

1 **Extratropical cyclones over East Asia: Climatology, seasonal cycle, and long-term trend**

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21 **Abstract**

22 Extratropical cyclones (ETCs) in East Asia are automatically detected and tracked by  
23 applying a Lagrangian tracking algorithm to the 850-hPa relative vorticity field. The ETC  
24 statistics, which are derived from ERA-Interim reanalysis dataset from 1979 to 2017, show that  
25 East Asian ETCs primarily form over Mongolia, East China, and the Kuroshio Current region  
26 with a maximum frequency of six to seven cyclones per month. Both Mongolia and East China  
27 ETCs are initiated on the leeward side of the mountains. While Mongolia ETCs downstream of  
28 the Altai–Sayan Mountains develop slowly, East China ETCs downstream of the Tibetan plateau  
29 develop rapidly as they travel across the warm ocean. Both of them show a maximum frequency  
30 and intensity in spring rather than in winter. In contrast, oceanic ETCs across the Kuroshio  
31 Current and the Kuroshio–Oyashio Extension, where sea surface temperature gradient is sharp,  
32 reach a maximum frequency in winter although their intensity is still maximum in spring. On the  
33 decadal timescale, both ETC frequency and intensity exhibit insignificant trends. Exceptions are  
34 springtime East China and summertime Mongolia ETCs whose frequencies have slightly  
35 decreased since 1979. This declining trend is consistent with the enhanced static stability in the  
36 region.

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40 **Keywords:** Extratropical cyclone (ETC), East Asia, Lagrangian tracking algorithm, Climatology,  
41 Seasonal cycle, Long-term trend

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## 43 **1. Introduction**

44 Climatic features of extratropical cyclones (ETCs) in East Asia have been widely  
45 documented in the literature after the pioneering work by Chung et al. (1976). By manually  
46 detecting and tracking ETCs in the year 1958, Chung et al. (1976) reported that East Asian ETCs  
47 typically develop on the leeward side of major mountain barriers, similar to the development of  
48 lee cyclones in the Canadian Rockies. Whittaker and Horn (1984) confirmed this finding by  
49 extending the analysis to a more extended period (1958–1977). They found that East Asian ETCs  
50 commonly form along the east coast of East China. These oceanic (or coastal) ETCs are generally  
51 stronger than continental ETCs. Chen et al. (1991) later updated the work of Whittaker and Horn  
52 (1984) by examining daily surface weather maps for the period 1958–1987. The leeward  
53 cyclogenesis region downstream of the Altai–Sayan Mountains and the coastal cyclogenesis  
54 region over warm ocean water from the East China Sea to the East Sea/Sea of Japan were  
55 identified as the main regions of cyclogenesis in their study (see Fig. 1a for the geographical  
56 locations of these regions and sea surface temperature (SST)).

57 The above studies manually detected and tracked ETCs with 12-hourly or daily surface  
58 weather maps. This approach is acceptable for studying extreme or well-defined ETCs but might  
59 have difficulty in examining weak and relatively small-scale ETCs. To overcome this caveat and  
60 to utilize gridded dataset, recent studies have used automated algorithm (see the review by Neu et  
61 al. (2013)). It allows for detecting and tracking a large number of ETCs systematically and  
62 objectively. The automated algorithm is particularly advantageous for long-term gridded datasets.  
63 A series of studies have utilized an automated algorithm to study East Asian ETCs. Adachi and  
64 Kimura (2007) constructed the cyclogenesis and ETC track density maps in East Asia by  
65 applying the nearest-neighbor method to 6-hourly surface pressure field obtained from a

66 reanalysis data. They reaffirmed the previous findings that active cyclogenesis regions are  
67 distributed along the leeward side of mountains and the Kuroshio Current and the Kuroshio-  
68 Oyashio Extension (hereafter simply Kuroshio region). By separately examining the merging and  
69 splitting of ETCs, Inatsu (2009) found that ETC merging is frequent in the western North Pacific.  
70 He reported that the merged ETCs develop more rapidly than other ETCs. Zhang et al. (2012)  
71 used the mean sea level pressure (MSLP) field to detect and track ETCs and found that the West  
72 Siberian plain, Mongolia, and the coastal regions of East China are major cyclogenesis regions.  
73 Chen et al. (2014), who applied a Hodges' algorithm (Hodges et al. 1999) to 6-hourly relative  
74 vorticity field, further reported that Mongolia (including the Altai–Sayan Mountains) is a primary  
75 source region for East Asian ETCs while East China is a secondary source region.

76         The factors that determine ETC developments, especially for rapidly developing ETCs  
77 which are often referred to as explosive cyclones or meteorological bombs (Sanders and Gyakum  
78 1980), have also been examined. Unlike normal ETCs, explosive ETCs are mainly observed over  
79 the East Sea/Sea of Japan and the northwest Pacific near the Kuroshio Current (e.g., Chen et al.  
80 1992). This bimodal distribution in space, with a local minimum over the islands of Japan, is  
81 explained by intense heat transport from warm ocean currents around Japan to the atmosphere  
82 (e.g., Chen et al. 1992) and enhanced low-level baroclinicity due to a sharp SST gradient (e.g.,  
83 Yoshida and Asuma 2004).

84         Most of the aforementioned studies, however, are focused on cyclogenesis and cyclone  
85 frequency. Other properties of East Asian ETCs, such as ETC intensity, lysis, developing rate,  
86 lifetime and traveling speed, are not well documented. More importantly, the seasonal cycle of  
87 East Asian ETCs is not fully understood. Most studies on East Asian ETCs are primarily focused  
88 on cold season, although the previous studies on Pacific, Atlantic, and European ETCs have

89 suggested the importance of summertime ETCs (Mesquita et al. 2008; Dong et al. 2013; Gagen et  
90 al. 2016) and overall seasonal cycle (Mesquita et al. 2010). Adachi and Kimura (2007), for  
91 instance, showed that ETCs that develop from the mouth of the Yangtze River and the East China  
92 Sea to the northeastern region of Taiwan have the maximum cyclogenesis in winter. However,  
93 Wang et al. (2009) documented that ETCs in Mongolia and northeastern China have a maximum  
94 frequency in spring.

95 It is also unclear whether East Asian ETCs have undergone any long-term changes.  
96 Wang et al. (2009) reported that the ETC frequency and intensity were significantly decreased in  
97 the 40°–45°N latitude band during the last few decades. Chen et al. (2014) and Cho et al. (2018)  
98 also documented a weakening of wintertime ETCs in China and a decreasing number of  
99 springtime ETCs in southern China, respectively. In contrast, Iwao et al. (2012) showed that the  
100 number of explosive cyclones has slightly increased in the east of Japan. These studies, however,  
101 are based either on a relatively small domain (e.g. Mongolia and northeastern China) or just for  
102 one season. A comprehensive trend analysis covering East Asia (Fig. 1) and all four seasons has  
103 not been conducted with the modern reanalysis data.

104 The present study aims to extend and update previous studies by documenting more  
105 detailed statistics of East Asian ETCs. Analyses are not limited to cyclogenesis and cyclone  
106 frequency but conducted for various other ETC properties. Their seasonal cycle and long-term  
107 trends are also quantitatively evaluated.

108 Unlike the previous studies that utilized the MSLP field, the present study identifies  
109 ETCs on the 850-hPa relative vorticity field (Hoskins and Hodges 2002). The MSLP field has  
110 been traditionally used for ETC detection and tracking. Either the local minimum or its gradient  
111 is particularly used to define the center of ETC. Although this approach is successful in capturing

112 a well-organized cyclone, it often misses weak and unorganized cyclones particularly over the  
113 complex terrain (Sinclair 1994; Hodges 1999) as in East Asia (Fig. 1). In this regard, the relative  
114 vorticity is advantageous because it allows for the early detection of weak and less-organized  
115 ETCs (Hoskins and Hodges 2002). The 850-hPa isobaric surface is also practically useful as it  
116 minimizes the negative effects of underground extrapolation except over the Tibetan Plateau  
117 (Chen et al. 2014).

118 The paper is organized as follows. Data and methods are described in Section 2. Climatic  
119 features, seasonal cycles, and long-term trends of East Asian ETCs are discussed in Section 3.  
120 Finally, the summary and discussion are presented in Section 4.

121

## 122 **2. Data and methods**

123 ETCs are automatically detected and tracked by applying the algorithm, which was  
124 originally developed by Hodges (1994, 1995, 1999), to the 6-hourly relative vorticity field from  
125 the European Centre for Medium-Range Forecasts (ECMWF) Re-Analysis interim (ERA-  
126 Interim) dataset (Dee et al. 2011) from 1979 to 2017. This algorithm has been evaluated well and  
127 applied to various datasets, such as reanalysis data (e.g., Hoskins and Hodges 2002), general  
128 circulation model output (e.g., Bengtsson et al. 2006), and regional climate model output (e.g.,  
129 Côté et al. 2015) for both global and regional ETC studies (Grise et al. 2013; Zappa et al. 2013;  
130 Chen et al. 2014; Plante et al. 2015).

131 To define synoptic scale ETCs, the relative vorticity field is first filtered at a T42 spectral  
132 resolution (approximately  $2.8^\circ$  in latitude), which contains the total wavenumbers within a range  
133 from 5 to 42. This filtering effectively removes the background flow and small-scale  
134 disturbances. A segmentation technique, called as a connected component labeling (Rosenfeld

135 and Kak 1976), is then applied to identify the local maximum of relative vorticity, i.e., the center  
136 of ETCs. The connected component labeling is the method of separating the connected grids  
137 (occupied by ETC) from the unconnected grids (outside of ETC). In this way, the relative  
138 vorticity object can be separated from the background field. The center of ETC, which is defined  
139 as a local maximum of the labeled vorticity object, is tracked in the automated algorithm. To get  
140 the smoothed trajectory, a cost function, which combines the direction and speed of the moving  
141 ETC, is minimized (Hodges 1994, 1995, 1999).

142 In this study, quasi-stationary thermal lows, which do not intensify with time, are  
143 removed by considering ETCs only with a minimum intensity greater than  $1.0 \times 10^{-5} \text{ s}^{-1}$  (or 1  
144 cyclonic vorticity unit; CVU), a lifetime longer than two days, and a traveling distance greater  
145 than 1000 km (Hoskins and Hodges 2002; Grise et al. 2013). Tropical cyclones and their  
146 transitions into ETCs are excluded by neglecting all detected cyclones that travel across  $25^\circ\text{N}$   
147 from the tropics.

