tau}\}]$ . Let  $p \neq q$  denote the point with horizontal coordinate equal to that of p and vertical coordinate equal to that of q, analogously  $q \neq p$  is defined, see Figure 3.6 for illustration. Now consider the rectangle defined as p:= p

This suggests an algorithm to test whether a rectilinear polygon P is star-shaped, see also [ToE81]. Once we have verified that P is star-shaped, the kernel of P is readily constructed using only the extremal edges. It follows that the kernel of a rectilinear polygon is a rectangle. The steps are given below.

## Algorithm 3.1: Test for Star-Shapedness

Input: A rectilinear polygon P.

Output: 'Yes', if P is star-shaped; 'No', otherwise.

```
Construct the label-sequence s for P;
if s is of the form (01)<sup>+</sup> (21) (23)<sup>+</sup> (43)
then
begin
construct the rectangular shaped kernel R;
if R is non-empty
then
report 'Yes', exit
end
report 'No'.
```

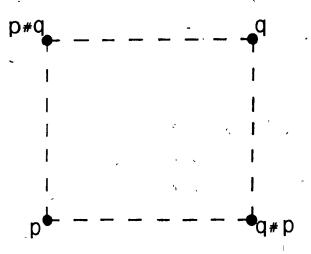


Figure 3.6

The point with vertical coordinate equal to that of q and horizontal coordinate equal to that of p is denoted by p#q.

#### 3.2.3. Monotonicity Test

We will prove a theorem enabling us to design an algorithm for testing whether or not a simple rectilinear polygon is monotone. The existing algorithm for testing a simple polygon for monotonicity is due to Preparata and Supowit [PrS81]. Their algorithm exhibits the same worst case complexity as the algorithm proposed here. Due to the rectilinear structure, our algorithm is conceptually simple, and efficient. Both algorithms are capable not only of detecting whether a polygon is monotone, but also of reporting all directions of monotonicity for P. Let  $\ell$  be any line and its orientation be described by the counterclockwise angle  $\omega$  formed by  $\ell$  and the x axis (see Figure 3.7).

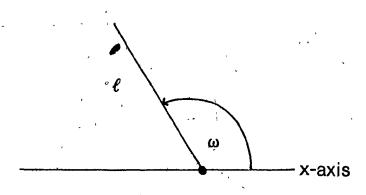


Figure 3.7

The counter clockwise angle is formed by line & and the x-axis.

Our algorithm will follow immediately once we have characterized monotone rectilinear polygons. To obtain this characterization let us first define several terms. We say that a polygonal chain,  $C_{ik} = p_1, ..., p_j$ , ...,  $p_k$  changes the monotonicity with respect to a given line  $\ell$ , at an edge  $e_j$ , if the order of the projections of

 $p_{j-1},p_{j},p_{j+1},p_{j+2}$  onto  $\ell$  differ from the polygonal order. An example is given in Figure 3.8.

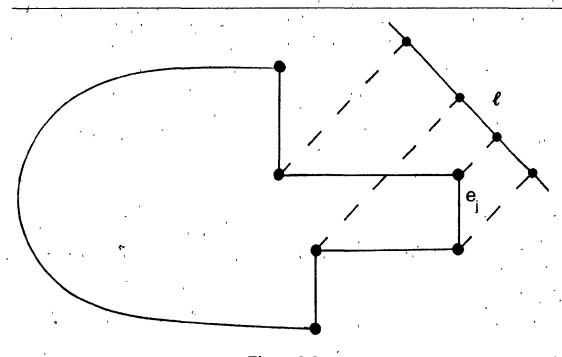


Figure 3.8

The monotonicity with respect to  $\ell$  changes at edge  $e_i$ .

Property 3.5. If a rectilinear polygon P is monotone with respect to any one line  $\ell$ , for which  $\omega$  is in  $(0, \frac{\pi}{2})$ ,  $\{\omega$  in  $(\frac{\pi}{2}, \pi)\}$ , then P is monotone with respect to all lines  $\ell$  with  $\omega$  in  $[0, \frac{\pi}{2}]$ ,  $\{\omega$  in  $[\frac{\pi}{2}, \pi]\}$ .

**Proof:** Let a rectilinear polygon P be monotone with respect to some line  $\ell$ , for which  $\omega$  is in  $(\frac{\pi}{2},\pi)$  Furthermore let  $y_{\max}$ ,  $y_{\min}$  denote indices of the edges with maximum and minimum y-value, respectively. The monotonicity with respect to  $\ell$  is clearly changed at the edges  $e_{y_{\max}}$  and  $e_{y_{\min}}$  W.lo.g let  $e_{y_{\max}} = p_n p_1$  and  $e_{y_{\min}} = p_k p_{k+1}$ . If P is monotone with respect to  $\ell$ , then both chains must be monotone with respect to  $\ell$ . The chains can not contain any edges changing the monotonicity. Therefore, as P is

monotone with respect to  $\ell$ , all horizontal edges on the left chain are bottom edges and all horizontal edges on the right chain are top-edges (see Figure 3.9). But polygons whose extremal chains have this property are monotone with respect to any line  $\ell$  with  $\omega$  in  $[\frac{\pi}{2},\pi]$ . The proof for the case when P is monotone with respect to a line  $\ell$  with  $\omega$  in  $(0,\frac{\pi}{2})$  is similar and can be obtained by rotation of the polygon by  $\frac{\pi}{2}$ .

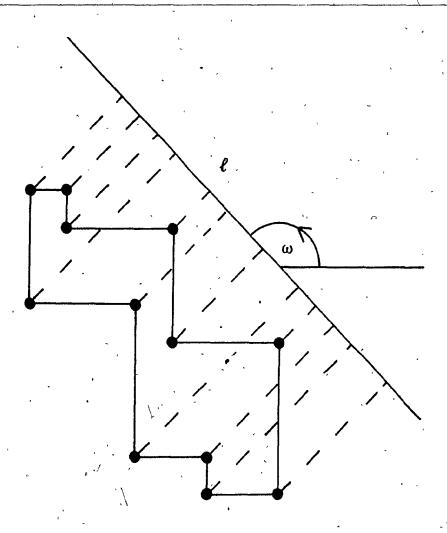


Figure 3.9

A polygon monotone with respect to all lines  $\ell$ , whose counter clockwise angle formed with the x-axis is in the interval  $[\frac{\pi}{2},\pi]$ .

We call the x-axis and the y-axis the two major axes in rectilinear geometry and refer to the directions defined by them, as the major directions. From Property 3.5, it follows that a rectilinear polygon P is monotone, then it is monotone with respect to one of the two major axes. We will state this result in Corollary 3.1 for subsequent use. Furthermore, if P is monotone to any line not parallel to one of the two major axes, then P is monotone to all lines  $\ell$  for which either  $\omega$  in  $[0, \frac{\pi}{2}]$  or  $\omega$  is in  $[\frac{\pi}{2}, \pi]$ .

Corollary 3.1. Any monotone rectilinear polygon is monotone with respect to at least one of the two major axes.

We can therefore assume w.l.o.g. that every monotone rectilinear polygon is monotone with respect to the y-axis. Any rectilinear polygon monotone in the x-direction can be rotated in O(n) time to be monotone in the y-direction

Let all vertical edges be directed from top to bottom, although this is strictly speaking a directed graph, we maintain the notion polygon. Given a rectilinear polygon P and a horizontal edge e we can denote the number of incoming (outgoing) edges into (out off) e by In(c), (Out(c)), respectively. As P is a simple polygon In(c) + Out(c) = 2, for all horizontal edges e in P. A bottom-edge e is called a bottom-peak, if Out(c) = 2 and is called a bottom-valley if In(c) = 2. A top-edge is called a top-peak, if In(c) = 2, and is called a top-valley is Out(c) = 2, see Figure 3.10 for illustrations. A peak is either a top-peak or a bottom peak. A valley is either a top-valley or a bottom valley.

Remark 3.1. An edge  $e_i = p_{i-1}p_i$  in a rectilinear polygon is a valley if the turns at  $p_{i-1}$  and at  $p_i$  are both right-turns. An edge  $e_i$  is a peak if the turns at  $p_{i-1}$  and  $p_i$  are both left-turns. Thus peaks and valleys can be detected by examining the labels of both edges adjacent to  $e_i$ . We define left peaks, right peaks and valleys, similarly. Top and bottom peaks are called horizontal peaks, left and right peaks are called vertical peaks.

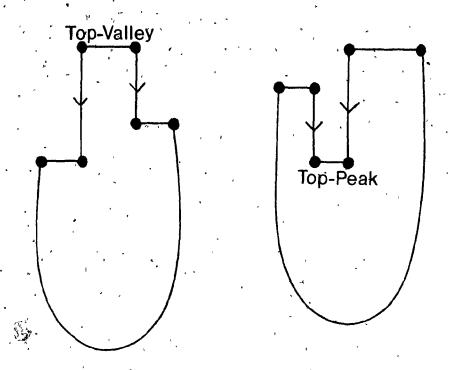


Figure 3.10

Top-valleys and top-peaks.

**Property 3.6.** Let P be a rectilinear polygon, and  $T_v$ ,  $T_p$ , be the number of top valleys and top peaks; respectively. Let  $B_p$ ,  $B_v$ , be the number of bottom peaks and bottom valleys, respectively, then

$$T_{p} = T_{v} - 1, B_{p} = B_{v} - 1.$$

**Proof:** We will show by induction on the number, n, of vertices in P that  $T_p = T_v - 1$ , the other part  $B_p = B_v - 1$  is similar. The class of rectilinear polygons with four vertices is the rectangle. For this polygon obviously  $T_p = 0$  and  $T_v = 1$ , therefore  $T_p = T_v - 1$ .

Now we assume that P has more than four vertices. Then there exists at least one reflex vertex  $p_i$  and we can w.l.o.g assume that  $p_i$  is as depicted in Figure 3.11, i.e.  $p_i$  is adjacent to both a left and a bottom edge. If the reflex vertex is not of the type as

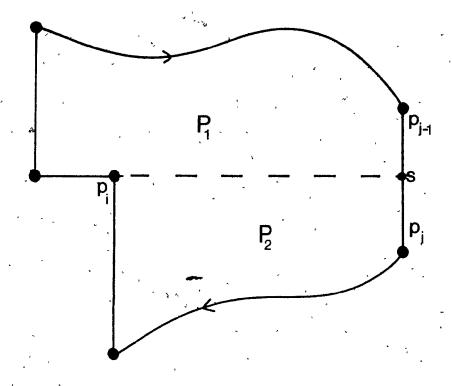


Figure 3.11

Partitioning a rectilinear polygon P into  $P_1$ ,  $P_2$  to show that the number of top-valleys minus one equals the number of top-peaks.

in the Figure 3.11 then's similar argument can be given. The horizontal extension of  $p_i$  towards the interior of P intersects P, the first such intersection point will be called s, say located at edge  $e_j$ . We define two polygons  $P_1 = (s, p_{i+1}, p_{i+2}, \dots, p_{j-1})$ , and  $P_2 := (s, p_j, p_{j+1}, \dots, p_i)$  Let  $T_{v_i}$  denote the number of top-valleys is polygons  $P_i$ , i=1,2. Similarly  $T_{p_i}$ ,  $B_{v_i}$  and  $B_{p_i}$  are defined. The newly created edge  $p_i$ s is a top edge in  $P_2$  having two outgoing edges, therefore  $T_v = T_{v_2} + T_{v_2} - 1$ , and  $T_p = T_{p_1} + T_{p_2}$ . Now we apply the induction hypothesis to conclude that  $T_p = T_{p_1} + T_{p_2} = (T_{v_1} - 1) + (T_{v_2} - 1) = T_v - 1$ .

Corollary 3.2. The number of horizontal peaks and the number of horizontal valleys differ by exactly two. The total number of horizontal and vertical peaks combined differs from the total number of such valleys by exactly four.

#### **Theorem 3.1.** Let P be a rectilinear polygon.

- (i) P does not contain any horizontal peaks if and only if P is monotone with respect to the y-direction.
- (ii) P does not contain any vertical peaks if and only if P is monotone with respect to the x-direction.
- **Proof:** (i) We first notice that the monotonicity in the y-direction changes when a horizontal peak or horizontal valley is present. The extremal edges in the y-direction are both horizontal valleys. The  $y_{\text{max}}$ -edge is a top-edge and the  $y_{\text{min}}$ -edge a bottom-edge. Therefore by using Property 3.6, the two extremal chains connecting these edges contain a horizontal peak if and only if they contain a horizontal valley. As only horizontal peaks or valleys can change the monotonicity in the y-direction the result follows.
- (ii) Similarly we can show that P is monotone in the x-direction if and only if P contains no vertical peaks. Alternatively, we can prove the result by rotating P by  $\frac{\pi}{2}$  and applying (i)

Corollary 3.3. A rectilinear polygon is monotone in both major directions if and only if it contains no peaks.

We continue our investigation of monotone rectilinear polygons by characterizing rectilinearly convex polygons. Recall that a polygon P is called rectilinearly convex if every two points in P having the same horizontal or vertical coordinate are visible from each other. In the traditional sense of convexity the class of convex rectilinear polygons is restricted to containing only rectangles. The notion rectilinearly convex is more appropriate in the context of rectilinear computational geometry.

Theorem 3.2. A rectilinear polygon is rectilinearly convex if and only if it is monotone in both major directions.

**Proof:** "=>" Proof by contradiction. We assume that P is not monotone in one of the major directions. Thus P contains a peak, say the edge  $e_i = p_{i-1}p_i$ . W.l.o.g. we assume that  $e_i$  is a bottom peak. Now we take any pair of points in the interiors of  $e_{i-1}$  and  $e_{i+1}$  having the same y-coordinate. As these two points are not (internally) visible the polygon P is not rectilinearly convex.

"<=" If a polygon is monotone with respect to some line  $\ell$  then any two points with identical projections onto  $\ell$  are visible. Thus as P is monotone in both major directions, any two points with the same x-coordinate or y-coordinate are visible and the result follows.

Theorem 3.3. A rectilinear polygon P is rectilinearly convex if and only if

- (a) P is monotone with respect to all lines  $\ell$  with  $\omega$  in  $[0, \frac{\pi}{2}]$  or
- (b) P is monotone with respect to all lines  $\ell$  with  $\omega$  in  $[\frac{\pi}{2},\pi]$  or
- (c) P is a monotone to only the two major directions and no other direction.

Proof: "<=" Follows from Theorem 3.2 and Property 3.5

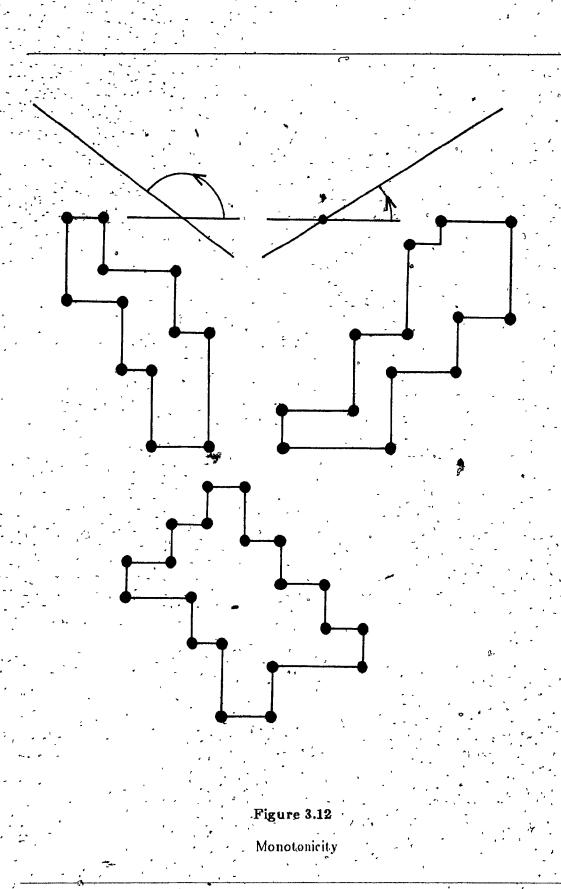
"=>"By Theorem 3.2 and Corollary 3.3, a rectdinearly convex polygon P contains no peaks. By Property 3.6, P contains exactly two horizontal and two vertical valleys. These valleys are the four extremal edges in both major directions. These valleys are either adjacent edges in P, or they are connected by non-empty stairs. We distinguish between three cases: (a) The chains  $C_{x_{\min},y_{\max}}$  and  $C_{x_{\max},y_{\min}}$  are empty. In this case, all horizontal edges on  $C_{y_{\max},x_{\max}}$  are top-edges. All horizontal-edges on  $C_{y_{\min},x_{\min}}$  are bottom edges. The resulting polygon is monotone with respect to any direction in  $[\frac{\pi}{2}, \pi]$ ,

(b) The chains  $C_{y_{max},x_{max}}$  and  $C_{y_{mn},x_{mn}}$  are empty. We get, analogously to (i), that the polygon is monotone with respect to all directions in  $[0, \frac{\pi}{2}]$ .

(c) In any other case, there exist two adjacent extremal chains  $C_{i,j}$ ,  $C_{j,k}$  which are non-empty. W.l.o.g. assume that j=1. The monotonicity changes at  $e_i$  for any direction of monotonicity. Take any direction d in  $(0, \frac{\pi}{2})$  then  $C_{i,k}$  is not monotone with respect to that direction. Similarly for any direction in  $(\frac{\pi}{2}, \pi)$   $C_{k,1}$  is not monotone. As P is rectilinearly convex we conclude that P is monotone in the two major directions and in no other direction. See Figure 3.12 as illustration.

### Corollary 3.4. Rectilinear star-shaped polygons are rectilinearly convex.

As a consequence of Theorems 3.1 to 3.3 we obtain a linear-time algorithm for testing whether a rectilinear polygon is monotonic. It will also report all directions of monotonicity. In O(n)-time we can test whether P contains any peaks. If P does not contain any horizontal peaks, then P is monotone in the y-direction. If P does not contain any vertical peaks, then P is monotone in the x-direction. If P is monotone in the x-direction and P is monotone in the y-direction then P is rectilinearly convex. In that case the algorithm performs a constant time test to determine whether P is monotone to all lines for which  $\omega$  is in  $[0, \frac{\pi}{2}]$  (or  $[\frac{\pi}{2}, \pi]$ ) or P is monotone only to both major directions.



# Algorithm 3.2: Test for Monotonicity

Input: A rectilinear polygon P.

Output: All directions with respect to which P is monotone.

```
i := 1;
monotone_in_y := true;
monotone_in_x :== true;
while i < = n and (monotonc_in_x or monotone_in_y) do
        if e, is a horizontal peak
             then
                monotone_in_y .= false
         else
             if e, is a vertical peak
                   monotone_in_x := false;
         i := i + 1
    end (* while *);
if monotone_in_y and monotone_in_x
    then
        begin
             if y_{\text{max}}-edge is adjacent to x_{\text{min}}-edge
                 and y_{\min}-edge is adjacent to x_{\max}-edge
                 then
                      "P is monotone to all lines \ell with \omega in [\frac{\pi}{2},\pi]";
             if y_{\text{max}}-edge is adjacent to x_{\text{max}}-edge ,
                 and y_{\min}-edge is adjacent to x_{\min}-edge
                 then
                   "P is monotone to all lines \ell with \omega in [0,\frac{\pi}{2}]"
        end
else
    begin
        if monotone_in_y
             then "P is monotone in y";
        if monotone_in_x _ ~~
             then "P is monotone in x"
   end.
```

Clearly the run-time of this algorithm is linear in the number of edges. We therefore have shown that:

**Theorem 3.4.** All directions of monotonicity for a given rectilinear polygon can be found in linear time.

Similarly to star-shaped polygons, we can also express monotone polygons by their label-sequences:

- (i) P is rectilinearly convex iff s is in (0,1)+(2,1)\*(2,3)+(4,3)\*.
- (ii) P is/monotone with respect to all lines between  $\frac{\pi}{2}$  and  $\pi$  iff s is in  $(0,1)^+(2,3)^+$ .
- (iii) P is monotone with respect to all lines between 0 and  $\frac{\pi}{2}$  iff s is in  $(0,1)(2,1)^*(2,3)(4,3)^*$ .
- (iv) P is monotone with respect to the y-direction iff  $((0,1)(2,1)^*)^+((2,3)(4,3)^*)^+$ . The monotonicity in the x-direction can be expressed analogously.

