5 Development of a Generative AI-Based Model for Guiding Grape Variety Selection Under Contemporary Climate Dynamics

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30	Submitted July 2024

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English Abstract. Utilizing the ideal grape varieties in the environment and terroir where they stand to produce the highest quality is common in long-established viticultural regions. It has

- 35 been formalized by demarcating appellations and growths where the best grapes are grown. Changes in the climate, however, may alter which varieties are better grown and may have the highest potential in a certain region. In addition, grape cultivation is still expanding to new areas that do not have an extensive history of grapes being grown for wine. Utilizing the available grape variety diversity estimated between about 1300-1600 commercially used grape
- 40 varieties would allow growers to adapt to changing climates and to establish new quality regions more quickly. However, guidance is needed since the number of choices and climates, as well as market conditions and consumer demand, make this a complex problem. A generative AI architecture for climate-based variety recommendation is developed, tested, and applied to current and future climate conditions to give data-based guidance for growers in a
- 45 changing climate and new wine development. This novel varietal recommendation system ranks 1300 commercial grape varieties for a given climate, generating predicted popularity and suitability scores. With this tool, trials can be directed to varieties that are already likely to perform well, reducing the risks associated with choosing new grape varieties to plant. This is critical, as new plantings require significant capital and time investments. Furthermore, it is
- ⁵⁰ possible to extract climate indices from future climate projections to make predictions about the popularity and suitability of varietals globally, allowing for current guidance and long-term planning. The novelty of this tool is being the first crop recommender system that ranks varieties and assigns suitability scores. It can do this for 1300 grape varieties simultaneously, whereas the few examples of previous crop recommender systems only recommended one crop
- 55 and only for a couple of dozen crops. This tool is furthermore not limited to a region, as it can predict popularity and suitability globally for present and future climates.

French Abstract.

L'utilisation des cépages idéaux dans l'environnement et le terroir où ils se trouvent pour produire la meilleure qualité est courante dans les régions viticoles établies de longue date. Elle a été formalisée en délimitant les appellations et les crus où sont cultivés les meilleurs raisins. Les changements climatiques peuvent cependant modifier les variétés les mieux cultivées et susceptibles d'avoir le plus grand potentiel dans une certaine région. En outre, la culture de la vigne continue de s'étendre à de nouvelles zones qui n'ont pas une longue histoire de culture

- 65 de la vigne pour le vin. L'utilisation de la diversité des cépages disponibles, estimée entre environ 1 300 et 1 600 cépages utilisés commercialement, permettrait aux producteurs de s'adapter aux changements climatiques et d'établir plus rapidement de nouvelles régions de qualité. Cependant, des conseils sont nécessaires car le nombre de choix et de climats, ainsi que les conditions du marché et la demande des consommateurs, rendent ce problème
- 70 complexe. Une architecture d'IA générative pour la recommandation de variétés basée sur le climat est développée, testée et appliquée aux conditions climatiques actuelles et futures pour fournir des conseils basés sur des données aux producteurs dans un climat changeant et le développement de nouveaux vins. Ce nouveau système de recommandation variétale classe

1300 cépages commerciaux pour un climat donné, générant des scores de popularité et

- 75 d'adéquation prévus. Grâce à cet outil, les essais peuvent être orientés vers des variétés qui sont déjà susceptibles de bien se comporter, réduisant ainsi les risques associés au choix de nouveaux cépages à planter. Cela est essentiel, car les nouvelles plantations nécessitent des investissements importants en capital et en temps. En outre, il est possible d'extraire des indices climatiques à partir de projections climatiques futures pour faire des prévisions sur la popularité
- 80 et l'adéquation des cépages à l'échelle mondiale, ce qui permet de disposer de conseils actuels et de planifier à long terme. La nouveauté de cet outil est d'être le premier système de recommandation de cultures qui classe les variétés et attribue des scores d'adéquation. Il peut le faire pour 1300 cépages simultanément, alors que les quelques exemples de systèmes de

recommandation de cultures précédents ne recommandaient qu'une seule culture et seulement

85 pour quelques dizaines de cultures. Cet outil ne se limite pas non plus à une région, car il peut prédire la popularité et l'adéquation à l'échelle mondiale pour les climats actuels et futurs.

Acknowledgments

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"The race doesn't go to the swift, Nor the battle to the strong, Nor food to the wise, Nor wealth to the smart, Nor recognition of the skilled. Instead, timing and circumstances meet them all." Ecclesiastes 9:11

115

In the theme of finding the right climatic circumstances for specific grapevines I must of course also acknowledge the timing, circumstances out of my control, and the helping hands that have allowed me to complete this degree. Firstly, I must acknowledge the provision and leadership of my God and Christ Jesus, who holds my life and therefore also my academic career in his hands. Secondly, I must acknowledge the love, patience, and support I have received from my mother and my younger sister throughout my academic journey. Without my younger sister's guidance in writing and presenting this Thesis would still read like a high-school assignment. Thirdly, of course, I need to thank my community in the form of the Arabic Evangelical Baptist Church of Montreal for their continuous prayers

125 and encouraging words.

Then of course the guidance and help of my colleagues and supervisors as well as committee members must also be mentioned. I want to thank Dr. Israel (Jacob) Liberty, Dr. Christopher Nzediegwu, Prof. Shiv Prasher, and Prof. Amit Gross for initiating me into research and allowing me to learn from them so much. I want to thank Dr. Julien J. Malard-

130 Adam for his guidance and trust, allowing me to work alongside him and guiding me to publish my first article. I want to thank Prof. Jan Adamowski for his continued trust and for allowing me to not only pursue an M.Sc. and soon Ph.D. degree with him but also for giving

me the chance to expand my experience in lecturing among others. I want to thank Dr. Nathaniel Newlands and Prof. Castellarin through whose support I was able to work in the

135 field of Viticulture though it is outside of the usual research areas of McGill University. I also want to thank my co-supervisor Prof. Viacheslav Adamchuk who always offers timely advice.

I also want to acknowledge the lovely colleagues that get to interact with and who take time to meet and talk with me, who make every workday brighter, these are Birkhoff,

140 Theo, Matt, Erik, Pierre-Luc, Ali, Vincent, Derrick, Raphael, Anthony, Qi, Arusha, and Ehsan.

Contributions

The supervision of this Thesis was conducted by Prof. Adamowski, and co-supervised by Prof. Adamchuk, the committee consisted of Dr. Newlands and Prof. Castellarin who were also involved in the work assisting with conceptualization and planning of the work together with the supervisors. Prof. Sun has also held a position on the committee to assist in tracking progress. I conceptualized, programmed, and developed the models as well as wrote this Thesis. Some editorial assistance was provided by Jemima Harms and Prof. Adamchuk. The

150 conceptualization and initial trial stage of this research was supported by a Canada Graduate Scholarship – Master's Program from the Natural Sciences and Engineering Research Council of Canada.

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230 List of Abbreviations

- GST Growing Season Temperature
- AnnP Annual Precipitation
- GSP Growing Season Precipitation
- HMP Harvest Month Precipitation
- 235 AnnT Annual Average Temperature
 - RPT Ripening Period Temperature
 - MJT Mean January/July Temperature
 - GDD Growing Degree Days
 - HI Huglin Index
- GSDTR Growing Season Diurnal Temperature Range
 RPDTR Ripening Period Diurnal Temperature Range
 CNI Cold Night Index
 VPD_GS Average Vapour Pressure Deficit over the Growing Season
 - VPD_SU Average Vapour Pressure Deficit over the Summer
- 245 SRAD_GS Average Downward Shortwave Radiation over the Growing Season SRAD_SU – Average Downward Shortwave Radiation over the Summer ML – Machine Learning
 - DL Deep Learning
 - AE Auto-Encoder
- 250 ECG Electrocardiogram
 - MRI Magnetic Resonance Images
 - CAE Coupled-Auto-Encoder
 - ANN Artificial Neural Network
 - XGBoost Extreme Gradient Boosting Machine
- 255 TPE Tree-structured Parzen Estimator
 - POWER Prediction of Worldwide Energy Resources
 - GDEM Global Digital Elevation Model
 - ASTER Advanced Spaceborne Thermal Emission and Reflection Radiometer
 - MSE Mean Squared Error
- 260 NDCG Net-Discounted-Cumulative-Gain
 - RMSE Root Mean Squared Error
 - auPRC area under Precision-Recall Curve
 - IDW Inverse Distance Weighted Interpolation
 - SSP126 Shared Socioeconomic Pathway 1: "Taking the Green Road"
- 265 SSP585 Shared Socioeconomic Pathway 5: "Taking the Highway"

