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4	Antibacterial activity of sulfamethoxazole transformation products (TPs):
5	General relevance for sulfonamide TPs modified at the para-position
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9	Marius Majewsky <sup>a,*</sup> , Danny Wagner <sup>b</sup> , Markus Delay <sup>a</sup> ,
10	Stefan Bräse <sup>b,c</sup> , Viviane Yargeau <sup>d</sup> , Harald Horn <sup>a</sup>
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- <sup>a</sup> Karlsruhe Institute of Technology (KIT), Engler-Bunte-Institut, Chair of Water Chemistry and Water Technology, Engler-Bunte-Ring 1, 76131 Karlsruhe, Germany
  - <sup>b</sup> Karlsruhe Institute of Technology (KIT), Institute of Organic Chemistry, Fritz-Haber-Weg 6, 76131 Karlsruhe, Germany
- <sup>c</sup> Karlsruhe Institute of Technology (KIT), Institute of Toxicology and Genetics (ITG), Hermann-von-Helmholtz-Platz 1, 76344 Eggenstein-Leopoldshafen, Germany
- <sup>d</sup> Department of Chemical Engineering, McGill University, 3610 University St., Montreal, QC, J3N 1V3, Canada

\*Corresponding author: marius.majewsky@partner.kit.edu; Tel: + 49 721 608 - 4 2788.

#### 41 Abstract

Sulfonamide antibiotics undergo transformation in the aquatic environment through biodegradation, photolysis or hydrolysis. In this study, the residual antibacterial activity of 11 transformation products (TPs) of sulfamethoxazole (SMX) were investigated with regard to their in vitro growth and luminescence inhibition on vibrio fischeri (30 min and 24 h exposure). The two transformation products 4-hydroxy-SMX and  $N^4$ -hydroxy-acetyl-SMX were synthesized in-house and confirmed by NMR and HRMS. Results of individual compound experiments showed that TPs modified at the para-position still exhibit clear antibacterial effects, while TPs resulting from breakdown of the SMX structure lost this mechanism of action. 4-NO<sub>2</sub>- and 4-OH-SMX were found to inhibit growth at a clearly higher level than the parent compound SMX. In contrast, the  $N^4$ -acetyl- and  $N^4$ -hydroxy-acetyl-derivatives retain less than 10% and 5% of the effect of SMX on growth and luminescence inhibition, respectively. The effect of a mixture of para-modified TPs was observed to be additive. Considering the homologous series of sulfa drugs widely prescribed and their common mechanism of action, the potential environmental impact must consider for the total amount of sulfonamide antibiotics and their derivative TPs, which might end up in a water bodies. Extrapolating the results obtained here for the *para*-TPs of SMX to other sulfa drugs and determining the persistence and occurrence of these compounds in the aquatic environment is required for improved risk assessment. 

61 Keywords: growth inhibition, intermediates, metabolites, sulfa drugs, ecotoxicity

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#### 76 Introduction

77 Residues of pharmaceuticals and personal care products (PCPPs) are ubiquitously detected in urban wastewater and surface water alike (Herberer, 2002). It recently has been demonstrated 78 that PCPPs can adversely act upon freshwater biofilms by respiration suppression, growth 79 inhibition and community alteration with momentarily unknown consequences for higher 80 trophic levels and stream ecosystem functioning (Rosi-Marshall et al., 2013). In the context of 81 82 the ongoing discussion about the risk assessment of the manifold transformation products 83 (TPs) being formed, the question was raised whether TPs still exhibit the targeted mechanisms of action of the parent compound (De Bel et al., 2009; Escher and Fenner, 2011; 84 Wammer et al., 2011). In view of the frequent occurrence of sulfonamide antibiotics and due 85 to their antimicrobial nature, the present study aims to address the (residual) ecotoxicological 86 effects of sulfamethoxazole TPs. Sulfamethoxazole (SMX) is the most prominent short-acting 87 88 representative of sulfonamide antibiotics used in high amounts in human and veterinary applications to treat and prevent bacterial infections of both Gram positive and Gram negative 89 species. It can be regarded as ubiquitous in urban wastewaters and is frequently detected in 90 surface water (Tamtam et al., 2008; Watkinson et al., 2009). 91

The bacteriostatic effect of sulfonamides on cell reproduction originates from the sulfanilamide toxicophore (sulfonamide group bound to aniline in the *para*-postion, Figure 1) and is based on competitive enzyme inhibition and metabolic interference due to its similarity in molecular structure to *p*-aminobenzoic acid (pABA) – an essential carboxylic acid involved in the natural intracellular folic acid synthesis of bacteria.