# 3.2.4. Edge-Visibility Test

Recall that a polygon P is weakly visible from a specified edge  $e_i$  if for each point p in P there exists a point q on  $e_i$  that sees p. Avis and Toussaint presented a linear, and thus optimal, algorithm for determining whether or not a given polygon is weakly visible from a specified edge (see [AT81a]). An interesting problem which is directly related has been posed by Toussaint [To80]: Given a simple polygon, does there exist an edge from which the polygon is edge-visible? In case that such an edge exists the polygon is said to be (weakly) edge-visible.

A straight forward approach for testing a polygon for edge-visibility is to apply the algorithm of Avis and Toussaint to each edge in turn, yielding an  $O(n^2)$  algorithm. It is an open problem whether a better upper bound can be achieved. Here we present a linear-time algorithm to test a given rectilinear polygon for edge-visibility. To achieve this we will show that any edge-visible rectilinear polygon admits a partitioning into rectilinear polygons called histograms and pyramids, whose structures we will next define.

Edelsbrunner et al. use the term vertical histogram to denote a rectilinear polygon that has one horizontal edge, called the base, equal in length to the sum of lengths of all the other horizontal edges [EOW83]. Analogously, horizontal histograms can be defined. A histogram is a rectilinear polygon which is a horizontal or vertical histogram. Pyramids have been defined in [SaT81] as a rectilinear, edge-visible, star-shaped polygons with two (possibly empty) stairs. Here we give an alternate shorter definition. A pyramid is a rectilinearly convex histogram. It is readily verified that the two definitions are equivalent.

Property 3.7. Any histogram is weakly visible from its base.

**Proof:** Follows immediately from the definition of histogram.

We now give a characterization of edge-visible rectilinear polygons.

**Property 3.8.** A rectilinear polygon P is edge-visible if and only if there exists an edge  $e_i$  such that P can be partitioned into two pyramids, one of which is completely visible from  $p_i$ , the other completely visible from  $p_{i-1}$ , and into a histogram with base  $e_i$ .

Proof: "<=" Using the assumption, P can be partitioned into three components: two pyramids and a histogram. By assumption the two pyramids are completely visible from e<sub>i</sub>. By Property 3.7 the histogram is weakly visible from e<sub>i</sub>. Therefore the entire polygon P is weakly visible from e<sub>i</sub>.

"=>" We assume that the edge from which  $e_i$  is weakly visible is a horizontal topedge. Extend  $e_i$  to the left and right towards the interior until P is intersected at points u,r, respectively. This is illustrated in Figure 3.13. Consider now the resulting polygon  $P_r = \{p_i, ..., r\}$  of points in P which are not below  $p_i$  and not to the left of  $p_i$ . In

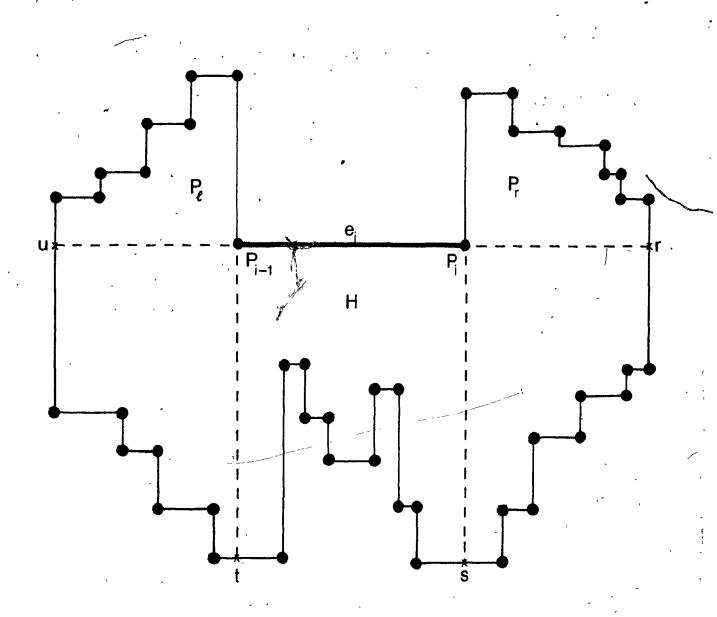


Figure 3.13

An edge-visible rectilinear polygon

the trivial case  $P_r = \{p_i\}$ , otherwise we show that  $P_r$  is a pyramid with one empty stair. For this observe that no point in the interior of  $P_r$  is visible from any point except from  $p_{i}$ . As P is weakly visible from  $e_i$ ,  $P_r$  must be completely visible from  $p_i$ .  $P_r$  is therefore a rectilinear star-shaped polygon. We know from previous sections that rectilinear star-shaped polygons consist of four stairs each of which may be empty. The vertex pr has minimum y-coordinate and minimum x-coordinate within  $P_r$ . As it is located inside the kernel of  $P_r$ , three of the four stairs are empty and thus  $p_{i+1},...,r$  is a single stair. We summarize the intermediate result that  $P_{\tau}$  is completely visible from  $p_{\tau}$  and the chain  $p_{i+1, j}$  is a single stair. By an analogous argument follows that  $u_{i}, \dots, p_{i-2}$  is a stair completely visible from  $p_{i-1}$ . Now consider the remaining unexamined polygonal chain  $C_{r,u}$ . By Theorem 2.3, all horizontal edges on  $C_{r,u}$  are bottom-edges. Any vertical peak, however, contains a top-edge and a bottom-edge. Consequently  $C_{\mathbf{r},u}$ cannot possibly contain any vertical peaks. By the characterization of monotone polygons given in Theorem 3.1, the chain  $C_{r,u}$  is therefore monotone in the x-direction. We now extend the edge  $e_{i+1}$  towards the interior of P and denote the intersection point with the boundary of P by s, see Figure 3.13. In a similar fashion create the Steiner point t by extending  $p_{i-1}$ . This defines two (possibly empty) chains, one from r to s, called  $C_{r,s}$  and the other from s to u, called  $C_{b_r}u$ . By Theorem 2.3 all vertical edges in  $C_{r,s}$  are right-edges. The chain  $C_{r,s}$  consists of right-edges and bottom-edges and is therefore monotone in both major directions and is thus a stair. Combining the above results yields that  $\{p_i, p_{i+1}, ..., s\}$  is a pyramid consisting of two stairs, both completely visible from  $p_i$ . Similarly it can be shown that  $\{t,...,p_{i-2}\}$  is a pyramid completely visible from  $p_{i-1}$  It remains to be shown that the polygon  $H:=\{p_{i-1},p_{i},s,\ ,t\}$  is a histogram By Theorem 2.3,  $C_{s,t}$  contains no top-edges and thus does not contains any vertical peak. This implies that II is monotone is the xdirection. In this case the length of the edge e, is equal to the length of the other extremal chain  $C_{s,t}$ , implying that H is a histogram. The histogram and the two

pyramids cover P and do not intersect each other properly. Therefore P is partitioned into two pyramids visible from  $p_i$  and  $p_{i-1}$ , respectively and a histogram whose base is  $e_i$ .

#### Corollary 3.5. Edge-visible rectilinear polygons are monotone.

We now present a linear-time algorithm for testing whether a rectilinear polygon is edge-visible. The algorithm is based on the characterization of edge-visible polygons as given above. We saw that rectilinear edge-visible polygons are monotone in a direction parallel to the edge from which they are weakly visible. Let us assume that the edge of visibility, if it exists at all, is a horizontal edge. In case that this edge is vertical, rotate P by  $\frac{\pi}{2}$ . Let  $\ell$  be the index of the edge with minimum x-coordinate and r the index of the edge with maximum x-coordinate. The two resulting upper and lower monotone chains are  $C_{\ell,r}$  and  $C_{r,\ell}$ , respectively. Notice that a rectilinear polygon can be reconstructed if only a list of its vertical edges is given.

# Algorithm 3.3: Test for Edge-Visibility

Input: A rectilinear polygon P monotone in the x-direction. Should P be monotone in the y-direction, P must first be rotated by  $\frac{\pi}{2}$ .

Output: All edges from which P is weakly visible; no edge is printed in case P is not edge-visible.

```
create a list L of all vertical edges in the upper and lower chains C_{\ell,r} and C_{r,\ell} sorted by x-coordinate; scan L from left to right until the first right edge, say e_j, is encountered, if {during the scan no edge from the upper chain has been encountered} then candidate_{up1}.=p_{\ell} else if e_j is on the upper chain then candidate_{up1}.=p_j
if {during the scan no edge from the lower chain has been encountered}
```

```
then
      candidate_{low1} := p_{l-1}
else
  if e_1 is on the lower chain
         candidate_{low1} := p_{j-1};
call the rectilinear polygon constructed
     of all edges scanned so far P_{\ell};
scan L from right to left until the first left edge,
     say e, is encountered;
if {during the scan no edge from the upper chain
     has been encountered
     then
        candidate_{u_{R}2} := p_{r-1}
 else
     if e_j is on the upper chain
            candidate_{up2} := p_{j-1}
 if {during the scan no edge from the lower chain}
     has been encountered}
     then
         candidate_{low2} := p_r
 else
    if e, is on the lower chain
           candidate_{low2} := p_j;
 P_r := the rectilinear polygon constructed of all edges scanned;
 for k:=up, low do
      begin
          if candidate_{k1}, candidate_{k2} are endpoints of the same edge, say e_{k1}
                if candidate_{k1} is in kernel(P_{\ell})
                    and candidate<sub>k2</sub> is in kernel(P_r)
                    then P is edge-visible from e,;
      end.
```

**Theorem 3.5.** In linear time, a rectilinear polygon can be tested for edge-visibility. **Proof:** Initially the rectilinear n-vertex polygon P is tested for monotonicity in the x-direction or y-direction. By Theorem 3.4 this requires at most O(n) time. Assume that P is monotone in the x-direction. Both the upper chain as well as the lower chain of vertical edges of P are sorted by their x-coordinates. Merging these chains to obtain L requires therefore O(n) time. To find the candidate vertices for an edge  $e_i$  from which P is edge-visible, this list is scanned at most twice. Subsequently the two pyramids  $P_{\ell}$ 

and  $P_r$  located to the left and to the right of  $e_i$  are tested for complete visibility from the respective endpoints of  $e_i$ . This test is performed by first determining the kernel of the pyramids (see Chapter 3.2.2). Subsequently the endpoints can be tested for point inclusion in the kernel. Both operations require at most linear time. The algorithm therefore locates both pyramids and also checks whether they are visible from the respective endpoints of  $e_i$ , which is performed in O(n) time. By the initial test P is monotone in the x-direction and therefore all vertices which are not located in either of the pyramids, are vertices of the histogram H. This completes the proof.

#### 3.2.5. Related Concepts

In [OR82a] O'Rourke introduced the related concept of the signature of a curve  $\Gamma$  as a function associating with each point p of  $\Gamma$  the length of  $\Gamma$  to the left of or on the line tangent to  $\Gamma$  at this point. He shows that for arbitrary simple closed curves the signature does not uniquely describe the curve. However, for the case of rectilinear curves the curve is uniquely determined by the values of the signature. This again shows that rectilinear polygons are more structured than arbitrary simple polygons. It might be fruitful to test both the signature and the label-sequence of an object as combined features in a pattern recognition system.

Recently Chazelle et al. [Chl83] defined the concept of the sinuosity s of a simple polygon. We will illustrate the concepts introduced by them using the terminology developed in this thesis. They decompose polygonal chains into subchains according to the following rule. Traverse the polygon and start a new chain every time a full  $2\pi$ -turn ( $-2\pi$ -turn) is completed. The *sinuosity* of a polygon is defined as the number of such chains obtained. We now state their main result:

**Theorem 3.6.** It is possible to triangulate a simple n-sided polygon in  $O(n \log s)$  time and O(n) space [Chi83].

Proof: The proof can be found in [Ch183].

This result is nice, since by using their technique very efficient structure dependent triangulations can be obtained. The worst-case performance of their algorithm is nevertheless O(n log n) for certain polygons and thus the problem of whether an arbitrary simple n-vertex polygon can be triangulated in linear time remains open. It is interesting to note that these two concepts are related. In fact we can derive the sinuosity from the label-sequence, since the labeling-sequence contains the sinuosity information

The computational geometry literature contains many algorithms which perform a given task efficiently provided the input polygons have certain structural properties. In the context of this thesis, quadrilaterization algorithms discussed in Chapter 6 serve as example. The main part of this chapter therefore dealt with the design of efficient, linear-time algorithms for testing a given rectilinear polygon for such structural properties, as star-shapedness, monotonicity or edge-visibility.

# Hidden-Line Removal in Rectilinear Polygons

#### 4.1. Literature

Problem description: For a given scene and a given position called the viewpoint and a viewing direction of an observer, one wants to eliminate all edges (faces) or parts of edges (faces) which the observer cannot see.

This hidden-line (surface) elimination problem is of fundamental importance in the area of computer graphics where a frequently performed task is to render a realistic picture of an object displayed on a graphics device. The practical relevance of the problem has stimulated the development of a large number of hidden-line and hidden-surface elimination algorithms. For survey articles on hidden-line and hidden-surface algorithms see [SSS74, OWW82].

From a computational geometry point-of-view, the precision of any computation and thus that of the solution, should not depend on the resolution of the graphics output device. We therefore restrict our attention to object-space algorithms, i.e. algorithms which perform all computations in the same coordinate-system in which objects are stored. We briefly survey the existing results according to the following criteria:

- (a) What is the dimensionality of the objects and the object-space?
- (b) How many objects are in the given scene?
- (c) What is the specific nature of the underlying objects?

We will first sketch results obtained for 2-dimensional projections of 3-dimensional scenes. Ottmann et al. [OWW82] showed how a plane-sweep techniques can be

employed to eliminate all hidden surfaces of a given 3-dimensional scene of arbitrary polyhedra consisting of n edges. If k denotes the number of edge intersections in the specific 2-dimensional projection, then their algorithm exhibits an  $O((n+k) \log n)$  time-complexity using  $O(n \log n)$  space. Other object-space algorithms restrict the class of input polygons considered. Yao defines elementary objects as the Cartesian product of a simple polygon with an interval along the z-axis. The idea of Yao's [Ya80] algorithm is to assign priority numbers to each one of n given faces and subsequently defining an ordering among them. The final step in her solution to the hidden-surface problem is simply to display the faces in this order, thereby achieving the desired overlay effect. Yao proves that such an ordering can be found in  $O(n \log n)$ -time, given the restriction on the class of polygons considered

In addition to restricting the class of polygons, one can also restrict the number of elements in the scene to consider the hidden-line problem for single objects. Rappaport [Ra82] considers single monotone slabs as input polygons. A monotone slab is the Cartesian product of a monotone polygon along the z-axis. Using this restriction, he obtains a linear-time algorithm for eliminating hidden-surfaces in a monotone slab. ElGindy and Avis [ElA81] presented a hidden-line elimination algorithm for single simple polygons in the plane Their algorithm runs in linear time, but utilizes three stacks and is rather complicated. In this thesis we present a simple and efficient hidden-line elimination algorithm for rectilinear polygons. Our algorithm utilizes one stack and exhibits a linear run-time [Sa83]. It is based on the labeling-scheme as introduced in Chapter 2. Recently, Lee independently obtained a similar algorithm [Le83]. Rappaport and Toussaint restricted the problem further to finding a simple hidden-line algorithm for star-shaped polygons [RaT83].

# 4.2. Models of Visibility

Following the terminology of Yao [Ya80], we characterize visibility of objects by

adopting either a perspective model or a parallel model.

#### 4.2.1. Perspective Model

In the perspective model, the viewpoint is located anywhere on the plane. A solution to the hidden-line problem consists of finding all those points of P that are visible from the viewpoint. As the viewpoint might be located either inside or outside the polygon, we distinguish between two kinds of visibility: internal and external visibility.

Internal visibility: We say that a line-segment lies inside P if the interior of the line-segment lies in the interior of P. Two points are said to be internally visible if the line-segment joining them lies inside P. The hidden-line problem from a point v inside P is to determine all those points of P that are internally visible from v.

External visibility: We say that a line-segment lies outside P if the interior of the line-segment lies in the exterior of P. Two points are said to be externally visible if the line-segment joining them lies outside P. The hidden-line problem from a point v outside P is to determine all those points of P that are externally visible from v.

It is known that the internal and external hidden-line problems are related in that usually any algorithm capable of solving one hidden-line problem is, in principle, capable of solving the other (only minor changes to the algorithms are necessary).

#### 4.2.2. Parallel Model

In the parallel model, we assume rays to emanate from a source located at infinity. All rays form a fixed, clockwise angle  $\theta$  with the x-axis. The interior of the ray  $\infty p$  from  $\infty$  to a point p, is defined as the open half-line from  $\infty$  to p, excluding the point p. A point p is said to be visible from  $\infty$  if the ray  $\infty p$  lies outside P. The hidden-line problem in the parallel model is to determine all those points of P that are visible from  $\infty$ . Alternatively we refer to this problem as the hidden-line problem form a point at

infinity.

We will say that a point is visible if it is clear from the context whether internal visibility, external visibility, or visibility from  $\infty$  is meant. Similarly an edge  $e_i$  is said to be visible if there exists a point on  $e_i$  that is visible. An edge  $e_i$  is completely visible if each point on  $e_i$  is visible.

As is commonly done, we will give the solution for the hidden-line problem as a polygonal chain, referred to as the *visibility chain VC*. VC is obtained by connecting all segments on the boundary of P that contain exclusively visible points. In VC the polygonal order is preserved.

#### 4.2.3. Overview of Algorithms

It has been shown that hidden-line elimination in the parallel model and perspective model are equivalent in the sense that a solution obtained for one model can be transformed into a solution for the other model [NeS79]. However this is not the case in rectilinear geometry, as the transformations applied do not preserve the rectilinearity. For solving the hidden-line problems in rectilinear geometry, we give efficient and conceptually simple algorithms in this chapter.

First, we show how to solve the hidden-line problem for rectilinear polygons in the parallel model. The main points will be illustrated for the case that all rays are parallel to the x-axis. We extend these results to points at infinity not necessarily parallel to one of the axes. Then we show how to solve the hidden-line problem in the perspective model for the case that the viewpoint is located inside P. We will place a rectilinear coordinate system at the viewpoint and let the axes of the coordinate system be parallel to the edges of the rectilinear polygon. Hereby an arbitrary rectilinear polygon can be split into four chains. A solution for the hidden-line problem in the perspective model can thus be obtained by determining the visibility for each chain.

# 4.3. Algorithm for Hidden-line Elimination: Parallel Model

#### 4.3.1. Rays Parallel to one of the Major Axes

Throughout this section, we will assume that all rays are parallel to one of the major axes. We assume w.l.o.g. that this axis is the x-axis. Phrased in a different way, we say that the point of visibility is located at infinity,  $\infty$ , on the positive x-axis. This case is not only attractive for illustrating the main points nicely, but also it is of relevance for rectilinear geometry, as will be demonstrated below. The following Lemma 4.1 follows directly from the definition of visibility from  $\infty$ .

Lemma 4.1. The visibility chain is rectilinear if the rays of visibility are parallel to one of the axes.

Remark 4.1. The converse of Lemma 4.1 is not necessarily true as illustrated in Figure 4.1. The polygonal chain from the  $y_{\text{max}}$ -edge to the  $x_{\text{max}}$ -edge is monotone with respect to both the x and y-direction and is thus identical to the visibility chain.

We have defined visibility of a point with respect to a polygon P. This notion is now generalized to visibility of a point with respect to a polygonal chain. A point p is visible from  $\infty$ , with respect to a polygonal chain  $C_{1,t}$ , if the interior of the half-open line-segment from  $\infty$  to p does not intersect any edge in  $C_{1,t}$ .

We now define when a point is behind a polygonal chain. A point p is behind a polygonal chain C if the directed open half-line  $\infty p$  intersects C. We extend this definition to when an edge is behind a chain C. An edge  $e_i$  is behind a chain C if for all points p on  $e_i$ , p is behind C. If for a point p the directed open half-line from  $\infty$  through p first intersects p before intersecting C, we say that p is in front of C. An edge  $e_i$  is in front of a chain C, if for all points p on  $e_i$ , p is in front of C. The definitions can be canonically extended to edge-segments. In the following Lemma 4.2, we state a

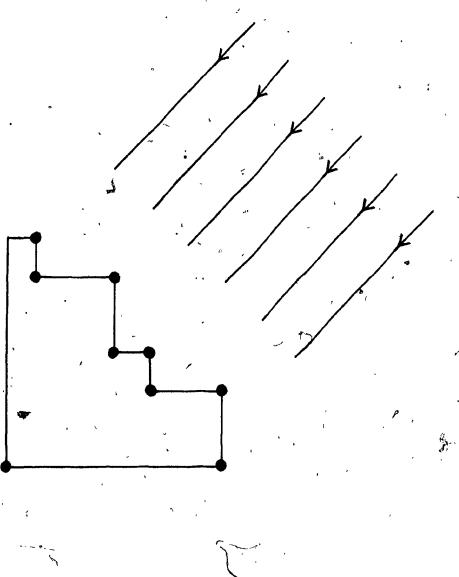


Figure 4.1

The visibility chain is rectilinear although the rays, are not parallel to one of the axes.

necessary condition for an edge to be visible.