1 Introduction and Literature Review

1.1 The Effect of Climate on Grape Cultivation

The climate is part of the so-called 'terroir' concept (van Leeuwen, 2022). Along with soil properties and management practices the local climate helps to elicit specific and valued 'local' qualities from the grapes grown and therefore in the wine made from them (Jones, 2018; 270 van Leeuwen, 2022; van Leeuwen et al., 2012, 2018). A changing climate means that some of these attributes will continue to shift as they are already shifting (van Leeuwen & Darriet, 2016). In major viticultural regions, this can largely be measured by the change in harvest dates to be generally earlier than they have been in the past (van Leeuwen & Darriet, 2016). The advancing of harvest days is linked to the temperature characteristics during the growing 275 season. Precipitation changes are also likely to cause differences in irrigation regimes in the future (Prada et al., 2024). Where vineyards are not already irrigated precipitation shifts may enhance stress levels in the plants through drought, alternatively where precipitation is increasing during the growing season disease pressure may increase (Prada et al., 2024). This however, does not necessitate the future unsuitability of current wine-growing regions, in 280 general, as grape growers have many tools to adapt to changing conditions, such as through management, by adjusting irrigation regimes, pruning and shading among others (Reshef et al., 2017; van Leeuwen et al., 2013). They may also be able to select varieties more suitable to their current and future conditions that originate from other regions or have been bred for 285 resistance to certain conditions (Wolkovich et al., 2018). The selection of varieties that are available to grape growers is exceptional, Robinson et al., (2013) list 1368 grape varieties that are cultivated for wine-making globally; the database developed by Anderson & Nelgen, (2020) names 1595 grape varieties. The majority of the world grape growing regions, however, are dominated by a few dozen of the so-called 'international varieties', these are most often

290 traditional French varietals from well-known regions such as Burgundy and Bordeaux which

are known for their quality and are hence emulated globally (Johnson & Robinson, 2001). These varietals can be found in some proportion in most of the world's grape-growing regions, especially in the New World since it does not have native 'noble grapes', which is a title given only to varieties of *Vitis vinifera* (Johnson & Robinson, 2001; Robinson et al., 2013). The majority of the world's wine production is through these grapes, creating a lack of diversity and adaptability of wine regions to changing conditions.

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Grape diversity is enormous as mentioned before and even continues to expand. Since roughly 200 years ago, to adapt grape growing to the novel disease pressure that appeared due to imported plant material from the New World, interspecific hybrids were developed that aim to maintain the quality of 'noble varieties' while incorporating the disease resistance of native North-American or East-Asian varieties (Johnson & Robinson, 2001; Robinson et al., 2013). Many of the native New World and hybrid varieties now find their main use as rootstocks for wine production and have 'noble varieties' grafted to them so that the benefit of the noble fruit and resistant roots is gained (Johnson & Robinson, 2001; Robinson et al., 2013). Varieties are

- 305 also developed for cold resistance to be grown in cold climates like Quebec or North Dakota (Robinson et al., 2013). Some wine regions use hybrids to produce wine, such as Quebec or Nova Scotia, though most wine regions do not use these varieties at all since they are usually regarded as producing wine of lesser quality compared to noble grapes (Johnson & Robinson, 2001; Robinson et al., 2013).
- The other origin of grape diversity is the countless regionally important noble grape varieties that have been continuously used for many decades and adapted to their regional climatic conditions. Specialized varieties of this type generally come from the Caucasus, North Africa, Europe, or Western Asia, which is roughly the native distribution of the *Vitis vinifera* species (Robinson et al., 2013). These well-tested varieties are of particular interest for quality
- 315 wine production as climate change shifts wine-growing regions (Wolkovich et al., 2018). However, since the specialized noble varieties from 'lesser known' viticultural regions are

adapted to specific conditions, it will be important to match the correct varieties to the correct climatic conditions to guide selection. There is also the possibility that for specific wine-growing regions hybrids may be the best way forward, either way, guidance is required to make the most of the available diversity and to adapt winemaking for the future.

1.1.1 Guiding Variety Selection

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The selection of the ideal variety for a specific terroir, even if only considering the climatic component, is no small feat. Still, it may allow for a synergistic relationship between the vine and the place which only occurs in very specific conditions (Jones, 2018). Not only are the climate requirements highly specific, but these requirements are not known for all varieties. The selection is complicated by the variability in sensitivity between varieties such that some are very climate-dependent while others are much more stable in the quality of produced wine (Davis et al., 2019). For these reasons, studies are usually limited in the number of grapes they consider. Fraga et al., (2016) for example evaluate 44 Portuguese varieties, and

- Mavromatis et al., (2020) evaluate 29 Greek varieties. An approach is needed that can truly help growers guide which of the 1300-1600 cultivated grape varieties they may want to make use of for their climatic conditions. This requires changes to the past approaches in this field, namely that many grape-growing regions and the majority of the grape varieties used today need to be considered in a standardized way, in other words, a global approach is required.
- Localized studies will by design be very limited in the number of grapes that can be assessed and the range of climate conditions that projections are made for. To develop a global tool, a global approach is also necessary, fortunately, data relating to which regions grow which amounts of which grape variety is provided by Anderson & Nelgen, (2020). Furthermore, a global approach increases the data that can be used to more specifically narrow down the optimal conditions for each grape variety, so that most grape varieties will be able to be
- somewhat understood and can thus be contextualized among the large body of grapevine

diversity. What this requires is a good selection of climatic indices to allow for the characterization of the grape varieties by the climatic conditions in which they are grown.

- Skahill et al., (2022, 2023) focus on using a linear approach and classify the suitability 345 by only one climatic variable the growing season temperature (GST). The GST is a good indicator of quality and can give general information about the potential of a grape in a certain location. However, the climatic variables that affect wine quality are not the same for each variety, even the precise timing of climatic conditions affects different varieties differently (Davis et al., 2019). Therefore, grape variety selection should include many climatic factors if
- it is to be useful to rank all or most cultivated grape varieties. This suggests that a high-dimensional climate space needs to be considered as was done by Puga et al., (2022) who used 16 climate variables and derived indicators to classify the world's wine regions. A subset of these variables is used by Hall & Jones, (2010) to classify Australia's wine regions. Puga et al., (2022) use the 16 indicators to classify 813 viticultural regions from the dataset by Anderson
- 355 & Nelgen, (2020) which is as close to the global scale as possible, all that is missing is to connect this meaningfully with the grape varieties grown in these regions. The 16 climatic indicators that were considered as well as their significance and ranges are shown in the table below which is based on (Hall & Jones, 2010; Hewer & Gough, 2021; Puga et al., 2022).

Table 1: The climatic indices useful for global climate classification may also be sufficient to classify the suitability of the same regions' grape varieties. This table is mainly derived from Hall & Jones, (2010) as well as (Puga et al., 2022) and (Hewer & Gough, 2021).

Variable	Abbr.	Required Variables	Significance			
Annual Precipitation	AnnP	Precipitation at all	The amount of water naturally available to the			
		time scales	vine during a year.			
Growing Season GSP		Monthly or daily	The amount of water naturally available to the			
Precipitation		precipitation	vine during the period it is most needed but also			

			when it is susceptible to disease because of
			precipitation.
Harvest Month	HMP	Monthly or daily	The amount of water that can disrupt harvest, can
Precipitation		precipitation	cause harvest loss and diluted flavors.
Annual Average	AnnT	Mean temperature	The prevailing temperature conditions across the
Temperature		at any time scale	year.
Growing Season	GST	Monthly or daily	The temperature during the time of major vine
Average Temp.		mean temperature	growth and fruit building is a predictor of ripening
			ability (Jones et al., 2005).
Ripening Period	RPT	Monthly or daily	The temperature during the final stages of
Average Temp.		mean temperature	ripening.
Mean January/July	MJT	Monthly or daily	The temperature is during the hottest month and
Temp.		mean temperature	usually during the beginning of the ripening
			process.
Growing Degree	GDD	Daily max and	The amount of heat available for the entire
Days		min temperature	ripening process, this index is also known as the
			Winkler index (Winkler, 1974).
Huglin Index	HI	Daily max and	The amount of heat available for ripening
		mean temperature	corrected for the latitude and therefore day length.
		as well as latitude	It also only considers a shorter growing season
			and ends in September/March instead of
			October/April as GDD does.
Growing Season	GSDTR	Daily max and	The variability between day and night
Diurnal Temperature		min temperature	temperatures during the growing season has a
Range			major impact on the flavor development of some
			varieties (Davis et al., 2019).
Ripening Period	RPDTR	Daily max and	Similar to the above but limited to the final
Diurnal Temperature		min temperature	ripening stages.
Range			

