

1 COGNITIVE CAPACITY SIMILARLY PREDICTS INSIGHT INTO SYMPTOMS IN
2 FIRST- AND MULTIPLE-EPISEODE PSYCHOSIS

3 Running title: Insight across stages of psychosis

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35

37 **ABSTRACT**

38 *Background:* Lack of insight is a frequent characteristic of psychotic disorders, both in patients
39 who recently experienced a first episode of psychosis (FEP) and those who experience recurrent
40 multiple episodes (MEP). Insight is a multifaceted construct: its clinical form notably includes
41 the unawareness of being ill, of symptoms, and of the need for treatment. Cognitive capacity is
42 among the key determinants of insight into symptoms, but less is known about whether stage of
43 illness (FEP vs. MEP) moderates this association. *Methods:* Our aim is to evaluate the
44 association between cognitive capacity and symptom unawareness using structural equation
45 modeling and moderated multiple regression. A total of 193 FEP and MEP patients were
46 assessed using the CogState battery and the Scale to Assess Unawareness of Mental Disorder.
47 *Results:* Analyses suggest that cognitive capacity accounts for a relatively small proportion of the
48 total variation in symptom unawareness (6.4%). There was no evidence to suggest a moderating
49 effect of stage of illness on this association. *Conclusions:* The effect of general cognitive
50 capacity on symptom unawareness is relatively small, and this basic relation was unrelated to
51 stage of illness. It is possible that stage of illness could moderate this association only for certain
52 facets of insight not assessed in this study (e.g., unawareness of the need for treatment).

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54 **KEYWORDS:** schizophrenia; awareness; cognition; first-episode; multi-episode chronic;
55 enduring

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61 1. INTRODUCTION

62 Experiencing a first episode of psychosis evolves into a recurrent disabling condition for
63 some patients (Keefe et al., 2016; McGorry et al., 2010). Positive and negative symptoms,
64 cognitive impairment, and unawareness of being ill are intertwined characteristics of psychotic
65 disorders, including schizophrenia (Owen et al., 2017; Vohs et al., 2016). There is a relatively
66 large literature on the *insight deficit*, or the unawareness of various facets of one’s illness, a
67 condition observed in about 50–80% of psychosis patients (Lincoln et al., 2007; Thompson et al.,
68 2001). Yet, our understanding of its determinants remains limited (Poyraz et al., 2016).

69 Some distinctions in terms are warranted. *Cognitive insight* is distinguished from *clinical*
70 *insight* (Van Camp et al., 2017). The former concerns the evaluation of incorrect beliefs or
71 interpretations (Beck et al., 2004, p.321). Aspects include (1) self-reflectiveness, or the ability to
72 consider alternative explanations; and (2) self-certainty, or overconfidence in one’s judgment.
73 The latter (clinical insight) is the failure to acknowledge illness signs (Amador and Kronengold,
74 2004; Vohs et al., 2016). Facets of clinical insight include the unawareness of being ill, of
75 symptoms (positive or negative), of the need for treatment, and of the social consequences of
76 illness (Bouroubi et al., 2016). The terms “insight,” “unawareness,” and “poor awareness” are
77 generally used interchangeably in the literature (e.g., Gilleen et al., 2016; Pousa et al., 2017). An
78 exception is “insight into symptoms”, which includes both the unawareness of and the
79 misattribution of symptoms to something other than the psychotic disorder (Amador et al., 1993).
80 It has been challenging to operationalize clinical insight due to the absence of a clear consensus
81 definition or use of measures comprised of a single item (Amador and Kronengold, 2004;
82 Lincoln et al., 2007).

83 While various predictors of clinical insight, such as metacognition deficits (Vohs et al.,
84 2016), have been studied, each appears to account for only a relatively small, albeit statistically
85 significant, portion of the variation in clinical insight. For instance, results of a meta-analysis by
86 Mintz et al. (2003) indicate that symptom severity explains about 7% of the total variation in
87 clinical insight. Nonetheless, the largest source of variance in clinical insight is still generally
88 unknown and most likely consists of the cumulative contribution of a number of variables
89 (Beland and Lepage, 2017; Ritsner and Blumenkrantz, 2007).

90 Another possible factor is cognitive capacity. Results of some recent meta-analytic
91 studies indicate relatively small, but statistically significant, relations between cognitive capacity
92 and the general construct of clinical insight (e.g., about 3% explained variation; Aleman et al.,
93 2006; Nair et al., 2014). Results of some primary studies also indicate relatively low associations
94 between these variables (Quee et al., 2011; Wiffen et al., 2012), yet several authors have reported
95 perhaps more robust relations with prefrontally-mediated cognitive functions (e.g., conceptual
96 flexibility, abstract thinking) (e.g., Mingrone et al., 2013; Simon et al., 2009). Morgan and David
97 (2004) suggested that evaluation of more specific facets of clinical insight, such as insight into
98 symptoms, instead of global constructs (e.g., clinical insight per se) could be beneficial. Certain
99 results are consistent with this view (e.g., Cuesta et al., 2006; Gilleen et al., 2011; Mohamed et
100 al., 1999). For example, Wiffen et al. (2012) found that verbal memory was the best predictor of
101 insight into symptoms.

