Novel Genetic Determinants of

High-Density Lipoprotein Cholesterol (HDL-C)

in the French Canadian Population

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i. Abstract

HDL-C levels, are an independent risk factor for heart disease, and are influenced by multiple genes and environmental factors. Choosing an isolated population could reduce the heterogeneity and increase power to discover susceptibility genes for low HDL-C. To identify novel genetic determinants that contribute to HDL-C levels, I used several genetic approaches on highly informative families of French Canadian descent with probands selected for HDL-C levels < 5^{th_0} (age and sex specific). The estimated heritability of HDL-C for this cohort was 0.73. Using a candidate gene approach, I identified a novel mutation of *ApoAI* (apo AI_{E136X}) in three probands. Segregation analysis showed a strong co-segregation of the *ApoAI* allele with the low HDL-C trait in these kindreds.

I also performed whole genome linkage analysis using 485 markers situated at intervals of 6 centiMorgans (cM). In parametric two-point linkage analyses, the highest two-point LOD score of 4.6 was observed with the marker D4S424 on chromosome 4q31.21. I further refined the linked region from 12.2 cM to 2.9 cM (2.37 Mb) by genotyping 15 additional markers in the three families with the highest LOD-scores. None of the genes residing in the significantly restricted 2.37 Mb region has previously been associated with HDL-C metabolism.

In quantitative linkage analysis, I identified significant linkage to a locus on chromosome 16q23-24 that had been previously implicated in linkage scans for HDL-C. I examined this region for association using both family-based and

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case-control analyses. Within an 18.1 cM region (7.8 Mb) four families demonstrated segregation. All coding regions and exon-intron boundaries of all genes within this region were sequenced. A missense variant in the *CHST6* gene showed segregation in four families. An association analysis that included all of the French Canadian families as well as other cohorts showed association for one common intronic SNP (rs2548861) in the *WWOX* gene, which is close to the *CHST6* gene. In addition, RT-PCR results from cultured cells demonstrated a significant difference in the expression level of *CHST6* and *KIAA1576* in the region. This study provides significant evidence for genes influencing HDL-C on chromosomes 4q31.21 and 16q23-24.

ii. Résumé

IL est bien établi qu'un niveau bas de HDL-C représente un facteur de risque des maladies coronariennes, le HDL-C est influencé par plusieurs gènes et facteurs environnementaux. Des études génétiques récentes ont montré que le ciblage d'une population bien définie pourrait réduire l'hétérogénéité et contribuerait à découvrir de nouveaux gènes de susceptibilité aux niveaux bas du HDL-C. Dans le but d'identifier de nouveaux gènes qui control la régulation du HDL-C, nous avons utilisé plusieurs approches génétiques sur les familles d'ascendance canadienne-française avec des probands sélectionnés pour les niveaux HDL-C <5 e percentile (âge et sexe). Nos analyses démontrent une augmentation de l 'héritabilité estimée de HDL-C dans cette cohorte (0.73). D'autre part, l'utilisation d'une approche gène candidat, nous a permis l'identification d'une nouvelle mutation de *l'apo AI* (apo AIE136X) dans trois familles de cet échantillon avec une forte co-ségrégation de l'allèle *ApoAI* avec un HDL-C bas.

J'ai également effectué une analyse de liaison du génome en utilisant 485 marqueurs situés à des intervalles d'environ 6 centiMorgans (cM). Nos résultas démontrent un LOD score de 4-6 sur la base de l'utilisation du marqueur D4S424 sur le chromosome 4q31.21.

Une analyse quantitative de liaison génétique a permis l'identification des liens avec un locus sur le chromosome 16q23-24 impliqués dans la régulation HDL-C. Nos résultas démontrent qu'une variante dans le gène *CHST6* (missence) montré une ségrégation dans quatre familles. D'autre part, l'analyse de toutes les familles canadiennes-françaises, ainsi que d'autres cohortes ont montré une association commune pour un intron, SNP (rs2548861) dans le *WWOX* gène, chromosome 16q23.3 cartes-q24. En outre, les résultats de RT-PCR à partir de cellules en culture ont démontré une différence significative dans le niveau d'expression des *CHST6* et *KIAA1576*, un autre gène de la région. Cette étude mis en évidence les gènes ayant une influence significative sur le HDL-C sur les chromosomes 4q31.21 et 16q23-24. Cette etude permet une meilleure compréhension des facteurs génétiques de prédisposition à la maladie coronarienne.