Lemma 4.2. If a vertical edge is visible from a point located on the positive x-axis,

then its label is 1.

**Proof:** The result follows directly from Theorem 2.1 in Chapter 2.

The analogous results derived for points of visibility located at infinity on the y-axis or at  $-\infty$  on the x-axis, are summarized in Figure 4.2. On the basis of Lemma 4.2 we can design the skeleton of an algorithm for computing the visibility chain from  $\infty$ .

Direction of visibility	Labels of visible edges
+∞ on x-axis	1,, ,
∞ on y-axis	2 ` `
-∞ on x-axis	3
+∞ on y-axis	4,0

Figure 4.2

Relationship between direction of visibility and labels of visible edges.

# Algorithm 4.1: Hidden-Line Problem in the Parallel Model

Input: A rectilinear polygon P. .

Output: The visibility chain as seen from a point at infinity.

VC:= empty; (\* initialize the visibility chain \*)

for each 1-edge e, in P do

if e, is not behind VC

then

begin

if any portion of VC is obscured by e,

then remove that portion from VC;

augment VC by the portion of e, that is visible

with respect to C<sub>1,i</sub>

end.

Algorithm 4.1 examines the 1-edges of P according to their polygonal order. Elaboration is required on how to implement the step testing whether an edge  $e_i$  is behind the visibility chain so far constructed. Figure 4.3 illustrates that a test based exclusively on the x-coordinates and y-coordinates of TOP and  $p_{i-1}$ ,  $p_i$  is not sufficient. The test of whether  $e_i$  is behind VC can, however, be performed by a binary search on VC. This is possible because at any time during the execution of the algorithm, the visibility chain VC is monotone. The proof for the monotonicity of VC is similar to that of Lemma 4.4 stated below and we can omit it here. We conclude that the worst case run-time of Algorithm 4.1, if so implemented, is  $O(n + k \log k)$ , where k is the number of 1-edges in P. The algorithm, performs well if k is small compared to n. However, in case that k=O(n) the worst-case time complexity is  $O(n \log n)$ .

To find a worst-case linear-time algorithm we utilize the winding information provided by the labeling scheme. For this purpose, we introduce the concept of an antagonist for an edge. Consider a point p visible from the viewpoint v and located on edge  $e_i$ . Let a>i denote the smallest edge-index s.t. the edge  $e_a$  crosses the line vp. We call  $e_a$  antagonist for  $e_i$ . We note that this definition of antagonist is independent of

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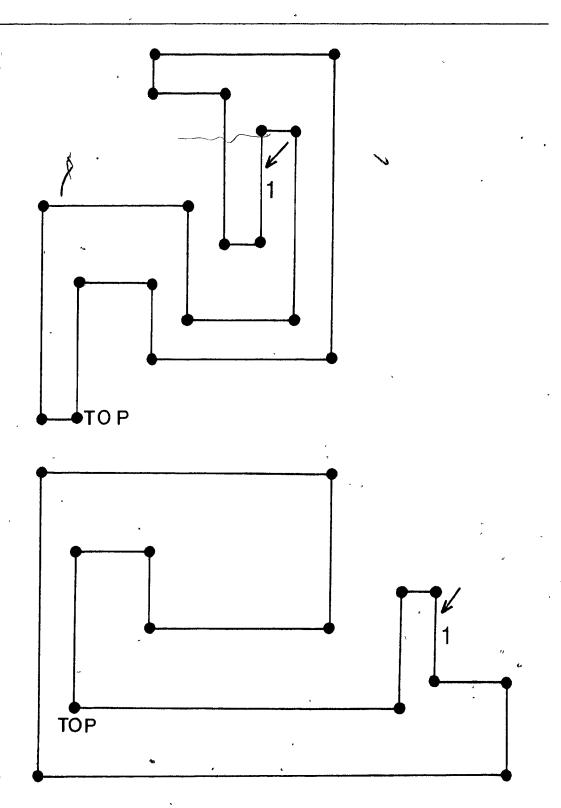


Figure 4.3

Testing the top\_of\_stack called TOP and the 1-edge  $e_i$  does not give enough information to determine whether or not  $e_i$  is hidden.

the model of visibility. Furthermore, one edge May have several antagonists and several edges may share the same antagonist (see Figure 4.4). For a point located on a 1-edge, we can define a unique antagonist (if it exists at all). The antagonist of a point q located on a 1-edge  $e_i$  is the antagonist of  $e_i$  with smallest index that has the same y-coordinate as q. Lemma 4.3 characterizes antagonists.

Lemma 4.3. Antagonists for 1-edges are -1-edges.

Proof: With the properties of the labeling scheme derived in Lemma 4.2 and Theorem 2.1. the result follows immediately.

Using Lemmas 4.2 and 4.3, we are able to design a linear-time algorithm, Algorithm 4.2, for solving the hidden-line problem from a viewpoint located at  $\infty$  on the x-axis. The algorithm efficiently skips all edges not labeled either 1 or -1. For ease of description, we assume that each edge  $e_i$  of P is already labeled with  $\ell_i$ . We maintain a stack, S, containing the visibility chain of all edges so far considered. The points in S are stored in order of decreasing y-coordinates, where the lowest point is at the top of the stack, referred to as TOP. We say that a point p is to the right of a point q, if the x-coordinate of p is greater than the x-coordinate of q. Similarly, we use the expressions to the left of, higher than, and lower than. For two given points p, q, we have used p#q to denote the point whose x-coordinate is that of p and whose y-coordinate is that of q (see Figure 3.6). To determine the visibility chain from  $\infty$  we only need to examine the chain  $C_{1,m}$  connecting  $p_1$  to the vertex  $p_m$ , with minimum y-coordinate.

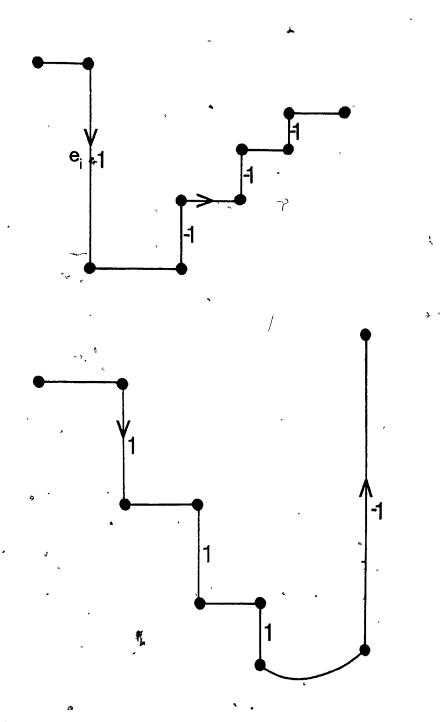


Figure 4.4
Antagonists

r.

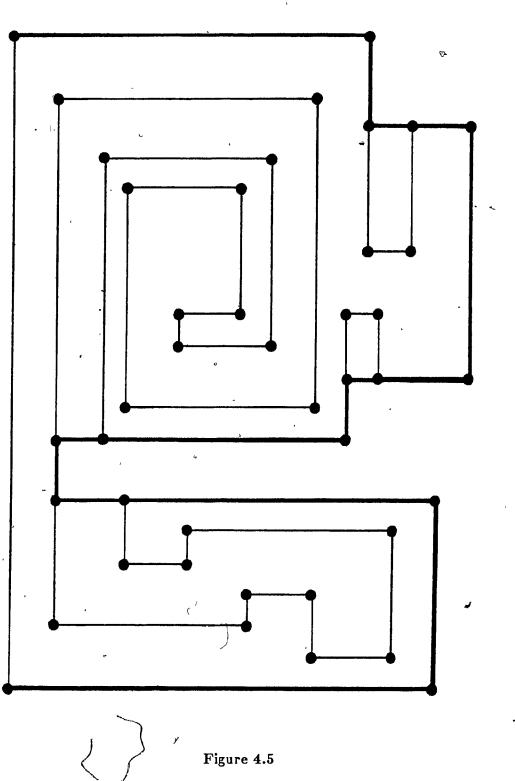
# Algorithm 4.2: Hidden-Line Problem in Parallel Model

Input: The polygonal chain  $C_{y_{mn}}$ ,  $C_{y_{mn}} = C_{1,m}$  of a rectilinear polygon P.

Output: The visibility chain as seen from a point at infinity.

```
(* stack initialization *)
S := emp(y,
TOP = p_1;
for i := 2 to m step 2 do
   if \ell_i = 1 and p_i is below TOP and p_{i-1} is not below TOP
         begin
             (* The visible part of e, is pushed *)
             if TOP <> p_* # TOP then PUSH(p_* # TOP);
             PUSH(p_i)
         end
    else
       if \ell_i = -1 and p_{i-1} is not higher than TOP
          then (* e_i may be antagonist *)
             begin
                while p_i is to the right of TOP and above TOP de-
                        (* shrink the visibility chain to the level of p_* *)
                        LASTTOP: TOP:
                        POP;
                    end
                    if p_i is below TOP
                       then PUSH(LASTTOP#p.)
            end.
```

An example illustrating Algorithm 4.2 is given in Figure 4.5. To prove the correctness of Algorithm 4.2, we introduce some additional notation. VC(i) is defined as the visibility chain constructed by the algorithm after edge e, has been processed. We also use at time i to denote the execution of the loop-body for edge e,. For ease of notation, let TOP(1) contain the value of TOP after edge e, has been processed. In an implementation of Algorithm 4.2 TOP, is a single pointer variable pointing the top\_of\_stack.



. Bold lines represent the solution to the hidden-line problem from a view-point located at  $(+\infty, 0)$ .

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Lemma 4.4. The chain VC(i) is monotonic in the y-direction.

**Proof:** Initially, VC(2) contains the single edge  $e_2$  and is thus monotone in the y-direction. Subchains of monotone chains are monotone. Thus if, at any time i, the stack content is popped, clearly VC(i) remains monotone. We assume that for i>3, VC(i-2) is monotone. We now examine the case that the visibility chain is augmented at time i. In that case, the first operation, PUSH( $p_1$ #TOP), is executed. This guarantees that VC is contiguous. As  $p_1$  is below TOP(i-2) the second operation, PUSH( $p_1$ ), ensure that VC(i) also remains monotone.

Corollary 4.1. The visibility chain VC(i) constructed by Algorithm 4.2 is monotone and rectilinear.

Corollary 4.1 and Lemma 4.1 illustrate that if the viewpoint is located on one of the axis, then all chains created are rectilinear. This visibility problem is therefore of particular relevance for rectilinear computational geometry. In Chapter 5, when discussing rectilinear hull problems, we will refer back to this point.

**Lemma 4.5.** Let  $p_j$  be the vertex with lowest y-value on the chain  $C_{1,i}$  then  $TOP(j)=p_j$ 

**Proof:** We claim that  $p_j$  is visible with respect to  $C_{1,j}$ . Refer to Figure 4.6. As  $p_j$  is the lowest vertex in  $C_{1,j}$  it is always possible to complete  $C_{1,j}$  to form a simple polygon  $\{p_1,...,p_j,q,r\}$  by adding two more edges  $p_jq$  and  $q_r$  without intersecting any edge so far considered. By Property 2.3, the edge  $q_r$  is a 3-edge and  $p_jq$  is a 2-edge, implying that  $e_j$  is a 1-edge. Furthermore  $p_j$  is lower than TOP(j-2) and thus  $p_j$  is pushed at time j, whereby the result follows.

We say that an edge-segment is on VC(i), if both its endpoints are on VC(i). As an edge-segment may be the entire edge, the definition encompasses edges. A point q is said to be on VC(i) if there exists an edge-segment on VC(i) containing the point. An edge-segment is removed from VC(i) if both its endpoints are popped from the stack. A

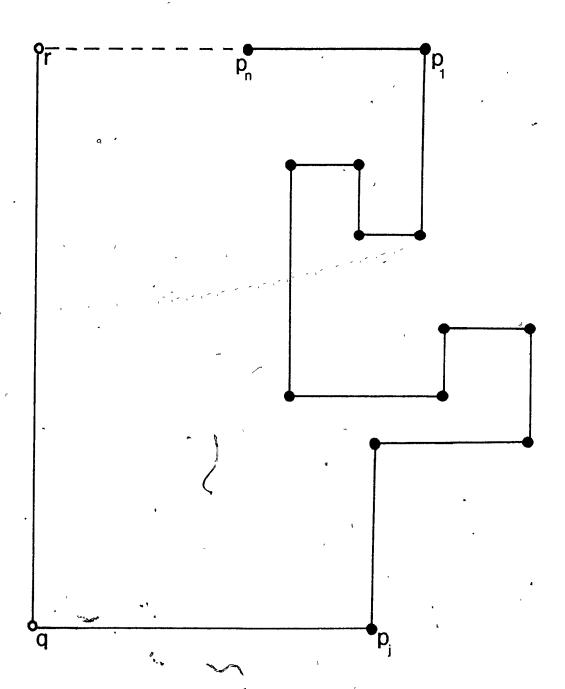


Figure 4.6

It is always possible to 'complete' a polygon from the lowest vertex  $\boldsymbol{p}_j$  considered so far.

>

point is removed from VC(i) if the edge-segment containing it is removed from VC(i).

Lemma 4.6. Let a point q be on VC(k). Then point q is popped iff q possesses an antagonist.

**Proof:** Let q be located on an edge  $e_i$ . As q is on VC(k), point q is pushed onto the visibility chain at time i. Thus, by Lemma 4.5,  $TOP(i)=p_i$ .

"<=" If  $e_i$  has an antagonist,  $e_k$ , with y-value as high as q then by definition of antagonist,  $e_k$  is the first edge on  $C_{i+1,m}$  intersecting the half-line  $q \infty$ . We want to show by induction on the length of the chain  $C_{i,k}$  that q is popped when  $e_k$  is encountered. Trivially, if k=i+2 then q is removed from the stack at time k. Otherwise, since  $e_k$  is antagonist for q, q is not removed from the visibility chain before  $e_k$  is encountered. This implies:

(a) TOP(j) is not above q for all j with i < j < k, and (b) no 1-edge  $e_j$  with endpoint  $p_j$  above q is pushed between times i and k. We show that for any 1-edge  $e_m$  with endpoint  $p_{m-1}$  below TOP(i) there exists an antagonist  $e_a$ , such that  $i < a \le k$ . This is verified by observing that for each such 1-edge  $e_m$  on  $C_{i+1,k}$  the turn form  $e_m$  to  $e_k$  is  $-\pi$  and thus there exists an edge intersecting  $p_{m-1}\infty$ . The smallest such index defines the antagonist  $e_a$  for  $p_{m-1}$ . If a < k then by induction on the chain  $C_{m,a}$  all 1-edges  $e_m$  are completely removed. Subsequently at time k,  $e_k$  will force q to be removed.

"=>" Follows directly from the description of the algorithm and the definition of antagonist.

Lemma 46 characterized under what conditions a point is popped from the stack.

We now characterize the conditions under which a point is pushed onto the stack.

Lemma 4.7. Denote by  $e_s = qr$  an edge-segment of an edge  $e_i$  with endpoints q,r. The lower endpoint r of  $e_s$  is not pushed iff (a)  $e_s$  is behind VC(i-2), or (b) q is below TOP(i-2).

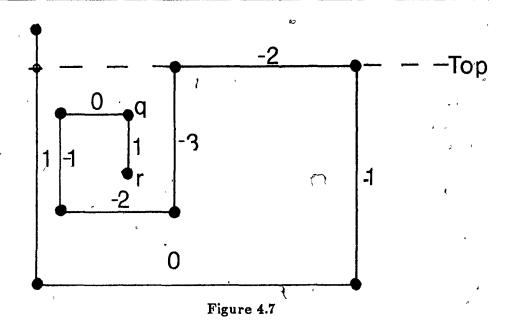
**Proof:** Recall from the algorithm description that a segment  $e_s$  =qr of an edge  $e_i$  is pushed if and only if r is below TOP(i-2) and q is not below TOP(i-2).

"<=" If either (a) or (b) is true then by the algorithm r is not pushed.

"=>" We assume now that  $e_s$  is not pushed. If q is below TOP(i-2) then (b) is true and the proof is completed. Therefore let q be above TOP(i-2). Since r is not pushed, r must be above TOP(i-2). We must show that  $e_s$  is behind VC(i-2). We assume the contrary, i.e.  $e_s$  is not behind VC(i-2). Since TOP(i-2) is a point located on a 1-edge, say  $e_t$ , by Property 2.2. the turn from  $e_t$  to  $e_s$  is 0. Edge  $e_s$  is above TOP(i-2) and thus an antagonist  $e_a$ ,  $e_s$  to a contradiction to the choice of TOP(i-2) to be popped before  $e_s$  was encountered, which is a contradiction to the choice of TOP(i-2).

Lemma 4.8. If a segment  $e_s = qr$  of an edge  $e_s$  is visible then its lower endpoint r is pushed on the stack.

**Proof:** We prove this Lemma by contradiction, showing that if the lower endpoint r of a segment  $e_s$  of an edge  $e_i$  is not pushed then  $e_s$  is not visible. From Lemma 4.7 follows that if an edge is not pushed then it is (a) either behind VC(i-2) or (b) q is below TOP(i-2). If an edge-segment is behind a chain then by definition of behind, it is not visible. Consider therefore case (b) when q is below TOP(i-2). Let TOP(i-2) be contained in some edge  $e_t$ . As q is not pushed the endpoint  $p_{i-1}$  of edge  $e_i$  is not on the visibility chain and thus i>t+2. As q is below TOP(i-2) there exists at least one 1-edge on the chain connecting  $e_t$  and  $e_i$ . The lowest endpoint of this edge is pushed when encountered and must have been removed from the stack before  $e_{i-2}$  was examined, thus there exists an antagonist  $e_k$ , k< i, s.t. TOP(k) = TOP(i-2). The edge  $e_i$  lies inside the polygon defined by  $\{TOP(i-2), p_t, ..., p_k\}$  and is thus not visible. Figure 4.7. illustrates this construction.



Illustrates that an edge  $e_i = qr$  is not visible if its upper end point q is below the top\_of\_stack.

Lemma 4.9. If a segment of an edge is completely visible then both its endpoints are on the visibility chain.

**Proof:** By Lemma 1.8 if an edge-segment is visible then its lower endpoint is pushed onto the stack. Thus it remains to be shown that in case the edge-segment  $e_s = q_r$  is completely visible then also the upper endpoint q is stacked. We assume this is not true. If q is behind VC(i-2) then clearly q is not visible violating the property that  $e_s$  is completely visible. Therefore q is in front of VC(i-2). This case is similar to Lemma 4.7 and we therefore omit its discussion here.

Lemma 4.10. If the upper endpoint of an edge-segment is popped then the entire edge-segment is not visible.

**Proof:** By Lemma 46 a point q is popped from the stack if there exists an antagonist for q. As P is simply connected and the turn from the segment to its antagonist is  $-\pi$  the entire edge-segment is not visible.

Lemma 4.11. If an edge-segment e, is on the visibility chain VC(m), then e, is completely visible.

**Proof:** We give a proof by contradiction assuming that a segment  $e_s$  =qr of a 1-edge  $e_s$  is not completely visible but located on VC(m). If the segment  $e_s$  is not visible then by Theorem 2.1. there exists a z on  $e_s$  and a cut-sequence  $(e_{k_1}, ..., e_{k_j}, e_s, ...)$ , j>0 as high as z. Recall that the edges in the cut-sequence are listed in order of increasing distances from the point of visibility and that  $e_{k_1}$  is the edge closest to the viewpoint. If there exists a -1-edge  $e_{k_\ell}$  such that  $k_\ell > i$  and  $0 < \ell \le j$ , then there exists a -1-edge  $e_{k_\ell}$  closer to the viewpoint then  $e_s$  which is encountered after  $e_s$ . Thus z posses an antagonist and by Lemma 4.6  $e_s$  is not on VC(m). If there exists no such -1-edge then by Theorem 2.1. for for all indices  $k_\ell$ , with  $0 < \ell \le j$ , and  $k_\ell > i$  the corresponding edge labels  $\ell_{k_\ell}$  are positive. The edge  $e_{k_1}$  closest to the viewpoint is obviously visible along a ray with vertical coordinate as high as z. The point on  $e_{k_1}$  with vertical coordinate equal to that of z is according to Lemma 4.8 pushed onto VC( $k_1$ ). By Lemma 4.10 this point is never popped. Consequently the edge-segment qz is behind VC(i-2). By Lemma 4.7 z is not pushed on the stack which implies that it  $e_s$  is not on VC(m).