102 Stage of illness, as described in the clinical staging model of McGorry et al. (2010), has
103 been studied as a possible moderator of the relation between cognitive capacity and insight into
104 symptoms. Specifically, the experience of psychotic symptoms may change the association
105 between these two variables compared with the experience of more enduring or chronic episodes

106 (Gerretsen et al., 2014). For example, cognitive level among some patients deteriorates as the
107 illness progresses, and this change could alter the role that cognitive capacity plays in insight into
108 symptoms (Oie et al., 2010; Zhang et al., 2015).

109 Recent results by Quee et al. (2011) are consistent with the hypothesis just mentioned: in
110 this study, cognitive capacity, social reasoning, and symptom severity explained about 20% of
111 the variation in clinical insight among MEP patients but failed to appreciably predict the same
112 criterion among FEP patients. Other results are mixed. For example, verbal memory, executive
113 functions, and working memory were found to be associated with insight into symptoms among
114 FEP samples (Drake and Lewis, 2003; Morgan et al., 2010; Mutsatsa et al., 2006; Subotnik et al.,
115 2005; Wiffen et al., 2012), but only executive functions were reported to have similar predictive
116 validity in MEP samples (Monteiro et al., 2008; Nakano et al., 2004; Smith et al., 2000; Young
117 et al., 1998). And some authors have reported a lack of association between cognitive capacity
118 and insight into symptoms (Freudenreich et al., 2004; McCabe et al., 2002). A limitation of all
119 the works just cited is the failure to take explicit account of measurement error in indicators of
120 cognitive capacity and insight into symptoms. In regression analysis, the failure to explicitly
121 control for measurement error can seriously bias the results (e.g., Cole and Preacher, 2014;
122 Westfall and Yarkoni, 2016). Other limitations of previous studies that could partly explain these
123 mixed findings include small sample sizes and the use of different sets of instruments to assess
124 clinical insight.

125 In the present study, the technique of structural equation modeling (SEM) was applied in
126 order to estimate the association between cognitive capacity and insight into symptoms while
127 controlling for measurement error and addressing some of the limitations of previous studies.
128 This means that both cognitive capacity and insight into symptoms were analyzed as latent

129 variables, not as manifest variables subject to measurement error (Kline, 2016; Nachtigall et al.,
130 2003). Error terms are therefore estimated based on the collected data and included in the model.
131 Next, factor scores for cognitive capacity were derived for patients with a psychotic disorder
132 classified as either FEP or MEP. We hypothesized that stage of illness would appreciably
133 moderate the association between cognitive capacity and insight into symptoms, and this
134 prediction was evaluated using moderated multiple regression. The magnitudes of effects in both
135 analyses were of key interest.

136 **2. MATERIALS AND METHODS**

137 2.1 Participants

138 The total sample consisted of 193 non-affective psychotic disorder patients. A total of 61
139 were classified as FEP. These patients attended the Prevention and Early Intervention Program
140 for Psychosis (PEPP) clinic at the Douglas Mental Health University Institute in Montréal,
141 Canada (Iyer et al., 2015). Consecutively-admitted patients were invited to participate in a
142 longitudinal study about cognitive and clinical outcomes in FEP. All participants signed a
143 consent form approved by the institutional ethics committee. Briefly, FEP patients were 17–35
144 years old and generally had not taken antipsychotic medication for more than one month prior to
145 their entry into the clinic. Diagnoses of schizophrenia and schizoaffective disorder established
146 with the Structural Clinical Interview for DSM-IV (First et al., 1998) were verified through
147 consensus between two senior psychiatrists (R.J. & A.M.). Exclusion criteria were (1) diagnosis
148 of affective psychosis (i.e., bipolar disorder, major depressive disorder with psychotic features),
149 (2) IQ score below 70, and (3) incomplete cognitive testing or insight assessment results.

150 A total of 131 MEP patients were also included in the sample. Their age range was 18–50
151 years, and all had received psychiatric treatment for ≥ 4 years as inpatients or outpatients. We

152 considered the latter as an indication that they had experienced more than one psychotic episode.
153 They were recruited as part of a larger cross-sectional study on psychological and neuronal
154 determinants of insight in schizophrenia (Emami et al., 2016). Each patient signed a consent
155 form approved by local ethics committees. This patient group could also be designated as
156 “prolonged treatment” among other terms, but we chose the term “MEP” to avoid stigmatizing
157 terminology (Lesage and Morissette, 2002), and to underscore the parallel between the concept
158 of FEP and the detrimental effects of illness chronicity. The term MEP is also used in the latest
159 version of the DSM (American Psychiatric Association, 2013) and provides a more hopeful
160 perspective of the illness by adopting a recovery philosophical standpoint.

161 Summarized in the top part of **Table 1** are characteristics of the FEP and MEP patients.
162 Also reported in the table are values of effect sizes for differences between the two groups,
163 standardized mean differences (i.e., d) or the phi coefficient (i.e., ϕ). Table 1 also includes
164 descriptive statistics by stage of illness for measures of positive symptoms (Scale for the
165 Assessment of Positive Symptoms; Andreasen, 1984), negative symptoms (Scale for the
166 Assessment of Negative Symptoms; Andreasen, 1983), depression (Calgary Depression Scale for
167 Schizophrenia; Addington et al., 1990), and anxiety (Hamilton Anxiety Rating Scale; Hamilton,
168 1959). A more detailed description of the sample is provided in Supplementary Methods.