A number of recent studies reported different chemical or biological SMX TPs formed 97 in aquatic systems at lab-scale such as biodegradation, photolysis or hydrolysis (Bonvin et al., 98 99 2012; García-Galan et al., 2008; Larcher and Yargeau 2011, 2012; Nödler et al., 2012; Müller et al., 2013). However, while the ecotoxicity and identification of SMX TPs formed during 100 wastewater ozonation receives increased attention (Dodd et al. 2009; Larcher and Yargeau 101 2013), only limited information is available regarding the risk assessment of TPs from 102 103 conventional natural transformation reactions. Additionally, sulfonamide TPs are rarely included in routine monitoring campaigns, which is why only scarce data is available about 104 105 their occurrence.

106 Some of the TPs reported for SMX still contain a toxicophore-like moiety and some 107 derivatives incorporate almost the complete SMX parent compound structure. As a 108 consequence, it is relevant and essential to determine the residual antibacterial activity of the 109 manifold SMX TPs.



 $\begin{array}{c} 110\\ 111 \end{array}$ 

Figure 1. Generic structure, toxicophore (with  $R_1 = \text{NH}_2$ ) and atom numbering of sulfonamide antibiotics (left) and structure of sulfamethoxazole (right).

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Previous studies on micropollutants revealed that in most cases the TPs are less toxic than the parent compound (Boxall et al., 2004). However, some TPs exhibited similar or higher ecotoxicological effects than the parent compound and hence, experimental testing remains indispensable. Moreover, with regard to the current concerns about antibiotic resistance in microbial biofilms (Schwartz et al., 2003), the need to investigate the possible residual antibacterial potential of sulfonamide antibiotic TPs is apparent.

Addressing this need of better assessment of the potential for sulfa drugs TPs, the 121 present study screened 11 TPs of SMX (Table 1) formed during human metabolism, microbial 122 biodegradation, photolysis and hydrolysis for their toxicity. TPs formed by the decomposition 123 of SMX are referred to here as breakdown products, while TPs formed by transformations 124 limited to the para-position are denoted as derivatives. Formation processes reported in 125 literature and physico-chemical properties for the selected SMX TPs are given in Table S1 126 (Supporting Information). The two metabolites  $N^4$ -hydroxy-acetyl-SMX and 4-hydroxy-SMX 127 were synthesized in-house as they were not commercially available. These were included in 128 129 the study since they have been suggested to occur from biotransformation with activated sludge bacteria (Larcher and Yargeau, 2011; Gauthier et al., 2010). As bioassays to 130 131 investigate the effect of the TPs, luminescence inhibition (LI) experiments were selected with LI as a very sensitive and rather non-specific endpoint. Tests were carried out providing 132 growth medium, which allows in parallel to evaluate the bacteriostatic mechanism of action of 133 sulfonamides by growth inhibition (GI) determination as the second endpoint. 134

The three research hypotheses considered in this study were: i) SMX TPs retaining a sulfonamide toxicophore-like moiety exhibit residual antibacterial properties and these can be related to their physico-chemical properties, ii) TPs not exhibiting the toxicophore are ecotoxicologically relevant via another mechanism of action, iii) these trends can be generalized to other homologous sulfonamide antibiotics to anticipate ecotoxicologically relevant TPs.

- 141 **Table 1.** Structures and abbreviations of sulfamethoxazole transformation products;
- <sup>a</sup> synthesized in this study

Derivatives (R	! =)	Breakdown products			
4-hydroxy- sulfamethoxazole <sup>a</sup> (4-OH-SMX)	—он	Sulfanilamide (SFA)	$H_2N \longrightarrow II \\ I$		
N <sup>4</sup> -hydroxy- sulfamethoxazole (N-OH-SMX)	он — мн	3-amino-5-methylisoxazole (3A5MI)	H <sub>2</sub> N CH <sub>3</sub>		
4-nitroso-sulfamethoxazole (NO-SMX)	—N, O	Sulfanilic acid (SA)	H <sub>2</sub> N		
4-nitro-sulfamethoxazole (NO <sub>2</sub> -SMX)	N_0	Benzensulfonamide (BSA)			
N <sup>4</sup> -acetyl-sulfamethoxazole (acetyl-SMX)	−NH )O H <sub>3</sub> C	Aniline (AN)	H <sub>2</sub> N		
N <sup>4</sup> -hydroxy-acetyl- sulfamethoxazole <sup>a</sup> (OH-acetyl-SMX)					