Theorem 4.1. An edge-segment  $e_s$  is on VC(m) iff  $e_s$  is completely visible.

Proof: "=>" If an edge  $e_s$  is on VC(m) then by Lemma 4.11 it is completely visible.

"<=" if  $e_s$  is completely visible then by Lemma 4.8 it is pushed on the stack S and by Lemma 1.10 no portion of  $e_s$  is ever popped from S.  $\blacksquare$ 

Theorem 4.2. In this parallel model of visibility, Algorithm 4.2 solves the hiddenline problem for rectilinear polygons in linear time.

Proof: The time-complexity of the algorithm is linear since each edge is examined exactly once. The correctness follows from the Theorem 4.1.

We have shown how to design and analyze a simple and efficient hidden-line algorithm for removing hidden lines in rectilinear polygons. The concept of a visible label and of an antagonist was introduced. Depending on the model of visibility, these sets differ. Therefore the main step for developing other hidden-line algorithms based on the labeling-scheme is to determine the set of all labels for visible edges and their antagonists. We will focus on this, since the resulting algorithms have a similar basic program structure.

#### 4.3.2. Parallel Rays of Arbitrary Orientation

We now turn our attention to rays that are not parallel to on of the axes but still parallel to each other. Let  $S_1$  denote the unit circle in the x-y coordinate-system. A unit vector can be specified by its clockwise angle formed with the (positive) x-axis. We denote the half-open interval of unit vectors,  $0 \le \alpha < \frac{\pi}{2}$ , by  $I_1$ , similarly  $I_2 = [\frac{\pi}{2}, \pi)$ ,  $I_3 = [\pi, \frac{3\pi}{2})$ , and  $I_4 = [\frac{3\pi}{2}, 2\pi)$ . The angle  $\alpha_r$  of an (oriented) ray r is defined as the angle  $\alpha$  of the unit vector that is parallel to r and has the same orientation as r. To obtain a concise notation, we say that the point of visibility, at  $\infty$ , is in interval  $I_j$  if the angle of the corresponding unit vector falls in the interval  $I_j$ . The direction of visibility is defined as the orientations of the rays.

A rectilinear polygon P can be split into its four extremal chains defined as

 $GH_1$  from the  $x_{\rm max}$ -edge to the  $y_{\rm min}$ -edge,

 $CH_2$  from the  $\chi_{\min}$ -edge to the  $x_{\min}$ -edge,

 $CH_3$  from the  $x_{\min}$ -edge to the  $y_{\max}$ -edge,

 $CH_1$  from the  $y_{\mathrm{max}}$ -edge to the  $x_{\mathrm{max}}$ -edge

Let  $V_k$  denote the set of labels for visible edges located on chain,  $CH_k$ , and  $A_k$  denote the corresponding set of antagonistic labels. An edge is potentially visible if its label is in  $V_k$ . Depending one the location of the viewpoint v, different edges are visible.

**Lemma 4.12.** For a given direction of visibility and a chain  $CH_k$ , the number of labels in  $V_k$  is at most two.

**Proof:** Consider for any extremal chain  $CH_k$  the subchain of all those edges that are visible from the viewpoint v. The resulting visibility chain is monotone in the direction perpendicular to the orientation of the rays of visibility. In Chapter 3.2 we have seen that such a monotone chain contains only edges labeled with at most three distinct labels and these labels are consecutive integers. Since no parallel rays can see both a left edge and a right edge, or both a top edge and a bottom edge, from any given viewpoint at most two distinctly labeled edges are visible. Thus from each viewpoint  $|V_k| \le 2$  and in case that  $|V_k| = 2$ ,  $V_k = \{i, i+1\}$ , for some i.

We observe that for a given direction of visibility either at least one of the endpoints of a chain  $CII_j$  is visible or none of the edges on  $CH_j$  are visible. More precisely, for v in  $I_k$ , none of the edges of  $CH_j$  are visible if  $|\mathbf{j} - \mathbf{k}| = 2$ ; whereas both extremal edges of  $CH_j$  are visible, in case  $\mathbf{j} = \mathbf{k}$ . For all other locations of v exactly one of the endpoints of  $CH_j$  is visible. For example for v in  $I_1$ , both extremal edges of  $CH_1$  are potentially visible, exactly one of the extremal edges of  $CH_2$  and  $CH_4$ , but none of the edges of  $CH_3$  are.

Lemma 4.13. If the set of visible edge-labels  $V_k$  is  $\{i, i+1\}$  then the set of antagonistic labels is  $A_k$  is  $\{i-1, i-2\}$ 

**Proof:** Let the potentially visible i-edges be horizontal. Since in a rectilinear polygon horizontal and vertical edges alternate, it follows that (i+1)-edges are vertical. As, by assumption, both i-edges and (i+1)-edges are potentially visible the point of visibility is located either in  $I_2$  or  $I_4$ . If the view-point is located in  $I_4$  then i-edges are top-edges and antagonists are either vertical (i-1)-edges or horizontal (i-2)-edges, corresponding to turns of  $-\frac{\pi}{2}$  and  $-\pi$  respectively. See Figure 1.8 for illustration. These edges are

also antagonists for (i+1)-edges. In case the view-point is located in  $I_2$  the antagonists are (i-1)-edges or (i-2)-edges. The case that i-edges are vertical can be handled in a similar way.

We have determined the sets of visible labels and antagonist are known for each of the four extremal chain. An algorithm similar to Algorithm 4.2 can be developed for solving this hidden-line problem. We omit its description.

We summarize the results obtained for extremal chains in Figure 4.9.

## 4.4. Algorithm for Hidden-line Elimination: Perspective Model

Throughout this section, the model of visibility is the perspective model as described earlier. We will describe an algorithm to solve the hidden-line problem of a rectilinear polygon from a view-point located *inside* P. Let  $h=(x-x_i)+y_i$ ,  $x\geq x_i$  be an infinite horizontal half-line originating at p with the same orientation as the positive x-axis. As p is inside P, h intersects P at at least one point. The point being closest to p is referred to as the *cast edge* for p. In an analogous way the *north*, sputh and west edges are defined. We will refer to these edges as  $e_{cast}$ ,  $e_{north}$ ,  $e_{south}$ , and  $e_{west}$ -edges, respectively.

We define four canonical chains connecting the edges north to east, east to south, south to west, and west to north, respectively. In each chain we maintain the order given by P, e.g. the chain connecting  $e_{north}$  and  $e_{east}$  is  $C_{north,east}$  if north < east. Otherwise it is  $C_{east,north}$  For a given canonical chain  $C_{i,j}$  we say that  $e_i$ ,  $e_j$  are the defining edges.

Lemma 4.14. If the ecast is labeled i then

(1) 
$$\ell_{south} = i + 1$$
 if south>east and 1-3 otherwise,

(iii)  $\ell_{north} = i + 3$  if north>east and i-1 otherwise.

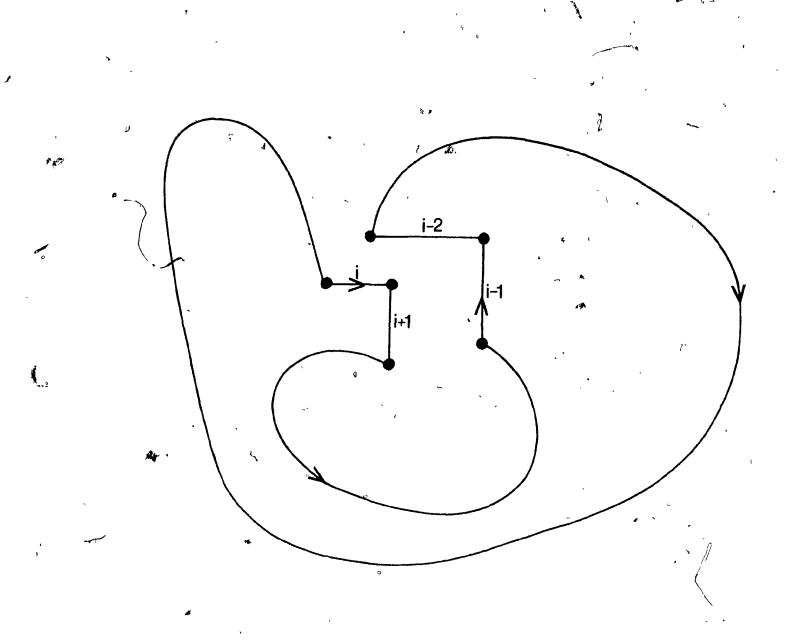


Figure 4.8  $i-1, i \neq 2 \text{ edges are antagonists for } i, i+1 \text{ edges.}$ 

	1 1 1	(1)
Interval	Labels	Chains
$I_1: [0, \frac{\pi}{2})$	1,72	$CH_1,CH_2,CH_4$
$I_2$ : $[\frac{\pi}{2},\pi)$	2, 3	$CII_1$ , $CII_2$ , $CII_3$
$I_3$ : $\left[\pi, \frac{3\pi}{2}\right)$	3, 4	$CH_2, CH_3$
_	-1,0	$CH_4$
$I_4: [\frac{3\pi}{2}, 2\pi)$	0, 1	$CH_1$ , $CH_3$
2	4, 5	$CH_{\bullet}$

Figure 4.9

Labels of visible edges for different viewing directions.

**Proof:** The turn from  $e_{east}$  to  $e_{north}$  is either  $\frac{3\pi}{2}$  or  $-\frac{\pi}{2}$  depending on whether, in a traversal of P,  $e_{east}$  is encountered before  $e_{north}$ , or after  $e_{north}$ , respectively. Thus the corresponding labels take on the values i+3 or i-1, respectively. An analogous argument holds for the other pairs of edges

**Lemma 4.15.** If an edge located on a canonical chain  $C_{i,j}$  is visible then its label is determined by the labels  $\ell_i$  or  $\ell_j$ .

**Proof:** The line of visibility originating at a point p, performs a turn between 0 and  $\frac{\pi}{2}$  while scanning the canonical chain  $C_{i,j}$  for visibility of its edges. Let  $C_{i,j}$  be a canonical chain with defining edges  $e_i$ ,  $e_j$ .

(a) i<j: Let  $e_k$  be an edge on chain  $C_{i,j}$ , i<k<j The turn  $t_{k,j}$ , from  $e_k$  to  $e_j$ , is either 0, in case  $e_k$  and  $e_j$  are parallel, or equal to 1, otherwise. In particular, for k=j,  $\ell_j = \ell_i + 1$ . Therefore the labels of visible edges on a canonical chain are equal to the labels of its defining edges

(b) i>j: Depending on whether k is encountered before, or after  $e_1$ , the value of  $\ell_k$  differs, since at  $e_1$  the labeling is restarted with  $\ell_1$ =0. Using the notation of Chapter 2.1, if  $1 \le k \le j$  then  $\ell_j = t_{1,k} + t_{k,j} = \ell_k + \{0 \text{ or } 1\}$ . This implies that  $\ell_k = \ell_j$  or  $\ell_k = \ell_j - 1$ . Otherwise, i.e  $i \le k \le n$ ,  $\ell_k = t_{1,i} + t_{i,k} = \ell_i + \{0 \text{ or } 1\}$  and thus  $\ell_k = \ell_i'$  or  $\ell_k = \ell_i + 1$ . The labels of the defining edges  $\ell_i$  and  $\ell_j$  are related by  $\ell_i = \ell_j + 3$ . Thus in both cases the labels of the defining edges determine the label of visible edges.

We have noticed in the above proof to Lemma 4.15 that the label of any visible edge located on a chain  $C_{i,j}$  takes any one value in  $\{\ell_i, \ell_{i+1}, \ell_{j-1}, \ell_j\}$ . For at least three of the four canonical chains this reduces to  $\{\ell_i, \ell_j\}$ . In case there exists a chain for which i > j,  $\ell_j$  and  $\ell_i$  are related by  $\ell_j = \ell_i - 3$ . Four labels would have to be checked for, when designing a visibility algorithm. However, if we define  $\ell_k^* = \ell_k + 4$  for  $1 \le k \le j$  and  $\ell_k^* = \ell_k$ , for  $1 \le k \le j$  and  $\ell_k^* = \ell_k$ , for  $1 \le k \le j$  and  $\ell_k^* = \ell_k$ . This allows for a unique treatment of all four chains when presenting the algorithm.

Recall that an antagonist for an edge  $e_i$  is an edge with smallest index k s. t. the oriented half-line from a point p, on  $e_i$ , to  $\infty$  intersects  $e_k$ . It is an important difference between visibility from the inside and visibility from the outside that the antagonistic labels are greater than the labels of edges which they obscure, in case of interior visibility. Antagonistic labels are smaller than visible labels in case of exterior visibility. To relate antagonistic labels to visible labels, we state the following result:

## **Lemma 4.16.** Let $e_a$ be antagonist for $e_a$ then $1 \le \ell_a - \ell_a \le 9$

**Proof:** The line-segment of  $\ell$  connecting  $e_a$  and  $e_i$  is inside P, since we are considering visibility from inside P. By Theorem 2.2 the label difference between  $\ell_a$  and  $\ell_i$  is greater then 0 and less than or equal to 3.

**Lemma 4.17.** ('orresponding to each pair of visible labels  $(\ell_i, \ell_j)$  is the pair of antagonists  $(\ell_i + 2, \ell_j + 2)$ .

**Proof:** Let  $C_{i,j}$  be a canonical chain. Antagonists for  $\ell_i$ -edges are  $\ell_i+2$ -edges and  $\ell_i+3$ -edges, since the corresponding turns are  $\pi$  and  $\frac{3\pi}{2}$ . Antagonists for  $\ell_j$ -edges are  $\ell_j+1$ -edges and  $\ell_i+2$ -edges, since the corresponding turns are  $\frac{\pi}{2}$  and  $\pi$ . Since, by Hemma 111 and the definition of  $\ell_j$ , the difference  $\ell_j-\ell_i$  is equal to 1. The result follows.

We will now describe the algorithm for hidden-line elimination in the perspective model. Let V be defined as the set of visible labels  $V = \{\ell_1, \ell_j^*\}$ . I A be defined as the set of antagonistic labels  $A := \{\ell_1 + 2, \ell_j^* + 2\}$ . Assume that the point of visibility, p, is located at  $(x_p, y_p)$  Let  $\ell$  be an infinite half-line originating at p. Let MOVE\_ $\ell$ (q) be a procedure which moves the half-line  $\ell$  to intersect q. If two lines or line-segments  $\ell_1$  and  $\ell_2$  intersect at a point we denote this intersection point by  $\ell_1 @ \ell_2$ . Furthermore let line(u,t) denote the line-segment joining u and t. Note that the line  $\ell$  is oriented, and therefore we can speak of the "left" of  $\ell$ , and the "right" of  $\ell$ .

#### Algorithm 4.34 Hidden-Line Problem in Perspective Model

Input: A rectilinear polygon P and a point of visibility p, inside P.

```
Output: The visibility polygon from p
```

```
find canonical chains C_{i,j};
for each canonical chain C_{i,j} do
        L_{i} := \{ 	ext{infinite half-line originating at p and intersecting } e_{i} 	ext{ perpendicularly} \}
        L_i := \{\text{infinite half-line originating at p and intersecting } e_i, \text{ perpendicularly}\}
        \ell = L_{i}
        PUSH(&#e,)
        for k := i \text{ to } j \text{ do}
           if C_{i,k} intersects L_i an odd number of times
                and e_k does not lie completely outside the quadrant formed by L_i and L_j
                  begin
                     if \ell_k \epsilon V and e_k intersects \ell
                        then
                            begin
                               if TOP <> \ell@e_k
                                    then PUSH(\ell@e_k)
                               if e_k intersects L,
                                    then PUSH(L,@e_k)
                               Nse
                                    PUSH(p_k)
                             end
                     else 🗽
                        if \ell_k^{\forall} \in \Lambda
                           and e_k intersects line(p,TOP)
                              begin
                                   while line(p, TOP) is intersected by e_k do
                                        PREVIOUS_TOP:= TOP
                                        POP;
                                     MOVE_{\ell}(p_k)
                                     if TOP is to the left of
                                          PUSH(Line(TOP, PREVIOUS_TOP)@ℓ)
                                     else
                                          MOVE_ℓ(TOP)
                              end
                 end
```

join the four visibility chains constructed.

Theorem 4.3. Algorithm 4.3 solves the hidden-line problem in the perspective model in linear, time

Proof: For a given view-point, v, the algorithm splits a rectilinear polygon P into the four canonical chains with respect to v. This operation can be performed in linear time. The labels of both edges defining a canonical chain were related in Lemma 114. Using Lemma 4815 we can construct the set of all visible labels for each canonical chain. Using Lemmas 4.16 and 4.17, we can determine the antagonistic label set can be determined. Therefore, in linear time, the sets V, of visible labels and A, of antagonists are constructed. In the main loop of the algorithm each edge is examined exactly once. Since for each edge at most one PUSH-operation and one POP-operation is executed, the entire procedure requires linear time. With the development of visible labels and antagonists the proof of correctness is similar to Theorem 4.2. It is omitted here.

We have shown how the labeling-scheme can be used in the design of efficient algorithms for eliminating hidden-lines in rectilinear polygons. For some applications, it might be necessary to generate many different views of the same object. Algorithm 13 can be adapted to perform such a task efficiently. As an initial step, performed only once, we will label the edges of P using the labeling-scheme. This step will keep, for each distinct label 1, a linked list of all edges labeled i Subsequently, for each viewpoint, the algorithm determines the facing edges of the four canonical chains. This operation is performed in linear time. If we allow O(n log n) preprocessing, this task can be performed in O(log n) time. (This point-location problem has been studied by many researchers in the area of computational geometry, see for example Kirkpatrick [Ki83], Lee and Preparata [LeP79], or Preparata [Pr81]). Since we keep linked-list for all distinct labels, we have determined, in O(log n) time, all those edges that are either potentially visible or antagonistic. Thus often the total number of edges to be examined is reduced. This results in substantial savings in particular if the polygon contains many spirals

### Chapter 5

### Further Applications of the Labeling Scheme

#### 5.1. Construction of the Rectilinear Convex Hull

One of the most extensively studied problems in computational geometry is the construction of the convex hull of a simple polygon or of a set of points. We recall that the convex hull of a set of points is defined as the minimum area convex set containing the original set. Lower bounds of  $\Omega(n \log n)$  for the convex hull of a set of  $n \pmod n$  points have been derived for various models of computation [Sh77, Av79, Em80, Ya79]

Since it costs O(n log n) time to construct a simple polygon from a set of points, polygons are more structured than sets of points. This has been exploited to develop linear-time convex hull algorithms for simple polygons [McA79, Le83a, BhE81, GrY83]. The history of geometric algorithms reveals the great difficulty of finding simple, elegant, but correct solutions for obtaining linear-time convex hull algorithms for simple polygons The simplest algorithm is due to Sklansky [Sk70]. It has been pointed out that his algorithm can fail to correctly determine the convex hull for arbitrary simple polygons Toussaint and Avis [ToA82] proved, however, that Sklansky's algorithm will correctly determine the convex hull, if the input polygon is weakly externally visible. Since visibility chains are weakly externally visible, any algorithm capable of computing the hidden-line problem can be used, in conjunction with Sklansky's algorithm, to solve the convex hull problem [EAT83] In Chapter 4 several efficient algorithm for hiddenline elimination in rectilinear polygon have been presented. Thus, in combining Algorithm 4.2 with Sklansky's algorithm, a conceptually simple and efficient convex hull algorithm for rectilinear polygons is obtained. We now discuss a variant of the convex hull problem and modify this idea to solve this variant. An alternate solution was

presented in [MF82a].

In the context of rectilinear geometry we are interested in determining the rectilinear convex hull of a rectilinear polygon, defined as the minimum area rectilinearly convex polygon enclosing P. See Figure 5.1 for illustration. Instead of rectilinear convex hull we will frequently use the term rectilinear hull. Similarly the y-hull (or x-hull) of a rectilinear polygon is the minimum area rectilinear polygon monotone in the y-direction (or x-direction) and enclosing P. The solution to the hidden-line problem from a view-point exterior to P is called the visibility hull of a polygon. It has been observed that the convex hull of a simple polygon is the union of visibility hulls over all directions of visibility [ToS84]. For the rectilinear hull this reduces to determining the union of the x<sub>5</sub>-hull and the y-hull. The y-hull of a rectilinear polygon P can be determined as follows.