169 2.2 Insight into symptoms

170 Insight into symptoms was assessed using an abbreviated (11-item) version of the Scale
171 to Assess Unawareness of Mental Disorder (SUMD, V.2/14/99; Amador et al., 1993; Dumas et
172 al., 2013). Scores on short versions of the SUMD are reasonably reliable (e.g., Amador et al.,
173 1994; Michel et al., 2013; Raffard et al., 2010), and evidence for convergent and discriminant
174 validity is generally positive (e.g., Dumas et al., 2013). Patient unawareness and misattributions

175 of four symptoms—hallucinations, delusions, flat affect, and asociality—were rated on a 6-point
176 Likert scale. For each item, a score of “0” indicated the absence of the corresponding symptom
177 (i.e., awareness could not be rated); these responses were treated as missing data. A score of “1”
178 means that the patient is aware of the symptom, a score of “3” means the patient is somewhat
179 aware, and a score of “5” means that the patient is unaware of the symptom. Items scores of “2”
180 and “4” refer to intermediate levels of awareness. Thus, higher scores on SUMD items indicate
181 greater unawareness (i.e., less awareness).

182 Symptom attribution items on the SUMD are administered only if the score on the
183 corresponding symptom awareness item were “3” or lower (i.e., the patient has at least some
184 level of awareness), which results in a higher rate of missing data for attribution items.
185 Consequently, only total scores over the symptom unawareness items were analyzed;
186 specifically, for each patient, awareness scores for the aforementioned symptom items (2
187 positive, 2 negative symptoms) were averaged. Values of descriptive statistics for symptom
188 unawareness total scores are reported in Table 1 for the FEP and MEP patients. The group means
189 differ by less than 10% of a standard deviation with FEP patients showing marginally more
190 symptom unawareness than MEP patients.

191 2.3 Cognitive Capacity

192 When stable enough to meaningfully assess their cognitive capacity, patients were
193 administered the CogState Schizophrenia Battery (Pietrzak et al., 2009), a computerized test that
194 measures the seven domains within the scope of the Measurement and Treatment Research to
195 Improve Cognition in Schizophrenia (MATRICS; Horan et al., 2011). These domains include
196 processing speed, attention/vigilance, working memory, verbal learning and memory, visual
197 learning and memory, reasoning and problem solving, and social cognition. Correlations between

198 composite scores from the CogState and MATRICS batteries among schizophrenia patients
199 generally range from .70–.80 (Pietrzak et al., 2009).

200 The 12 CogState tasks described by Benoit et al. (2015) were administered to all patients.
201 Because the estimation method for the SEM analyses described later assumes multivariate
202 normality, normalizing transformations were applied to the scores of eight CogState tasks—see
203 Table 1 and Supplementary Methods for more details. Next, scores on three tasks, Groton Maze
204 Learning, Groton Maze Learning Delayed Recall, and Detection, were reflected so that higher
205 scores indicate better performance, just as for all other CogState tasks. Reported at the bottom of
206 Table 1 are descriptive statistics by group.

207

208 2.4 Statistical Analyses

209 The techniques of SEM and moderated multiple regression require large samples for
210 statistical power to be reasonably high (Aguinis et al., 2011; Wolf et al., 2013), but the sample
211 size in the present study is not large. A problem when studying disorders with relatively low base
212 rates, such as schizophrenia, is that it may be practically impossible in a primary study to collect
213 samples large enough for adequate statistical power. There is a similar challenge when studying
214 effects of smaller, but still meaningful, magnitude (Gagne et al., 2014).

215 In the present study, we report the outcomes of significance testing in tables, but dealt
216 with the consequences of low power by de-emphasizing the role of *p*-values in the analysis.
217 Instead, we relied on best practice recommendations for conducting SEM or moderated multiple
218 regression (e.g., Aguinis et al., 2011; Kline, 2016, chap. 18) including the estimation of effect
219 sizes. The latter is consistent with the conclusion of the International Committee of Medical
220 Journal Editors (2016, p.15) that *p*-values do not directly reflect effect size. We are also mindful

221 that significance testing has been banned in some journals (Trafimow and Marks, 2015).

222 The method of SEM was applied over two steps. The question evaluated in the first step
223 with confirmatory factor analysis (CFA) is whether the CogState tasks listed in Table 1 measure
224 a common cognitive capacity factor. Details about modifications to the initial measurement
225 model are provided in the Supplementary Methods.

226 Analyzed in the second step was the structural regression model (SR) presented in **Figure**
227 **1**. The cognitive factor with its CogState task indicators are from the final CFA measurement
228 model in the first analysis step. The other factor in the figure is symptom unawareness, which
229 has a single indicator, the average score on the SUMD awareness items. A method for analyzing
230 a single indicator with an error term that represents the reliability of its scores was used (Kline,
231 2016, pp. 214-217). This method does not affect model fit, but measurement error in the single
232 indicator is controlled. Of key interest in the analysis of the model in Figure 1 was the magnitude
233 of the coefficient for regressing symptom unawareness on cognitive capacity.

234 Model fit was evaluated using best practice recommendations for SEM in Kline (2016,
235 chap. 12) and Schumacker and Lomax (2016, chap.16). This means that the outcome of the chi-
236 square test was taken seriously; the use of now-discredited thresholds, or cutting points, for
237 values of certain global fit statistics that purportedly indicate “good” model fit, such as CFI >
238 .95, was avoided (see also Hayduk et al., 2007); and residuals were inspected before making any
239 decision about whether to retain a model.