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# 145 **2. Materials & Methods**

## 146 **2.1. Chemicals**

3-Amino-5-methylisoxazole (CAS 1072-67-9), sulfanilic acid (CAS 121-57-3), sulfanilamide 147 (CAS 63-74-1), benzenesulfonamide (CAS 98-10-2), aniline (CAS 62-53-3) and 148 sulfamethoxazole (723-46-6) were purchased from Sigma-Aldrich (Oakville, ON, Canada). 149  $N^4$ -acetyl-sulfamethoxazole (CAS 21312-10-7), 4-nitroso-sulfamethoxazole (CAS 131549-150 85-4, purity >90%),  $N^4$ -hydroxy-sulfamethoxazole (CAS 114438-33-4) and 4-nitro-151 sulfamethoxazole (29699-89-6) were purchased from Toronto Chemicals (Toronto, ON, 152 Canada). 4-NO-SMX was stored at -20°C. Freeze-dried luminescent bacteria (Acute Reagent, 153 855-637-6426) were purchased from Modern Water (New Castle, DE, USA) and stored at -154 20°C until use. 155

156

# 157 2.2 Synthesis of OH-acetyl-SMX, 4-NO<sub>2</sub>-SMX and 4-OH-SMX

Synthesis of 4-OH-SMX was previously reported in literature (Vidyasagar et al., 1991). However, reproduction of the synthesis of 4-OH-SMX using the reported procedure did not yield satisfying results. Therefore, the desired product was synthesized in two steps via the previously unknown 4-methoxy-*N*-(5-methylisoxazol-3-yl)benzenesulfonamide and following cleavage of the methoxy group with boron tribromide. The detailed procedure, corresponding
analytical nuclear magnetic resonance (NMR) and mass spectrometry data is given in
S1 Supporting information.

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# 166 4-Hydroxy-N-(5-methylisoxazol-3-yl)benzenesulfonamide (4-OH-SMX)

To a solution of 4-methoxy-N-(5-methylisoxazol-3-yl)benzenesulfonamide (800 mg, 2.98 167 mmol, 1 equiv.) in dry dichloromethane (15 mL) at -78 °C boron tribromide solution (1 M in 168 dichloromethane, 8.95 mL, 8.95 mmol, 3 equiv.) was added dropwise under inert atmosphere 169 with stirring. After 30 minutes, the reaction mixture was warmed up to room temperature and 170 stirred for further 5 hours. It was then quenched and neutralized with saturated NaHCO3 171 solution and extracted with ethyl acetate (3  $\times$  30 mL). The organic phase was dried over 172 anhydrous sodium sulfate, filtered and concentrated under reduced pressure. Flash 173 chromatography (silica gel, cyclohexane/ethyl acetate 1/1 v/v) of the crude product afforded 174 175 310 mg (41% yield) of the title compound as a yellow solid.

176

## 177 $N^4$ -Hydroxyacetylsulfamethoxazole (OH-acetyl-SMX)

Sulfamethoxazole (700 mg; 2.76 mmol; 1 equiv.) was dissolved in dry tetrahydrofuran (14 178 mL) under inert atmosphere. Triethylamine (1.11 mL; 8.02 mmol; 2.9 equiv.) and 179 acetoxyacetyl chloride (1.02 g; 7.46 mmol; 2.7 equiv.) were added and the reaction mixture 180 was stirred at room temperature for 16 hours. It was then concentrated under 181 reduced pressure. Subsequently, 1.5 N aqueous sodium hydroxide solution (20 mL) and 182 methanol (20 mL) were added to the resulting residue, and the mixture was again 183 184 stirred at room temperature for 12 hours. The reaction mixture was concentrated under reduced pressure and the residue was then dissolved in water. The solution was neutralized 185 186 with 1 N aqueous HCl and then extracted with ethyl acetate (3  $\times$  30 mL). The organic layer was washed with saturated brine, dried over anhydrous sodium sulfate and 187 188 filtered. The filtrate was concentrated under reduced pressure and the crude product purified by column chromatography (silica gel, cyclohexane/ethyl acetate 1/2 – 189 190 0/1) to afford 670 mg (78% yield) of the title compound as yellowish crystals.