148 The ETC statistics are computed for frequency, intensity, genesis and lysis locations,  
149 growth rate, decay rate, lifetime, speed, and traveling distance. A definition of each property is  
150 explained in Table 1. In all cases, ETC statistics are shown in latitude-longitude grids with a  $1.5^\circ$   
151 grid spacing. Here, the statistics at a given grid point represent that of all ETCs passing through  
152 within an effective radius of 555 km from the grid point (e.g., Sinclair 1997; Grise et al. 2013).  
153 For instance, ETC frequency of one per month at a given grid point implies that the grid point is  
154 influenced by at least one ETC, which is located within 555-km from this grid point, per month.  
155 As such, the same ETC can be counted at multiple grid points. If the same ETC is counted more  
156 than once at a given grid point (this is the case when the ETC is moving slowly), only the first  
157 occurrence is considered. Note that this method for ETC statistics is different from the method

158 used in several other studies (e.g., Chung et al. 1976; Chen et al. 1991; Adachi and Kimura 2007)  
159 where ETC statistics at a given grid point are analyzed by considering the ETCs passing through  
160 a given grid point without considering a radius of influence. Comparing with the classical  
161 method, this method makes it possible to analyze the ETCs that may affect the grid point.

162

### 163 **3. Results**

164 Figure 1 shows the analysis domain. East Asia is characterized by complex topography  
165 with the Tibetan Plateau ( $\sim 30^\circ\text{N}$ ) and the Altai–Sayan Mountains ( $\sim 45^\circ\text{N}$ ) in the west and the  
166 open ocean in the east. Over the continent, the thick gray contour denotes the 1.5-km topography.  
167 Although it is not shown, this contour approximately corresponds to the line where the surface  
168 pressure is 850 hPa. It implies that ETC tracking based on the 850-hPa relative vorticity is not  
169 reliable in regions where the topography exceeds approximately 1.5-km altitude.

170 In the open ocean, SST exhibits a sharp meridional gradient along the Kuroshio–Oyashio  
171 Extension (shaded in Fig. 2a). Although it is relatively weak, a strong SST gradient also appears  
172 in the East Sea/Sea of Japan. A sharp SST gradient along the Kuroshio–Oyashio extension is  
173 related to a strong westerly jet in the upper troposphere through the thermal wind balance (Fig.  
174 2b). Many weather systems developing in East Asia travels eastward along this jet. Here, it is  
175 noteworthy that East Asia is located at the entrance region of the jet. In terms of synoptic  
176 meteorology, the equatorward side of jet entrance is dominated by ascending motion (Uccellini  
177 and Kocin 1987). This may enhance ETC development across East China and possibly enhance  
178 lee cyclogenesis downstream of the southern Tibetan Plateau.

179 Figure 2c illustrates the background Eady growth rate (Lindzen and Farrell 1980;  
180 Hoskins and Valdes 1990) that could explain the baroclinic development of local ETCs.

181 Although this property is based on linear dynamics and is typically applied to zonal-mean flow, it  
182 is still useful for understanding developing mid-latitude weather systems. The Eady growth rate,  
183  $\sigma$ , is defined as

$$184 \quad \sigma = 0.31 f |\partial u / \partial z| N^{-1},$$

185 where  $f$  is the Coriolis parameter (in  $s^{-1}$ ),  $u$  is the zonal wind (in  $m s^{-1}$ ), and  $N$  is the Brunt-  
186 Väisälä frequency (in  $s^{-1}$ ). The zonal wind and the potential temperature at 500- and 850-hPa  
187 pressure levels are used to compute  $\sigma$  in the lower troposphere. Although not shown, essentially  
188 the same result is also found when 700- and 850-hPa pressure levels are used.

189 The Eady growth rate is large over the broad region from the central and northern China  
190 to the Kuroshio region due to relatively weak static stability over the continent (not shown) and  
191 strong vertical wind shear across the Kuroshio region (Fig. 2b). These background conditions  
192 may promote lee cyclogenesis around Mongolia and coastal cyclogenesis around the Kuroshio  
193 Current (e.g., Chen et al. 1991; Adachi and Kimura 2007; Chen et al. 2014).

194 By considering these background states, the following subsections describe the  
195 climatological characteristics of East Asian ETCs. Their seasonality and long-term trend are also  
196 documented.

197

### 198 **3.1. Climatology**

199 Climatological features of East Asian ETCs during 1979–2017 are presented in Fig. 3.  
200 The first three panels show ETC genesis (Fig. 3a), frequency (Fig. 3b), and intensity (Fig. 3c).  
201 The other panels show growth (Fig. 3d), decay rates (Fig. 3e), cyclolysis (Fig. 3f), lifetime (Fig.  
202 3g), traveling speed (Fig. 3h) and distance (Fig. 3i). These properties are shown only at the grid  
203 point where ETC frequency is greater than one.

204           The ETC frequency, as shown in Fig. 3b, is pronounced in west Siberia northern region,  
205 lee side of mountains, and near the Kuroshio Current. This is partly consistent with Zhang et al.  
206 (2012) who reported that Eurasian ETCs often form over the West Siberian plains, Mongolia, and  
207 the coastal regions of East China, then decay in Siberia north of 60°N, northeast China, and the  
208 Okhotsk Sea-northwest Pacific region in the MSLP field. The ETC frequency is maximum over  
209 the northern region of Western Siberian Plain. Figures 3a and c, however, show that West  
210 Siberian ETCs are not strong and only a few ETCs are generated in this region when detected  
211 from the 850-hPa relative vorticity field. As discussed in Chen and Zhang (1996), ETCs in this  
212 region typically begin to grow on the leeward side of the Ural Mountains and travel eastward.  
213 Among them, the ETCs reaching west Siberia north develop rather slowly (Fig. 3d) and travel a  
214 long distance towards this region (Fig. 3i) at a relatively fast speed (Fig. 3h), then eventually  
215 decay at approximately 80°E (Fig. 3f). Because they are typically decaying systems without  
216 noticeable impacts on East Asian weather, the characteristics of these ETCs are not discussed  
217 later in this study.

218           The ETCs that affect East Asian weather typically form on the lee side of the Altai-Sayan  
219 Mountains and the Tibetan Plateau as well as over the east coast of Japan (Fig. 3a). These ETCs  
220 develop approximately three to six times per month with a local maximum near Mongolia and the  
221 Kuroshio region (Fig. 3b). The detected ETCs are relatively strong with mean intensity ranging  
222 from two to five CVU, with increasing intensity from the continent to the open ocean (Fig. 3c).

223           The growth rate of East Asian ETCs is typically larger than 1.6 CVU per day with a  
224 distinct maximum over the Kuroshio region (Fig. 3d). Most ETCs decay over the Okhotsk Sea  
225 with a maximum decay rate of more than -1.2 CVU per day (Fig. 3e). On average, ETCs are

226 sustained for approximately five days (Fig. 3g) and travel more than 4,000 km in mid-latitudes at  
227 a speed of about 40 km per hour (Figs. 3h, i).

228         Among the three cyclogenesis regions (Fig. 3a), ETCs form most frequently in  
229 downstream region of the Altai–Sayan Mountains. These ETCs, which are referred to as  
230 Mongolia ETCs in this study (box “A” in Fig. 3b), are not as strong as West Siberia ETCs (Fig.  
231 3c), but they are newly formed cyclones (Fig. 3a) that grow quickly over time (Fig. 3d). Chen  
232 and Lazić (1990) showed that Mongolia ETCs are often initiated by a cutoff low. When a mid-  
233 tropospheric trough sweeps past the northern region of the Altai-Sayan Mountains, it rapidly  
234 develops and turns into a cutoff low within a couple of days. The induced surface cyclones  
235 slowly move towards northeast China and the East Sea/Sea of Japan (Fig. 3h) and then rapidly  
236 intensify over the ocean (Figs. 3c, d) presumably due to moisture supply and strong baroclinicity  
237 (Hirata et al. 2015).

238         The individual tracks of Mongolia ETCs are further illustrated in Fig. 4a. Most ETCs are  
239 relatively weak over the continent (blue color) but become stronger over the open ocean (red  
240 color). They travel not only eastward but also southeastward or northeastward. When traveling  
241 southeastward in spring, they can transport Asian dust from Mongolia and North China to the  
242 downstream region (e.g., Jung et al. 2019).

243         The second dominant cyclogenesis is found on the leeward side of the southern Tibetan  
244 Plateau (Fig. 3a). The ETCs in this region are referred to as East China ETCs (box “B” in Fig.  
245 3b). As illustrated in Fig. 4b, they travel eastward or northeastward towards the East China Sea  
246 and the Kuroshio region then to the Kuroshio-Oyashio extension region. Similar to Mongolia  
247 ETCs, East China ETCs grow rapidly over the ocean (Fig. 3d). This result again suggests that the  
248 warm ocean plays a crucial role in the development of East Asian ETCs.

249 Figure 3a further reveals that the Kuroshio region is a central region for coastal  
250 cyclogenesis. The ETCs in this region travel along the Kuroshio Current (Fig. 3b) and grow  
251 rapidly at the Kuroshio-Oyashio Extension (Figs. 3c, d). These cyclones, hereafter referred to as  
252 Kuroshio ETCs, develop by baroclinic instability and diabatic heating (Hirata et al. 2015). As  
253 shown in Fig. 4c, Kuroshio ETCs do not always travel eastward along the jet. Many of them also  
254 travel northward or northeastward across the jet. These northward-traveling cyclones typically  
255 grow more rapidly than those traveling eastward (Hayasaki et al. 2013).

256 The results shown in Figs. 3 and 4 suggest that East Asian ETCs typically form on the  
257 leeward side of the Altai–Sayan Mountains (Mongolia ETCs), the Tibetan Plateau (East China  
258 ETCs), and over the Kuroshio region (Kuroshio ETCs), and travel eastward reaching maximum  
259 intensity around the Kuroshio–Oyashio Extension. Although ETCs move and grow rather slowly  
260 over the continent, they travel faster and become stronger over the ocean. The lifetime of ETCs  
261 also becomes longer over the ocean. Most ETCs tend to decay over the Okhotsk Sea (Fig. 3e)  
262 where SSTs are low (Fig. 2a).