240 The final analyses concerned whether stage of illness moderates the association between
241 cognitive capacity and symptom unawareness. Although this question could be addressed in a
242 multiple-groups SEM analysis where the relation between the cognitive capacity and symptom
243 unawareness is estimated separately for FEP versus MEP patients, the group sizes in this sample

244 are too small (Meade and Bauer, 2007). An alternative is moderated multiple regression
245 conducted with manifest variables only, which may require smaller sample sizes compared with
246 latent variable analysis (i.e., SEM).

247 After the SEM analyses, we calculated a composite score for each case based on values
248 of the unstandardized pattern coefficients for CogState indicators of the cognitive capacity factor
249 in the SR model of Figure 1. These composites are factor scores. Next, the total score on the
250 SUMD measure of symptom unawareness was regressed on three predictors, the cognitive
251 composite, group membership (i.e., FEP vs. MEP), and the product of the two variables just
252 mentioned. The product term represents the interactive effect of stage of illness and cognitive
253 capacity in predicting the degree of symptom unawareness. Additional detail on this moderated
254 multiple regression analysis is provided in Supplementary Methods.

255 **3. RESULTS**

256 **Table 1** presents the sociodemographic, clinical and cognitive characteristics of the
257 sample. Age ($r = -.07, p = .36$) and duration of illness ($r = .06, p = .38$) did not significantly
258 correlate with insight into symptoms and were not controlled for in our analyses. All patients
259 were taking antipsychotic medication at the time of their assessments. Medication was also not
260 controlled for in our analyses because it did not significantly correlate with symptom
261 unawareness in the whole sample ($r = -.09, p = .24$) and in each group separately (FEP: $r = .02,$
262 $p = .91$; MEP: $r = -.14, p = .12$).

263 Correlations between the 12 CogState tasks and symptoms unawareness scores for FEP
264 and MEP patients separately revealed that only the GML and ONB tasks were significantly
265 associated (Bonferroni correction $n = 12$) with insight into symptoms for each group
266 respectively. The values of all correlations for FEP and MEP separately are provided in

267 Supplementary Material. The effect sizes of the association between cognitive composite scores
268 and unawareness of symptoms were similar for FEP ($r = -.22, p = .08$) and MEP patients ($r =$
269 $-.19, p < .05$).

270

271 Reported in Table 2 are the descriptive statistics for the measure of symptom
272 unawareness and the 12 CogState tasks calculated for the total sample ($N = 193$). These data in
273 summary form were analyzed in the lavaan package for SEM (Roseel, 2012) in R (R Core Team,
274 2016). The estimation method is maximum likelihood applied to the covariance matrix
275 assembled from the summary statistics in **Table 2**. This method assumes multivariate normality,
276 which implies that all univariate distributions should be approximately normal in shape.

277 Reported at the bottom of Table 2 are values of the skew and kurtosis indices for all variables.
278 None of these results indicate severe non-normality (Kline, 2016). All solutions in the analysis
279 were admissible; that is, there were no indications of problems among the estimates, such as
280 Heywood cases. The R syntax and output for all analyses described next are provided in
281 Supplementary Material.

282 A total of five single-factor measurement models were analyzed in CFA. These models
283 concerned the CogState tasks as indicators of a common cognitive factor. Values of selected fit
284 statistics for all five CFA models are reported in **Table 3**. Additional information on these
285 different models and the criteria used to select the final one are provided in Supplementary
286 Results. Next, the SR model in Figure 1 was analyzed. The error variance for the single indicator
287 of the symptom unawareness factor, SUMD, is fixed to equal the constant .360. Details on the
288 calculations are provided in Supplementary Results. Fixing the error variance for the single
289 indicator is also necessary in order to identify the SR model in Figure 1.

290 Reported in Table 3 are values of selected fit statistics after fitting the model in Figure 1
291 to the data in Table 2. The model passes the chi-square test, $\chi^2(12) = 9.885$, $p > .05$; values of
292 other fit statistics do not suggest an obvious problem; no absolute correlation between residuals
293 exceeded .10; and no standardized residuals were significant. The solution was admissible.
294 Given all these results, the model in Figure 1 was retained. An equivalent version of Figure 1 is a
295 two-factor CFA model with a covariance between the factors. For the model just described,
296 $\chi^2(12) = 9.885$, which equals the same result for Figure 1.

297 Parameter estimates for the model in Figure 1 are reported in **Table 4**. More details on
298 these results are provided in Supplementary Results. The standardized error variance for the
299 symptom unawareness factor is .936. This means that the cognitive capacity factor explains a
300 total of 6.4% of the variance in the symptom unawareness factor (i.e., $R^2 = .064$).

301 Next, scores on a cognitive composite were calculated for each case by applying the
302 unstandardized pattern coefficients in Table 4 for the cognitive factor as follows:

$$303 \quad \text{Comp.} = .555 * \text{ISL} + .428 * \text{ISLR} + .029 * \text{OCL} + .038 * \text{CPAL} + .261 * \text{GMR}$$

304 Scores on the composite were centered, and both the cognitive composite and group membership
305 (FEP vs. MEP) were entered as predictors of observed SUMD scores about symptom
306 unawareness at the first step in a hierarchical multiple regression analysis. Results are reported in
307 **Table 5**, for which additional details are provided in Supplementary Results. The overall R^2 at
308 step 2 with the product term, or .033, is the same result at three-decimal accuracy at step 1
309 without the product term, or $\Delta R^2 = 0$. That is, estimating interaction fails to increase the overall
310 proportion of explained variation. None of the individual regression coefficients are significant at
311 step 2. The power of this analysis is probably quite low, but the miniscule effect size makes it
312 apparent that stage of illness does not appreciably moderate the relation between cognitive

313 capacity and symptom unawareness.