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#### 192 **2.3. Bioassays**

Short-term tests using LI endpoints such as 15 min or 30 min usually fall short of the delayed
bacteriostatic effects of antibiotics and therefore, can significantly underestimate the
ecotoxicological effects (Backhaus and Grimme, 1999; Kümmerer, 2009). For that
reason, long-term bioassays over 24 h were applied here, providing a growth medium
with carbon

and nutrient sources (Froehner et al., 2002) to sustain the bacteria and their luminescence light 197 emission over this extended test duration. This test approach also allows to track bacterial 198 growth by optical density measurements in addition to luminescence light emission (Menz et 199 al., 2013). Apart from that, experiments were carried out according to ISO 11348-3 and 200 repeated in independent experiments for each compound. The initial pH was 6.8 and no 201 further adjustments were done. Luminescence and optical density (OD) were measured after 202 30 min (endpoint most commonly applied) and 24 h, respectively. Relative luminescence light 203 emission was measured by a Microtox<sup>™</sup> M500 Analyzer (Modern Water, New Castle, DE, 204 USA; formerly SDI). Relative absorbance was measured by a HACH photometer at  $\lambda = 600$ 205 nm. Pipette tips and glassware used for stock solutions and substrate were autoclaved for 20 206 min at 121°C before usage to avoid microbial contamination. A detailed description of the 207 procedure, the composition of the substrates and of test validation experiments regarding the 208 209 interaction of SMX TPs with the growth substrate, possible light absorption, substrate competition and comparison to ISO 11348-3 can be found in S2 (Supporting Information). 210

The Hill equation was used to fit the monotonic dose-response relationships obtained from the bioassays by minimizing  $chi^2$  between modeled and measured data using the OriginPro 8.5 software (Originlab Corporation, USA):

214

215 (1)

216

where I = inhibition,  $I_0 =$  the minimum inhibition (set to  $I_0 = 0$  %),  $I_{max} =$  maximum inhibition (set to  $I_0 = 100$  %), c = the toxicant concentration in [µmol L<sup>-1</sup>],  $EC_{50} =$  effect concentration at I = 50% and n = Hill coefficient.

220

# 221 **2.4 Determination of** *pK*<sub>a2</sub>

Acidic dissociation constants for the  $N^{l}$  amino group  $(pK_{a2})$  of the two synthesized 222 metabolites 4-OH-SMX and OH-acetyl-SMX as well as of N-OH-SMX (not available in 223 literature) were determined by measuring absorbance in titration experiments over a pH range 224 from 2 to 10 using HCl and NaOH ( $c = 0.05 \text{ mol } L^{-1}$ ) in tap water. An automatic titrator (SI 225 Analytics) was used to adjust the pH and absorbance measurements were carried out using a 226 photometer (Cary 50, Varian) at  $\lambda = 235$  nm for 4-OH-SMX and OH-acetyl-SMX, and  $\lambda =$ 227 245 nm for N-OH-SMX. Wavelengths were selected based on UV/Vis spectra (200 nm to 400 228 nm) at pH = 3 and pH = 11. Experimental data were fitted with the Boltzmann equation. For 229 the pH range typically prevailing in aquatic environments, only the  $pK_{a2}$  is relevant. 230

Experimental  $pK_{a2}$  values for SMX and the other TPs were taken from literature and theoretical  $pK_{a2}$  values for all compounds were also calculated for comparison using *Marvin Sketch* (ChemAxon, Hungary) (Table S1, Supporting information).

234

#### 235 **3. Results & Discussion**

#### 236 **3.1 Growth and luminescence test validation**

Compared to the ISO test conditions using only a salty test medium, the approach applied 237 here used additional carbon and nutrient sources to ensure luminescence light emission over a 238 longer period and to allow v. fischeri to grow. Both are inherently linked since around 10 % 239 of the metabolic energy is converted into luminescence light production (Klopman and Stuart, 240 2003) and in this way related to the cellular respiration. A series of validation experiments 241 with SMX and SFA, as representatives for ionized and neutral compounds at test pH, showed 242 that the growth medium does not interfere with the test results. Testing of SMX with the 243 growth medium provided a dose-response relationship matching the one obtained using ISO 244 11348-3 (Figure S3). The detailed data and further results of the validation experiments are 245 given in S3 Supporting Information). 246