Cool Night Index	CNI	Monthly or daily	The lowest temperature in the assumed harvest				
		minimum	month hints at the potential of the grapes to				
		temperature	develop secondary metabolites important for				
			color and aroma (Tonietto & Carbonneau, 2004).				
Growing Season	VPD_GS	Monthly or daily	A proxy to potential water stress conditions that				
Vapor Pressure		temperature and	may lead to the development of additional flavors				
Deficit		relative humidity	(Kovalenko et al., 2021), or cause stress over the				
			entire growing season.				
Summer Vapor	VPD_SU	Monthly or daily	Similar to above but only over the hottest months				
Pressure Deficit		temperature and	(June to August or December to February).				
		relative humidity					
Growing Season	SRAD_GS	Monthly or daily	Another dimension of plant stress is related to				
Average Downward		all-sky downward	plant stomatal conductance, vapor pressure				
Surface Shortwave		surface shortwave	deficit, and temperature (Gowdy et al., 2022).				
Radiation		radiation	Radiation also affects metabolic processes and				
			flavors (Reshef et al., 2017).				
Summer Average	SRAD_SU	Monthly or daily	Similar to the above but only considering the				
Downward Surface		all-sky downward	hottest months of the year.				
Shortwave Radiation		surface shortwave					
		radiation					

Alternative climate indices are also presented by Hewer & Gough, (2021), many covering similar realms in terms of their effect on grapes and grape quality. However, one different realm not covered in Table 1 above is included through variables such as extreme cold days and potential frost days. Cold damage is a major concern and dictates the winter survivability of various grape varieties, and is one of the major limitations of where grapes can be cultivated in the first place which tends to be between the 30th and the 50th parallels (Aney, 1974; Johnson & Robinson, 2001; Robinson et al., 2013). Of particular interest to whether 370 grape cultivation is even a possibility is the 'extreme cold days' index (Aney, 1974). Grape

varieties will generally die in temperatures below -20 degrees Celsius (Hewer & Gough, 2021). Specific cold-hardiness is of course also variety dependent (Robinson et al., 2013). In contrast to the other climate variables, it does not necessarily relate to the quality of grapes produced but generally, it is a pre-requisite of whether grapes can grow at all. Learning cold hardiness

- 375 from the global varietal datasets is a bit difficult as many methods exist to protect grapes from cold damage, allowing them to be grown in many regions that could be considered unsuitable when looking at the climate data without the adaption measures. Other than quality data, the cold hardiness is easily established as it can be directly measured objectively, whereas quality and the terroir characteristics of wine are much more high dimensional problems that could not
- 380 be solved by a simple threshold. For this reason in this research, the focus will be on the terroir characteristics and not the general viticultural potential as adaption measures exist and since this type of suitability can be easily established by means other than artificial intelligence or other advanced data-science methods such as through thresholds (Hewer & Gough, 2021). Growers should be aware of the general viticultural potential and the cold hardiness of their approach but this information is more qualible and where not easily established by laboratory.
- 385 grapes, but this information is more available and where not, easily established by laboratory or field trials (Howell, 2001). For these reasons cold-hardiness is not considered in this study.

1.2 Crop Recommendations Systems

Crop recommendation systems are not completely novel in general they exist in some form for a few dozen crops. However, current approaches are very limited not only in scope but also in the utility of the approach to the end-user. Recent examples of crop recommendation systems come from a Kaggle competition, based on a dataset from the Department of Agriculture of India (Garanayak et al., 2021; Gopi & Karthikeyan, 2023; Islam et al., 2023). This dataset has also been supplemented by additional local datasets (Musanase et al., 2023). Musanase et al., (2023) is also the study that first utilized artificial neural networks for crop recommendation whereas previous papers focus mainly on tree-based approaches. The previous research papers all utilize a classification criterion that assumes that there is one crop that can be grown on any piece of land. So, they may therefore be thought of as crop classification models rather than recommender systems, which is an important difference. This absolute perspective is not useful for choosing grape varieties. Which variety should be grown is dependent not only on suitability but also on marketing potential, the regional legislations regarding grape variety selection, as well as the preference of the winemaker or vineyard owner, or the general regional demand. Furthermore, the model should be able to return the suitability of all grape varieties to be useful for enhancing diversity effectively.

For grape varieties, a recommendation system should be developed that ranks the varieties by suitability and helps the growers to narrow down the grape vine diversity usefully. This is likely a better approach for crop recommendation systems in general, as models should not dictate optimum solutions, as they have no guarantee of this from the training data. As this is a relatively new field, approaches will still change and hopefully soon adapt. Additionally, the errors of the machine learning (ML) algorithm need to be accounted for and communicated

410 to the user. Making an absolute prediction leads to the user expecting a degree of certainty that the model cannot possess. Ranking all possible varieties may, on the other hand, imply that the model is suggesting or recommending, which in practice will be more useful and desirable for the growers as it does not take their place but rather supports them.

1.2.1 New Approaches

415 Regression between the climate as the independent and grape varieties as the output variables, which is the approach used by previous crop recommender systems (Garanayak et al., 2021; Gopi & Karthikeyan, 2023; Islam et al., 2023; Musanase et al., 2023), may not be the best approach to make grape varietal recommendations. Developing a model that directly works from climate or other variables to crops is promising for classification and finding one 420 crop as has been shown in the literature (Garanayak et al., 2021; Gopi & Karthikeyan, 2023;

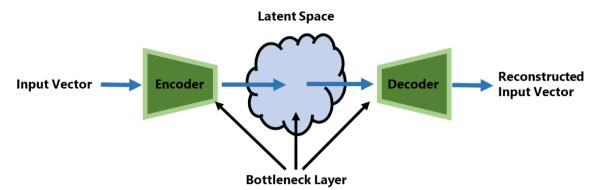
Musanase et al., 2023). However, this also limits the usability of the model for other tasks, the moment the inputs change training needs to be conducted from scratch. More flexible models may be useful for allowing for transfer-learning, so that models can be re-used for similar but not identical tasks, or fine-tuning, so that models may be improved for a specific case study, or

- 425 imputation, so that models may be used to fill-in incomplete data. This aligns more closely with the modern machine and deep learning (ML/DL) paradigms (Howard & Gugger, 2020), and is practiced by the leading models (Chen et al., 2020; Devlin et al., 2019; Pan & Yang, 2010; Radford et al., 2021). The discipline of learning useful representations has gained momentum and learning transferable representations is often considered even more important
- 430 than the final prediction because of its wide-ranging usefulness (Chen et al., 2020; LeCun & Misra, 2021; Radford et al., 2021).

It needs to be considered that such 'multi-purpose' models require great amounts of computational time and very large datasets of millions of data points to train (Radford et al., 2021), which may not be available in the field of viticulture. This to a large part depends on

- the size of the models used, with billions of parameters that need tuning and hence a lot of very diverse data, but more efficient alternatives exist that can provide similar usefulness. Generally, to be a multi-purpose model the model is generally trained to take in the same type of data that it produces or to complete it in some way, through imputation for example (Devlin et al., 2019). This means it is usually a generative model as it doesn't reduce the input but produces data
- ⁴⁴⁰ 'equivalent' to the input. A more simple architecture that achieves that effect is the Auto Encoder (AE) (Bank et al., 2020). AEs are traditionally used to remove noise from images or to reduce their size as they compress the input information and then recreate it. Derived versions of this architecture have been used to create multipurpose models and useful representations (Caciularu & Goldberger, 2023; Cohen Kalafut et al., 2023; Iatrou et al., 2022;
- 445 Radhakrishnan et al., 2023).

Of these, I will highlight Radhakrishnan et al., (2023) which uses a very simple version of the AE approach but with great design. Two sets of AEs with a shared latent space, or shared representation at the bottleneck of the AE are used, for a schematic of one AE please see Figure 1.

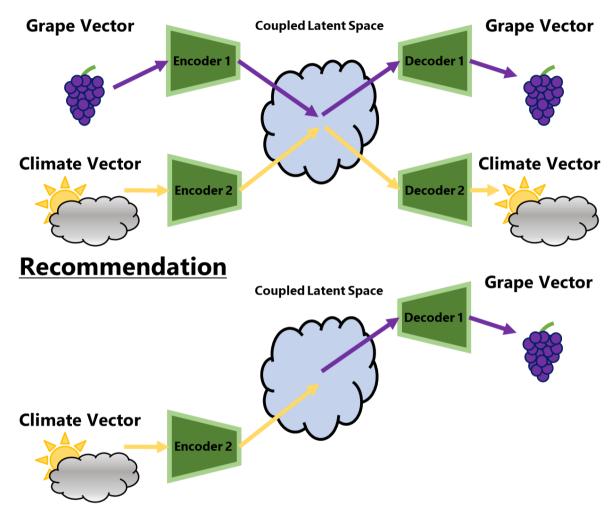


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Figure 1: A simple diagram of the Auto-Encoder architecture. Both the encoder and decoder are usually ANN-based and the smallest layer is generally in the middle, being the last layer of the encoder which is shared by the decoder and often referred to as the latent space.