#### Algorithm 5.1: Algorithm for Computing the y-Hull

Input: A rectilinear polygon P

Output: The y-hull of P

use Algorithm 4.1 to compute  $C_r$ , the visibility hull from  $+\infty$  on the x-axis, compute  $C_\ell$ , the visibility hull from  $-\infty$  on the x-axis, join the chains at the extreme edges in the y-direction.

Similarly the x-hull can be determined The x-hull of a rectilinear polygon P is denoted by x-hull(P), similarly is the y-hull of P denoted by y-hull(P). We can now state the algorithm for computing the rectilinear hull of a rectilinear polygon.

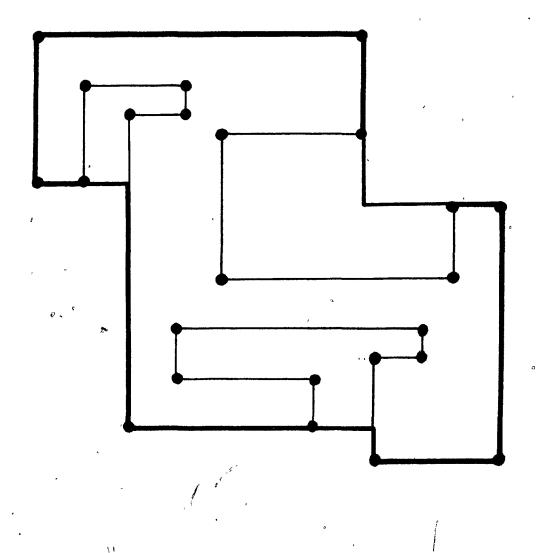


Figure 5.1

The rectilinear convex hull of a rectilinear polygon.

#### Algorithm 5.2: Algorithm for Computing the Rectilinear Hull

Input: A rectilinear polygon P

Output: The rectilinear hull of P

 $P_y$ .=y-hull(P); rectilinear hull of P:=x-hull( $P_y$ )

Lemma 5.1. Algorithm 5.2 correctly determines the rectilinear hull of a rectilinear polygon, in linear time.

Proof: By Theorem 4.2 computing the x-hull and y-hull takes linear time, thus the run-time of Algorithm 5.2 is linear. We will now show the correctness of this procedure. We show that the union of y-hull(P) and x-hull(P) can be computed by determining x-hull(y-hull(P)) or alternatively y-hull(x-hull(P)). In order to prove that x-hull(y-hull(P)) = union(x-hull(P), y-hull(P)) we show the contament in both directions. We first show that union(x-hull, y-hull(P)) is contained in x-hull(y-hull(P)). The x-hull or y-hull of a polygon always contains the polygon. Thus P is contained in y-hull(P) and x-hull(P) is in x-hull(y-hull(P)) and consequently y-hull(P) is in x-hull(y-hull(P)). We now show the opposite direction, i.e. if a point p is in x-hull(y-hull(P)) then p is in union(x-hull(P), y-hull(P)). Let a point p be in x-hull(y-hull(P)) then p is either in y-hull(P), in which case we are done, or p is in the set difference between x-hull(y-hull(P)) and y-hull(P). The point p is outside P and visible from +\infty on the x-axis, but not visible from +\infty on the y-axis. Thus p is in x-hull(P), see Figure 5.2.

We have shown how to apply the results of Chapter 4 for the design of simple algorithms to determine hulls of rectilinear polygons. In this thesis we focus on computational geometry problems for single rectilinear polygons Several authors have recently studied the definition and construction of the rectilinear hull of a set of points, and of a collection of rectilinear polygons [NLLW83, MF82a, OSW83]. A discussion of

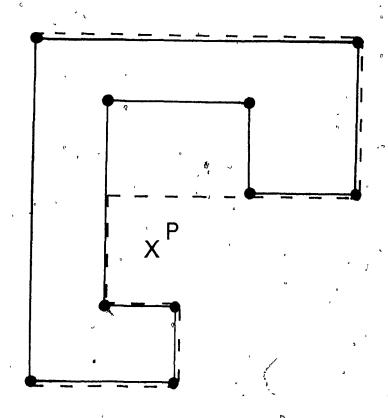


Figure 5.2 Point p is in x-hull(P) but not in y-hull(P).

the resulting problems and some algorithms are surveyed in [OSW83a]. The rectilinear geometry of collections of rectilinear objects is beyond the scope of this thesis

#### 5.2. Movement of Robots in a Rectilinear Environment

Let a floor plan of a rectilinear building (traditional art-gallery) be stored as an invertex rectilinear polygon P. For two points located anywhere on the plane, we ask whether they can be connected by a rectilinear path which does not intersect any edge of P. This problem is equivalent to asking whether both two points lie in the same connected component with respect to P, i.e. whether the points are either both inside or both outside P. The problem has received considerable attention from both

これのことでは、これのはないのできないのできないというできない。

mathematicians as well as computer scientists Jordan has solved this question by noting that a polygon partitions the plane into two connected components the inside of P and its exterior. For simple closed curves this fact is known as the Jordan Curve Theorem We will use his result as expressed for polygons

Lemma 5.2. A point p is inside P if and only if for every horizontal half-line originating at p the number of intersections with P is odd

Proof: The proof follows directly from the Jordan Curve Theorem and the Lemma has been stated elsewhere e.g. in [Sh77]

When counting the number of intersections some precaution has to be taken if the line h intersects P at a vertex or if h coincides with an edge  $e_i^{\text{re}}$  of P. If h intersects P at a vertex, we count the intersection twice, in case that both edges, incident to the vertex, lie on the same side of h, otherwise, we count the intersection once. If h and any edge  $e_i$  coincide then we count this as two intersections. Thus a point can be tested for inclusion in a polygon in O(n) time. If the point location query is to be performed repeatedly then it might be advantageous to invest preprocessing time in order to obtain faster query times. This approach has been examined by a many researchers, for example see [Sh77, LeP79, LiT77, LiT77a, Pr81, Ki83]

Throughout this chapter we are interested in movements of robots and thus our model of computation is different from the models used in the above point location algorithms. We assume that a camera is attached to the robot enabling the robot to see. From a given location the robot can determine in constant time, the facing edges and its labels as defined in Chapter 1. For short we say that the robot can see to its left and to its right. Furthermore, we assume that the robot has a sensor to prevent it from hitting walls. No global knowledge of the polygon will be required by the robot. If the robot is outside the polygon P, it may happen that in one of the four rectilinear directions no edge is visible, in which case we say that  $\infty$  is seen, or that this facing edge is  $\infty$ . Note that if the robot is inside the rectilinear hull of P, at most one of the

four facing edges is ∞. We now present an easy test for determining whether a robot R is inside or outside a polygon P

Lemma 5.3. Let (w,e) be the pair of labels for the west, east edges as seen by the robot R.

- (a) R is inside P if (w,e) mod A = (3,1),
- (b) R is outside P if (w,e) mod 4=(1,3)

**Proof:** R is inside P if and only if the east-edge is a down-edge and the west-edge is an up-edge R is outside P otherwise. By Theorem 2.1 we obtain that  $|\mathbf{w}-\mathbf{e}|=2$ . If R sees the pair (3,1) as (w,e), then R is inside P, since 1-edges are down-edges and 3-edges are up-edges. By Property 2.2 the net-turn between parallel edges of equal orientation is 0. We obtain that for all pairs (w,e) for which (w,e) mod 4=(3,1), the robot is inside P. In a similar way (b) can be shown.

We will now assume that R is located at a point p inside the rectilinear hull of P, but exterior to P. Let  $p_1$ ,  $p_j$ , j > i+1, be two vertices of P. If the edge  $p_1p_j$  is an edge of the rectilinear hull of P then  $p_1p_j$  is called a *lid*. The corresponding polygonal chain  $p_1$ ,  $p_j$  is called a *pocket*. We study the question of how to determine the shortest rectilinear path from a point p in the interior of a pocket to the corresponding hd. The path may not intersect any edges of P. In other words, we ask if the robot is working inside the maze defined by the exterior of P, what is the shortest path to leave the maze without "going" through walls? The underlying distance metric used here is the Manhattan Metric, i.e. for points  $p=(p_1,p_y)$  and  $q=(q_1,q_y)$  the Manhattan distance is defined as  $d(p,q)=|p_1-q_1|+|p_y-q_y|$ . Note that in this metric the shortest path between any two points with distinct x-coordinates and y-coordinates is non-unique. Referring to the notation in Figure 5.3, both p, p#q, q and p, q#p, q are shortest

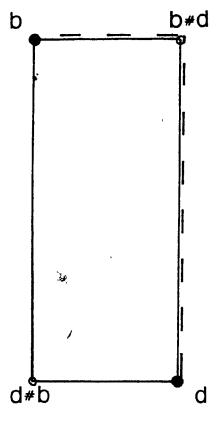


Figure 5.3

In the "Manhattan"-metric the shortest path from p to q is realized by p,q#p, q or p,p#q,q It is therefore not unique

paths

Before solving this question we examine an easier problem. Let a robot R be located at a point p, inside the rectilinear hull, but in the exterior of a polygon P. We assume that somebody erects a horizontal wall from the east-edge to the west-edge through or close to point p. Such a wall is an external line-segment for P. By Theorem 2.2 any such wall decomposes the outside of P into a bounded and an unbounded region. We say that R is locked in, if R is inside the bounded region and R is free otherwise. By a similar construction a vertical wall could be erected.

Problem If a wall is so constructed determine the movement of R such that R is free.

The solution will be given as an instruction of the form "go up", "go down", "go left", or "go right", the semantics of which is apparent Let (w,e) and (n,s) denote the label-pair of the (west-edge, east-edge) and (north-edge, south-edge), respectively.

Instruction set:

In case the wall is horizontal do: if w < e "go down", otherwise "go up". In case the wall is vertical do: if n < s "go left", otherwise "go right".

Lemma 5.4. Whenever a wall is constructed, using the instructions the robot will correctly move to free itself.

Proof: A wall represents a segment of a rectilinear cut through the location of R at p We can therefore apply Theorem 2.1 yielding that the label difference between the west-edge and the east-edge is always two in absolute value. As the segment joining the west-edge and the east-edge is an external line-segment the west-edge is an down-edge and the east-edge a up-edge. We have to determine if the bounded region is located above or below the wall. Theorem 2.2 in Chapter 2 deals with this situation. We get that if w>e, in particular if w-e=2, then the bounded region is below the wall. The correct move is therefore 'go up', which is indeed what the instruction says. In case that w<e, in particular if w-e=-2, the bounded region is, by Theorem 2.2, above the wall. Therefore the correct movement is to walk 'go down'. ■

Lemma 5.5. The shortest rectilinear path is not unique.

Proof: The proof follows from the example given in Figure 5.3.

We solve the shortest rectilinear path problem as described above. The robot moves only in one of the four rectilinear directions. A change of direction is from a horizontal movement to a vertical movement and vice versa.

#### Algorithm 5.3: Shortest Rectilinear Path

Input: Rectilinear polygon and position of robot R.

Output: The shortest rectilinear path to free R.

Pick an arbitrary orientation (vertical or horizontal);

repeat

if one of the facing edges is labeled ∞

then

go towards that edge and exit.

else

begin

execute instruction

move until side edges change labels or you 'hit' a wall

then

change orientation

end

until free.

**Lemma 5.6.** Let R be located inside a convex deficiency of P. Then at most one of the facing edges is  $\infty$ .

Proof: The result follows directly from the fact that the rectilinear hull is the union of the x-hull and y-hull.

Lemma 5.7. The algorithm Shortest Rectilinear Path correctly finds the shortest rectilinear path from p to the exterior of the rectilinear hull.

**Proof:** If the robot R sees  $\infty$  then R moves in the direction towards  $\infty$ . By the previous Lemma 5.6 the path is, in this case, unique and thus clearly minimal. We assume now that R is in its initial position and does not see  $\infty$ . Thus R cannot reach the lid without changing the direction of movability at least once. The shortest rectilinear path is therefore non-unique and R can choose an arbitrary starting orientation, i.e. either horizontal or vertical. Now let the current position of R be  $p_{m-1}$ . We now assume that  $p_1, ..., p_{m-1}$ , is a shortest rectilinear path, which can be extended to a shortest path from p to the lid. We will show how to extend this path to

a shortest path form p to the lid. For this, we construct a wall perpendicular to  $p_{m-2}p_{m-1}$  and intersecting  $p_{m-1}$ . The endpoints of the walls are located on the edges facing R at  $p_{m-1}$ . By Theorem 2.2, this wall splits the outside of P into two regions one of them is bounded, while the other is unbounded. As the path from  $p_1$  to  $p_{m-1}$  is minimal, the robot will not go back into the same direction form which it came, i.e. it will not enter the bounded region. If at  $p_{m-1}$  the facing edge-labels do not change then the robot continues walking in the same direction since it remains in the unbounded region. If, however, the facing edge-labels change then we can interpret this situation as having two parallel walls, one of them joining the previous facing edges, the other one joining the new facing edges. Both walls enclose bounded regions one located directly above the wall, the other directly below the wall. Thus R is forced to change its orientation. By Lemma 5.4, R will choose the right direction orientation and thus a unique extension of  $p_1,...,p_{m-1}$  to a minimal path from  $p_1$  to  $p_m$  extendible to the lid is found. The situation when R encounters ('hits') a wall can be seen in exactly the same way as a change of label.

In this chapter, we have discussed an application of the labeling-scheme in the area of robotics. The solution obtained was expressed as a simple set of instructions. We have used the labeling-scheme to solve a problem, whenever winding properties and visibility aspects were relevant to its solution. A related movability problem has been studied by L. Majocchi and G. R. Sechi [MS84]. The task is to free a mouse from a rectilinear maze by a sequence of rectilinear moves. In their model the mouse has only local perception obtained by using sensors. The nose and its whiskers are used for detecting lateral and frontal pressure. Their objective is to find a rectilinear path that avoids entering 'blind alleys' and loops. Their primary interest is in the area of problem solving and learning. This, as they call it, 'Mouse in a Maze'-problem, appears to be a fruitful topic in this context. They study the problem not under a computational complexity point of view, but under the aspect of learning from previous experiences,

and thus they do not include a complexity analysis.

### Chapter 6

## Decomposition Techniques for Rectilinear Polygons

#### 6.1. Guard Placement Problems

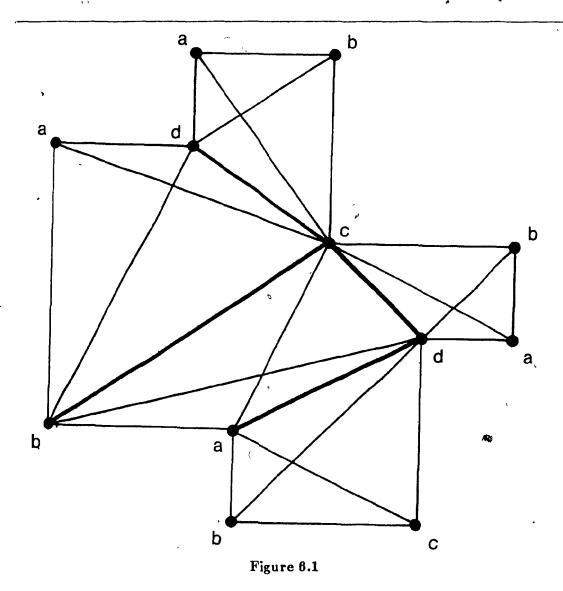
The remainder of this thesis is devoted to the discussion of some decomposition problems occurring in rectilinear geometry. In the introductory chapter, pattern recognition and shape analysis were mentioned as possible areas of application of decompositions techniques. Our primary interest in these techniques is their computational geometry aspect, where often a problem is solved efficiently by decomposing it into well defined smaller subproblems, which are subsequently merged to obtain a solution for the entire problem. As we will show, this task occurs when solving guard placement problems in traditional art-galleries, i.e. art-galleries whose floor plan can be described by rectilinear polygons. This problem, as studied by [KKK83], is a variant of the (general) art-gallery problem due to Victor Klee, mentioned in the introductory chapter. In its general form, the question can be posed as follows: how many guards are always sufficient and sometimes necessary to see the inside of an n-vertex simple polygon P, where guards are assumed to be located at stationary points inside P. Guards "see" in the sense of internal visibility as defined previously.

V. Chvátál [Ch75] has shown that the answer for an arbitrary simple n-vertex polygon is  $\left\lfloor \frac{n}{3} \right\rfloor$ . Later Fisk [Fi78] gave a simpler argument for the sufficiency based on the fact that the graph obtained by triangulating a simple polygon is three colorable. Any triangulation can be seen as a partitioning of the polygon into convex pieces. Each triangle contains vertices of each color. Therefore by placing guards at all vertices having the same color, it is ensured that the entire polygon is seen. Fisk completes his

argument by picking the color occurring least frequently.

In a somewhat similar way Kahn et al. [KKK83] showed that  $\left|\frac{n}{4}\right|$  guards are always sufficient and for some examples also necessary to guard any rectilinear polygon. We refer to this result as the Rectilinear Art Gallery Theorem The idea pursued in [KKK83] is to construct a four-colorable graph with the property that placing guards at all vertices of any chosen color will solve the guard placement problem. Then by picking the least frequently occurring color, the  $\left|\frac{n}{4}\right|$  bound on the sufficiency of the number of guards is obtained. The four-colorable graph is readily obtained from a (convex) quadrilaterization of the rectilinear polygon. We give the construction: insert a pair of intersecting diagonals into all convex quadrilaterals as illustrated in Figure 6.1. Within each quadrilateral, each vertex is connected to all other vertices, implying that at least four colors are needed. The sufficiency of four colors follows by a simple inductive argument. Notice that the convexity of the quadrilaterals is crucial to ensure that an entire quadrilateral is seen if a guard is placed on any of its vertices. We pointed out previously that not every simple polygon admits a decomposition into convex quadrilaterals. The hard part of their [KKK83] rather lengthy proof is therefore to show the existence of a quadrilaterization for any rectilinear polygon. Quoting from their paper [KKK83 p. 197]: "In many places in our paper our proofs depend on certain configurations having particular properties which appear to follow obviously from the relevant definitions. .... However, as is often true in geometrical problems, despite their "obvious truth" is seems both time-consuming and tricky to provide rigorous proofs of these assertions."

We found another aspect of the quadrilaterization problem rather intriguing: Whereas it is easy to triangulate a simple polygon 'by hand', it is much harder to find a convex quadrilaterization of rectilinear polygons. Where is the problem? Solving the



A four-coloring of a graph obtained by inserting a pair of intersecting diagonals in each convex quadrilateral.

3

problem manually, even for rectilinear polygons with a small number of vertices, we often end up with triangles or concave quadrilaterals, in which case we might have to backtrack, possibly even to the first diagonal inserted. O'Rourke [OR83] compares quadrilaterization to a manual guard placement pointing out: "It is quite difficult to find a convex quadrilaterization by hand, whereas it is a simple procedure to place guards by hand and eye on even quite large rectilinear polygons".

While Kahn et al. mainly proved the existence of a convex quadrilaterization for rectilinear polygons, we are primarily interested in giving efficient algorithms for solving this problem. As a by-product of our discussion we will obtain an existence proof. Before presenting our algorithms, we will give an overview of their proof. They first introduce the concept of reducibility: "A (finite) rectilinear region R is reducible if whenever every smaller finite rectilinear region is convexly quadrilaterizable then so is R". By 'smaller' they mean, in this case, fewer vertices. Next they give several reductions for rectilinear polygons. These reductions are being performed by recognizing certain configurations linside the polygon. Their proof is completed inductively by showing the existence of at least one such configuration in any given rectilinear polygon with more than four vertices.