247

### 248 **3.2 Dose-response relationships of SMX TPs**

### 249 **3.2.1 Luminescence inhibition (LI)**

All breakdown products were more than two to three orders of magnitude less active than 250 SMX resulting in EC<sub>50</sub> values between 900  $\mu$ mol L<sup>-1</sup> and 9709  $\mu$ mol L<sup>-1</sup> corresponding to the 251 upper mg L<sup>-1</sup> mass concentration range (Table 2). 3A5MI, which was reported to be a dead-252 end metabolite of SMX (Müller et al., 2013) and thus, may accumulate in the environment, 253 showed  $LI_{24h}$  at  $EC_{50} = 2.7 \text{ mmol } L^{-1}$  (264.9 mg  $L^{-1}$ ), while no effect was observable after 30 254 min. The ratio of both LI endpoints, termed here as acute-to-chronic-ratio (ACR), was 255 between 1 and 3 for the breakdown products, i.e. their  $EC_{50}$  at both exposure times changed 256 only marginally (Figure 2a,b). 257

The picture is substantially different for SMX and its derivatives (Table 2). As can be seen in Figure 2c,d, results for LI after 30 min and 24 h differed considerably for both SMX and its derivative TPs. The ACRs show that the  $EC_{50}$  for these compounds were 14 to 79 times lower after 24 h than after 30 min. This indicates their bacteriostatic mechanism of action, whose effect only becomes apparent after many microbial reproduction cycles. These results are in agreement the findings previously reported for other parent antibiotics by Backhaus et al. (1997). No ACR value could be derived for OH-acetyl-SMX since its LI  $EC_{50}$ values were not reached within the exposure time.

The  $LI_{24h}$  EC<sub>50</sub> of SMX was at 7 µmol L<sup>-1</sup> (1.7 mg L<sup>-1</sup>). Expressing the toxicity of the derivatives relative to SMX as toxicity equivalents (*TE<sub>SMX</sub>*), it can be seen that NO<sub>2</sub>-SMX and NO-SMX are similarly toxic as the parent, followed by 4-OH- and *N*-OH-SMX, while the two acetylated derivatives seem to exhibit very low antibacterial activity after 24h. After 30 min, NO-SMX, 4-OH-SMX and NO<sub>2</sub>-SMX even acted 1.2 to 3.3 times more toxic upon luminescence emission, which can however be attributed to an immediate interference with the cellular respiration but no bacteriostatic effect due to the short exposure time.

273



274

Figure 2a-d. Dose-response relationships of SMX TPs and *v. fischeri*; SMX is shown in all four graphs for comparison; data were fitted with the Hill equation; n = 15 to 39; all  $r^2$  were >0.91 except for OH-Ace-SMX (0.24, 0.62) indicating that the proportion of variation accounted for by the Hill model compared to the *null model* (mean of the y-values) is smaller than for the other compounds; please note the different x-axis scales for breakdown products and derivatives.

281

## 282 **3.2.2 Growth inhibition (GI)**

Growth was generally less affected by the tested compounds than LI as indicated by the clearly higher GI  $EC_{50}$  values. All breakdown products show considerably lower toxicity by 1

to 2 orders of magnitude as compared to SMX (Table 2; Figure S5 and S6 Supporting 285 Information) indicating again the loss of the bacteriostatic mechanism of action. 3A5MI did 286 not showed any GI effects for the tested concentration range of up to 5.6 mmol  $L^{-1}$  (549 mg  $L^{-1}$ 287 <sup>1</sup>). Further, the two acetylated TPs are rather inactive towards GI with  $EC_{50}$  of 7.6 mmol L<sup>-1</sup> 288 and 5.6 mmol L<sup>-1</sup>, respectively, which corresponds to less than 10% of the residual activity of 289 SMX. On the contrary, the 4-OH- and the NO<sub>2</sub>-derivative are 5.7 and 21.4 times more toxic 290 relative to SMX. Also, N-OH-SMX exhibited a GI effect of around 80% that of SMX. For 291 this endpoint, the order of toxicity relative to SMX among the derivatives has changed to 292 (listed by their  $R^{1}$ ) NO<sub>2</sub>->4-OH->NH<sub>2</sub>- (parent) > N-OH->N-acetyl-, N-OH-acetyl->NO-. 293 NO-SMX exhibited surprisingly low GI when compared to its LI<sub>30min</sub> and LI<sub>24h</sub> values. It is 294 known that NO-SMX is reactive and very unstable in solutions and therefore, the high GI 295 EC<sub>50</sub> values are likely to be due to decomposition or further transformation of the compound 296 (Naisbitt et al. 1996). The different order of toxicity observed with GI implies that LI cannot 297 be used as a proxy in order to predict the GI with sufficient certainty, also when ignoring the 298 results of NO-SMX. Moreover, no significant correlation could be found between the ACR 299 and GI. Although luminescence is clearly adversely affected by the TPs through GI, it is not 300 the sole mechanism as shown by the  $EC_{50}$  after 30min, during which no detectable growth and 301 GI takes place. 302