Using one AE for electrocardiogram (ECG) data and another for cardiac magnetic resonance images (MRI) the model was able to learn a shared representation through the shared latent space or bottleneck for both images and ECG data (Radhakrishnan et al., 2023). This allows the model not only to predict the ECG for any MRI or vice-versa, when some of the information is missing, but it also allows for further study by allowing researchers to find patterns in cardiovascular health in its latent space (Radhakrishnan et al., 2023). The representations can further be decoded by different decoders to predict other phenotypes of interest (Radhakrishnan et al., 2023), providing an extremely flexible DL network based on quite a simple foundation.

Training



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Figure 2: Shows the proposed methodology for creating the Coupled-Auto-Encoder Network (CAE) for grape variety recommendations.

The possibility of developing a similarly useful system for grape growers and the wine industry is exciting. Theoretically creating a 'terroir' embedding is possible. It may be a 470 combined set of AEs of which one is dedicated to the grape growing areas of all the potential varieties and the others, with a shared latent space, would be devoted to the various factors of terroir. In this thesis I present the development of such a model architecture with two coupled AEs (CAE) that combine the proportions of which grape varieties are grown with the local climate, its utility for predicting and helping recommend suitable grape varieties is evaluated

475 for past and future climates. However, since it is designed using principles from representation learning it remains adaptable for other grape-related prediction problems and can be taught to relate other terroir factors in the same way or be applied to enhance breeding programs since grape varieties can be clustered in the latent space by their climate attributes. Such an architecture is shown in Figure 2 and will be developed and tested in this Thesis.

480 2 Methodology

2.1 Model Definition

The proposed terroir CAE architecture is shown in Figure 2 above. Each encoder and each decoder have 3 fully connected ANN layers with 1024 neurons and bias terms. The encoder additionally includes a linear projection head to produce the latent space representation or act as the bottleneck that reduces the representation from 1024 to 256 neurons. The specific

hyper-parameters for the CAE such as the bottleneck size are determined using a Treestructured Parzen Estimator (TPE) based hyper-parameter optimization over 1600 runs for 5 hyper-parameters (Bergstra et al., n.d.):

- 1. Learning rate from e^{-10} to 1
- 490 2. Batch size from 256 to 768
 - Mixing rate which determines the level of interconnection between latent spaces from 0.5 to 0.12 (discussed more later)
 - 4. Size of bottleneck from 128 to 256
 - Activation function which is a choice between GELU (Hendrycks & Gimpel, 2023), LeakyReLU, Sigmoid, Softsign

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The optimal hyper-parameters found that were used for model training are the following:

- 1. Learning rate = 0.0004835
- 2. Batch size = 256
- 3. Mixing rate = .33
- 500 4. Bottleneck = 256
 - 5. Activation function = Sigmoid

The model is implemented using the PyTorch library in Python (Paszke et al., 2019). For evaluation and other metrics we generally utilize the Scikit-learn functions (Pedregosa et al., 2011).

505 2.2 Baseline Models

As baseline models, we utilize the ANN approach from Musanase et al., (2023) as well as a tree-based model based on the XGBoost architecture which is similar to the approaches used by previous crop recommender systems (Garanayak et al., 2021; Gopi & Karthikeyan, 2023). ANNs are a layered collection of multiple-non-linear-regression-like models which

- 510 allow it to theoretically approximate any function (Hornik et al., 1989). The output is produced by the last layer and each preceding layer takes as its input the output of the previous. ANNs usually refer to the shallow version (<3 layers) of this architecture whereas deeper versions are usually called deep neural networks, these are the basis for most DL architectures. XGBoost or extreme gradient boosting machines are a type of decision tree ensemble, where predictions
- 515 are averaged over all trees in the ensemble. Decision trees are essentially mathematical flowcharts that at every layer linearly divide the dataset based on one of the input features. In particular, XGBoost was used since it is a very common architecture and well-known so the Scikit-learn implementation can be used (Pedregosa et al., 2011).

The baseline models' hyper-parameters were also tuned using the TPE approach 520 (Bergstra et al., n.d.). The number of evaluations is scaled to account for the fewer

parameters/options so the ANN model used 200 runs and the XGBoost model used 125 runs.

The parameters found are listed in the Tables 2 & 3 below:

Table 2: ANN hyper parameters found after TPE optimization

Parameter	Value
Learning Rate	4.7727e-05
Batch Size	256
Activation Function	Softsign

⁵²⁵

Table 3: XGBoost model hyper parameters found after TPE optimization

Parameter	Value
Data Per Tree	100%
Max Depth	2
Number of Estimators	50

2.3 Grape Data Preprocessing

The input data comes from the database (Anderson & Nelgen, 2020). To normalize the acreage values for each variety in each region we define the popularity score according to Eq. 530 (1):

$$p_i = \frac{\log (a_i + 1)}{\sum_A \log (a + 1) + 10^{-8}} \tag{1}$$

Where p_i is the popularity of variety *i* in a specific region and *A* is the set of the areas of all grape varieties whereas a_i is the area of variety *i*. This score ensures that the value is between 0 and 1 for best compatibility with the neural networks and ML in general. Additionally, due

to its definition it also ensures that 'small' varieties have a non-negligible value which would have been the case if scaling by the total area in a region for example (for a grape with 1% share in growing area the score is 0.15 instead of 0.01). Still, we remove grapes from the 1595 varieties if they constitute less than 1% of the area in all the regions that we have data for, this leaves 1300 grape varieties. This is done to ensure that all varieties that the model can make predictions for it also it has sufficient data for.

Since a few varieties are very popular globally, namely the international varieties (Johnson & Robinson, 2001), and it is desirable to normalize this popularity to determine the suitability of a variety, the suitability score is also introduced in Eq (2) below:

$$s_i = \frac{p_i \cdot \frac{\max(P_i)}{1300}}{1300} \tag{2}$$

545 Where s_i is the suitability of variety *i*, it depends on p_i which is the popularity of variety *i* in a specific region, and P_i is the set of the popularities of the same variety over the training set. Varieties that are never very popular therefore will receive a relative advantage against varieties that generally make up most regions.

2.4 Climate Data Preprocessing

- 550 Climate data for the model originates from the NASA Prediction of Worldwide Energy Resources (POWER) Project: NASA/POWER CERES/MERRA2 Native Resolution Daily Data v2.5.5 accessed on 2024/01/29. This is supplemented by data obtained from TerraClimate (Wang et al., 2016). TerraClimate has a resolution of about 4x4 km and provides data on the monthly temporal scale while the data from the POWER project can provide daily data but at
- 555 a resolution of about 50x50 km. The climate data was obtained in one location per region in the dataset, the approach from Puga et al., (2022) was followed and the same reference locations were used as well except for British Columbia (BC). Here the location was moved so that the calculated GDD more closely matched the true GDD of the initial location. This was considered important as one of the subsequent case studies presented here will be conducted in
- 560 BC. The POWER project data is the source of all temperature-related data of the 16 indicators listed in Table 1. To increase the accuracy of the data the temperature is corrected by elevation

since the 50x50 km resolution can greatly over or underestimate the elevation especially since many wine-growing regions from the training set are in mountainous regions. The elevation information comes from the Global Digital Elevation Model (GDEM) from the Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER), which has a 30m resolution. The temperature used is calculated by Eq. (3):

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$$t = t_{raw} + 0.0061 * (e_{POWER} - e_{ASTER}),$$
(3)

Where t is the temperature that will be used later, t_{raw} and e_{POWER} are the temperature and elevation derived from the POWER dataset respectively while e_{ASTER} is the elevation that is closer to the real elevation from the ASTER GDEM. The factor to convert the change in elevation to temperature (0.0061 C/m) is derived from Wallace & Hobbs, (2006). To calculate the climate indicators that are defined by the growing seasons we adjust the growing season definitions to assure equal length of growing season (for when daily calculations are required) for the north and south hemispheres and for leap and non-leap years. This is done in the

following way: for locations in the southern hemisphere where the previous year was not a leap year the growing season ranges from the 274th day of the year of the previous year to the 122nd day of the current year or the 121st day in case the previous was a leap year. Northern hemisphere growing seasons are defined to range from the 90th to the 274th day of the year. This corresponds closely to the monthly definitions given by Puga et al., (2022). This is required especially for the training data, since individual years will be used for training to maximize the number of data points, and since all sources of bias during training should be removed. Later the model will likely not require using these same definitions as we anticipate long-term averages will be the input and the model should then be robust to small differences.

TerraClimate is used where only monthly data is required, which are the indices related 585 to precipitation (AnnP, GSP, and HMP) as well as vapor pressure deficit (VPD_GS and VPD_SU). The equations for calculating GDD and HI are given by (Hall & Jones, 2010).