314

315 **4. DISCUSSION**

316 These results suggest that patients with a psychotic disorder who show greater cognitive
317 capacity as measured with computer-administered tasks have better symptom awareness. The
318 tasks assess verbal memory, executive functions, and visual memory, all of which have been
319 associated with insight into symptoms (Monteiro et al., 2008; Morgan et al., 2010; Wiffen et al.,
320 2012). Yet, the magnitude of this relation is, although statistically significant, relatively small. In
321 latent variable analyses, cognitive capacity accounted for about 6.4% of the variation in
322 symptom unawareness while controlling for measurement error. This finding is consistent with
323 other results by Freudenreich et al. (2004) and McCabe et al. (2002) that cognitive capacity and
324 symptom unawareness are not strongly related.

325 Results of manifest variable analyses in the present study also indicate that illness stage
326 did not appreciably moderate the association between symptom unawareness and cognitive
327 capacity. Perhaps episode recurrence does not have a great impact on the relation between
328 symptom unawareness and cognitive capacity because cognitive capacity per se explains only a
329 relatively small proportion of the variance.

330 One possibility is that the determinants of some facets of insight could remain stable
331 across the stages of illness, while predictors of other dimensions of insight may fluctuate over
332 time (Ayesa-Arriola et al., 2011; Gilleen et al., 2014). For example, Cuesta et al. (2011) reported
333 that insight into illness, another facet of clinical insight, among FEP patients is determined over
334 time by somewhat different predictors compared with MEP patients. Other results about the
335 relation between duration of untreated psychosis and clinical insight are more mixed (Buchy et

336 al., 2010a; Compton et al., 2011; Drake et al., 2000; Gumley et al., 2014; Hui et al., 2015;
337 O'Donoghue et al., 2014), so the status of stage of illness as a moderator is unclear. Age and the
338 duration of illness were not significantly correlated with the level of symptom unawareness in
339 our dataset. Having acquired more knowledge and vocabulary about psychosis through more
340 extended care in MEP may therefore not explain the qualitative differences in insight into
341 symptoms that are thought to exist between these two stages (Gerretsen et al., 2014; Koren et al.,
342 2013). Studies comparing FEP and MEP patients have found that the former group presents more
343 severe deficits of clinical insight (Koren et al., 2013; Schennach et al., 2012; Thompson et al.,
344 2001). Some of the explanations for this observation include better illness acceptance or longer
345 time undergoing treatment in MEP; and greater psychological defensiveness or lack of
346 knowledge about psychosis in FEP patients (Gerretsen et al., 2014). However, all of the above
347 remains to be empirically verified.

348 Perhaps *cognitive insight* indirectly affects insight into symptoms. Some authors have
349 suggested that cognitive insight is a prerequisite for good clinical insight (Beck et al., 2004; De
350 Vos et al., 2015; Nair et al., 2014; Riggs et al., 2012; Van Camp et al., 2017). Yet, cognitive
351 insight is reportedly associated with insight into symptoms as well as cognitive capacity in both
352 FEP and MEP samples, which could suggest a mediating role for this variable or at least a more
353 complex interaction between the three constructs (Buchy et al., 2010b; Cooke et al., 2010;
354 Gilleen et al., 2011; Lepage et al., 2008; Pedros Rosello, 2018).

355 An integrative approach that addresses multiple determinants of clinical insight may be
356 promising. This is because individual pharmacological treatments and psychosocial interventions
357 appear to only modestly improve clinical insight among both FEP and MEP patients (Kobayashi
358 et al., 2009; Misiak et al., 2016; Pijnenborg et al., 2015; Pijnenborg et al., 2013). Results of a

359 recent study by Lalova et al. (2013) indicate that insight into symptoms was amenable to
360 treatment among MEP through cognitive remediation therapy, which involves the teaching of
361 strategies and exercises practice (Fisher et al., 2013). Given our results, cognitive remediation
362 could be an interesting therapeutic avenue for FEP patients, too. Perhaps more comprehensive
363 forms of cognitive interventions—such as cognitive enhancement therapy, which integrates
364 remediation of social and nonsocial cognitive skills—could enhance clinical insight by
365 influencing some of its cortical underpinnings (Buchy et al., 2017; Eack et al., 2010; Shad and
366 Keshavan, 2015).

367 Strengths of the present study include applying SEM analyses within well-defined
368 samples of both FEP and MEP patients while controlling for measurement error. Limitations
369 include a relatively small sample size considering the type of statistical analyses used and the
370 corresponding need to replicate our results. The measure of symptom unawareness in our study
371 dealt with only four symptoms. Nonetheless, these four symptoms likely represent the most
372 prevalent ones (Sauvé et al., in press). Insight into a broader range of symptoms and other facets
373 of clinical insight, such as unawareness of the need for treatment, should be studied. In a related
374 fashion, specific associations between individual cognitive domains and symptoms could exist.
375 We opted for a parsimonious approach and conceptualized our cognitive capacity variable as
376 latent in part because we had averaged the awareness scores of different symptoms together, and
377 also because our sample was too small to perform such specific comparisons.