263

### 264 **3.2. Seasonality**

265 Figures 5 and 6 present the seasonal cycle of East Asian ETC properties. The seasonal  
266 cycles of ETC frequency and intensity, as examples, are more clearly presented in Fig. 7 and  
267 Table 2 for Mongolia, East China, and Kuroshio ETCs.

268 The left column of Fig. 5 shows that cyclogenesis in East Asia occurs at the geographical  
269 fixed locations throughout all seasons. The three major regions of cyclogenesis (i.e., the  
270 downstream region of the Altai-Sayan Mountains, the eastern Tibetan Plateau, and over the

271 Kuroshio region) are robustly found regardless of seasons. Only the number of generated ETCs  
272 changes with seasons in each region.

273 Cyclogenesis is typically maximum in winter (or extended winter) but minimum in  
274 summer. Such seasonality is evident over the Kuroshio region. However, continental ETCs  
275 exhibit subtle differences between northern and eastern China. The ETC genesis downstream of  
276 the Altai-Sayan Mountains is more frequent in fall (SON) than in summer (JJA). However, that  
277 of the eastern Tibetan Plateau shows an opposite seasonality with a slightly more frequent  
278 cyclogenesis in summer than in fall (compare Figs. 5g, j). This result suggests that the continental  
279 ETCs in northern and southern China may have different development processes. Cho et al.  
280 (2018) indicated that East China ETCs typically develop with intense diabatic heating. It implies  
281 that the seasonality of East China ETC genesis may be related to the strengthening of the diabatic  
282 heating by increased moisture supplies in summer.

283 The middle column of Fig. 5 displays the frequency of ETCs in each season. Although  
284 the exact locations of Mongolia, East China, and Kuroshio ETCs are slightly different across the  
285 seasons, their existence is prominent. The frequency peaks move slightly equatorward from  
286 summer to winter along with an equatorward shift of the westerly jet. Its seasonality, however, is  
287 not monotonic in seasons.

288 Mongolia ETCs are most frequent in spring (Fig. 5e) and fall (Fig. 5k). Their frequencies  
289 are even higher than the winter ETC frequency (Fig. 5b). This bimodal seasonality is concisely  
290 summarized in Fig. 7a and Table 2. The springtime ETC frequency is approximately 1.1 cyclones  
291 per month higher than the wintertime ETC frequency. This difference is approximately 20% of  
292 the total wintertime ETC frequency over Mongolia (Table 2). Although less pronounced, ETC  
293 frequency over East China is also maximum in spring (Fig. 5b). Quantitatively, East China ETCs

294 are observed approximately 4.8 times per month in the spring but only approximately 4.0 times  
295 per month in the winter (Fig. 7b and Table 2). They are not frequent in fall. Figure 5k shows that  
296 East China ETCs are not well defined in fall (i.e., no local maxima around box B). This result  
297 again suggests that two continental ETCs (i.e., Mongolia and East China ETCs) are not likely  
298 organized by the same physical processes.

299 Kuroshio ETCs are most pronounced in both winter and spring (about to eight ETCs per  
300 month) but least in summer (about to five ETCs per month). This seasonality, as summarized in  
301 Fig. 7c, is largely explained by the seasonal march of local baroclinicity in the region. Here it is  
302 important to note that local cyclogenesis is much smaller than total ETC frequency. The  
303 Kuroshio ETCs are locally generated approximately two cyclones per month in winter (Fig. 5a),  
304 and one cyclone per month in summer (Fig. 5g). These numbers imply that only 21–30% of  
305 ETCs in this region is locally generated, and the majority of ETCs in this region are simply the  
306 ones traveling from the continent.

307 The rightmost column of Fig. 5 shows the seasonal distribution of ETC intensity. As  
308 shown in the annual climatology, the maximum intensity appears over the Kuroshio–Oyashio  
309 Extension in all seasons. While its seasonality is somewhat similar to that of ETC frequency  
310 (compare the middle and right columns in Fig. 5), there is an important difference. Unlike the  
311 ETC frequency, ETC intensity is the strongest in spring for all regions (Fig. 5f) and it is different  
312 from the seasonality of ETC frequency, which depends on the region. The maximum intensity for  
313 East China and Mongolia ETCs is approximately 3.0–3.3 CVU in spring, and this is  
314 approximately 0.4–0.7 CVU (about 15–27% of the wintertime cyclone intensity) stronger than  
315 that of wintertime ETCs (Figs. 6a, b). Even in the Kuroshio region, spring ETCs are slightly  
316 stronger than winter ETCs (Figs. 5c, f).

317           It is unclear why East Asian ETC activities are strongest in spring than in winter.  
318   However, this seasonality is consistent with the midwinter suppression of the Pacific storm track  
319   (Nakamura 1992). A series of studies have shown that Pacific storm track activities in midwinter,  
320   when local baroclinicity is maximum, are weaker than those in spring (Nakamura 1992; Chang et  
321   al. 2002; Penny et al. 2010). It is likely associated with jet intensity, shape, and/or diabatic  
322   heating. But its mechanism(s) remains to be determined.

323           Figure 6 further illustrates ETC growth rate (left), decay rate (middle), and cyclolysis  
324   (right) in each season. Similar to climatology (Fig. 3d), growth rate is high over Mongolia,  
325   Yangtze River, and the Kuroshio-Oyashio extension (Figs. 6a, d, g, and j). East Asian ETCs grow  
326   fastest over the Kuroshio-Oyashio extension, slightly upstream of maximum ETC intensity (Figs.  
327   5c, f, and i). Their growth rate is maximum in winter (greater than 3.1 CVU per day) when SST  
328   is relatively warm, and its meridional gradient is sharp, but minimum in summer (about 1.3 CVU  
329   per day). Around Mongolia, ETCs grow faster in spring (higher than 1.6 CVU per day) than in  
330   summer (about 1.3 CVU per day). Although growth rate is also high in fall and winter, the region  
331   of high growth rate is narrow. The East China ETCs typically strengthen around Yangtze River  
332   with a maximum growth rate in spring but a minimum rate in fall as in cyclogenesis (Figs. 5a, d,  
333   g, and j).

334           The decay rate is further illustrated in Figs. 6b, e, h, and k. Overall decay rate is high in  
335   the Okhotsk Sea, downstream of the rapid ETC growth region (compare the left and middle  
336   columns in Fig. 6). This indicates that rapidly developing ETCs over the Kuroshio–Oyashio  
337   Extension tend to decay over the cold ocean as they travel northeastward. However, only few  
338   ETCs dissipate in this region. As shown in the rightmost column of Fig. 6, cyclolysis mainly  
339   occurs along the coastline of the continent rather than over the cold ocean. The decaying ETCs in

340 this region, maximum of 1.5 cyclones per month, are typically those traveling in the continent or  
341 those landing from the ocean. Note that this does not necessarily represent the cyclolysis of East  
342 Asian ETCs but any ETCs traveling across the analysis domain. The cyclolysis of many East  
343 Asian ETCs occurs in the Gulf of Alaska (Sinclair 1997; Hoskins and Hodges 2002).

344 The seasonal evolutions of ETC lifetime, traveling speed and distance are also examined  
345 (Fig. S1). It is found that winter ETCs have a relatively short lifetime, moving fast over the  
346 ocean. The summer ETCs, although weak and less frequent, are maintained one or two days  
347 longer than the winter ETCs in most regions. Moreover, they travel slowly (about 30 km per  
348 hour) because of weak background flow. Most ETCs travel more than 4,000 km in the analysis  
349 domain.

350

### 351 **3.3. Long-term variability**

352 The above result reveals that East Asian ETCs have different climatic features depending  
353 on the season and region. Although not examined in detail, they also exhibit considerable  
354 temporal variability. Table 2 concisely summarizes the interannual variability of ETC frequency.  
355 The number of East Asian ETCs varies about 10–20% from one year to another. Mongolia ETCs  
356 (approximately 8–13% variability with respect to the mean frequency) have relatively small  
357 interannual variation compared with East China ETCs (11–19%) and Kuroshio ETCs (9–20%).  
358 The largest variability, which is observed in Kuroshio ETCs in fall, is about one cyclone per  
359 month, although its reason is unclear.

360 Figure 8 presents the long-term trends of ETC frequency (see also the parenthesized  
361 number in Table 2). East Asian ETC frequency shows a slightly negative trend in most seasons  
362 and most regions (not shown). However, overall trends are largely statistically insignificant. Two

363 exceptions are springtime East China ETCs (blue shading in Fig. 8b) and summertime Mongolia  
364 ETCs (Fig. 8c). Their trends are -0.16 and -0.27 cyclones per decade respectively, corresponding  
365 to about 3.3% and 5.2% reduction of ETC frequency per decade in each region. Such changes,  
366 which are marginally significant at the 95% confidence level, are mainly due to reduced  
367 cyclogenesis (not shown). Although not shown, the overall intensities of these ETCs do not  
368 change much.

369 Figure 9 shows the time evolution of the summertime Mongolia ETC frequency and the  
370 springtime East China ETC frequency. The top-50% ETCs in intensity are also separately shown.  
371 It turns out that only relatively weak ETCs have decreased over Mongolia (Fig. 9a). The top-50%  
372 ETCs show essentially no trends, indicating that large-scale circulation changes may have  
373 different impacts on weak and strong ETCs. Unlike Mongolia ETCs, East China ETCs have  
374 systematically decreased over the analysis period (Fig. 9b).

375 What causes declining trends in ETC frequency? Cho et al. (2018) argued that the  
376 reduction in East China ETC frequency is partly caused by weakened moisture flux convergence  
377 in response to enhanced warm-pool convection over the Maritime Continent and the Philippines  
378 Sea during the last four decades. Note that the Gill-type response (Gill 1980) to the enhanced  
379 tropical convection results in a strengthened moisture flux convergence over southeast China and  
380 the East China Sea, but a weakened moisture flux convergence over southwest China where East  
381 China ETCs develop. Unlike East China ETCs, the long-term trends of summertime Mongolia  
382 ETCs are not well addressed in the literature. It is particularly true for observations. Although  
383 Loptien et al. (2008) showed that summertime ETC frequency over Mongolia would decrease  
384 under future climate scenarios by performing coupled model experiments, the driving  
385 mechanism(s) has not been identified.