For model training the climate variables are normalized using min/max normalization such that as for the grape data every input variable ranges from 0 to 1. The normalization is 590 shown in Eq. (4):

$$v_{norm,i} = \frac{v_{raw,i} - \min(v_{raw})}{\max(v_{raw}) - \min(v_{raw})},\tag{4}$$

Where $v_{norm,i}$ is the normalized variable of region *i* whereas $v_{raw,i}$ is the corresponding raw variable for the same region. Regions for which "unusual" climate characteristics were identified, i.e. regions and years which according to Hall & Jones, (2010) would have to be

- classified as not suitable for wine production, are excluded from the training dataset. This is done as a measure to control for faulty data and to not teach the model to rely on more certain 'high quality' regions and to not learn misleading trends. Specifically, these are regions for which the GDD is less than 850 or greater than 2700, where the HI is less than 1200 or greater than 3000 and the GST is less than 13 C or more than 24 C (Hall & Jones, 2010). The excluded regions will however be considered in the test set to confirm whether the model is able to make
- ⁶⁰⁰ regions will however be considered in the test set to confirm whether the model is able to make sensible predictions for 'odd' regions, or regions with faulty data.

2.5 Training

Climate indices are calculated for every region in the dataset of Anderson & Nelgen, (2020), for every year between and including 1996 and 2020 accounting for a total of 25 years. Individual years are used for training to (a) prevent over-fitting by allowing for more variability, or a sort of natural augmentation of the dataset, in the climate indices and (b) increase the overall amount of training data both critical for well-performing DL models (Howard & Gugger, 2020). The testing set consists of the average of the 10 years not used for training. The testing set includes all regions (903) so that the robustness of the model can be

- 610 properly assessed as well as its ability to predict beyond the training range and for unseen grape combinations. Both of these actions are taken to ensure that the model will perform under likely usage scenarios where 'unlikely' regions may be more common and also long-term averages will be used by the growers instead of yearly data. Since the grape acreage data has three distinct time points 2000, 2010, and 2016 so the training years selected include these years and
- also 2 years prior and after these 3 distinct years for a total of 15 years of training data for each region. Since regions do not keep the same proportions of the varieties each training year uses the closest of the three grape acreage time-points as its label. Regions which do not exist at all time points only are used in the training set for the 5 years where they are considered available. The testing set uses the average over all available years as the label. An overview of the training
- and test set years are shown in Table 4 below:

Table 4: The years used for training (blue) versus testing (violet) sets are shown.

Year	' 96	' 97	' 98	' 99	' 00	' 01	' 02	' 03	' 04	' 05	' 06	' 07	' 08	' 09
' 10	' 11	' 12	' 13	' 14	' 15	' 16	' 17	' 18	' 19	' 20				

From the training set 20 % is used as a validation set on each run, and cross-validation is run to align with previous work in crop recommender systems and also the ANN and XGBoost modelling practices (Islam et al., 2023; Musanase et al., 2023). Further, it allows us to gauge the robustness of the approaches somewhat. We use 5-fold cross-validation meaning that every model is trained with 80% of the training set, and 20% of the training set is used to implement early stopping and track validation loss. Early stopping was chosen so that all models, which generally have different required epochs for training are trained to the same degree, here 50 consecutive epochs of non-improvement of recommendation performance are used to stop training. The size of the training dataset is 7230 points (5784 training and 1446 for

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validation) and the test dataset contains 903 data points. This means that 1446 grape growing

area vectors are contained in the training set, each with 5 years of climate indices while the test set utilizes one set of 10-year averages for 903 regions and averaged grape growing areas over the years for these regions. In the next section, the losses used for training and validation are discussed.

2.5.1 Losses and Metrics

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The baseline models are trained by taking the climate data as input and predicting the popularity score of all the 1300 grapes considered, as would be the traditional approach (Musanase et al., 2023). The loss used to train the models is the mean squared error loss (MSE). This was done to create a regression rather than a classification problem such that the models learn for any climate input to give a likely popularity value to all of the considered grape varieties. For the CAE model, the loss also has an MSE component but also a joining loss component. The joining loss is necessary for connecting the two component models (Radford et al., 2021; Radhakrishnan et al., 2023). Here a similarity loss is chosen similar to the loss

- 645 used by Radford et al., (2021) and the loss used by Radhakrishnan et al., (2023), the objective of this loss is to maximize the cosine similarity between the bottlenecks or the latent spaces of the models. It is quite convenient to calculate since it essentially is the cross-entropy of the cosine similarity of the two latent spaces (Radford et al., 2021). Cross-entropy is the most commonly used loss for classification and therefore, also widely used in the previous crop
- ⁶⁵⁰ recommendation systems (Musanase et al., 2023). The cross-entropy component of the joiningloss measures whether for each dimension of the latent space, the corresponding dimension of the other models' latent space is the most in agreement with. Thereby the two latent spaces are shifted until they align. In addition to that, to ensure an even better connection between the latent spaces during training time some of the neuron activations are switched between the
- 655 models as was done by (Radhakrishnan et al., 2023). In this way, not only the joining loss is encouraging the models to have a similar embedding but the switched activations will carry

any misalignment into the final prediction such that the MSE component also adds to adjusting the model's latent spaces. The rate at which these activations are switched during training time is given by the mixing rate hyperparameter which was set to 0.33. This means that each latent space neuron had a probability of 0.33 to be switched with a neuron from the other model (this is calculated only for one model so that the chance for the other model remains the same). The AdamW optimizer was used to approximate the methodology from (Radford et al., 2021).

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To practice data augmentation we also set a dropout rate of around 15% where input grape varieties or individual climate indices are set to 0. This is done both for baseline and 665 CAE models. This allows the model to see configurations of grape varieties that are possible but do not exist in the dataset and also prevents it from giving too high of weight on any individual climate index. As mentioned above data augmentation is one of the most important tools in DL model development to create models that are robust to small input changes. It benefits the models similarly to additional data without requiring additional data (though if available additional data would be preferable) (Howard & Gugger, 2020).

Validation is performed by using the net-discounted-cumulative-gain (NDCG) metric. For this, the CAE model is put into recommendation mode, so that only climate is given and the latent space of the climate encoder is passed completely to the grape-variety decoder. NDCG measures whether the ordering of results is close to the ideal order of results, it is a 675 common metric for recommendation systems and hence used here (Wu et al., 2019). As explained above if the validation loss on the validation set does not decrease for 50 consecutive epochs training is stopped and the model is evaluated on the test set.

Other metrics used for evaluation are the top 1 accuracy; whether the top-ranked variety matches the real top-ranked variety (the main metric used by previous crop recommender systems), and additionally the top 5 accuracy which measures whether the actual most popular variety is within the top 5 most highly ranked varieties. Both of these measure the utility of the model to characterize the main few varieties of these regions. The Root Mean Squared Error

(RMSE) is used as a proxy to how well the models reduce the training loss (MSE). The root of the MSE is used as it makes the scale of the error more easily visible since all the values are
between 0 and 1. Having similar RMSE is expected from all models after training since it indicates that the training was successful and the training setup used was fair, or if one of the models is not at all suitable for solving the problem. Next, the area under the Precision-Recall Curve (auPRC) is used. Precision measures the proportion of true positives divided by the total positives in the model. The Recall also known as sensitivity measures how many of the positive

- 690 cases are identified (Musanase et al., 2023). In this case, each grape variety is treated as one sample (so there are 1300 samples per data-point in the test set). Each variety that exists in the region is treated as a true positive and then all the predicted values above the threshold are treated as predicted positive. The Precision-Recall curve then plots the Recall and Precision for various thresholds for each data-point. Recall would be maximized where all varieties found
- 695 are predicted with a certainty of 1 and Precision where all varieties not grown are given a score of 0. The average area under the Precision-Recall curve (auPRC) over all data-points therefore evaluates how sensitive the model is to including the varieties grown in the region as positive versus including other varieties. It is an overall measure of how selective the model is towards the relevant varieties. It may be seen that the auPRC score is a sort of combined measure of the
- other metrics and is therefore best used to evaluate the overall performance of the model. In the past Precision and also Recall have been used as metrics to evaluate recommender systems separately from one another (Darban & Valipour, 2022), here both are combined to give a more holistic view of the model performance.

3 Discussion & Results

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The results of the trained model evaluated on the test set alongside the baseline models are shown in Figure 3 below. Starting from the right to left the results will be discussed. Firstly,

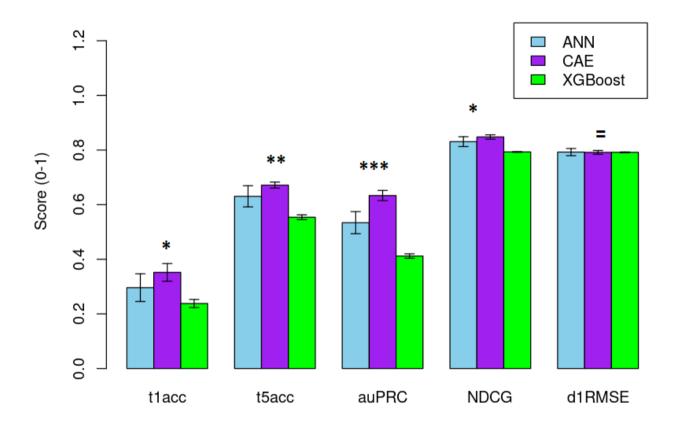
the d1RMSE is shown which is the difference between one and the RMSE score. This representation is chosen for consistency such that the higher value is better as with the other scores. This score is a proxy of the MSE score which is used as the training loss or a component

of the training loss of the models. As can be seen in Figure 3, all models can minimize the training loss roughly equally well. The paired t-test between the 5 ANN and CAE models performances for each cross-validation fold indicated that there is no significant difference between the ANN and CAE models in this metric. Because all models can minimize the training loss similarly it can be supposed that the difference in architecture does not affect the ability of the models to minimize the training loss but the difference lies in whether they minimize it in a way that is useful for the task that the models are intended for.