# 6.2. Quadrilaterization of Rectilinear Star-Shaped Polygons

In this section, a linear-time algorithm is presented for decomposing rectilinear star-shaped polygons (RSP) into convex quadrilaterals. Recall that a polygon P is starshaped if there exists at least one point in P which can see the entire polygon. Arbitrary star-shaped polygons can be triangulated in linear time [ScL80]. The obvious way of quadrilaterizing RSPs, namely to triangulate the polygon and then to remove every other edge, fails. The reason for this is that such a decomposition may contain concave quadrilaterals, as illustrated in Figure 6.2a A correct quadrilaterization of the polygon in Figure 6.2a, is illustrated in Figure 6.2b. Our approach is to partition the polygon P into two simpler components, pyramids, both admitting 1.e. quadrilaterization.

v

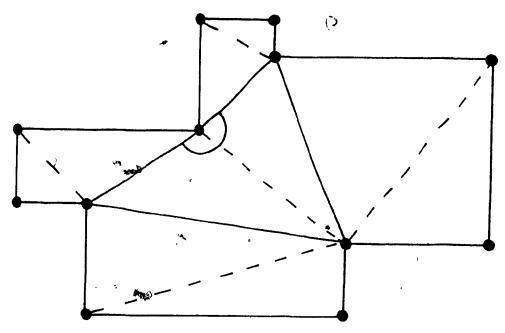


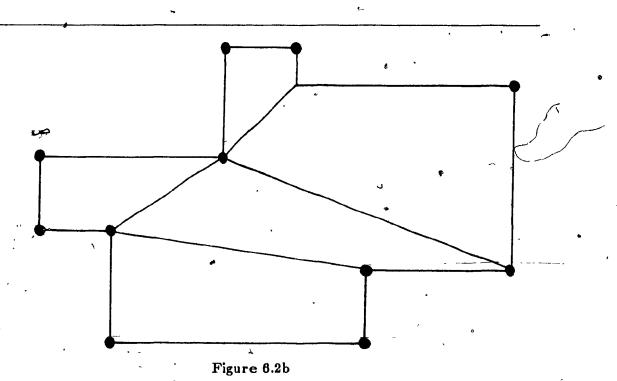
Figure 6.2a

Only if we allow swapping of diagonals, a convex quadrilaterization can be obtained from the given triangulation

# 6.2.1. Partitioning Rectilinear • Star-Shaped Polygons into Pyramids

First we recall some properties of rectilinear star-shaped polygons and introduce some notation. Denote the vertices of the extreme edges as in Figure 6.3, also let y(p) denote the y-coordinate of point p. We have defined a stair as a polygonal chain monotone in both the x and y-direction. Furthermore, we have shown that the extreme edges of any rectilinear star-shaped polygon are connected by stairs. If we extend the horizontal and vertical edges at a reflex vertex towards the interior of the polygon, we get the shaded area in Figure 6.4 Schachter [Sc78] calls this area the *inner cone*. A reflex vertex v is up-looking, if the inner cone is not below the horizontal line through v, else v is down-looking. In Figure 6.4 (a) v is an up-looking reflex vertex, whereas in (b)

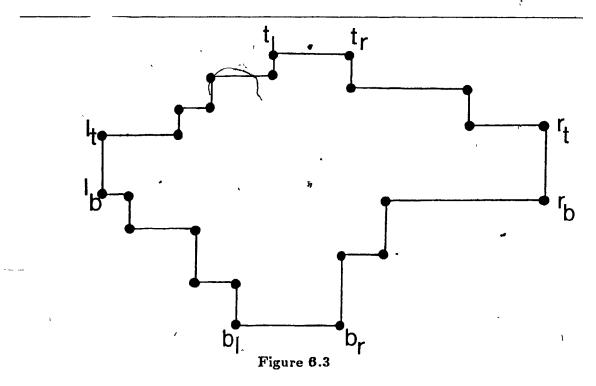
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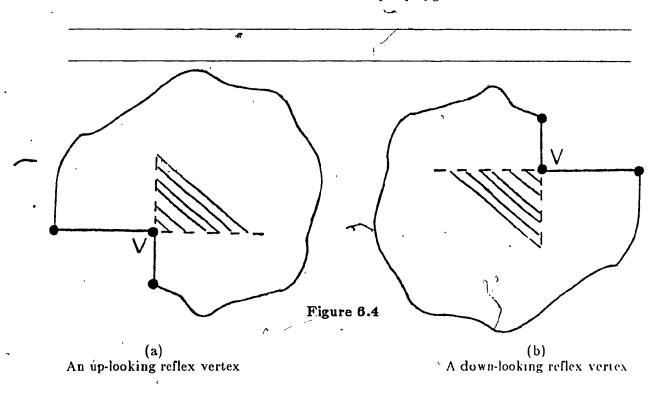
A convex quadrilateralization of the polygon in Figure 6.2a.

v is a down-looking reflex vertex.

We defined a pyramid as a rectilinearly convex histogram and showed that a pyramid has two stairs. Now we illustrate how to decompose an RSP into two pyramids. First we find its kernel; this is straightforward once the extreme points are known, see Chapter 3.2.2. The kernel contains at least one point x. Then we construct a horizontal line through x. This line intersects the polygon P at two points say p and q. Because P is star-shaped p and q are located on the  $x_{\min}$ ,  $x_{\max}$  edges. With these two Steiner points p and q we can split the polygon into two pyramids (see Figure 6.5). The two pyramids are  $(p, ..., t_{\ell}, t_r, ..., q)$  and  $(q, ..., b_r, b_{\ell}, ..., p)$ . In Chapter 3.2.2 we presented a procedure to determine the kernel of a rectilinear polygon in linear time. To compute the intersection points p, q is then trivial, so that the entire procedure can be executed in linear time.



. A rectilinear star-shaped polygon.



 $\varsigma$ 

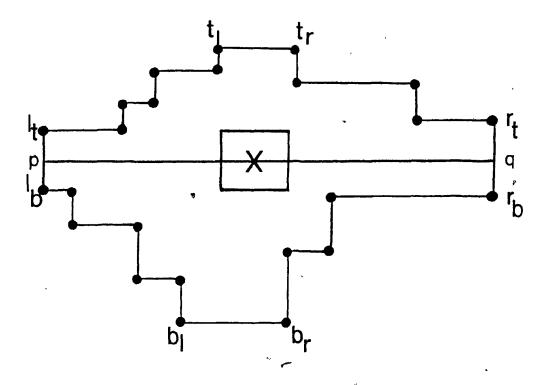


Figure 6.5

Partitioning a rectilinear star-shaped polygon into pyramids.

#### 6.2.2. Quadrilaterization of Pyramids

In this section we show how to partition a pyramid into convex quadrilaterals. Without loss of generality we assume that the pyramid is oriented in such a manner that pq is the top edge. Let  $L = \ell_1$ , .,  $\ell_n$  and  $R = r_1$ , .,  $r_m$  be two lists of vertices with n,m elements, respectively.

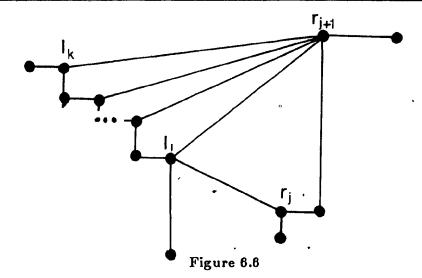
#### Algorithm 6.1: Pyramid Quadrilaterization

```
Input: A pyramid P.
Output A convex quadrilaterization of P.
     Step 1:
            Starting at the y_{\min} edge rs construct two lists L, R:
            L := all reflex vertices on the left stair
                such that y(\ell_1) < ... < y(\ell_n);
            R := All reflex vertices on the right stair
                such that y(r_1) < ... < y(r_m),
     Step 2:
            1.=1; j:=1; (* initialize *)
            while (i \le n and j \le m) do
                begin
                    join \ell_i and r_j,
                    if y(\ell_1) \leq y(r_1)
                        then i := i+1
                    else j := j+1
    Step 3.
               then join all points r_1, \ldots, r_m to p;
                then join all points \ell_i, , \ell_n to q
```

Remark 6.1. In Algorithm 6.1, if  $y(r_j) < y(\ell_1) < y(\ell_{i+1}) < \dots < y(\ell_k) \le y(r_{j+1})$  then all points  $\ell_i$ , ...,  $\ell_k$  are joined to  $r_{j+1}$ , as illustrated in Figure 6.6.

Lemma 6.1. Pyramids can be quadrilaterized in linear time.

Proof: If both stairs are empty, the polygon is already a convex quadrilateral and the



All reflex vertices between  $\ell_i$  and  $\ell_k$  are joined to  $r_{i+1}$ .

algorithm stops. Let the polygon have at least one non-empty stair. The algorithm creates polygons, say  $Q_1, ..., Q_d$ .

We have to show that:

- (i)  $Q_1, ..., Q_d$  are quadrilaterals.
- (ii)  $Q_1, ..., Q_d$  are convex.
- (iii) No two polygons  $Q_1$ ,  $Q_j$  intersect (properly). (iv) The union of all  $Q_1$ , ...,  $Q_d$  is equal to the polygon.
- (v) The algorithm runs in linear time.
- (i) From the algorithm it is clear that every pair of consecutive reflex vertices in the left (right) stair is joined to a common vertex in the right (left) stair, therefore the components are quadrilaterals
- (ii) Let v be a reflex vertex on the left stair and refer to Figure 6.7. The concavity at v is broken by any diagonal located in region B. The algorithm produces a diagonal vw such that
  - (a) w is located on the other stair; therefore vw is not located in region A.
  - (b)  $y(v) \le y(w)$ ; therefore vw is not located in region C.

All other reflex vertices are handled in a similar way. Since all other angles in the quadrilaterals are either  $\frac{\pi}{2}$  or less, the quadrilaterals are convex.

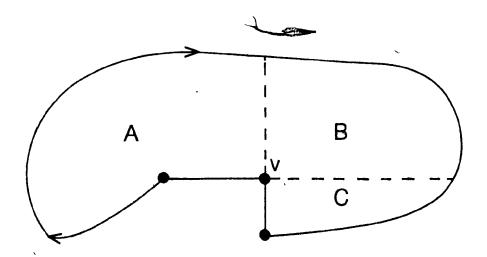


Figure 6.7

The concavity of v is broken by inserting any diagonal located in region B.

- (iii) Since the vertices are scanned in order by increasing y-coordinates, it follows trivially that no two quadrilaterals intersect.
- (iv) Each reflex vertex is contained in at least two quadrilaterals. Each convex vertex (except r, s (p, q) the bottom (top) vertices) is located between two reflex vertices and is therefore contained in the respective quadrilateral. The vertices r,s (p,q) are included in the lowest (highest) quadrilateral. Therefore the union over all quadrilaterals is equal to the entire polygon.
- (v) The Funning-time of Step 1 is proportional to the sum of the number of elements in both lists and is therefore O(n). For Step 1 no sorting is needed as the stairs are monotone. Adding an edge in Step 2 and 3 takes constant time and is executed O(n) times. Therefore the total run-time is O(n). ■

Remark 6.2. Let v be the vertex (on the left stair) joined to p and let r be the vertex (on the right stair) joined to q. If y(v) > y(r) the algorithm joins reflex vertices to q. Otherwise  $y(v) \le y(r)$  and the algorithm joins reflex vertices to p. This follows immediately from the algorithm.

Remark 6.3. We now describe a generalization of a pyramid which will be useful in the next section for merging decomposed pyramids. Let P be a pyramid with pq and pq as as top and bottom edges, respectively. Let LS(r,p) and RS(q,s) denote the left and right stairs, respectively, of P. In a pyramid any horizontal edge  $p_1p_2$  is such that  $p(p_1) = p(p_2)$ . We can generalize these pyramids to worn-down pyramids by allowing  $p(p_1) \leq p(p_2)$  in  $p(p_2)$  and letting  $p(p_2) \leq p(p_2)$  in  $p(p_2)$  for any top edge  $p_1p_2$  in  $p(p_2)$ . We also allow the base-edge of the pyramid, i.e. the  $p(p_2)$  for any top edge  $p(p_2)$  in  $p(p_2)$  we also illustration. To obtain a concise notation let us call the resulting polygonal chains worn-down stairs. It is easy to see that with Algorithm 6.1 these worn-down pyramids can also be decomposed into convex quadrilaterals since the interior angles that were previously  $\frac{\pi}{2}$  are still less than p(p) and are therefore convex. It follows that worn-down pyramids are rectilinearly convex, edge-visible polygons with an alternating sequence of vertical edges and possibly slanted edges.

#### 6.2.3. Algorithm and Proof of Correctness

In this section we present an algorithm that solves the problem of decomposing a rectilinear star-shaped polygon P into convex quadrilaterals. This result was the first known algorithm to quadrilaterize any class of rectilinear polygons. We state it in its formulation as given in [SaT81].

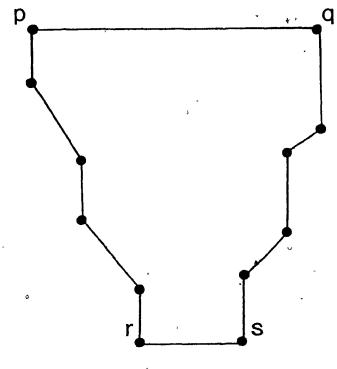


Figure 6.8

A worn-down pyramid.

# Algorithm 6.2: Quadrilaterization of Star-Shaped Rectilinear Polygons

Input: A rectilinear star-shaped polygon P.

Output: A convex quadrilaterization of P.

Step 1:

Decompose the polygon P into two pyramids.

Step 2:

Decompose the pyramids obtained in Step 1 into convex quadrilaterals.

Step 3:

Merge the decompositions (the details are given below).

Theorem 6.1. The Algorithm 6.2 partitions a given rectilinear n-vertex star-shaped polygon into convex quadrilaterals in O(n) time.

**Proof:** It was shown previously that the partitioning step into pyramid, Step 1, can be done in O(n) time. In Step 2 we apply Algorithm 6.1 to both polygons resulting from Step 1. Using Lemma 6.1 this takes linear time. According to Step 3 we have to merge the two decompositions obtained in Step 2. As the polygon P is star-shaped there are only two cases to be considered: (a) The intervals  $[y(\ell_t), y(\ell_b)]$  and  $[y(r_t), y(r_b)]$  are such that neither is contained in the other, and (b) One of the intervals  $[y(\ell_t), y(\ell_b)]$ ,  $[y(r_t), y(r_b)]$  is contained in other.

(a) Without loss of generality let  $y(\ell_t) > y(r_t)$  and  $y(\ell_b) > y(r_b)$ , and refer to Figure 6.9. We have noticed previously that in this case the top polygon-decomposition contains edges from  $p_i$  to p for some values of i. Similarly the bottom polygon-decomposition contains edges from  $q_i$  to q for some j.

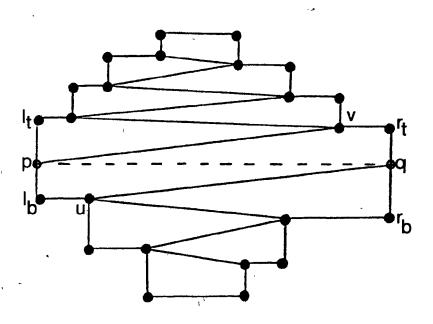


Figure 6.9

The quadrilateralizations of the top and bottom pyramids.

Now replace all edges  $p_i p$  in the top polygon by  $p_i \ell_b$  and all edges  $q_j q_i$  in the bottom polygon by  $q_j r_t$ .

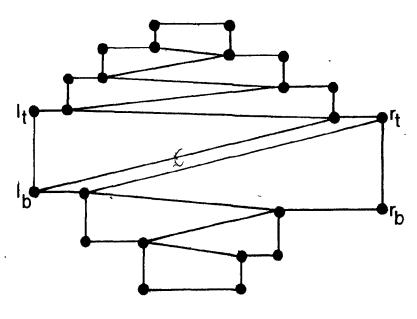
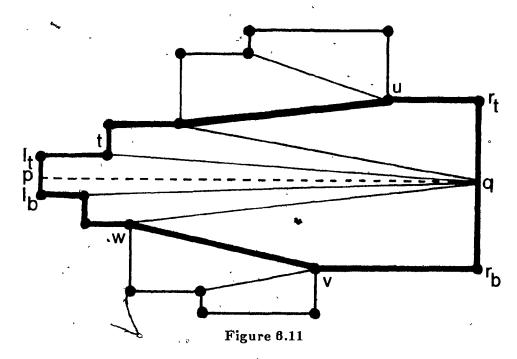


Figure 6.10

A quadrilateralization after merging the partial quadrilateralization of Figure 6.9.

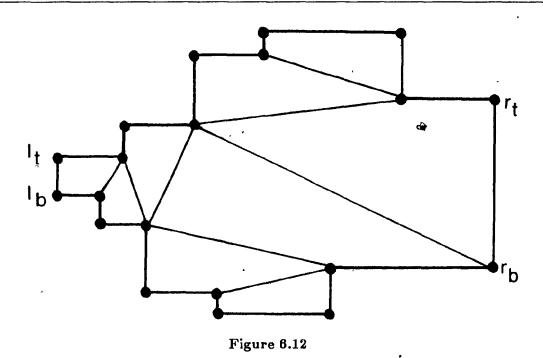
By doing this we replace in each of the concerned quadrilaterals, located above and below pq, exactly one vertex, thus obtaining a new quadrilateral. As  $\ell_b$  is below p and  $r_t$  is above q, these quadrilaterals remain convex and cannot intersect. Furthermore, the hexagon formed by deleting pq, namely (p, v,  $r_t$ , q, u,  $\ell_b$ ), clearly becomes a convex quadrilateral, since it contains two parallel edges  $\ell_b$ u and  $vr_t$ . This procedure applied to the polygon of Figure 6.9 yields the decomposition of Figure 6.10.

(b) Without loss of generality let  $[y(\ell_t), y(\ell_b)]$  be contained in  $[y(r_t), y(r_b)]$  and refer to Figure 6.11. In this case  $y(\ell_t) \le y(r_t)$  and  $y(\ell_b) > y(r_b)$ , and with the Remark



A worn-down pyramid P\*, outlined in bold, is created.

6.2. we see that in each pyramid one or more reflex vertices are joined to q. In the top polygon, let t be the highest vertex joined to q, and let u be the lowest vertex joined to t (u is not equal to q). In the bottom pyramid, let w be the lowest vertex joined to q, and let v be the highest vertex joined to w (v s not equal to q). Delete all decomposition-edges to q. Furthermore delete p, q and pq. Then consider the polygon  $P'=(u, r_t, r_b, v, w, ..., t)$ . As v is not located above w, and u is not located below t, P' is a worn-down pyramid. Rotate P' by  $\frac{3\pi}{2}$  (clockwise) and quadrilaterize it. (In practice one would implement Algorithm 6.2 such that the rotation is avoided). The bottom-to-top approach of Algorithm 6.2 would become a left-to-right (or right-to-left) approach.) The new decomposition edges inside P' cannot interfere with the decompositions outside P'. Applying this procedure to the decomposition of Figure 6.11 yields that



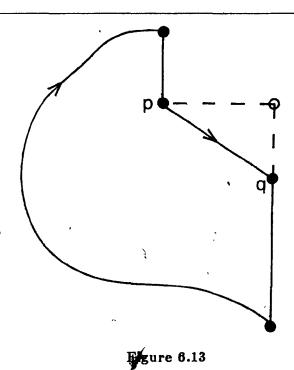
After merging the quadrilateralizations of Figure 6.11.

shown in Figure 6.12. The merging step is, therefore, either straightforward or applies Lemma 6.1 to P'. In both cases the run-time is O(n). We can conclude therefore that the total time required to decompose a rectilinear star-shaped polygon into convex quadrilaterals is O(n).

# 6.3. Quadrilaterization of Monotone Rectilinear Polygons

In this section we extend the results of Section 6.1 and 6.2 by developing a linear-time algorithm for finding a convex quadrilaterization of a monotone rectilinear polygon P. The following algorithm results from merging algorithms designed by [El82] and the author. Recall from Section 3.2.3 that any monotone rectilinear polygon is monotone in the x-direction or the y-direction. W.l.o.g we assume this direction to be the y-direction. The two resulting extremal chains are referred to as the left chain and right chain, respectively. An edge pq of a simple polygon is called a top-segment if by

replacing pq by pq#p and qq#p one vertical edge and one top-edge are created (see Figure 6.13). This generalizes the notion of a top-edge in a rectilinear polygon. In a similar fashion, we can define bottom-segments. We will refer to bottom-segments and top-segments as segments of the polygon. Note that we consider top-edges and bottom-edges as top-segment, bottom-segments, respectively, however, a vertical edge is not a segment.



A top-segment pq; pq#p is a top-edge and q#pq is a vertical edge.