386 It is anticipated that ETC-frequency trends, shown in Fig. 8, are at least partly caused by  
387 the long-term changes in atmospheric circulation. To better understand a declining ETC  
388 frequency in East Asia, the long-term trends of westerly jet (zonal wind at 300 hPa) and static  
389 stability, which are the two key factors for baroclinic instability, are examined in Fig. 10. The  
390 springtime jet stream does not show any significant trend (Fig. 10a). Although a weak negative  
391 trend is observed in summer, the trend in the downstream region of the Tibetan Plateau is  
392 confined to the narrow region (Fig. 10b). This result suggests that ETC-frequency change is not  
393 likely caused by vertical wind shear change.

394 Figures 10c and d show the bulk static stability change in the two seasons. The bulk  
395 static stability is computed by potential temperature difference between 300- and 700-hPa  
396 pressure levels. In spring, the stability has significantly increased in the subtropics from northern  
397 India to southern Japan (Fig. 10c). The stability has also increased in summer but mostly in  
398 northern China (Fig. 10d). These regions of enhanced stability, presumably due to global  
399 warming, coincide with those of reduced ETC frequency, indicating that ETC activity change in  
400 East Asia is likely associated with background static stability change. To better understand the  
401 physical mechanism(s), further investigations, especially using numerical model, would be  
402 needed.

403

#### 404 **4. Summary and Discussion**

405 This study documents the climatological properties of East Asian ETCs that are detected  
406 and tracked with an automated tracking algorithm applied to an 850-hPa relative vorticity field.  
407 East Asian ETCs exhibit three regions of maximum cyclogenesis (i.e., Mongolia, East China, and  
408 the Kuroshio Current region). Developing cyclones typically form in the downstream region of

409 mountains (e.g., Mongolia and East China ETCs) and over the Kuroshio region (Kuroshio ETCs).  
410 While the former ETCs are generated by lee cyclogenesis, the latter ETCs are organized by  
411 baroclinic instability and diabatic processes. The results are consistent with the previous studies  
412 (Adachi and Kimura 2007; Zhang et al. 2012; Chen et al. 2014).

413         The East Asian ETCs commonly strengthen with time but have somewhat different  
414 seasonality. Both Mongolia and East China ETCs show a maximum frequency and intensity in  
415 spring rather than in winter. The highest frequency and intensity in spring are one of the  
416 prominent features of East Asian ETCs, compared to North America where ETC frequency and  
417 intensity are maximum in winter (e.g., Zishka and Smith 1980). While Mongolia ETCs are also  
418 frequent in fall, East China ETCs are not well defined in fall. This implies that development  
419 mechanisms of these two ETCs may differ with seasons. The Kuroshio ETCs also exhibit a  
420 maximum intensity in spring, but their frequency is maximum in winter. It is unclear why East  
421 Asian ETC activities are prominent in spring. But this result is consistent with the midwinter  
422 suppression of the Pacific storm track (Nakamura 1992), implying that a relatively weaker Pacific  
423 storm track in winter than in spring is partly caused by East Asian ETC activities on its upstream  
424 region.

425         Most East Asian ETCs rapidly grow over the Kuroshio–Oyashio extension as they travel  
426 eastward or northeastward, then decay over the Okhotsk Sea. A maximum growth over the  
427 Kuroshio–Oyashio extension is observed in winter, whereas a maximum decay over the Okhotsk  
428 Sea is found in spring. This seasonality is slightly different from the ETCs over the North Pacific  
429 which grow and decay strongly in cold season (Martin et al. 2001). In winter, East Asian ETCs  
430 have a relatively short lifetime and move fast over a long distance. Compared with them, summer  
431 ETCs are maintained longer and move slower because of weak background flow.

432           The interannual variabilities of East Asian ETCs are about 10–20% of mean frequencies,  
433 depending on seasons and their origins. A part of this interannual variability is likely associated  
434 with ENSO. Although not shown, a preliminary analysis of the year-to-year variability of  
435 wintertime ETCs shows that East Asian ETCs are more active during El Niño winters than during  
436 La Niña winters. This is particularly true for East China ETC frequency. The El Niño-related  
437 ETC activities are also pronounced in the south of Japan. As discussed in Ueda et al. (2017), ETC  
438 frequency in this region significantly increases during El Niño winters because of a weakened  
439 subtropical jet. Note that a strong subtropical jet tends to suppress cyclogenesis in this region  
440 (Nakamura and Sampe 2002).

441           In term of the long-term trend, East Asian ETCs show a hint of the decreasing trend in  
442 their frequency. Only continental ETCs exhibit marginally significant trends in the two seasons.  
443 In particular, the numbers of Mongolia and East China ETCs have decreased in summer and in  
444 spring, respectively. Although the detailed dynamic mechanism(s) remains to be determined, this  
445 trend is at least consistent with an enhanced static stability in the region which is caused by  
446 global warming.

447           To better understand East Asian ETC properties, an extended study is needed. Among  
448 others, intraseasonal to interannual variabilities need to be addressed as in Grise et al. (2013). For  
449 instance, ETC modulations by Asian summer and winter monsoons, Pacific-North American  
450 teleconnection, Madden-Julian Oscillation, and circumglobal teleconnection deserve further  
451 analyses. The detailed development and decaying mechanisms of Mongolia, East China and  
452 Kuroshio ETCs, as well as their long-term trends, also need further investigations. These issues  
453 will be addressed in future studies.

454

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577 401.

578 **Table caption list**

579 Table 1. Definition of ETC properties.

580 Table 2. The long-term mean and interannual variability (one standard deviation) of ETC  
581 frequency over Mongolia, East China, and the Kuroshio Current region. Long-term trend is  
582 indicated in parenthesis. The trend that is statistically significant at the 95% confidence level is  
583 denoted with an asterisk.

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597 **Figure caption list**

598 Fig. 1. The analysis domain. The light grey lines over the continent indicates 1.5-km high  
599 elevation.

600 Fig. 2. (a) Sea surface temperature distribution (shaded; in °C), (b) climatological zonal wind at  
601 300-hPa pressure level (in  $\text{m s}^{-1}$ ), and (c) Eady growth rate (in  $\text{day}^{-1}$ ). The light grey shading  
602 over the continent indicates 1.5-km high elevation.

603 Fig. 3. Climatology of East Asian ETCs: (a) cyclogenesis (in  $\# \text{ month}^{-1}$ ), (b) frequency (in  $\#$   
604  $\text{month}^{-1}$ ), (c) intensity (in CVU), (d) growth rate (in  $\text{CVU day}^{-1}$ ), (e) decay rate (in  $\text{CVU day}^{-1}$ ),  
605 (f) cyclolysis (in  $\# \text{ month}^{-1}$ ), (g) lifetime (in day), (h) speed (in  $\text{km h}^{-1}$ ), and (i) traveling distance  
606 (in  $10^3 \text{ km}$ ). See Table 1 for the definition and unit of each property. All ETC properties are only

607 considered for the grid points where one or more ETCs are present. Three black boxes in (b)  
608 represent (box A) Mongolia, (box B) East China, and (box C) Kuroshio Current domain.

609 Fig. 4. Individual ETC tracks that start from the leeward sides of (a) the Altai–Sayan Mountains  
610 and (b) the Tibetan Plateau, and (c) from the Kuroshio Current region. The color represents ETC  
611 intensity in CVU. The black box in each plot indicates the domain where the initial ETCs are  
612 located.

613 Fig. 5. Seasonal cycles of (left) cyclogenesis (in # month<sup>-1</sup>), (middle) frequency (in # month<sup>-1</sup>),  
614 and (right column) intensity (in CVU) of East Asian ETCs in (first) winter, (second) spring,  
615 (third) summer, and (fourth row) fall

616 Fig. 6. Seasonal cycles of (left) growing rate (in CVU day<sup>-1</sup>), (middle) decaying rate (in CVU  
617 day<sup>-1</sup>), and (right column) cyclolysis (in # month<sup>-1</sup>) of East Asian ETCs in (first) winter, (second)  
618 spring, (third) summer, and (fourth row) fall.

619 Fig. 7. Long-term mean Seasonal cycle of ETC frequency (blue bars) and intensity (orange bars)  
620 in the three cyclogenesis regions shown in Fig. 2a. The error bar indicates the interannual  
621 variation at one standard deviation.

622 Fig. 8. Seasonal-mean ETC frequency (contours; identical to the middle column of Fig. 4) and its  
623 long-term trend (shaded; in number of ETCs per decade). Only trends that are statistically  
624 significant at the 95% confidence level are shown.

625 Fig. 9. Time series of ETC frequency and its linear trend for (a) summertime Mongolia ETCs and  
626 (b) springtime East China ETCs over the period of 1979–2017. Top 50% ETCs in intensity are  
627 separately shown. The solid red lines indicate statistically significant trends at the 95%  
628 confidence level.

629 Fig. 10. Seasonal-mean (contours) and decadal trends (shaded) of 300-hPa zonal wind (top; in m  
 630  $s^{-1} \text{dec}^{-1}$ ) and bulk static stability (bottom; in  $K \text{dec}^{-1}$ ) in spring (left) and summer (right). Bulk  
 631 static stability is defined by potential temperature difference between at the 300- and 700-hPa  
 632 pressure levels. The trends that are statistically significant at the 95% confidence level are  
 633 denoted with dots.

634

635 **Supplementary figure caption list**

636 Fig. S1. Seasonal cycles of (left) lifetime (in day), (middle) speed (in  $\text{km h}^{-1}$ ), and (right column)  
 637 travel distance (in  $10^3 \text{ km}$ ) of East Asian ETCs in (first) winter, (second) spring, (third) summer,  
 638 and (fourth row) fall.

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640

Table 1. Definition of ETC properties.