303

Table 2. EC<sub>50</sub> values, sulfamethoxazole toxicity equivalents ( $TE_{SMX}$ ) and acute-to-chronic ratios (ACR) of sulfamethoxazole and the selected transformation products; the TPs are ordered by their  $TE_{SMX}$  of LI<sub>24h</sub>; ACR = ratio of EC<sub>50</sub> LI<sub>30min</sub> and EC<sub>50</sub> LI<sub>24h</sub>.

	EC <sub>50</sub>	EC <sub>50</sub>	EC <sub>50</sub>	TE <sub>SMX</sub>	TE <sub>SMX</sub>	TE <sub>SMX</sub>	ACR
	LI <sub>30min</sub>	$LI_{24h}$	GI <sub>24h</sub>	LI <sub>30</sub>	LI <sub>24</sub>	GI <sub>24</sub>	
	$[\mu mol L^{-1}]$	$[\mu mol L^{-1}]$	$[\mu mol L^{-1}]$	[-]	[-]	[-]	[-]
SMX	551	7	599	1	1	1	79
NO <sub>2</sub> -SMX	168	6	28	3.3	1.2	21.4	28
NO-SMX	464	9	19822	1.2	0.8	< 0.05	52
4-OH-SMX	245	11	106	2.2	0.6	5.7	22
N-OH-SMX	1044	23	788	0.5	0.3	0.8	45
N-Acetyl-SMX	3466	252	7567	0.2	< 0.05	0.1	14
N-OH-Acetyl-SMX	>1333	>1333	5590	< 0.4	< 0.05	0.1	-
SFA	1006	900	23813	0.65	0.01	0.06	1
SA	4057	2867	6854	0.16	< 0.01	0.21	1
BSA	9534	2966	18687	0.07	< 0.01	0.08	3
AN	9709	7780	24805	0.07	< 0.01	0.06	1
3A5MI	>5596	2731	>5596	< 0.1	< 0.01	<0.1	>2

### 308 3.3 Mixture Toxicity

Given the results of the experiments with the *para*-modified TPs compounds and their presumably same mechanism of action, it was expected that the effect of a mixture of SMX derivatives can be described by the concept of concentration addition (CA) (Backhaus and Faust, 2012), where the effect of a mixture is the sum of its effects at given individual compound concentrations. The CA approach is theoretically expressed as:

314

315

$$(\Sigma -)$$
 (2)

316

where  $EC_{x,mix}$  is the effect concentration where the *x* % is affected by the mixture, *n* is the number of compounds in the mixture,  $p_i$  = proportion of each single compound concentration to the total concentration and  $EC_{xi}$  = is the effect concentration of the single compound at a given affected fraction of *x* %.

321

It was assumed that the tested compounds did not interact with each other on a 322 molecular level nor with regard to their toxicokinetics. As can be seen from Figure 3, the CA 323 concept was well suited to describe the effect of an equimolar mixture of five compounds 324 (SMX, NO<sub>2</sub>-SMX, NO-SMX, N-OH-SMX and N-acetyl-SMX) for the LI and to a lesser 325 extent for GI, where the model slightly overestimates the experimental values. This might be 326 due to the low stability of NO-SMX, which may have been transformed (Naisbitt et al., 1996) 327 and thus, was possibly no longer capable of enzyme binding. Nonetheless, these results 328 indicate that the derivative transformation products of SMX can contribute to the total 329 antibacterial effect and therefore, need to be considered in addition to the parent compound. 330 Taking one step further, it is consistent to assume that this holds valid for any sulfonamide 331 present in a water body due to their common molecular structure and mechanism of action (cf. 332 section 4). 333



Figure 3. Experimental mixture toxicity of SMX, NO<sub>2</sub>-SMX, NO-SMX, *N*-OH-SMX and *N*acetyl-SMX as well as their theoretical mixture toxicity according to the concentration addition concept (CA, Eq. 2); starting concentration c = 0.8 mmol L<sup>-1</sup> per compound in mixture.