This is now seen with the NDCG loss which scores how close the ranking of the varieties matches the ranking that the test regions have. Firstly, statistically with p<0.05 the NDCG scores of the CAE models are significantly better than those of the ANN models. Additionally, it is observed that the ANN models have a much larger variance in their scoring efficiency. This would then suggest that not only does the CAE model outperform the ANN on average but it consistently outperforms the ANN approach and gives more reliable results which can be seen across all metrics shown in Figure 3. The CAE model performs more consistently than the ANN models, not just better but with more reliability. The CAE may through the bottleneck that it possesses remove some of the noise that can lead to erratic behaviour of neural network models. The same principle by which the bottleneck may prevent

overfitting as it forces selection of only the significant inputs from the previous layer (Howard & Gugger, 2020). Additionally, the latent space of the CAEs is informed by both the encoded climate and the encoded grape inputs which it has to align creating further restraint on the

730 bottleneck layer to further prevent overfitting, the ANN models have neither of these mechanisms.



Results

Figure 3: Results of the trained models on the test set. Significances are shown for a onesided paired t-test between the CAE model and the ANN model. (* for p<0.05, ** for p<0.01, *** for p<0.001, = for p>0.05)

Robustness is very important when evaluating an approach, especially a DL approach for which certain robustness cannot be guaranteed in general. DL models can erratically react to unseen data which makes it a critical issue to have robust DL approaches for users to trust the model's output, or to be able to gauge the approximate error (Howard & Gugger, 2020). 740 Seeing then in Figure 3 the consistency of the CAE results across the metrics is encouraging and may suggest that the models are worthy of trust, which will surely also advance the technique in the eyes of the end-users.

The accuracy scores also clearly show the superiority of the proposed CAE approach over both the ANN and XGBoost approaches, top 1 accuracy shows significantly better performance from CAE and high significance (p<0.01) between CAE and ANN performances for the top 5 accuracy. Past models of crop recommendations systems research perform very highly in terms of accuracy since they are trained only for this objective (Musanase et al., 2023), but the CAE approach outperforms these approaches when all are trained for recommendation rather than classification.

- The auprecess of the score that combines some aspects of the above-mentioned scores the auprecess of the clearest difference in performance can be seen between the models, with p<0.001 the CAE model outperforms the ANN model clearly, not to mention the XGBoost model. Again, with high consistency between the various runs. In conclusion the initial model results on the test set, which includes regions not seen and years not seen before in the training</p>
- 755 set, are very promising. The sets are very different but the performance of the CAE model is consistent and consistently better when it comes to the objectives of recommending suitable grape varieties. This is remarkable considering the CAE model is the only DL method tested which should otherwise be the type of model reacting more erratically (Howard & Gugger, 2020).
- To further evaluate the CAE model's ability to predict suitable varieties for other regions the wine-growing regions of British Columbia are used. Climate indices are calculated according to the training and testing set, the average value over the years 1996 to 2020 is used as the input. The locations are this time hand-picked from the wine-growing regions, to select a location that is representative of the prevalent growing conditions. Data of the most common

(of which 3 are regions that combine multiple towns) (Withler & Geldart, 2023). The results of the prediction can be seen in Table 5 below.

The combined regions from the dataset are separated here for a total of 20 regions. We assume that the true variety distribution remains the same in each split-off region for the sake of simplicity. The varieties are colour-coded to be easily visually distinguished by the reader. 770 When the varieties are also predicted to be in the top 4 they are marked in green, if they are predicted in the top 10 they are marked in yellow, and 10-25th are marked in orange with red past that. At first look, it can be seen that most of the varieties in the top 4 in reality are also ranked among the top 4 by the model, roughly 62.5% of varieties. Another 26.25% were ranked 775 within the top 10 varieties for the climate. As a reminder to the reader, at this point, only the popularity is shown not the suitability which will be discussed later. Overall, 88.75% of the top 4 varieties from the British Columbia wine regions are predicted to be at least in the top 10 based on popularity. The worst of the predictions are Maréchal Foch (59th) for Vancouver Island and La Crescent (64th) for the Thompson Valley. Considering the model has the option of choosing any of 1300 grape varieties the worst prediction is within the top 95.5% of grapes. 780 This is still quite a good considering that the global acreage of Maréchal Foch is about 465 ha

and of La Crescent 114 ha compared to 21067 ha for Gewürztraminer or 171940 ha for Pinot Noir. However, this error in ranking points out a weakness of using only the popularity score, and the necessity of normalizing for overall popularity. In Table 6 the top ranked varieties by
suitability score are shown which accounts for this problem. For suitability or potential there unfortunately is no validation data, but it is nonetheless interesting to observe.

Table 5: Results of popularity predictions for 20 selected locations in British Columbia versus the true popularity of the same varieties according to the Grape Growers BC report (Withler & Geldart, 2023).

Region	Popularity	Predicted Rank	Top 4 Predicted Grapes			
Oliver	Merlot	3	Pinot Noir			
	Cabernet Sauvignon	5	Chardonnay			
	Chardonnay	2	Merlot			
	Cabernet Franc	7	Syrah			
Penticton*	Pinot Noir	1	Pinot Noir			
	Pinot Gris	3	Chardonnay			
	Merlot	8	Pinot Gris			
	Chardonnay	2	Gewürztraminer			
Naramata*	Pinot Noir	1	Pinot Noir			
	Pinot Gris	3	Chardonnay			
	Merlot	7	Pinot Gris			
	Chardonnay	2	Syrah			
Kaleden*	Pinot Noir	1	Pinot Noir			
	Pinot Gris	3	Chardonnay			
	Merlot	8	Pinot Gris			
	Chardonnay	2	Gewürztraminer			
Osoyoos	Merlot	3	Pinot Noir			
0.009.000	Cabernet Franc	6	Chardonnay			
	Cabernet Sauvignon	5	Merlot			
	Syrah	4	Syrah			
Kelowna	Pinot Noir	1	Pinot Noir			
11010 W Hu	Chardonnay	2	Chardonnay			
	Pinot Gris	3	Pinot Gris			
	Riesling	10	Syrah			
Similkameen Valley	Merlot	6	Pinot Noir			
Similarie vancy	Cabernet Sauvignon	3	Chardonnay			
	Cabernet Franc	13	Cabernet Sauvignon			
	Chardonnay	2	Riesling			
Okanagan Falls	Pinot Noir	1	Pinot Noir			
OKanagan 1 ans	Chardonnay	4	Pinot Gris			
	Pinot Gris	2	Syrah			
	Gewürztraminer	5	Chardonnay			
Summerland**	Pinot Noir	1	Pinot Noir			
	Gewürztraminer	4	Chardonnay			
	Chardonnay	2	Pinot Gris			
	Pinot Gris	3	Gewürztraminer			
Peachland**	Pinot Noir	1	Pinot Noir			
i vavillanu	Gewürztraminer	7	Chardonnay			
	Chardonnay	2	Cabernet Sauvignon			
	Pinot Gris	5	Merlot			

Region	Popularity	Predicted Rank	Top 4 Predicted Grapes	
West Kelowna	Pinot Noir	1	Pinot Noir	
	Chardonnay	23	Chardonnay	
	Pinot Gris	3	Pinot Gris	
	Riesling	10	Syrah	
Lake Country***	Pinot Noir	1	Pinot Noir	
Lune country	Pinot Gris	4	Chardonnay	
	Riesling	10	Merlot	
	Chardonnay	2	Pinot Gris	
Vernon***	Pinot Noir	1	Pinot Noir	
	Pinot Gris	6	Chardonnay	
	Riesling	5 2	Cabernet Sauvignon	
	Chardonnay	2	Merlot	
Vancouver Island	Pinot Noir	1	Pinot Noir	
	Pinot Gris	3	Chardonnay	
	Ortega	11	Pinot Gris	
	Maréchal Foch	59	Seyval Blanc	
Fraser Valley	Pinot Noir	1	Pinot Noir	
	Bacchus	20	Pinot Gris	
	Siegerrebe	38	Chardonnay	
	Pinot Gris	2	Chasselas	
Shuswap	Maréchal Foch	10	Pinot Noir	
Shustup	Ortega	26	Chardonnay	
	Siegerrebe	22	Aligoté	
	Pinot Noir	1	Pinot Blanc	
Thompson Valley	Pinot Noir	1	Pinot Noir	
r nompson v uneg	La Crescent	64	Chardonnay	
	Chardonnay	2	Gewürztraminer	
	Riesling	8	Cabernet Sauvignon	
Kootenays	Pinot Noir	1	Pinot Noir	
lioovenajs	Gewürztraminer	11	Chardonnay	
	Chardonnay	2	Cabernet Sauvignon	
	Pinot Gris	6	Pinot Blanc	
Gulf Islands	Pinot Noir	1	Pinot Noir	
Guir Isiunus	Pinot Gris	3	Chardonnay	
	Chardonnay	2	Pinot Gris	
	Gewürztraminer	29	Bacchus	
Lillooet	Cabernet Franc	10	Pinot Noir	
Linoott	Merlot	7	Chardonnay	
	Riesling	4	Pinot Gris	
	Pinot Noir	1	Riesling	