378 Responding to computer-administered tasks may have been more challenging for the
379 MEP patients in our sample, who were somewhat older than FEP patients. The relatively limited
380 age range of our sample could have also masked some of the effects of aging on cognitive
381 capacity for instance and its influence on symptom awareness. Future studies investigating the

382 relation of cognitive capacity and insight in older adults would therefore be interesting.

383 The design of the present study is cross-sectional. Ideally, the same patients would be
384 longitudinally evaluated at different stages of their illness trajectory to minimize the influence of
385 personal characteristics, experience of the illness and treatment, among other variables, and to
386 appreciate the effect of possible cognitive changes or IQ decline (cf. Bergh et al., 2016; Rund et
387 al., 2016). Yet, the cross-sectional comparison of illness stages is in line with the clinical staging
388 framework proposed by McGorry et al. (2014; 2010) and represents an interesting preliminary
389 step in identifying important variables and relations that would merit further attention in more
390 costly longitudinal studies. Finally, additional predictors of symptom unawareness mentioned
391 earlier could be included in a more complete statistical model of clinical insight.

392 In summary, our results suggest that cognitive capacity predicts a relatively small portion
393 of variation in symptom unawareness among non-affective psychotic disorder patients. Stage of
394 illness did not moderate this association. Future studies may benefit from separately analyzing
395 insight into positive and negative symptoms as the cognitive determinants of each may differ
396 (Gilleen et al., 2011). Given an expected association between insight deficit and functional
397 outcome, more studies taking the influence of episode recurrence into account may help to better
398 define or develop improved stage-specific interventions.

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675 **FIGURE LEGENDS**

676 **Figure 1.** Final structural regression model of cognitive capacity and symptom unawareness.
 677 Values of identifying constraints are shown, including scaling constants for factors or error terms
 678 (1) and the error variance for the single indicator of symptom unawareness (.360). ISL,
 679 International Shopping List; ISLR, International Shopping List Delayed Recall; GML, Groton
 680 Maze Learning task; GMR, Groton Maze Learning task Delayed Recall; OCL, One-Card
 681 Learning task; CPAL, Continuous Paired Associate Learning task; SUMD, Scale to Assess
 682 Unawareness of Mental Disorder.

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 684
 685 **Table 1.** *Sample demographic, clinical, and cognitive characteristics*

Variable	First-episode psychosis	Multiple-episode psychosis	Effect size ^a
<i>n</i>	62	131	—
Percent men	61.3	73.3	.12
Age (yrs.) ^b	24.1 (4.6) ^c	35.4 (7.8)	-1.62
Duration of illness (yrs.) ^b	.2 (.1)	12.9 (7.6)	-2.03
Education (yrs.)	12.4 (2.8)	11.4 (2.5)	.38
Antipsychotics ^{b, d}	168.1 (123.9)	805.3 (865.3)	-.88
IQ (WASI) ^b	103.1 (13.4)	95.9 (13.1)	.54
<u>Positive symptoms (SAPS)</u>			
Hallucinations	1.8 (1.8)	2.2 (1.9)	-.21
Delusions	2.8 (1.6)	2.2 (1.7)	.36
Bizarre behavior ^b	2.0 (1.4)	1.1 (1.2)	.71
Thought disorder	1.5 (1.5)	1.3 (1.4)	.14
<u>Negative symptoms (SANS)</u>			
Affective flattening	2.1 (1.3)	2.4 (1.2)	-.24
Alogia	1.8 (1.5)	1.4 (1.2)	.31
Avolition-apathy	3.0 (1.2)	2.7 (1.2)	.25
Anhedonia-asociality	2.8 (1.2)	2.7 (1.3)	.08
<u>Affective symptoms</u>			
Depression (CDSS)	3.8 (4.0)	2.9 (3.0)	.27
Anxiety (HARS)	8.3 (6.6)	7.0 (5.2)	.23

Symptom unawareness

SUMD (items 3a-6a)	3.0 (1.1)	2.9 (1.3)	.08
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Symptom misattribution

<u>SUMD (items 3b-6b)</u> ^{b,f}	3.5 (1.2)	2.7 (1.6)	.54
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CogState tasks^e

ISL ^b	24.6 (4.0)	21.0 (4.6)	.81
ISLR ^b	8.4 (2.5)	6.5 (2.5)	.76
ONB ^b	1.2 (.1)	1.1 (.1)	1.00
TWOB	1.1 (.1)	1.1 (.1)	0
GML ^b	6.8 (1.6)	5.7 (1.6)	.69
SETS	.5 (.03)	.5 (.03)	0
DET	1.1 (.03)	1.1 (.03)	0
GMCT ^b	1.7 (.3)	1.1 (.4)	1.61
OCL ^b	1.0 (.1)	0.9 (.1)	1.00
CPAL ^b	1.3 (.1)	1.2 (.1)	1.00
GMR ^b	4.7 (1.0)	4.2 (1.0)	.50
IDN	.6 (.1)	.6 (.1)	0

^aStandardized mean differences except for percent men, for which the phi coefficient is reported.

^b $p < .05$ after Bonferroni correction for multiple comparisons (30).

^c $M (SD)$.

^dChlorpromazine equivalent, based on $n = 51$ for FEP and $n = 124$ for MEP. For the FEP group the value represents the cumulative dose since their entry into the first-episode clinic, while the value refers to the current dose for the MEP group.