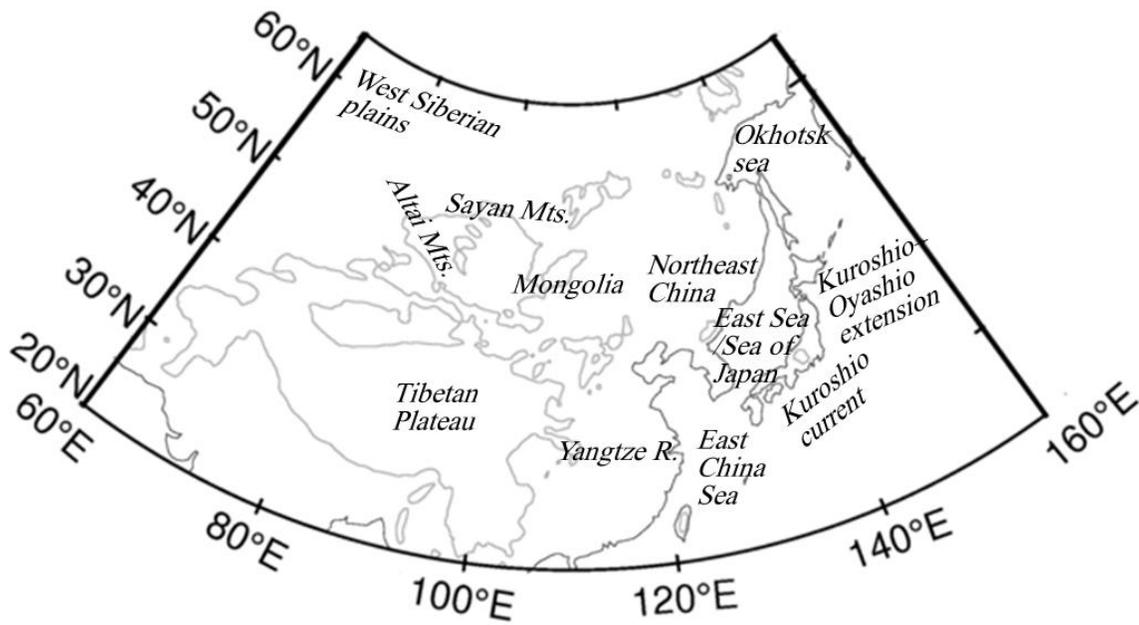
ETC Property (unit)	Description
Cyclogenesis (# month <sup>-1</sup> )	The initial point of the detected cyclones (or the first location where ETC intensity becomes stronger than 1 CVU) within 555-km radius at each grid point.
Frequency (# month <sup>-1</sup> )	The number of cyclones within 555-km radius at each grid point. For each grid point, same cyclone is counted only once.
Intensity (CVU)	The mean intensity of the detected cyclones within 555-km radius at each grid point. The intensity is defined as the local maximum of 850-hPa relative vorticity.

Growth rate (CVU day <sup>-1</sup> )	The positive value of intensity difference between ±6 hours within 555-km radius at each grid point.
Decay rate (CVU day <sup>-1</sup> )	The negative value of intensity difference between ±6 hours within 555-km radius at each grid point.
Cyclolysis (# month <sup>-1</sup> )	The last point of the detected cyclones (or the location where ETC intensity becomes weaker than 1 CVU) within 555-km radius at each grid point.
Lifetime (day)	The time span from cyclogenesis to cyclolysis within 555-km radius at each grid point.
Speed (km h <sup>-1</sup> )	The distance that each cyclone traveled in each time step within 555-km radius at each grid point divided by 6 hours.
Traveling distance (10 <sup>3</sup> km)	The traveling distance of cyclone that maintains a minimum intensity of 1 CVU within 555-km radius at each grid point.

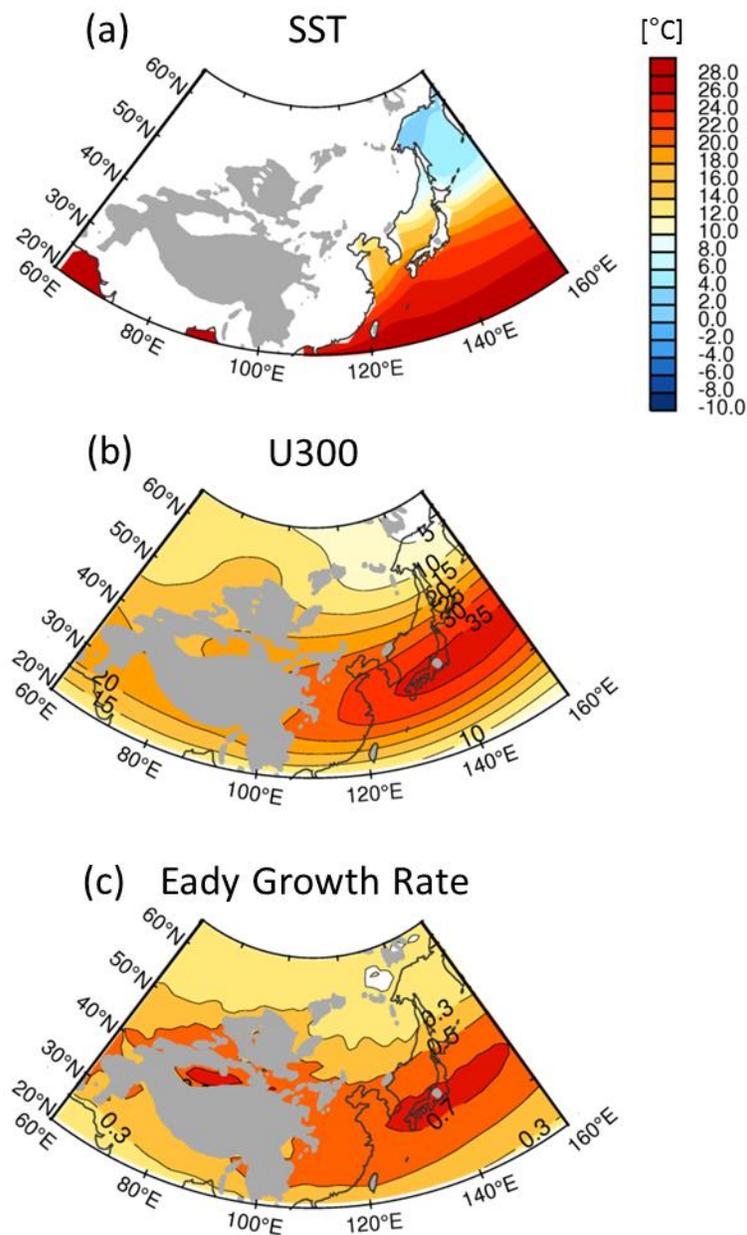
Table 2. The long-term mean and interannual variability (one standard deviation) of ETC frequency over Mongolia, East China, and the Kuroshio Current region. Long-term trend is indicated in parenthesis. The trend that is statistically significant at the 95% confidence level is denoted with an asterisk.

	<b>Mongolia ETCs</b>	<b>East China ETCs</b>	<b>Kuroshio ETCs</b>
<b>DJF</b>	5.37±0.62 (0.01 dec <sup>-1</sup> )	3.97±0.67 (-0.14 dec <sup>-1</sup> )	7.31±0.78 (-0.13 dec <sup>-1</sup> )

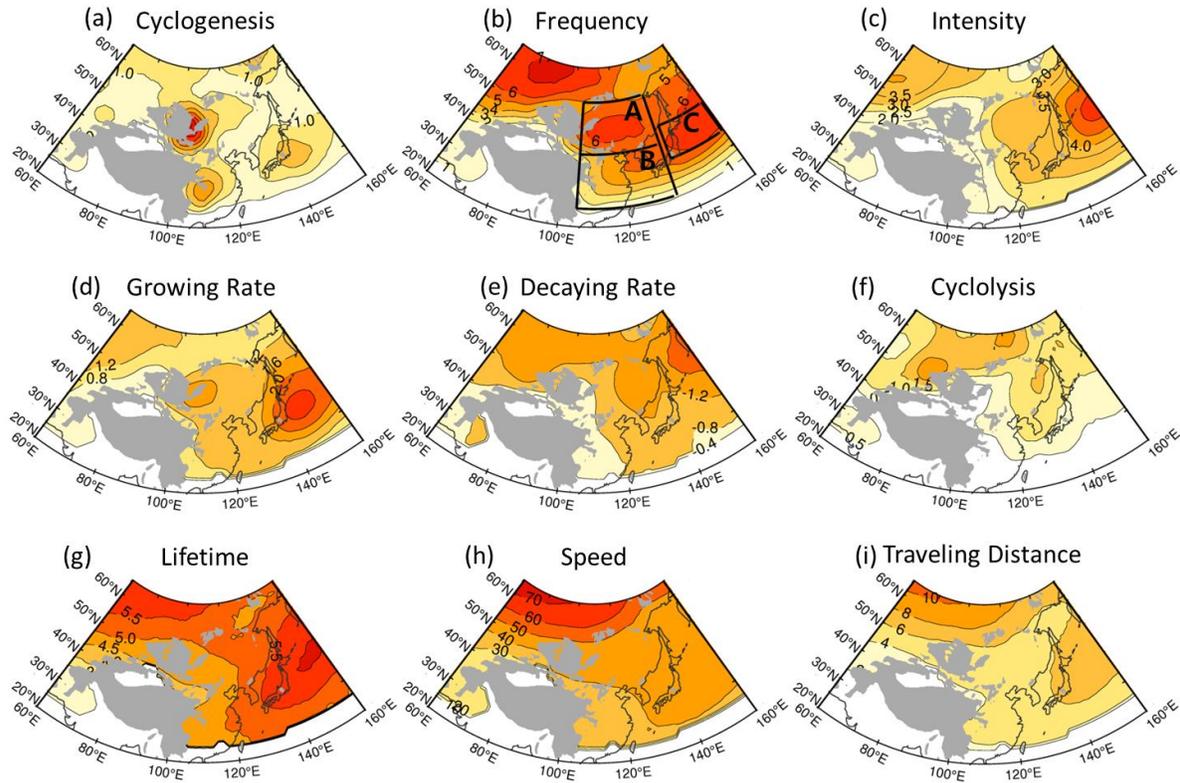
<b>MAM</b>	$6.46 \pm 0.53$ (-0.01 dec <sup>-1</sup> )	$4.81 \pm 0.52$ (-0.16* dec <sup>-1</sup> )	$7.06 \pm 0.66$ (-0.03 dec <sup>-1</sup> )
<b>JJA</b>	$5.20 \pm 0.69$ (-0.27* dec <sup>-1</sup> )	$3.23 \pm 0.66$ (0.00 dec <sup>-1</sup> )	$5.03 \pm 0.88$ (-0.06 dec <sup>-1</sup> )
<b>SON</b>	$6.00 \pm 0.57$ (-0.11 dec <sup>-1</sup> )	$2.89 \pm 0.48$ (-0.06 dec <sup>-1</sup> )	$5.40 \pm 1.01$ (0.01 dec <sup>-1</sup> )