* These were considered the same region by Withler & Geldart, (2023) and so are assumed to share the true popularity data. Predictions were generated for separate locations. ** Similar to the above

*** Similar to above

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Table 6: Results of suitability predictions for 20 selected locations in British Columbia versus the true popularity of the same varieties according to the Grape Growers BC report (Withler & Geldart, 2023). In brackets under the Region name the mean suitability is listed.

Region	Popularity	Predicted Rank	Suitability Score	Top4PredictedSuitable Grapes
Oliver	Merlot	7	0.41	Pinot Noir
	Cabernet Sauvignon	12	0.37	Muscat Swenson
(0.014)	Chardonnay	4	0.45	Castel
	Cabernet Franc	10	0.39	Chardonnay
Penticton*	Pinot Noir	1	0.66	Pinot Noir
	Pinot Gris	3	0.52	Blattner Reds
(0.012)	Merlot	12	0.35	Pinot Gris
	Chardonnay	5	0.49	Gewürztraminer
Naramata*	Pinot Noir	1	0.66	Pinot Noir
	Pinot Gris	2	0.59	Pinot Gris
(0.015)	Merlot	7	0.42	Blattner Reds
	Chardonnay	5	0.54	Gewürztraminer
Kaleden*	Pinot Noir	1	0.68	Pinot Noir
	Pinot Gris	2	0.56	Pinot Gris
(0.011)	Merlot	9	0.35	Gewürztraminer
	Chardonnay	4	0.51	Chardonnay
Osoyoos	Merlot	7	0.55	Muscat Swenson
e	Cabernet Franc	8	0.53	Pinot Noir
(0.017)	Cabernet Sauvignon	10	0.50	Castel
	Syrah	6	0.55	Chardonnay
Kelowna	Pinot Noir	4	0.64	Muscat Swenson
	Chardonnay	9	0.58	Blattner Reds
(0.019)	Pinot Gris	3	0.65	Pinot Gris
	Riesling	22	0.41	Pinot Noir
Similkameen	Merlot	6	0.16	Pinot Noir
Valley (0.004)	Cabernet Sauvignon	3	0.25	Chardonnay
	Cabernet Franc	13	0.05	Cabernet Sauvignon
	Chardonnay	2	0.28	Riesling
Okanagan Falls	Pinot Noir	1	0.67	Pinot Noir
9B	Chardonnay	5	0.53	Pinot Gris
(0.013)	Pinot Gris	2	0.63	Gewürztraminer
	Gewürztraminer	3	0.59	Syrah
Summerland**	Pinot Noir	1	0.68	Pinot Noir
'	Gewürztraminer	3	0.53	Pinot Gris
(0.010)	Chardonnay	4	0.49	Gewürztraminer
	Pinot Gris	2	0.55	Chardonnay
Peachland**	Pinot Noir	1	0.53	Pinot Noir
	Gewürztraminer	7	0.16	Chardonnay
(0.005)	Chardonnay	2	0.31	Cabernet Sauvignon
	Pinot Gris	4	0.21	Pinot Gris

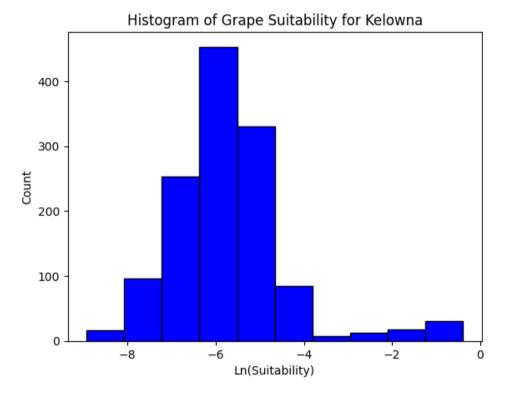
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Region	Popularity	Predicted Rank	Suitability Score	Top 4 Predicted Suitable Grapes
West Kelowna	Pinot Noir	3	0.65	Blattner Reds
	Chardonnay	7	0.59	Pinot Gris
(0.018)	Pinot Gris	2	0.67	Pinot Noir
	Riesling	22	0.43	Muscat Swenson
Lake	Pinot Noir	7	0.65	Muscat Swenson
Country*** (0.021)	Pinot Gris	6	0.66	Blattner Reds
	Riesling	23	0.46	Ehrenfelser
	Chardonnay	12	0.62	Sovereign Opal
Vernon***	Pinot Noir	1	0.56	Pinot Noir
	Pinot Gris	5	0.39	Chardonnay
(0.013)	Riesling	14	0.34	Pinot Blanc
	Chardonnay	2	0.42	Maréchal Foch
Vancouver	Pinot Noir	1	0.77	Pinot Noir
Island (0.005)	Pinot Gris	3	0.36	Chardonnay
	Ortega	8	0.17	Pinot Gris
	Maréchal Foch	58	0.0088	Madeleine×Angevine7672
Fraser Valley	Pinot Noir	1	0.66	Pinot Noir
	Bacchus	27	0.030	Pinot Gris
(0.004)	Siegerrebe	32	0.027	Chardonnay
	Pinot Gris	2	0.41	Chasselas
Shuswap	Maréchal Foch	8	0.14	Pinot Noir
(0.004)	Ortega	29	0.062	Chardonnay
	Siegerrebe	11	0.11	Pinot Blanc
	Pinot Noir	1	0.39	Ruby
Thompson Valley (0.013)	Pinot Noir	1	0.58	Pinot Noir
	La Crescent	60	0.04	Blattner Reds
(0.0.00)	Chardonnay	3	0.43	Chardonnay
	Riesling	18	0.30	Gewürztraminer
Kootenays	Pinot Noir	1	0.34	Pinot Noir
	Gewürztraminer	11	0.05	Chardonnay
(0.003)	Chardonnay	2	0.22	Pinot Blanc
	Pinot Gris	5	0.081	Cabernet Sauvignon
Gulf Islands	Pinot Noir	1	0.76	Pinot Noir
	Pinot Gris	7	0.42	Madeleine×Angevine7672
(0.008)	Chardonnay	2	0.56	Chardonnay
	Gewürztraminer	36	0.037	Bacchus
Lillooet	Cabernet Franc	10	0.042	Pinot Noir
	Merlot	7	0.065	Chardonnay
(0.003)	Riesling	4	0.13	Pinot Gris
	Pinot Noir	1	0.40	Riesling

* These were considered the same region by Withler & Geldart, (2023) and so are assumed to share the true popularity data. Predictions were generated for separate locations. ** Similar to the above

*** Similar to above

- For interpretability of the tables, the color coding for the suitability scores are as follows: dark green is assigned to a score above e^{-2} so about 0.135 is considered excellent suitability, between 0.135 and e^{-5} is colored in light green which is considered above average suitability (about 0.0067). Defining which score is suitable objectively is difficult so here the histogram from Figure 4 is used to define categories by the distribution of suitability scores.
- The averages of the regions are not quite the same but it should be noted that the number of grape varieties ranked highly is very small for each region, and therefore the average is largely dependent on the score of the first few varieties, which are much larger than the subsequent scores. This is also seen in the histogram of the ratings for Kelowna in Figure 4 below.



820 Figure 4: The log-Histogram over all grape varieties' suitability scores for the Kelowna region of British Columbia.

Regarding the suitability scores, it is clearly visible that the suitability rankings does not disqualify any of the varieties grown in the BC wine regions but assigns them at least above average suitability. This is a promising result for using the suitability score in the future. What is needed however, is a clear definition of which threshold means what, when is something very suitable versus just possible to be grown? Such definition will likely need to be developed iteratively and may need to utilize empirical experience from applying the model in practice and gauging the response and advice of a multitude of experts. Since such field trials are outside of the scope of the thesis the matter of the suitability score will be left here. Results are promising, suitable varieties in the regions tested were always ranked above average for the region. In the future, clear definitions of high versus low versus medium suitability will be

required for the purposes of easier usability, for now quantiles or above/below averages may be used to gauge suitability.