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vi. List of Abbreviations

ABCA1, ATP binding cassette sub-family A1;

ABCG, ATP-binding cassette sub-family G;

ANGPTL4;, angiopoietin-like 4

ApoA, apolipoprotein A;

ApoAI, apolipoprotein A1;

ApoB, apolipoprotein B;

ApoC, apolipoprotein C;

ApoE, apolipoprotein E;

BMI, body mass index;

CAD, coronary artery disease;

CETP, cholesteryl ester transfer protein;

CM, chylomicrons;

CTCF, CCCTC-binding factor (zinc finger protein)-

DM, diabetes mellitus;

EL, endothelial lipase;

eNOS, endothelial NO synthase;

FCHL, familial combined hyperlipidemia;

FH, familial history;

GALNT2, polypeptide N-acetylgalactosaminyltransferase 2;

GWAS, genome-wide association studies;

HDL, high density lipoproteins;

HDL-C, plasma high-density lipoprotein cholesterol;

HL, hepatic lipase;

HNF4A, hepatocyte nuclear factor 4 alpha;

HTN, hypertension;

IBD, identical by descent;

ICAM-1, intercellular adhesion molecule-1;

IDL, intermediate density lipoproteins

LCAT, lecithin:cholesterol acyltransferase;

LDL, low density lipoproteins

LDL-C, plasma low density lipoprotein cholesterol;

LP(a), lipoprotein a ;

MADD, MAP-kinase activating death domain-containing;

FOLH1, folate hydrolase 1;

MVK-MMAB, mevalonate kinase- cob(I)alamin adenosyltransferase precursor;

NO, nitric oxide;

PAF-AH, platelet-activating factor acetylhydrolase;

PAGGE, polyacrylamide gradient gel electrophoresis;

PBS, phosphate-buffered saline;

PON1, paraoxonase-1;

PON3, paraoxoanse-3;

QTL, quantitative trait locus;

RCT, reverse cholesterol transport;

SMCs, smooth muscle cells;

SNP, single nucleotide polymorphism;

SR-BI, scavenger receptor B1;

- T Chol, plasma total cholesterol;
- TG, plasma triglycerides;
- TTC39B, tetratricopeptide repeat domain 39B;
- VLDL, very low density lipoproteins;
- VCAM-1, vascular cell adhesion molecule-1;

vii. Contribution of Authors

As permitted under the "Guidelines for Thesis Preparation" provided by the Graduate and Postdoctoral Studies Office, chapter 3, 4, 5, and 6 of this thesis include manuscripts either published or submitted for publication. The contributions of all authors are listed below:

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The contributions made by co-authors for this chapter:

I designed the experiments and carried out all the bench work, performed data analysis, and wrote the draft and tables and figures. Larbi Krimbou performed 2D gel electrophoresis and Michel Marcil carried out the LCAT assay. Katia Desbiens, Betsie Boucher and Carole Dangoisse provided technical support. James Engert supervised the sequencing work. The rest of the authors provided helpful suggestions and critically reviewed the manuscript.

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I did all the experiments for fine mapping, and sequencing, as well as the data analysis, table and figure preparation and drafted the manuscript. Paivi Pajukanta and Leigh Quiogue performed parametric linkage analysis and made suggestions to revise the work. James Engert helped select the individuals for genotyping, and performed the quality control on the genotypes. The remaining authors provided helpful suggestions and critically reviewed the manuscript.

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I performed the genotyping and data analysis. Isabelle Ruel contributed to the genotyping. The remaining authors provided helpful suggestions and reviewed the manuscript.