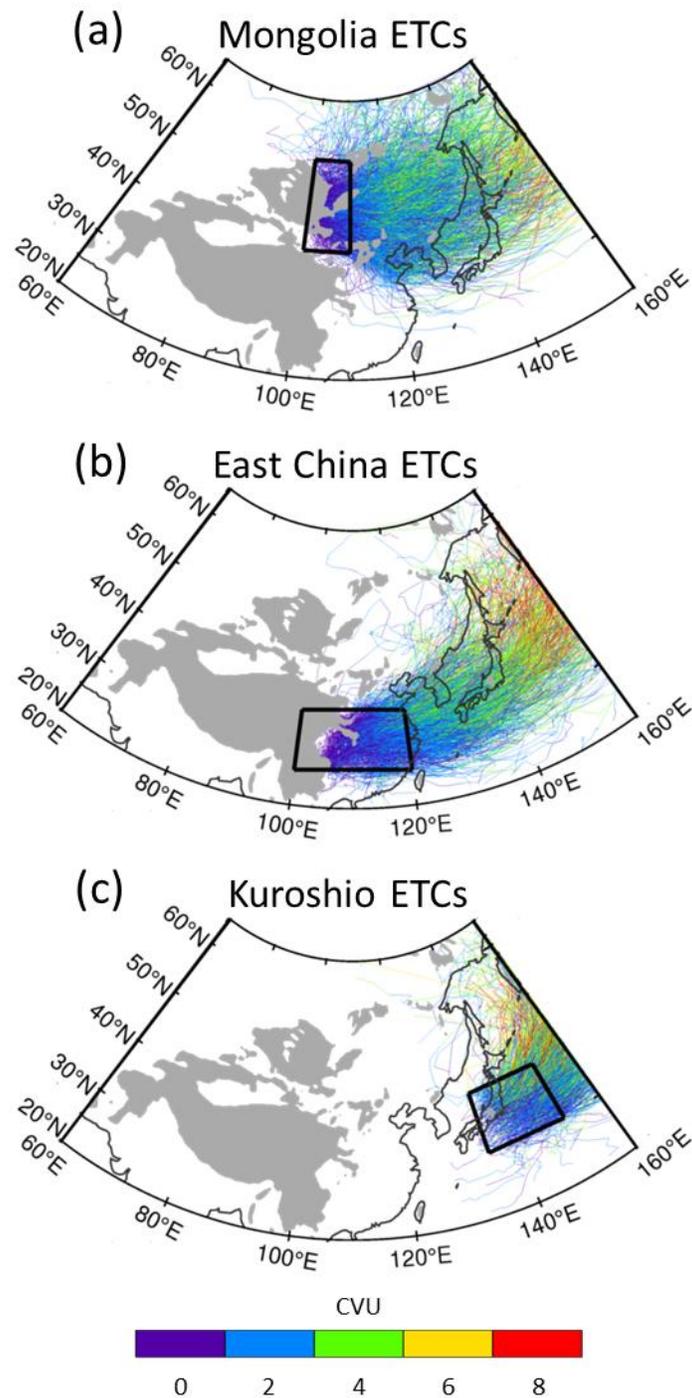
641 Fig. 1. The analysis domain. The light grey lines over the continent indicates 1.5-km high  
642 elevation.



643 Fig. 2. (a) Sea surface temperature distribution (shaded; in °C), (b) climatological zonal wind at  
644 300-hPa pressure level (in m s<sup>-1</sup>), and (c) Eady growth rate (in day<sup>-1</sup>). The light grey shading  
645 over the continent indicates 1.5-km high elevation.

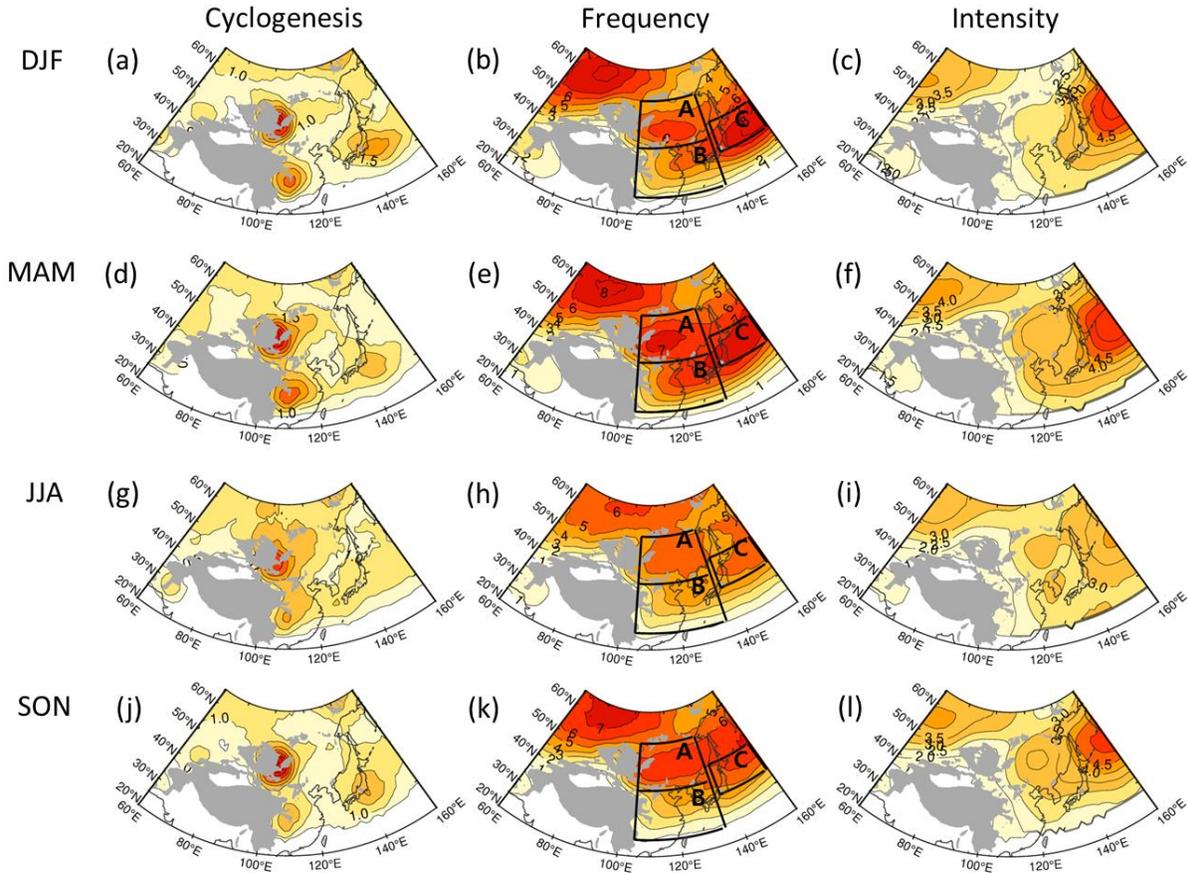


646 Fig. 3. Climatology of East Asian ETCs: (a) cyclogenesis (in # month<sup>-1</sup>), (b) frequency (in #  
647 month<sup>-1</sup>), (c) intensity (in CVU), (d) growth rate (in CVU day<sup>-1</sup>), (e) decay rate (in CVU day<sup>-1</sup>),  
648 (f) cyclolysis (in # month<sup>-1</sup>), (g) lifetime (in day), (h) speed (in km h<sup>-1</sup>), and (i) traveling distance  
649 (in 10<sup>3</sup> km) from 1979 to 2017. See Table 1 for the definition and unit of each property. All ETC  
650 properties are only considered for the grid points where one or more ETCs are present. Three  
651 black boxes in (b) represent (box A) Mongolia, (box B) East China, and (box C) Kuroshio  
652 Current domain.



653 Fig. 4. Individual ETC tracks that start from the leeward sides of (a) the Altai–Sayan Mountains  
654 and (b) the Tibetan Plateau, and (c) from the Kuroshio Current region. The color represents ETC

655 intensity in CVU. The black box in each plot indicates the domain where the initial ETCs are  
656 located.



657  
658 Fig. 5. Seasonal cycles of (left) cyclogenesis (in # month<sup>-1</sup>), (middle) frequency (in # month<sup>-1</sup>),  
659 and (right column) intensity (in CVU) of East Asian ETCs in (first) winter, (second) spring,  
660 (third) summer, and (fourth row) fall.