#### 6.3.1. Algorithm and Proof of Correctness

We will first sketch the algorithm. The algorithm creates a list,  $e_1,...,e_n$ , of all horizontal edges in P, sorted by decreasing y-coordinates. The edges in this list are examined one by one starting with the edge of maximum y-value. In case that a top-edge is encountered, it is pushed onto a stack, S. In case that a bottom-edge  $e_i$  is encountered depending on the relative position of the top of stack edge and  $e_i$  one or two diagonals are inserted. These diagonals partition P into two or three polygons,

respectively. One such polygon will be shown to be a worn-down pyramid. For this pyramid a quadrilaterization is obtained by applying Algorithm 6.1. The remaining polygon will be shown to be quadrilaterizable. The top\_of\_stack-edge is either an edge of P or a diagonal inserted into P at a previous stage. We will store the items in S as pairs of the form [d, f] The first field d, is either an edge or a diagonal. The second field f, takes a value in  $\{L, R, B\}$ , depending on whether the endpoints of the segment belong to the feft, right, or both chains respectively. The field-value of an edge  $e_i$  is denoted by  $f_i$ . Let d, d, be two diagonals with rightmost endpoints located on the right chain. Then d extends further inside P than d, if its leftmost endpoint is further to the left than the leftmost endpoint of d. Similarly, for diagonals whose leftmost endpoints are located on the left chain. We are now able to state the algorithm.

### Algorithm 6.3: Quadrilaterization of Monotone Rectilinear Polygons

Input: A monotone rectilinear polygon P.

Output: A quadrilaterization of P

```
sort the horizontal edges of P by y-coordinates,
let e_1, ..., e_n be the resulting sorted edge-list,
Initialize the stack S = [1, B];
(* The top edge of the first pyramid is pushed *)
(* its field-value indicates that it belongs to both chains *)
while i <> n \text{ or } S <> \text{ empty do}
   begin
     if i=n then
         call pyramid decomposition algorithm for stack content and e_i, exit,
     if e, is a top-segment then
         (* case (a) *)
          begin 🕚
             (* augment the pyramid contained in S *).
             S := [i, respective field-value],
             i := i+1
          end
     else
         if e, is a bottom-segment then
             begin
                 [e, f] := POP(S),
```

```
(* the top of stack is popped *)
               if f=b or {e and e, are on the same chain } then
                   (* case (b) *)
                   begin
                       join e and e, by a diagonal d;
                       (* A convex quadrilateral is out off *);
                       if e is further inside P than e,
                            or e has field value B then
                            begin
                                S := [d, respective field-value];
                                  pyramids are wearing down *)
                                i :== i + 1
                            end
                   end
               else
                   * case (c) *)
                   (* e, e, are on opposite chains *)
                   begin
                      join e and e, to form a convex quadrilateral;
                      (* Two diagonals, called the higher and the lower, are inserted*)
                      S := [the higher diagonal, respective field-value];
                      (* A pyramid is cut off from the remaining polygon *)
                      i := i+1,
                      S := [the lower diagonal, field-value B]
         end (* if e_i is a bottom segment *)
end (* while loop *).
```

Theorem 6.2. Algorithm 6.2 quadrilaterizes monotone rectilinear polygons in linear time.

Proof: Since Algorithm 6.3 examines each edge at most a constant number of times, the linearity of the time-complexity follows. We show the correctness of the algorithm. We first define the stack property, called property (X). Then we show that after each iteration through the main loop of the above algorithm, the stack property is maintained. The stack property (X) is defined as,

- (i) The stack S contains the left and right chain of a worn-down pyramid and no other edges.
- (11) No two segments in S contain points with the same y-value.
- (iii) If  $e_i$  is the next edge (or segment) examined in the while-loop of Algorithm 6.3, then  $e_i$  is connected by a vertical line to the lowest edge in S located on the

same chain as e.

We will motivate the definition of the stack property (X). If (X) holds then if at some time during the execution of the algorithm, both endpoints of the two chains contained in the stack are joined, a worn-down pyramid is completed. By Remark 6.3 these worn-down pyramids are quadrilaterizable. A call to Algorithm 6.2 has the effect of quadrilaterizing this pyramid, thereby emptying the stack contents. The last edge examined by Algorithm 6.3, is the edge with minimum y-coordinate. Since this edge joins the endpoints of the monotone chains stored in S, by the above, Algorithm 6.3 will always be able to terminate with an empty stack. The main step of the proof is to show that the stack property holds after each iteration of the main while-loop. We give an inductive argument on the edge number, i, in the sorted list of horizontal edges in P.

Initially, when i=1, the edge  $e_1$ , with maximum y-coordinate, is pushed onto S It should be clear that in this case the stack property (X) holds. Now let for i-1, S satisfy (X) We have to show that after  $e_i$  has been examined, (X) is still satisfied. For this we distinguish between the cases: case (a):  $e_i$  is a top-edge; case (b) and (c):  $e_i$  is a bottom-edge. The case enumeration corresponds to the afgorithm description. Without loss of generality let us assume that the current edge  $e_i$  is located on the right chain.

(a) Edge  $e_i = p_{i-1}p_i$  is a top-edge. Consider the lowest vertex,  $p_k$ , in S which is located on the right chain. By stack property (in),  $p_{i-1}$  and  $p_k$  are connected by a vertical line. The algorithm will push the edge  $e_i$  onto S. As  $e_i$  is a top-edge and the edges are examined in sorted order, properties (i) and (ii) hold. The next horizontal edge on the right chain is  $e_{i+2}$  in a rectilinear polygon horizontal and vertical edges are alternating thus property (iii) also remains true.

(ii) begge e, is a bottom-edge. Let the top\_of\_stack segment be pq. If pq is a horizontal edge then clearly both p and q have minimum y-value in S. Otherwise, by (iii) p and q are below any other vertex currently stored in S. In case (c), we will discuss the contact that pq and e, are located on opposite chains, i.e. both endpoints p

and q are on the left chain. All other placements of p and q onto the chains are illustrated in Figure 6.14. As p and q are on opposite chains, by the initial remark, the stack was empty before pq was pushed. Thus after popping pq, S is again empty. The diagonal  $pp_i$  is inserted into P to form a convex quadrilateral p, q,  $p_{i-1}$ ,  $p_i$ . The algorithm subsequently pushes  $pp_i$  and thus, similar, to the initialization Step, (i=1), the stack property (X) holds. In other words after pushing the segment a new pyramid is initialized.

We now examine the case that both p and q are on the right chain. By induction, property (iii) holds and thus  $p_i$ ,  $p_i$ ,  $q_i$ ,  $p_{i-1}$  form a convex quadrilateral. The algorithm, in this case, correctly inserts the diagonal  $pp_i$  cutting of a convex quadrilateral. If this diagonal is a top-segment, a fact that the algorithm detects by verifying that  $p_i$  is to the right of p, then the stack is augmented by a push-operation PUSH( $pp_i$ ) thereby satisfying (i). Otherwise, in the case that  $pp_i$  is a bottom-segment, the algorithm does not push any segment or edge. Furthermore, for the next execution of the main while-loop,  $e_i$  is merely replaced by  $pp_i$ . It remains to show that for both situations properties (ii) and (iii) hold.

By induction, property (ii) holds and thus no point in S located on a segment or vertical edge on the left chain has a y-coordinate between that of p and q. The diagonal (edge) with minimum distance in y-coordinate to  $e_i$  is pq, thus no segment other than pp, contains a point with y-coordinate between that of p and  $p_i$ . Property (ii) is thus satisfied either in case that the segment pp, is inserted into S or that  $e_i$  is replaced by pp, In a rectilinear polygon, horizontal and vertical edges alternate. In the case that pp, is pushed,  $e_{i+1}$  satisfies property (iii). Otherwise no edge is pushed, and thus in the main loop, pp, replaces  $e_i$ . Thus by induction, property (iii) must still remain true.

(c) We now examine the case that both p and q are located on the left chain,

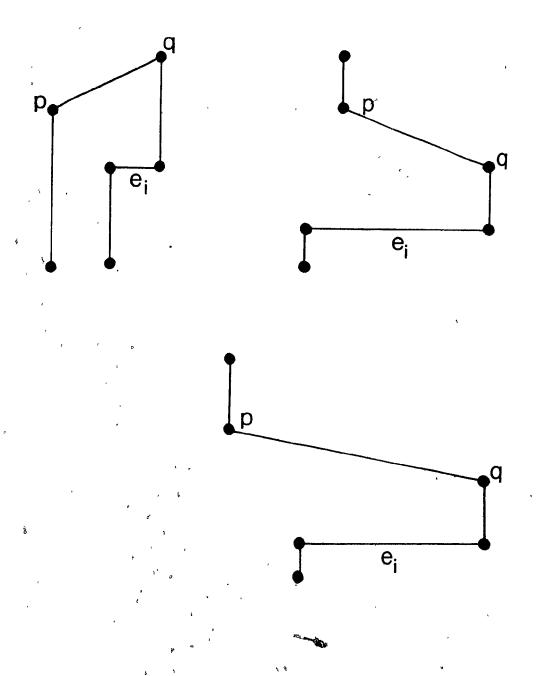


Figure 6.14 Placement of p,q when q is on the right chain.

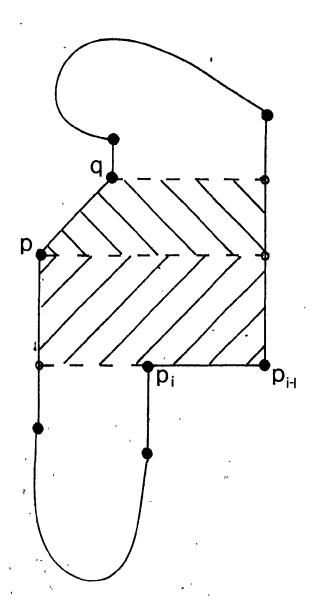


Figure 6.15

Both p, q are on the left chain. The shaded areas are empty.

(Figure 6.15). By induction, property (ii), the quadrilateral p,  $p_{i-1} \# p$ ,  $p_{i-1} \# q$ , q is empty. (In case that pq is a horizontal edge the quadrilateral collapses and is therefore trivially empty). As pq is the top\_of\_stack segment also  $p_{i-1} \# p$ ,  $p_{i-1}$ ,  $p \# p_{i-1}$ , p is empty. Since pq is a top-segment the vertices  $p_{i-1}$ ,  $p_i$ , p, q form a convex quadrilateral. By inserting the segment  $qp_{i-1}$  the algorithms completes a worn-down pyramid stored in S. This worn-down pyramid is subsequently quadrilaterized by calling the pyramid quadrilaterization algorithm. As  $q_i$ ,  $p_{i-1} \# q_i$ ,  $p_{i-1}$ ,  $p \# p_i$  is empty,  $pp_i$  is a top-segment of a new worn-down pyramid to be stored in S. The validity of Properties (i) and (ii) follows. Both p and  $p_i$  are linked to the unexamined chains of P by vertical edges thus (iii) holds.  $\blacksquare$ 

The following remark is important for Section 6.4.

**Remark 6.4.** Recall that the horizontal edges of P are sorted according to the y-coordinates. Let the resulting sorted list of edges be  $e_1$ , ...,  $e_n$ . Let  $e_i$ ,  $e_{i+1}$  be two bottom-edges consecutive in the list and adjacent on the same chain. Algorithm 6.3 creates convex quadrilaterals, one of which contains the reflex-vertices of both  $e_i$ , and  $e_{i+1}$ .

**Proof:** As  $e_i$  is a bottom-edge, a diagonal d joining  $e_i$  and some vertex  $p_i$  is inserted by Algorithm 6.3, depending on whether cases (b) or (c) apply, respectively. According to the description of Algorithm 6.3 this diagonal d is popped as soon the next bottom edge,  $e_{i+1}$ , is considered. As  $e_i$  and  $e_{i+1}$  are on the same chain, d and the edge  $e_{i+1}$  are joined by a diagonal to form a convex quadrilateral. Thus the reflex vertices of  $e_i$  and  $e_{i+1}$  are located in the same quadrilateral.

### 6.3.2. Polygons with the Alternating Rectilinear Property

We will define a class of monotone polygons, not necessarily rectilinear, which admit quadrilaterization. A polygon with the alternating rectilinear property is defined as a monotone polygon with these properties:

- (1) Every even edge  $e_2, ..., e_n$  is vertical,
- (2) Let p, q be two points located on the boundary of P s.t. p, q are visible from each other along a horizontal line-segment. If p is located on a non-rectilinear segment then q is on a vertical edge of P.

Lemma 6.2. Monotone polygons with the alternating rectilinear property can be quadrilaterized in linear time.

Proof: We show that the Algorithm 6.3 can be employed, with only minor modification, to quadrilaterize monotone polygons with the alternating rectilinear property. Let m≥1 denote the number of bottom-segments in P. We give a proof by induction on m. In the case that m=1, P is a worn-down pyramid, since by Property (2) both endpoints of the bottom-segment are below any reflex vertex and all other edges belong to the stairs of a worn-down pyramid. We know that such a pyramid is quadrilaterizable. The algorithm performs the quadrilaterization by stacking all edges until the bottom-segment is encountered then the call to the Algorithm 6.2 completes the procedure in this case.

Let us now assume that any monotone polygon with the alternating rectilinear property containing  $m-1\geq 1$  bottom-segments is quadrilaterizable. The highest bottom-segment in a monotone polygon with the alternating rectilinear property and with m bottom-segments is denoted by  $\epsilon_i$ . Note that the definition of a quadrilaterizable monotone polygon allows us to speak of a highest bottom-segment, as the bottom-segments have non-overlapping y-coordinates. The discussion is analogous to cases (b) and (c) in Theorem 6.2. We only point out that in case (c), where a top-segment and a bottom-segment must be joined to form a convex quadrilateral, it is crucial that the segments have non-overlapping y-coordinates. Otherwise, as illustrated in Figure 6.16, as concave quadrilateral may be created. The property (2) forbids such

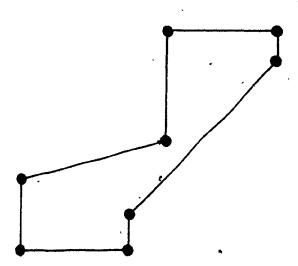


Figure 6.18

All non-rectilinear segments must have distinct y-values otherwise a convex quadrilateralization may not be achievable.

a situation.

# 6.4. Partitioning Rectilinear Polygons into Monotone Polygons

In this section, we describe an algorithm to partition a rectilinear n-vertex polygon into monotone polygons admitting quadrilaterization. The run-time of the algorithm will be shown to be O(n log n). Recall that an edge e is visible from a point x if there exists a point y on e, s.t. x sees y. An edge e is strongly visible from a point x if for all y on e, x sees y. An edge s is strongly visible from an edge t if there exists a point x on t from which s is strongly visible. An edge s is completely visible from an edge t if for all x on t the edge s is strongly visible from x. Complete visibility is symmetrical.

Lemma 6.3. [Avis, Toussaint] Let qp be any edge of a simple polygon, and let x be a point in P. If both q and p see x, then x is visible from the entire edge qp [AT81a].

Lemma 6.4. Let pq be any bottom-peak in a rectilinear polygon P. The edge with minimum distance under all horizontal edges located above pq and visible from either p or q, is called ab. Then,

- (i) If edge ab is a bottom-edge then edge qp is strongly visible from one of the endpoints of ab.
- Proof: (i) We first discuss the case that ab is a bottom-edge. By assumption ab is visible from p or q and ab is above pq. Assume that the vertex from which ab is visible is p. Then exactly one point, an endpoint of ab, is visible from p. We assume that this endpoint is a, otherwise a symmetric argument holds. By Lemma 6.3, it suffices to show that both p and q are visible from a. Now consider the triangle  $T = \{a,p,q\}$  and refer to Figure 6.17. If T lies inside P then p sees a. Otherwise, qa is intersected by a polygonal edge and as pq is a bottom-peak this edge is not adjacent to pq. Therefore there exists a vertex  $p_i$  inside T. As P is simple T is intersected by a horizontal edge with y-coordinate between those of qp and ab. The lowest such edge is visible from p, contradicting the choice of ab.
- (b) Let ab be a top-edge being visible from p. Then there exists a point x on ab that is visible from p. To show that ab and pq are completely visible from each other, it suffices to prove that (i) q is visible from x and (ii) for all points y on ab, p sees y.
- (i) can be shown in the same way as (a), we therefore omit this part. For (ii), we show that for all points y on ab, p sees y. Assume that y is a point on ab that is not visible from p. The line segment py is thus intersected by at least one polygonal edge. If there is exactly one such edge, then this edge is adjacent to ab and by the Jordan Curve Theorem ab cannot be a top-edge. If more than one edges intersect py, then there exists, similar to (a), a horizontal edge intersecting the triangle T={y,p,p}. This is

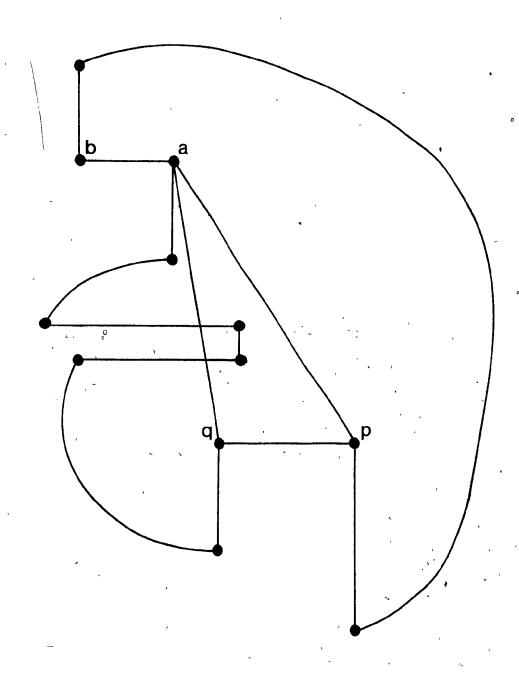
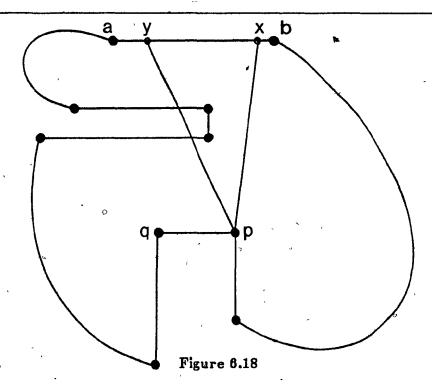


Figure 6.17

Let ab be an edge with minimum distance in y-coordinate and visible from p. If p is visible from a then pq is completely visible from a, otherwise a contradiction occurs.

illustrated in Figure 6.18. Again the lowest such edge must be visible from p, contradicting the choice of ab. Similarly, it can be shown that ab is strongly visible from p. The result then follows by applying Lemma 6.3. ■

We conclude that if ab is a bottom-edge, then the edge pq is strongly visible from exactly one endpoint of ab. Otherwise, if ab is a top-edge, then the edges ab and pq are completely visible from each other.



Let ab be a top-edge with minimum distance in y-coordinate and visible from q. If ab and pq are not completely visible from each other, a contradiction occurs.

Remark 6.5. Let the assumptions be as in Lemma 6.4. Then, if ab is a bottomedge, ab lies either completely to the left of q or completely to the right of p and the endpoint c of the adjacent edge facing pq, is lower than pq (see Figure 6.19).

Remark 6.6. Let pq be a bottom-peak and ab an edge with minimum distance under all horizontal edges visible from pq. Then ab is visible from one of the endpoints of pq.

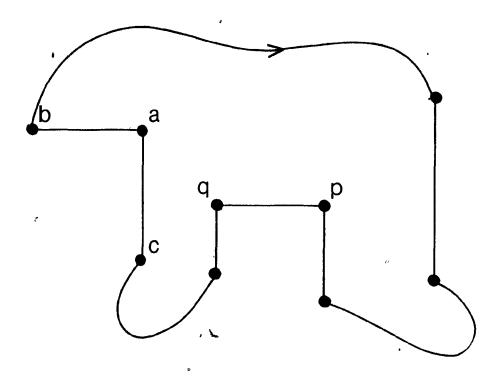


Figure 6.19

The bottom edge ab with minimum distance in y-direction visible from pq lies either completely to the left of q or completely to the right of p

**Corollary 6.1.** Under the assumptions of Lemma 64, the rectangles (a#q,a,x,y) and (a,x,y,a#q) are empty (Figures 620 (a) and 620 (b)).