^eNormalizing transformations, ONB, $1 - \log_{10}(2 - X)$; TWOB, $2 - (3 - X)^{1/2}$; GML, $(X - 3)^{1/2}$; SETS, $1/(3 - X)$; DET, $\log_{10}(X - 1)$; CPAL, $\log_{10}(X)$; GMR, $(X + 1)^{1/2}$; IDN, $1/(3 - X)$. Next, scores on four tasks were reversed so that high scores indicate better performance. Original scores were multiplied by -1 , and then a constant was added so that lowest score is 1 (GML, 13.49; DET, 1.31; GMR, 7.73, CPAL, 1.40)

Note. WASI, Wechsler Abbreviated Scale of Intelligence; SAPS, Scale for the Assessment of Positive Symptoms; SANS, Scale for the Assessment of Negative Symptoms; CDSS, Calgary Depression Scale for Schizophrenia; HARS, Hamilton Anxiety Rating Scale; SUMD, Scale to Assess Unawareness of Mental Disorder; ISL, International Shopping List; ISLR, International Shopping List Delayed Recall; ONB, One-Back task; TWOB, Two-Back task; GML, Groton Maze Learning task; SETS, Set-Shifting task; DET, Detection task; GMCT, Groton Maze Chase task; OCL, One-Card Learning task; CPAL, Continuous Paired Associate Learning task;

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GMR, Groton Maze Learning task Delayed Recall; IDN, Identification task.

^fBased on $n = 60$ for FEP group and $n = 110$ for MEP group

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Table 2. *Summary Statistics (Correlations, Means, Standard Deviations) for Symptom Unawareness and Cognitive Tasks*

Variable	1	2	3	4	5	6	7	8	9	10	11	12	13
1. SUMD	—												
2. ISL	-.144	—											
3. ISLR	-.155	.753	—										
4. ONB	-.184	.238	.236	—									
5. TWOB	-.096	.290	.248	.460	—								
6. GML	-.215	.329	.334	.397	.442	—							
7. SETS	-.190	.275	.218	.351	.474	.352	—						
8. DET	.005	.289	.277	.309	.362	.193	.128	—					
9. GMCT	-.080	.424	.398	.291	.327	.314	.127	.559	—				
10. OCL	-.146	.398	.347	.474	.369	.411	.325	.203	.326	—			
11. CPAL	-.143	.430	.439	.301	.416	.526	.296	.294	.422	.470	—		
12. GMR	-.108	.316	.307	.398	.362	.672	.312	.257	.307	.451	.532	—	
13. IDN	-.132	.239	.282	.390	.281	.332	.305	.027	.147	.304	.203	.298	—
<i>M</i>	2.933	22.166	7.083	1.155	1.073	6.073	.543	1.113	1.319	.951	1.241	4.370	.627
<i>SD</i>	1.225	4.713	2.670	.122	.124	1.664	.032	.036	.436	.116	.121	1.009	.064
Skew	.031	-.347	-.246	.086	.427	-.334	-.536	-.470	-.018	-.076	.382	-.200	-.327
Kurtosis	-.850	-.153	-.228	-.044	3.623	1.339	-.574	.048	-.458	-.491	-.475	.311	-.587

Note. $N = 193$. SUMD, Scale to Assess Unawareness of Mental Disorder; ISL, International Shopping List; ISLR, International Shopping List Delayed Recall; ONB, One-Back task; TWOB, Two-Back task; GML, Groton Maze Learning task; SETS, Set-Shifting task; DET, Detection task; GMCT, Groton Maze Chase task; OCL, One-Card Learning task; CPAL, Continuous Paired Associate Learning task; GMR, Groton Maze Learning task Delayed Recall; IDN, Identification task.

Table 3. *Values of Fit Statistics for Measurement Models of Cognitive Capacity and a Structural Regression Model of Cognitive Capacity and Symptom Unawareness*

Model	Retained?	χ^2	<i>df</i>	RMSEA [90% CI]	CFI	SRMR
One-factor CFA						
1. Twelve cognitive tasks ^a	N	276.860	54	.146 [.129, .164]	.734	.090
2. Nine tasks (SETS, DET, IDN out)	N	173.101	27	.167 [.144, .192]	.776	.086
3. Seven tasks (ONB, GCMT out)	N	135.897	14	.212 [.181, .246]	.766	.093
4. Six tasks (TWOB out)	N	129.942	9	.264 [.225, .305]	.741	.104
5. Six tasks, two error correlations ^b	Y	5.220	7	0 [0, .073]	1.000	.023
Two-factor SR	Y	9.885	12	0 [0, .062]	1.000	.027

^aISL, International Shopping List; ISLR, International Shopping List Delayed Recall; ONB, One-Back task; TWOB, Two-Back task; GML, Groton Maze Learning task; SETS, Set-Shifting task; DET, Detection task; GMCT, Groton Maze Chase task; OCL, One-Card Learning task; CPAL, Continuous Paired Associate Learning task; GMR, Groton Maze Learning task Delayed Recall; IDN, Identification task.

^bISL, ISLR, GML, OCL, CPAL, GMR, ISL ↔ ISLR, GML ↔ GMR.