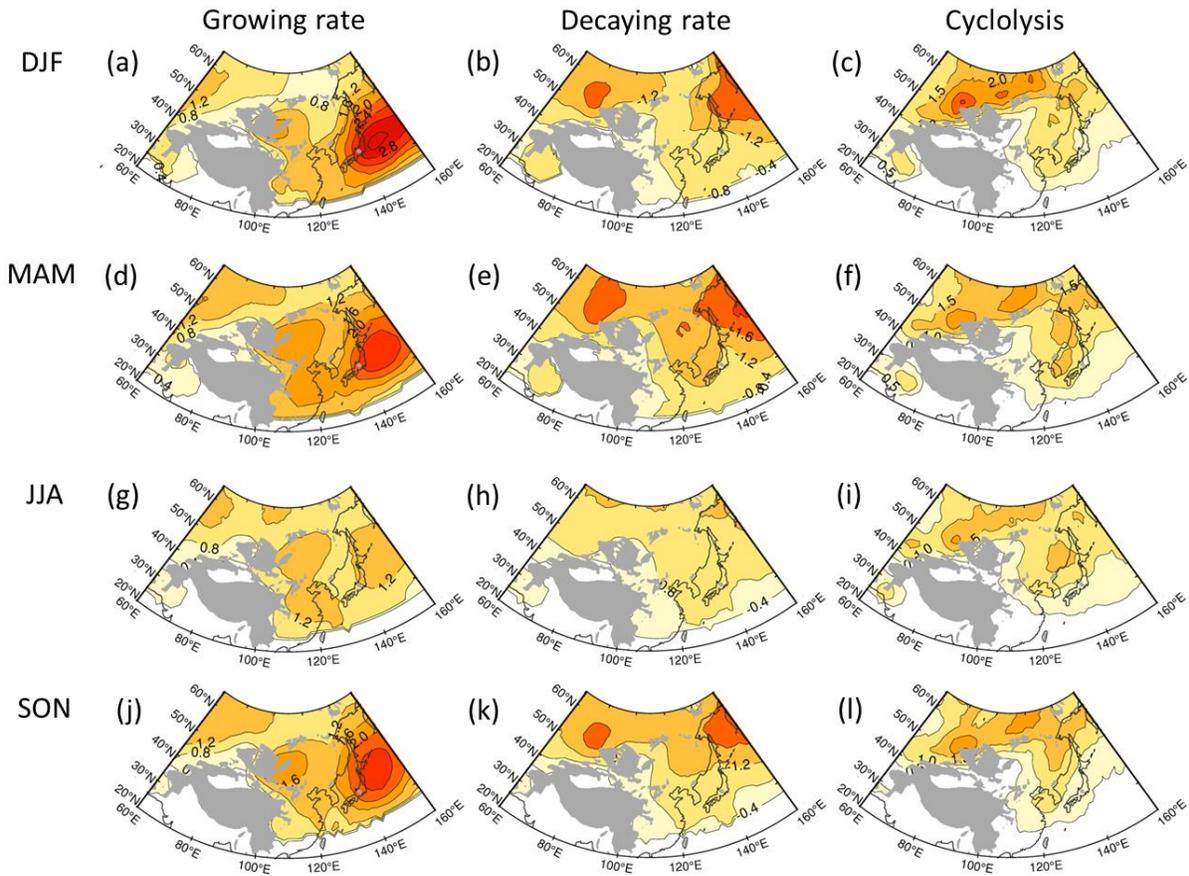
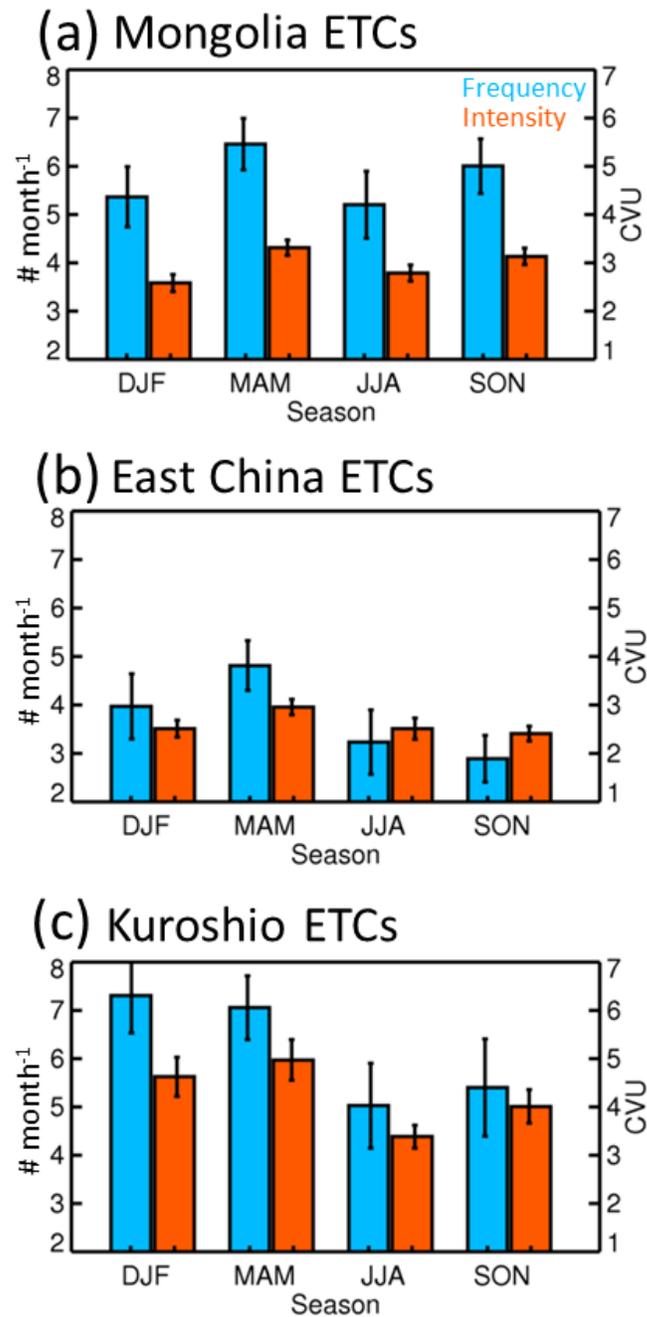
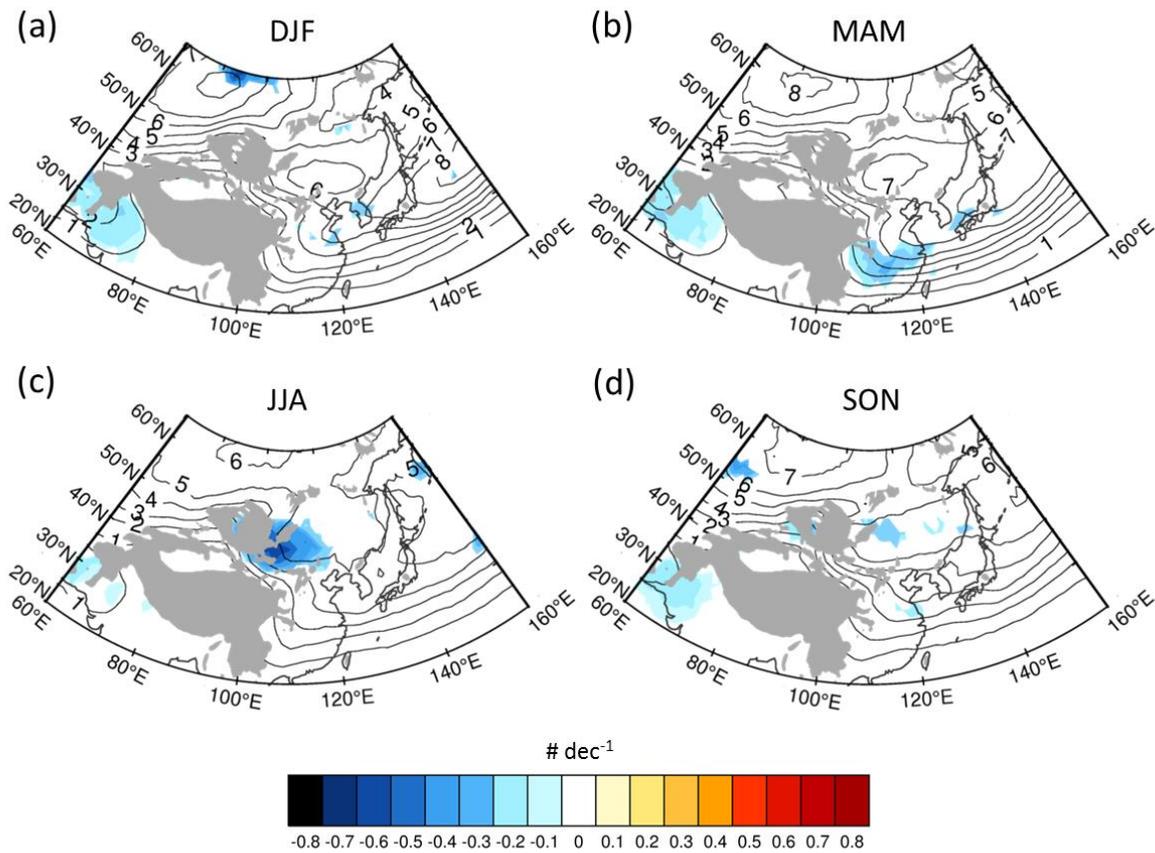


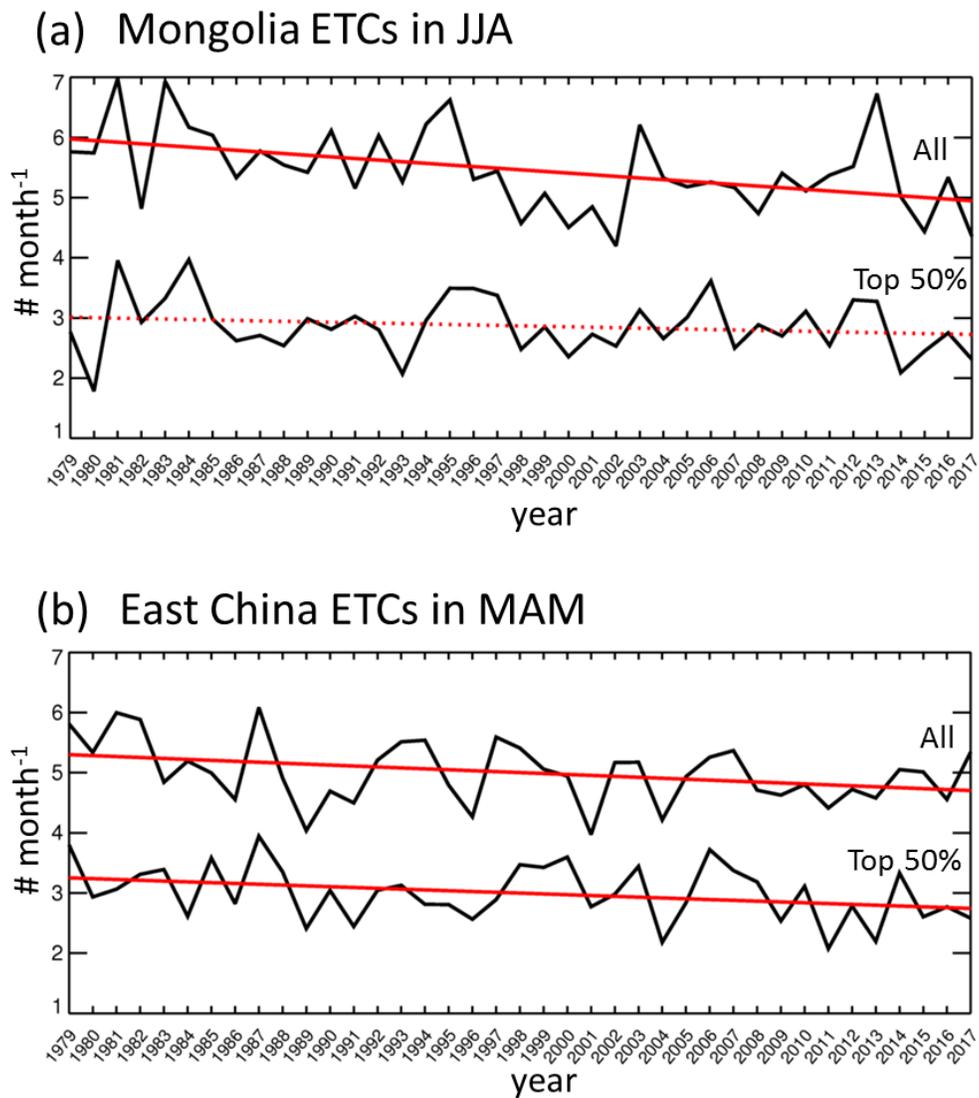
Fig. 6. Seasonal cycles of (left) growing rate (in  $\text{CVU day}^{-1}$ ), (middle) decaying rate (in  $\text{CVU day}^{-1}$ ), and (right column) cyclolysis (in  $\# \text{ month}^{-1}$ ) of East Asian ETCs in (first) winter, (second) spring, (third) summer, and (fourth row) fall.