#### 8.4.1. Algorithm and Proof of Correctness

We are now able to state the algorithm for decomposing a rectilinear polygon P into quadrilaterizable monotone polygons. The algorithm uses a modification of the regularization technique developed by Lee and Preparata [LeP76]. Let the horizontal edges of P be sorted by y-coordinates s.t. the edges are labeled  $e_1, \ldots, e_m$ , where  $e_1$  is the highest edge, and  $e_m$  is the lowest edge, and  $e_i$  is higher than  $e_{i+1}$ . We sketch the

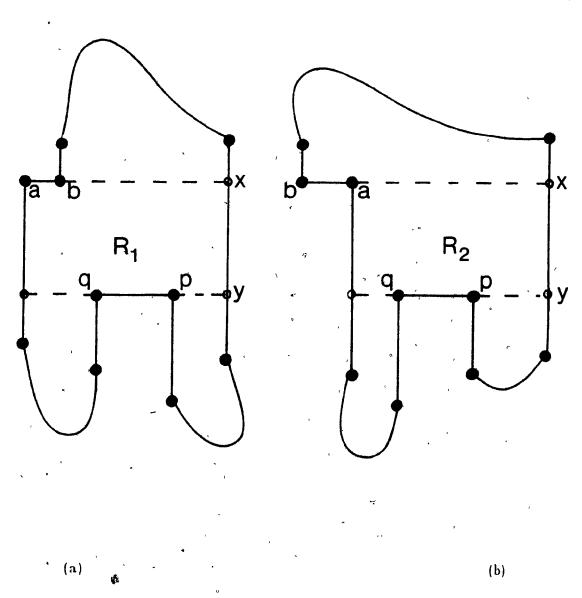


Figure 6.20

Edge ab is the closest edge visible from pq. The interiors of the rectangular regions  $R_1,$   $R_2$  are empty.

idea behind the algorithm. The horizontal list of edges is scanned in the same order from the highest to the lowest edge. When a peak  $e_i$  is encountered, the edge e with minimum distance in y-coordinate, from which  $e_i$  is visible, is found. If e and  $e_i$  are completely visible from each other, then e and  $e_i$  are joined by connecting their endpoints to form a convex quadrilateral. If e and  $e_i$  are not completely visible from each other, but  $e_i$  and e are strongly visible, then the endpoints of  $e_i$  and e having the minimum Euclidean distance from each other are joined. We will show that this eliminates all peaks and leaves quadrilaterizable polygons.

#### Algorithm 8.4: Overview of Partitioning into Monotone Polygons

Input: A rectilinear polygon P with n vertices

Output: A partitioning into monotone polygons admitting quadrilaterization

Sten 1:

Sort the horizontal edges by y-coordinates, s.t. if  $e_1$ , ...,  $e_m$  is the ordered edge-sequence, then  $e_1 = y_{\max}$ ,  $e_m = y_{\min}$ , and  $e_i$  is higher than  $e_{i+1}$ .

Step 2:

for each bottom (top)-peak e, do

Find among all horizontal edges above (below) e, and visible from e, the one with minimum distance in y-coordinate; call this edge e if e is a top (bottom) edge

then join the endpoints of e, and e to form a convex quadrilateral.

else

join the endpoint of e, that is visible from e,, to the closest (Euclidean distance) endpoint of e,.

To achieve the desired efficiency, we will implement Step 2 using a modification of Lee's and Preparata's regularization technique.

After Step 1 has been executed, the horizontal edges are sorted by y-coordinates. The data structure used for the decomposition algorithm is a balanced tree (AVL or 2-3 tree), where nodes are records containing a vertical and a horizontal edge (or pointers to them) plus a field with values true or false. Let  $g_o$  be a vertical line at  $-\infty$ . Let II(j), II(j) be two field containing one horizontal and vertical edges, respectively. Let

F(j) be a field in record j, then F(j) is true if H(j) is a top-peak and no horizontal edge lower than H(j) and visible from H(j) has been encountered by the algorithm. F(j) is false, otherwise. A node(j) is therefore a triple [V(j), H(j), F(j)]. The in-order traversal of the tree T always yields a sequence node(0), ..., node(k) thought of as consecutive array-elements. Between the vertical edges V(j) and V(j+1) the minimum ordinate edge is stored in H(j). Each horizontal edge e, is adjacent to two vertical edges, the left one  $e'(e_i)$ , the right one,  $e''(e_i)$ . A bettom-peak  $e_i$  is encountered, if  $\ln(e_i) = 0$ ; for this an insertion into the tree is made. If  $e_i$  is inserted between H(J) and H(j+1) then  $e_j$  is assigned the value of  $\max(H(j), H(J+1))$ . The reader may initially ignore the third field, F(j), and consider bottom-peak and top-peak elimination in two separate passes through the polygon The additional field has been introduced to gain efficiency in that only one pass through the polygon is required. As we are scanning the polygon from top to bottom, top-peaks are encountered before they can be resolved. Therefore any unresolved top-peak is flagged (true) until its closest edge visible from it and below it is encountered. Then the appropriate diagonal(s) is (are) inserted and the field is reset to false.

### Algorithm 6.5: Details of Partitioning into Monotone Polygons

Input: A sorted list of horizontal edges  $e_1,...,e_m$  of polygon P as produced by Step 1 in Algorithm 6.4.

Output: A partitioning of P into monotone polygons, admitting quatrilaterization.

```
limitally H(0) := H(1) := H(2) := e_1; V(0) := g_g; V(1) := e'(e_1); V(2) := e''(e_1);
```

Let T be an initially empty AVL-tree (or 2-3 tree), insert [V(0),H(0),f], [V(1),H(1),f] [V(2),H(2),f] into the tree T using the x-coordinates V(i), i=0,1,2 as the key.

```
i := 2;
while i < m do
begin
```

```
j := smallest integer so that e, is not to the left of V(j);
     e := nil;
     if e, is a bottom-peak
        then e := H(j)
     else
       if F(j) and V(j)=e'(e_i)
          then e := H(j)
       else
         if F(j+1) and V(j+1)=e''(e_i)
            then e := H(j+1),
    if e <> nil
       then F(j) := F(j+1) := false;
    if e; is a top (bottom) edge
       and e is a bottom (top) edge
       then
          join the endpoints of e, and e to form a convex quadrilateral
    else
          join the endpoint of e closest to e_i to the closest endpoint of e_i;
    if \ln(e_x) = 2 or \mathrm{Out}(e_x) = 0
       then
          begin
             H(j-1) = e_i;
             H(j+2) := e_i;
             Delete node(j) and node(j+1) in T;
            e_i is a top-peak
                then
                   begin
                      F(j-1) := true;
                       F(j+2) := true
           end
   else
       if ln(e_i) = 1
          then
            begin
               H(j) := e_i;
               H(j-1) := e_i;
               V(j) := outgoing edge of e_i
             end
       else
          if ln(e_1) = 0
             then
                begin
                    INSERT [e'(e_i), e_i, false] and [e''(e_i), e_i, false]
                    between node(j) and node(j+1) in T
                end;
   i := i + 1
end.
```

Lemma 6.5. Algorithm 6.4 decomposes, in O(n log n) time, a simple, n-vertex rectilinear polygon into monotone polygons admitting quadrilaterization.

Proof: For case of notation, we will assume for the remaining part of this section that monotonicity stands for monotonicity in the y-direction. Similarly, closest stands for minimum distance in y-direction. Let P be a polygon with the following property, (X): P is rectilinear except for the edges located above the highest horizontal peak in P. These edges are monotone chains with the alternating rectilinear property. We show by induction on the number, k, of horizontal peaks in P that Algorithm 6.4 decomposes P into monotone polygons that are quadrilaterizable. If k=0 then P is a monotone polygon with the alternating rectilinear property and thus P is quadrilaterizable. We assume that all polygons with k-1 horizontal peaks satisfying property (X) can be partitioned into monotone polygons admitting quadrilaterization, if Algorithm 6.4 is applied. Now, let P be a polygon satisfying property (X) containing k horizontal peaks. Let e = pq be the highest such peak. W.l.o.g. assume that e is a bottom-peak. A similar argument can be made otherwise. Algorithm 6.4 first determines the closest edge, e,, visible from e<sub>i</sub>. Two cases arise depending on whether e<sub>j</sub>=ab is a top or bottomsegment, respectively. Refer to Figure 6.21 (a), (b). The point x,y are obtained by intersecting horizontal lines through both a and b with the edge opposite to ab. The alternating rectilinear property ensures that both x and y are on the same vertical edge. Analogously to Corollary 6.1 we can show that the areas R=(b,x,y,y#p,a#q,a) are chipty.

Case (a): The segment ab is a top-segment, see Figure 6.21a. As ab is a top-segment and pq a bottom-peak, the algorithm inserts two diagonals aq and pb. Since the region R is empty, none of the two diagonals intersect any edge in P. Both p and q are located below ab thus a,b,p,q form a convex quadrilateral. By inserting the two diagonals forming the quadrilateral, Algorithm 6.4 creates two polygons  $P_1:=(b,...,p)$  and  $P_2:=(q,...,a)$ . By the choice of  $e_i$  to be the highest peak, all horizontal peaks in

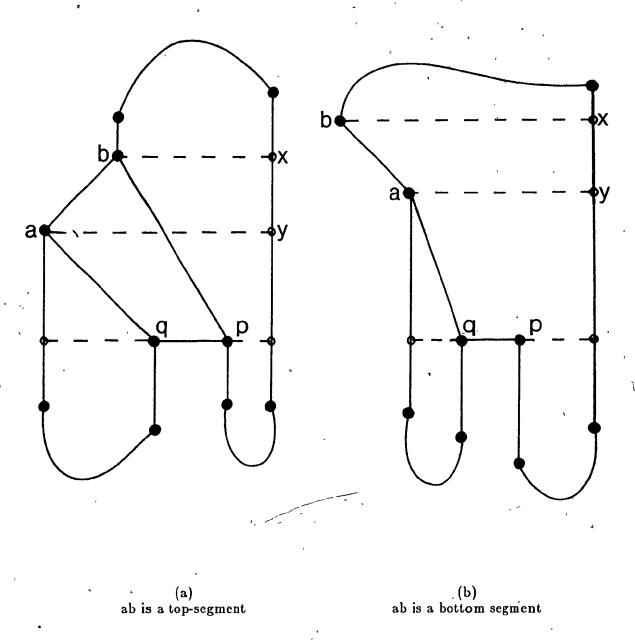


Figure 6.21

Partitioning of a rectilinear polygon into monotone polygons admitting quadrilaterization.

these polygons are below  $e_i$ , thus  $P_1$  and  $P_2$  preserve property (X). Since these polygons contain fewer horizontal peaks the induction hypothesis applies and a decomposition into monotone polygons admitting quadrilaterization is obtained. This case is

analogous to one of the partitioning steps in [KKK].

Case (b): The segment ab is a bottom-segment, see Figure 6.21b. By an argument, similar to that of Remark 6.5, ab lies either entirely to the left of q, or entirely to the right if p. The algorithm inserts the diagonal qa or ph, depending on whether ab is to the left of q or to the right of p, respectively. Assume for this discussion that ab is to the left of q. By inserting the diagonal qa, P is partioned into two polygons  $P_1 = \{a,...,q\}$ and  $P_2 = \{q,...,a\}$ . Both  $P_1$  and  $P_2$  have less than k horizontal peaks. As the region R defined above is empty, polygon  $P_2$  satisfies property (X). The other polygon  $P_1$  does not immediately satisfy property (X), since not every other edge in  $P_1$  is vertical. However, by a simple transformation this can be realized. This transformation converts  $P_1 = (a,...,q)$  into P' := (a # q,a,...p) a polygon satisfying property (X). P' differs from  $P_1$ in that the vertex q is replaced by a#q. P' contains fewer than k horizontal peaks. By applying the induction hypothesis to P', P is partitioned into monotone pieces admitting quadrilaterization. It remains to show that not only P' but also  $P_1$  admits quadrilaterization. The Steiner-point a#q is a concave vertex located on a bottomedge. All peaks in P are below pa#q. Thus the partitioning step of P into monotone components does not introduce any diagonals with endpoint a#q. Therefore p,a#q,a are adjacent vertices on a monotone chain. No vertex on the right chain is located between p and a, therefore by Remark 6.4, these vertices will form a convex quadrilateral p,a#q,a,z in a quadrilaterization of P as produced by Algorithm 6.3. From this quadrilaterization, a quadrilaterization of  $P_1$  is found, by replacing this quadrilateral by p,q,a,z.

Complexity-analysis: Step 1, the sorting of the horizontal edges, can be done in O(n log n) time. The algorithm visits, while executing Step 2, each edge exactly once. As there are O(n) edges and the tree depth is worst-case O(log n) each update, insert, or delete takes O(log n) time and the total complexity is O(n log n) worst case.

#### 6.5. Quadrilaterization of Simple Rectilinear Polygons

We are now able to combine the results derived in Sections 6.2 and 6.3, to solve the quadrilaterization problem for simple rectilinear polygons.

**Theorem 6.3.** A simple, n-vertex rectilinear polygon can be quadrilaterized in  $O(n \log n)$  time.

Proof: In Lemma 6.5 it was shown that a partitioning of rectilinear polygons into monotone polygons admitting convex quadrilaterization can be performed in O(n log n) time. By Theorem 6.2 and Lemma 6.2 these polygons can be quadrilaterized in linear time. The total complexity for decomposing an arbitrary simple rectilinear polygon into convex quadrilaterals is therefore O(n log n). ■

In this chapter we have presented several efficient algorithms for solving the quadrilaterization problem for rectilinear polygons. Since arbitrary simple polygons do not necessarily admit quadrilaterization, this partitioning problem is of particular relevance to rectilinear geometry. As was shown by Kahn, Klawe and Kleitman it has direct applications while solving the Rectilinear Art Gallery Problem [KKK83]. Recently two more proofs of the Rectilinear Art Gallery Theorem have been presented by O'Rourke [OR83] and Mannila, Wood [MaW84]. It is interesting to observe that all three proofs are different in nature. Kahn et al. use an argument via quadrilaterization. O'Rourke's proof is direct except for one graph-theoretical argument. Mannila and Wood's proof is purely geometrical.

# 6.6. Minimum Weight Quadrilaterization of Rectilinear Polygons

We complete our discussion of the quadrilaterization problem by examining the problem of finding the minimum weight quadrilaterization for rectilinear polygons. In some applications a decomposition that minimizes the total length of the diagonals used to form the decomposition is useful. In a joint work with M. Keil, we presented an  $O(n^4)$  time algorithm for finding the minimum edge length quadrilaterization of a rectilinear polygon [KS84]. The result is an extension of Klincsek's approach for finding the minimum weight triangulation of a simple polygon [Kl80]. Note that a brute force approach would require examining in worst case an exponential number of possible quadrilaterizations for a given rectilinear polygon.

The main tool used for solving-minimum edge length polygonal decomposition problems is dynamic programming [Ke83, LPRS82] and we make use of it here. We let W(i,j) be the weight of the minimum weight quadrilaterization of the subpolygon  $P_{i,j}$  of P cut off from  $p_i$  to  $p_j$  (i.e.  $p_i, p_{i+1}, ..., p_j$ ). The idea is to build up minimum weight quadrilaterizations of larger and larger subpolygons and solve the problem using dynamic programming. Let Q(i,j) store the vertices of the quadrilaterization that contains the diagonal  $p_i p_j$  in the minimum weight quadrilaterization of  $P_{i,j}$ . Define  $d_{i,j}$  to be the Euclidean distance from  $p_i$  to  $p_j$  if  $p_i$  and  $p_j$  are visible from each other and  $\infty$ , otherwise.

#### Algorithm 6.6: Minimum Weight Quadrilaterization

Input: A rectilinear polygon P.

Output: The weight of the minimum weight quadrilaterization and the quadrilaterization itself are stored in W(1,n) and Q respectively.

```
for k := 1 to n-1 do
   for i := 1 to n-k do
       begin
           j := i + k
           begin
               if k = 1
                   then W(i,j) := 0
               else
               if k is even
                   then W(i,j) := +oo
               else
                   begin
                        W(i,j) := d_{i,j} + \min_{n} (W(i,\ell) + W(\ell,m) + W(m,j))
                       (* where the minimum is taken over all \ell and m such that
                          i < \ell < m < j, and p_i, p_\ell, p_m, p_j form
                          a convex quadrilateral *)
                       Q(i,j) := (\ell,m)
                        ^st indices of vertices exhibiting the minimum are stored in Q ^st)
           ènd
       end
```

To print out all the diagonals of the minimum, weight quadrilaterization call the procedure PRINT(1,n) defined as follows:

```
Procedure PRINT(i,j)
begin

if p,p, is not an edge of P
then output segment p,p,
where Q(i,j) = (l,m) do
begin
call PRINT(i,l);
call PRINT(l,m);
call PRINT (m,j)
end
end.
```

**Theorem 6.3.** Algorithm 6.6 solves the minimum weight quadrilaterization problem for rectilinear polygons in  $O(n^4)$  time.

**Proof:** Algorithm 6.6 is a straight-forward application of dynamic programming, we therefore omit the proof of correctness. Complexity Analysis: The values of  $d_{ij}$  can be computed in a preprocessing step in  $O(n^2)$  time. There are  $O(n^2)$  values of W(i,j) to be calculated. Each of these requires examining  $O(n^2)$  pairs of candidates for  $p_l$  and  $p_m$ . The total run time of the algorithm is therefore  $O(n^4)$ .

In this chapter we have presented several algorithms to solve the quadrilaterization problem for rectilinear polygons. This partitioning problem is of particular relevance in the context of rectilinear geometry, since rectilinear polygons, in contrast to arbitrary simple polygons, always admit quadrilaterization. Once a quadrilaterization of a rectilinear polygon is available, a solution to the rectilinear guard placement problem is obtained. Complexity results obtained for triangulation and quadrilaterization problems are comparable, except for the respective minimum weight partitioning problems. In  $O(n^3)$  a minimum weight triangulation can be obtained, whereas quadrilaterization takes  $O(n^4)$  time.

# Chapter 7 Concluding Remarks

The main objective of this thesis has been to use the inherent structure of rectilinear polygons in the design of geometric algorithms. A particular emphasis was placed on gaining simplicity and efficiency, as exemplified in the algorithms for detecting whether a given rectilinear polygon is star-shaped, monotone or edge-visible. Furthermore, a unifying approach to many algorithms in rectilinear computational geometry has been achieved through the labeling-scheme. The labeling scheme consists of a pre-processing step for many algorithms. Based on the structural information extracted in this step, subsequent processing becomes simple and conceptually clear. The benefits of unification became apparent when solving visibility problems (hidden-line elimination, shortest rectilinear path and rectilinear convex hull).

While this thesis has restricted itself to describing the labeling scheme for rectilinear polygons, the scheme is not restricted to this domain. The labeling scheme has been generalized to arbitrary simple polygons, and algorithms using the tool are currently under investigation by the author.

The thesis has presented solutions to several guard placement problems for a variety of classes of rectilinear polygons. Since these solutions were first presented [SaT81], [Sa82], a number of other solutions to this and related problems have appeared in the literature, eg. [OR82], [EOW83], [Wo84]. The entire thesis has concerned itself with analyzing algorithms for processing one object modeled by rectilinear polygons, at a time. Some work has been done on designing algorithms for processing more than one rectilinear object, see for example [ELOW83], [EOW83a], [NiP82], or [Wo84]. In general we observe a growing interest in problems in rectilinear geometry.

While solving some problems in rectilinear computational geometry, many problems still remain open. These include:

- (1) Is  $\Omega(n \log n)$  lower bound for quadrilateralization of simple rectilinear polygons?
- (2) We have established a necessary condition for a given rectilinear polygon to be simple, which is based on the labeling scheme. Can a sufficient condition for simplicity be established, which can similarly be tested in linear time? Or is  $\Omega(n \log n)$  lower bound for this problem?

Several aspects of the guard problem remain unsolved:

- (3) The algorithm for placing guards in an n-vertex rectilinear polygon as presented in
- Chapter 6 always places  $\frac{n}{4}$  guards, even if less guards would suffice for a given polygon. To the author's knowledge, no polynomial-time algorithm is known for computing the minimum number of guards necessary for a given rectilinear polygon, nor for placing these guards.
- (4)  $\left\lfloor \frac{n}{4} \right\rfloor$  guards are sometimes necessary and always sufficient to guard any rectilinear polygon. For simple polygons  $\left\lfloor \frac{n}{3} \right\rfloor$  guards are always sufficient and sometimes necessary. Can one characterize the largest class of simple polygons for which  $\left\lfloor \frac{n}{4} \right\rfloor$  guards are always sufficient?
- (5) Given a convex quadrilateralization of a rectilinear polygon, a triangulation can always be obtained by inserting one diagonal in each convex quadrilateral. By just removing diagonals from an arbitrary triangulation of a rectilinear polygon, it is not always possible to form a convex quadrilateralization. Does there exist an independent characterization of triangulations, for which this would always be possible?

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