- The next case study is conducted on the future suitability of Pinot Noir using climate models. This is to test the utility of the model for planning future wine regions and adapting grape varieties to climate change scenarios as may be required in the future (Wolkovich et al., 2018). Since there is no validation data necessarily in this area, anecdotal evidence will need to be used. Mainly the ease with which the method can be applied to climate projections is assessed. For this case study the source of the climate data is the CNRM-CM6-1-HR model from CNRM-CERFACS (CNRM-CERFACS, n.d.). It is part of the CMIP6 exercise. This model was selected because it provides relatively high-resolution (50x50 km) data of the required climate variables while also providing some in daily time-step which is required to calculate the indices used in this study from Puga et al., (2022). The calculations of the climate
- indices are the 20 year averages for the historical suitability prediction from the years 1995 to 2015 and for the future scenarios (SSP126: low emission scenario and SSP585: high emission scenario), the years from 2025 to 2045 are used. The average of 20 years is used for modelling and the growing seasons are defined as by Puga et al., (2022). It is assumed that small

differences that may arise due to differences in one or two days in the growing season will be

accounted for by the averaging. Additionally, it is assumed that end-users will likely not take the time to adapt our definition from the training set when using the model so this also simulates the likely usage scenario of the model as mentioned in the methodology section. The indices are calculated largely the same but since vapour pressure deficit is not available from the climate model directly the relative humidity is used to calculate this value according the handbook of the FAO Chapter 3 equations (11), (12) and (19) (FAO, 1998).

First, the historical Pinot Noir suitability from this new dataset is shown in Figure 5 which serves as a baseline to interpret the future predictions from.

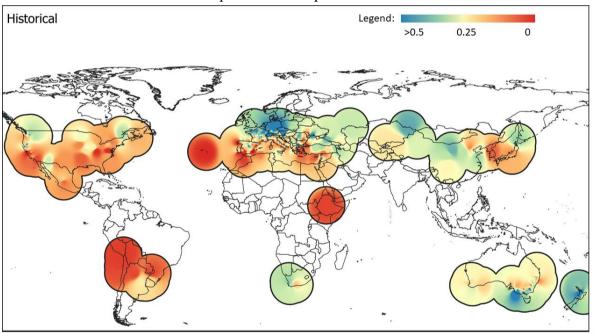
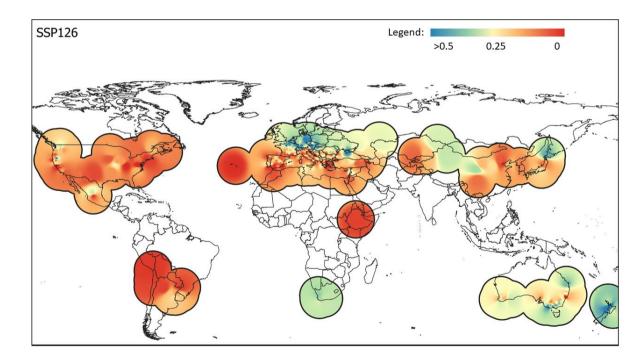


Figure 5: Historical Pinot Noir Suitability Prediction, Inverse Distance Weighted interpolation is shown with p=5.

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The Pinot Noir suitability is predicted at 617 of the locations previously defined (these were filtered as previously the regions for the training set), then Inverse Distance Weighted (IDW) interpolation was run (p=5). Interpolated data is shown 10 degrees latitude around the sampling locations, for better visualization. The sampling locations used are shown in the Appendix in Figure A1. The interpolation approach is used to better visualize trends across regions while evaluating every 50 km was unfeasible within the timeframe of this degree and available computational resources. The colouring of the map does not match the colours with which suitability was defined before. This is done to more clearly show the differences in suitability since Pinot Noir has a very high average suitability score over the evaluated regions



so using the previous colouring scheme would lead to a map lacking contrast.

Figure 6: Pinot Noir Suitability Prediction under the SSP 1 scenario which assumes a temperature increase of 2.6 degrees Celsius, Inverse Distance Weighted interpolation is shown with p=5.

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The colouring here depends on the average suitability score of Pinot Noir which for the evaluated regions lies roughly at 0.25. The colouring scheme is maintained for the following maps of the climate projections with SSP126 shown in Figure 6 above and SSP585 shown in Figure 7 below.

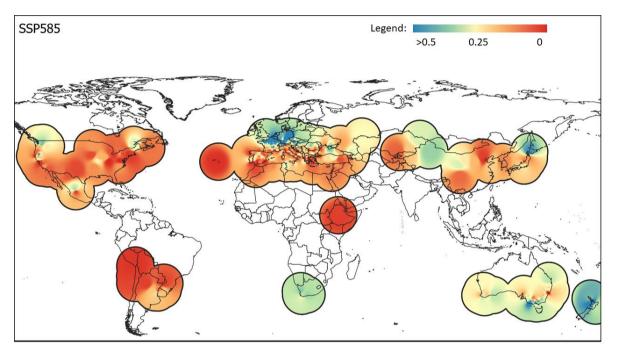


Figure 7: Pinot Noir Suitability Prediction under the SSP 5 scenario which assumes a temperature increase of 8.5 degrees Celsius, Inverse Distance Weighted interpolation is shown with p=5.

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Firstly, it is demonstrated that quite simply a global suitability map can be created using the CAE models presented. This, since it can be applied to past and future climates, is a quite useful tool in the hands of the wine industry and grape growers to guide long-term planning. Here only the results for Pinot Noir are shown, but with the same predictions 1300 grape varieties are ranked at the same time. Due to its flexibility, and even expandability, for which this approach was chosen in the first place means that it also hasn't reached its potential yet. At the moment it is discouraged to use the figures or models to make investment decisions. While the model was shown here to be reliable it is now applied to a new dataset and more aspects of the model may want to be tested before too much trust is given to it. The historical prediction of Pinot Noir suitability aligns almost exactly with the expected regions for Pinot Noir which are in the northern European wine-growing regions, South Africa, and regions like Oregon which is quite a clear fit according to the map generated in the figures

- above (Johnson & Robinson, 2001). The suitability of some regions in Australia and not others nearby is also to be expected as Pinot Noir is grown in Australia but only where altitude or sea breezes create favorable conditions (Johnson & Robinson, 2001). The detail of the model's suitability predictions is further confirmed by the prediction for southern California which is based on the wine region of Santa Barbara which is an outlier geographically, but as the model shows and as is the case in reality, is known to produce Pinot Noir (Johnson & Robinson,
- 2001).

Interestingly, climate projections do not predict large deviations from the geographic regions in which Pinot Noir is suitable. This is to be expected as the time frame used in this study is quite small in the scale of climate. Small changes are visible however, under both scenarios Pinot Noir has a lower average suitability, which is expected as Pinot Noir thrives in rather cooler regions. Past research has also shown that likely Pinot Noir's suitability will decrease due to increasing temperature which the variety is rather sensitive too (Skahill et al., 2022, 2023). This included regions where Pinot Noir is currently very popular such as the Willamette Valley of Oregon. The models shown here predict a decrease in suitability which 910 matches the results from Skahill et al., (2022).

Some other interesting developments are that the models predict that Arkansas may become a better region in the future to produce Pinot Noir. For such discoveries the model is most useful as it can help growers and industry anticipate climate trends and potential before otherwise possible.

915 4 Conclusion

The world of viticulture is facing uncertainty in terms of the suitability of grape varieties given our changing climate. To assist in guiding through this uncertainty, a generative deep learning model is developed in this research that aims to assists grape growers with guidance on the popularity and suitability of grape varieties for their climate. With ease this model can also be

- 920 applied to predict future suitability at the global level. To the best of my knowledge, this is the first time such a tool is developed, that can rank 1300 grape varieties by suitability for any climate. A total of 16 indices covering major aspects of climatic conditions known to impact wine quality were used in the definition of climate. The model has been shown here to be more reliable and more consistent than previously used approaches for similar tasks such as artificial
- 925 neural networks and XGBoost. Through its architecture the model seems to be less susceptible to irrational predictions, even compared with the simpler models, an important factor for its application in practice. Additionally, due to the underlying design, the model is useable through transfer learning and may be adapted to solve other similar problems relating to the climatedependent attributes of grape varieties. By providing not only a popularity but also a suitability
- 930 score, smaller grape varieties that may have been overlooked are rated among the more famous international varieties to give the best possible utility to end users, the wine industry and grape growers. However, future research will need to define specific thresholds of suitability and ideally confirm these with empirical evidence from grape growers or viticultural experts for the suitability score to be directly useful for decision making. Additionally, more data and more
- 935 fine-resolution data would also aid in further increasing the utility of the grape variety recommendation models. No investments should be made based on this research at the moment but the approach has shown its utility and will hopefully help to provide guidance to industry in the near future.

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A1. Appendix