339

# 340 **3.4 Relationship between antibacterial activity and** *para***-transformations**

Results showed that the toxicity of the breakdown products SFA and BSA is considerably 341 lower as compared to the parent SMX, which both feature the benzenesulfonamide moiety. 342 Although the sulfanilamide building block is the toxicophore isosteric to pABA, the low 343 toxicity of the latter two compounds can be attributed to their presence as a neutral species at 344 pH 6.8 with  $pK_a$  of 10.58 and 10.10, respectively. Further, BSA lacks the primary amino 345 group at the *para*-position, which is supposed to be paramount to interference with the folic 346 acid synthesis by binding to 6-methylpterin. Further details about the interaction of 347 sulfonamides with the microbial folic acid pathway can be found elsewhere (Richter et al., 348 2013). In contrast, pABA exhibits a  $pK_{a2}$  of around 4.7 and thus, is present in its negatively 349 charged species to 99% at pH 6.8. This agrees with the findings by Hansch (2003), who 350 concluded from quantitative-structure-activity-relationships (QSAR) studies on E. coli that 351 the ionized amide group of the sulfonamide moiety promotes the biological effects. 352

All breakdown products including the latter two however still provoke GI and LI at high doses > 0.9 mmol L<sup>-1</sup>. This and the fact that there is no delayed effect on LI as indicated by the ACRs, suggests another mechanism of action, most likely of an unspecific narcosis type. In this regard, the  $LI_{30min}$  and  $LI_{24h}$  of the four breakdown products originating from the 4-aminobenzenesulfonamide moiety (SA, SFA, AN and BSA) also showed a positive correlation with their log  $K_{ow}$  (Table S1, Supporting Information).

In view of the results of single substance and mixture toxicity experiments, the SMX 359 derivatives are supposed to act via the same mechanism of action as the parent SMX. 360 Together they form a homologous series with different substitutes in the *p*-position. QSAR 361 have been established for parent sulfonamide homologs that unraveled their mechanisms of 362 actions (Seydel, 1981). However, in these studies, only the  $R^2$  moiety was modified, while the 363 *p*-amino group remained, since up to now this group has been considered relevant for their 364 mechanism of action. For instance, Nouws et al. (1985) concluded from the antibiotic 365 inactivity of  $N^4$ -acetyl-sulfonamides on E. coli that a free p-aminophenyl group is required. 366 To our knowledge, the results of the present study showed for the first time that SMX 367 transformed at the *para*-position can still exhibit and even increase its ecotoxicity. To explain 368 this phenomenon, two mechanisms of action come into consideration: a) competitive 369 inhibition of the active site of the key enzyme dihydropteroate synthase (DHPS) for folic acid 370 synthesis and/or ii) incorporation of the TP in lieu of pABA, which leads to inactive products. 371

By analogy to the parent sulfonamides, we first hypothesized different degrees of ionization of the TP and changes in the electron distribution (expressed as their  $pK_{a2}$  values) at the given pH to be responsible for the measured effects, which would indicate competitive protein binding. In order to test this assumption, the  $pK_{a2}$  values of the two synthesized metabolites as well as *N*-OH-SMX needed to be experimentally determined since no data were available in literature (Table 3).

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379	<b>Table 3.</b> Experimental $pK_{a2}$ values of 4-hydroxy-sulfamethoxazole, $N^4$ -hydroxy-acetyl-
380	sulfamethoxazole and $N^4$ -hydroxy-sulfamethoxazole; data fitted with Boltzmann equation; see
381	Figure S7 (Supporting Information).

Compound	$pK_{a2}$	$\pm$ Confidence bands (95%)	п	$r^2$
4-OH-SMX	4.89	± 0.09	24	0.97
OH-Acetyl-SMX	5.43	$\pm 0.05$	24	0.99
N-OH-SMX	4.51	$\pm 0.04$	17	0.99

<sup>382</sup> 

Plotting the experimental  $pK_{a2}$  values versus the GI EC<sub>50</sub> endpoints showed increasing toxicity with increasing  $pK_{a2}$  values, thus decreasing degree of ionization for NO-, *N*-acetyl-, *N*-OH-acetyl-SMX and SMX itself (Figure 4). These data match well the data reported in literature for parent sulfonamides, which indicated an optimum at around  $pK_{a2} = 6.5$ , before and after which the activity decreases (Bell and Roblin, 1942; Seydel, 1981). The data of this study presents only the lower branch of the optimum, since all SMX-TPs exhibit  $pK_{a2} < 5.9$ . However, three derivatives are significantly more growth inhibiting than expected, namely NO<sub>2</sub>-, *N*-OH and 4-OH-SMX. It should be noted that the differences of these three compounds are only observable when using the experimental  $pK_{a2}$  values which were up to two  $pK_a$  units lower as the theoretical ones.