Note. $p < .05$ for chi-square, Models 1–4 only. RMSEA, Steiger-Lind root mean square error of approximation; CI, confidence interval; CFI, Bentler comparative fit index; SRMR, standardized root mean squared residual; CFA, confirmatory factor analysis; SR, structural regression.

Table 4. *Maximum Likelihood Parameter Estimates for a Structural Regression Model of Cognitive Capacity and Symptom Unawareness*

Parameter	Unstandardized	SE	Standardized
<u>Pattern coefficients</u>			
SUMD	1.0	—	.871
ISL	1.0	—	.546
ISLR	.555	.059	.535
GML	.428	.070	.663
OCL	.029	.005	.634
CPAL	.037	.006	.778
GMR	.261	.042	.667
<u>Error variances and covariances</u>			
SUMD	.360	—	.241
ISL	15.498	1.784	.701
ISLR	5.059	.578	.713
GML	1.543	.206	.560
OCL	.008	.001	.598
CPAL	.006	.001	.395
GMR	.562	.076	.555
ISL ↔ ISLR	5.764	.873	.651
GML ↔ GMR	.383	.102	.412
<u>Factor or disturbance variance</u>			
Cognitive Capacity	6.599	1.806	1.0
Symptom Unawareness	1.060	.146	.936
<u>Factor regression coefficient</u>			
Cognitive → Unawareness	-.105	.040	-.254

Note. $p < .05$ for all unstandardized estimates with standard errors. SUMD, Scale to Assess Unawareness of Mental Disorder; ISL, International Shopping List; ISLR, International Shopping List Delayed Recall; ONB, One-Back task; TWOB, Two-Back task; GML, Groton Maze Learning task; SETS, Set-Shifting task; DET, Detection task; GMCT, Groton Maze Chase task; OCL, One-Card Learning task; CPAL, Continuous Paired Associate Learning task; GMR, Groton Maze Learning task Delayed Recall; IDN, Identification task.

Table 5. *Moderated multiple regression results for predicting symptom unawareness from cognitive capacity and first-episode versus multiple-episode psychosis*

Predictors	Step 1			Step 2		
	<i>B</i>	<i>SE</i>	<i>b</i>	<i>B</i>	<i>SE</i>	<i>b</i>
Group	-.237	.201	-.090	-.241	.214	-.092
Cognitive composite	-.065 ^a	.026	-.194	-.068	.051	-.202
Group × Cognitive	—	—	—	.004	.059	.009
<i>R</i> ²		.033 ^a			.033	
ΔR^2		—			0	

^a*p* < .05.

Note. *B*, *SE*, *b* refer to, respectively, unstandardized coefficient, standard error, standardized coefficient. Scores on the cognitive capacity composite are centered. For the group variable, 0 = first-episode psychosis, 1 = multiple-episode psychosis. The constant for step 1 is 3.093 (*SE* = .162), and for step 2 the constant is 3.099 (*SE* = .184)

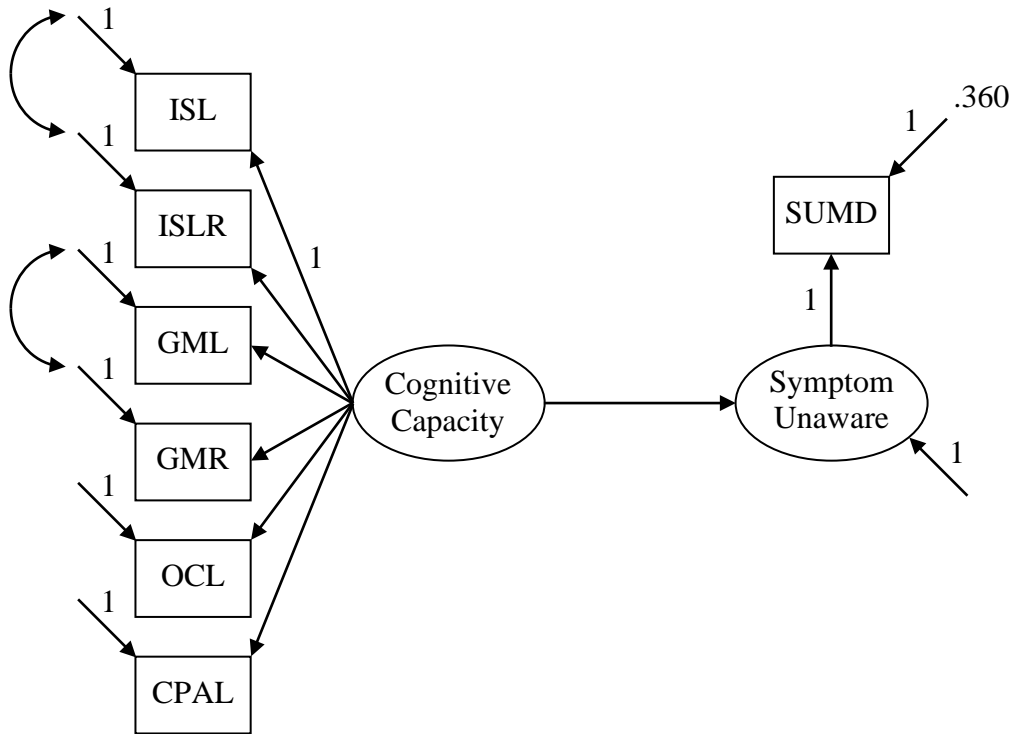


Figure 1. Final structural regression model of cognitive capacity and symptom unawareness.