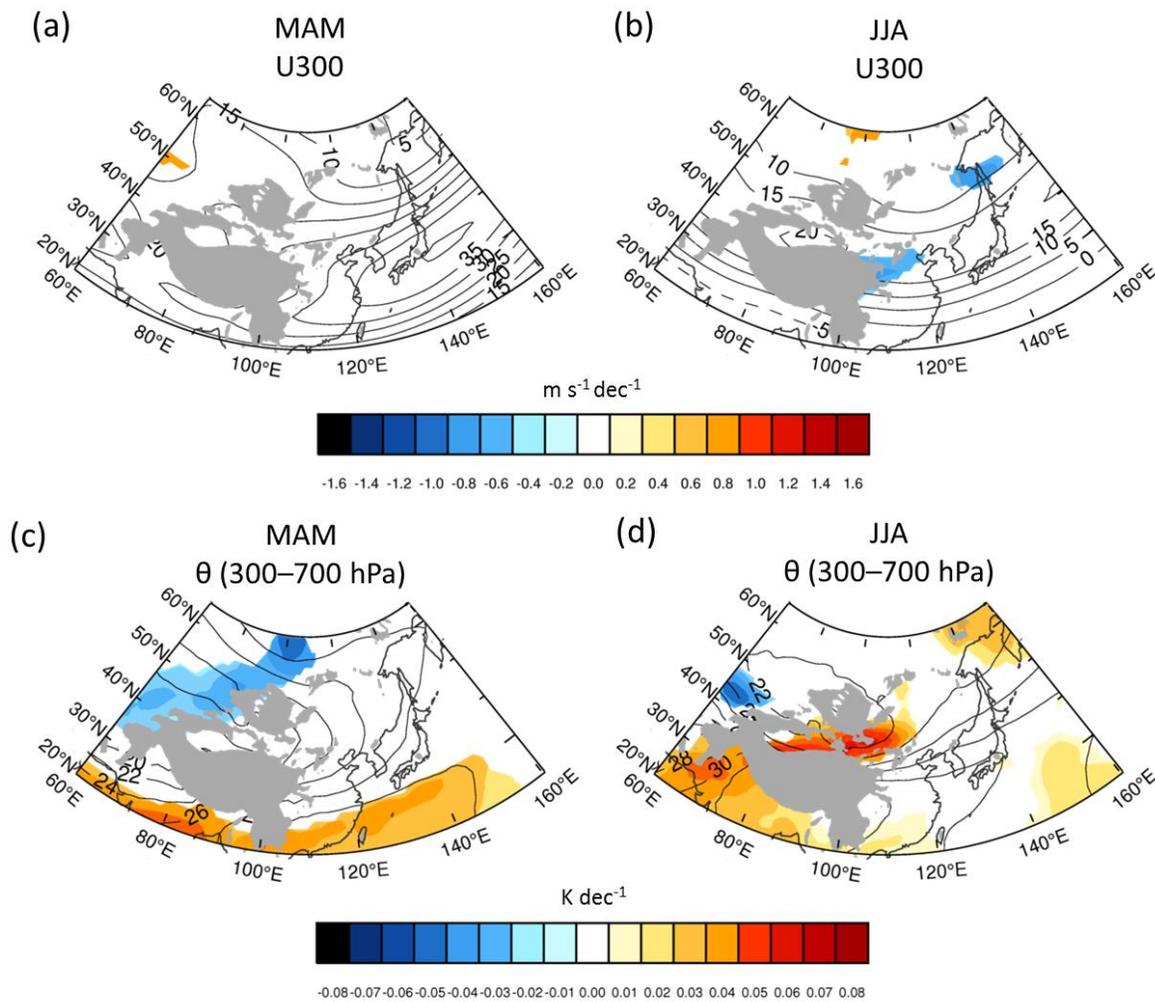
661 Fig. 7. Long-term mean Seasonal cycle of ETC frequency (blue bars) and intensity (orange bars)  
662 in the three cyclogenesis regions shown in Fig. 2a. The error bar indicates the interannual  
663 variation at one standard deviation.



664 Fig. 8. Seasonal-mean ETC frequency (contours; identical to the middle column of Fig. 4) and its  
665 long-term trend (shaded; in number of ETCs per decade). Only trends that are statistically  
666 significant at the 95% confidence level are shown.



667 Fig. 9. Time series of ETC frequency and its linear trend for (a) summertime Mongolia ETCs and  
668 (b) springtime East China ETCs over the period of 1979–2017. Top 50% ETCs in intensity are  
669 separately shown. The solid red lines indicate statistically significant trends at the 95%  
670 confidence level.



671 Fig. 10. Seasonal-mean (contours) and decadal trends (shaded) of 300-hPa zonal wind (top; in m  
672  $\text{s}^{-1} \text{dec}^{-1}$ ) and bulk static stability (bottom; in  $\text{K dec}^{-1}$ ) in spring (left) and summer (right). Bulk  
673 static stability is defined by potential temperature difference between at the 300- and 700-hPa  
674 pressure levels. The trends that are statistically significant at the 95% confidence level are  
675 denoted with dots.

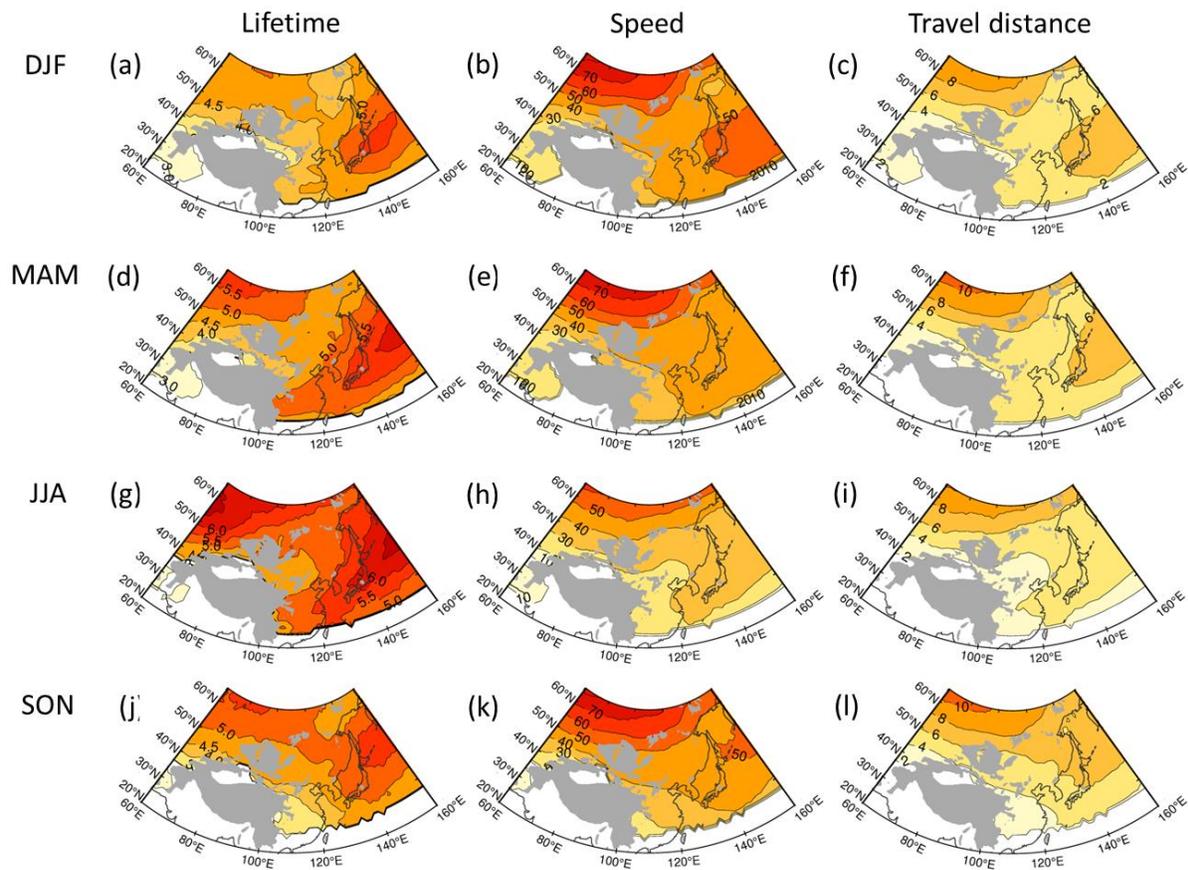


Fig. S1. Seasonal cycles of (left) lifetime (in day), (middle) speed (in  $\text{km h}^{-1}$ ), and (right column) travel distance (in  $10^3 \text{ km}$ ) of East Asian ETCs in (first) winter, (second) spring, (third) summer, and (fourth row) fall.