The substituents of these three derivatives exert a negative inductive effect, which 393 facilitates the release of the proton of the amide group, and thus may result in a stronger 394 affinity to bind to DHPS. However, these are also stronger nucleophiles than the common p-395 amino group of the sulfonamides, which may lead to an increased amount of faulty products 396 by the replacement of pABA by covalent binding. Yun et al. (2012) showed that p-397 hydroxybenzoic acid (*p*HBA), which is structurally similar to 4-OH-SMX, bound to the active 398 site of the responsible enzyme. Nevertheless, the exact mechanism cannot be elucidated by 399 400 the data of this study.



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Figure 4. Relationship between the acidic constant  $pK_{a2}$  and GI EC<sub>50</sub> values plotted for theoretical and experimental  $pK_{a2}$  values; experimental values taken from literature as well as theoretical values are listed in Table S1.

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### 408 4 General relevance of *para*-modified sulfonamide TPs

The ecotoxicological screening using *v. fischeri* presented in this study can certainly be only a small contribution to a holistic environmental risk assessment. It requires testing for other bacterial species and higher trophic levels as well as data about the occurrence and persistence in wastewater and surface water of these compounds, which was out of scope of this study. However, based on this screening and considering that SMX is a representative example of sulfonamide antibiotics, inevitably the question arises about the importance considering *para*-TPs formed from other homologous sulfonamides.

All TP SMX derivatives considered in this study underwent transformation at the para 416 binding site, a site that is present in almost all sulfonamide antibiotics with only a very few 417 exceptions. Accepting the hypothesis that the ionization of the secondary amine largely 418 promotes biological effects, transformation reactions of sulfonamide homologs with electron 419 withdrawing moieties such as nitro or hydroxyl groups are generally suggested to be 420 ecotoxicologically relevant. The para-TPs still might act as a pABA substitute, which leads to 421 inactive products, i.e. that these TPs exhibit some relevant ecotoxicity of the parent compound 422 towards v.fischeri and most likely also towards other bacterial species. 423

424 As shown in this study, the  $R_2$  moiety alone being released from sulfonamide bonding 425 cleavage can be assumed to be of minor ecotoxicological relevance. Consequently, focus has 426 to be put on the derivatives presumably bearing ecotoxicological potential.

Understanding the total antibiotic stress as the sum of the effects of all compounds 427 with this mechanism of action in an aquatic system, as the concept of concentration addition 428 suggests, a profound ecological risk assessment principally needs to consider for all 429 sulfonamides and derivative TPs present. Realistically, their cumulative amount acts as the 430 key factor for possible microbial antibiotic resistance. Coping with this issue, one option 431 consists in a combined approach by quantifying the total impact by sum-parameters via 432 biomarkers (Richter et al., 2013) or sulfonamide ELISA as well as prioritization and 433 determination of single relevant TPs. 434

Bearing in mind that extrapolation from *in vitro* to *in vivo* has its restrictions and considering that it seems unlikely that  $EC_{50}$  values of GI might be observed for individual compounds or mixtures in the aquatic environment (lowest GI values were in the mg L<sup>-1</sup> range), the risk of GI posed by these compounds seems limited. Moreover, knowing that *v*. *fischeri* is a marine species, testing of further species native to surface water habitats is advisable. However, recent research gave insight about the sulfonamide resistance mechanisms suggesting that the latter is associated with the  $R^2$  moieties in the sulfa drug,

which have no equivalent in pABA, and are located outside the DHPS substrate envelope where mutations may impede sulfa drug binding (Yun et al., 2012). In this regard, the derivative TPs should be of equal interest as the parent compound as they may promote antibiotic resistance in the same way. To our knowledge, it was observed for the first time that sulfonamides act via their bacteriostatic mode of action although they did not feature the free primary amino group. As for the breakdown products, SA, SFA, AN and BSA, which are common to all sulfonamides as well as the  $R^2$  moiety 3A5MI, they all exhibited low GI and LI. 

These results provided insights on the potential environmental impact of *para*modified TPs of SMX, but still relatively is known about their occurrence, persistence and origin. Further monitoring is needed to ensure that the risk of sulfa drugs and their TPs is not underestimated by only focusing on the parent compounds.

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