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I designed the experiments and carried out all the lab work including genotyping, candidate gene sequencing, and RT-PCR. I also analyzed the data including haplotying and prepared the tables and figures, and drafted the manuscript for this publication. Nicholas Rudzicz helped with the QTL analysis and the running of the SOLAR program. Swneke D. Bailey helped in making the figures. Isabelle Ruel provided technical support. Mathieu Lemire, Janet Faith, Jill Platko, John Rioux, Thomas J. Hudson, and Daniel designed the SLSJ study, generated the SLSJ genotypes and the provided SLSJ genome wide data. The remaining authors provided helpful suggestions and reviewed the manuscript.

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CHAPTER 1 INTRODUCTION & LITERATURE REVIEW

1.1 Cardiovascular diseases and risk factors

Cardiovascular diseases are the leading cause of death in both sexes in developed countries¹. According to data from the American Heart Association, in the United States in 2008, approximately one person has a heart attack every 26 seconds and among these subsequently one dies about every 60 seconds². Particularly, at least a third of these deaths are premature occurring among people younger than 55 years of age. Most of this premature mortality occurs in a subgroup of the population that is prone to accelerated atherogenesis caused by genetic, lifestyle, environmental factors and the interaction between them.

Atherosclerosis or coronary artery disease (CAD), is characterized by the accumulation of lipids and fibrous elements in large and medium-sized coronary arteries. The initial lesions of atherosclerosis consist of subendothelial accumulations of cholesterol-loaded macrophages called foam cells. Eventually, smooth muscle cells (SMCs) move from the middle layer into the subendothelial layer and proliferate to form fibrous plaques. This accumulation of fat-laden cells, SMCs, and other material forms a patchy deposit called an atheroma or atherosclerotic plaque. With additional growth, the atheroma progressively narrows the arterial lumen or later ruptured, resulting in ischemia and heart attack³.

Epidemiological studies have revealed numerous risk factors for CAD. These factors can be classified into two groups: the first group includes those factors with an important genetic component, such as high levels of plasma total cholesterol (T Chol), low-density lipoprotein cholesterol (LDL-C), triglyceride (TG), lipoprotein a (Lp(a)), C-reactive protein and homocysteine, low levels of plasma high-density lipoprotein cholesterol (HDL-C), high body mass index, high blood pressure, and type 2 diabetes; And the second group is environmental risk factors, such as smoking, obesity, a diet high in fat and calories, physical inactivity, inflammatory diseases, stress, and air pollution.

Although some risk factors-such as increasing age and male gendercannot be changed, a number of them can be modified, despite having a genetic component. These include cholesterol levels and hypertension. In view of the fact that disorders of lipoprotein metabolism are associated with atherosclerosis, the next section will discuss the metabolism of lipoproteins in more detail.

1.2 Metabolism of plasma lipoproteins in humans

Cholesterol has several vital functions in the body. It plays an important role in the stability of the cell membrane but also provides a precursor for bile acids, adrenal steroid and sex hormones. Therefore, an adequate quantity of cholesterol is essential for survival. Cholesterol is structurally different from the other lipids in the body, triglycerides and phospholipids. The molecule consists of three main regions: a hydrocarbon tail consisting of a chain of eight carbons, a steroid-ring nucleus, and a hydroxyl group. Cholesterol is a lipid and, therefore, travels along with triglyceride in the blood through complexes called lipoproteins.

1.2.1 Lipoprotein structure and function. ^{4, 5}

Lipoproteins are a heterogeneous population of macromolecules containing a core of neutral lipids consisting of cholesteryl esters and triglycerides surrounded by a monolayer surface of polar lipids (unesterified cholesterol and phospholipids) and proteins called apolipoproteins (apoproteins) (Figure 1). In addition, a number of different phospholipids cover the surface of lipoproteins, the more common of which are phosphatidylcholine, phosphatidylserine, phosphatidylethanolamine, and sphingomyelin.

There are four major classes of apolipoproteins, each with its own subclasses: apolipoprotein B (apoB), apolipoprotein A (apoA), apolipoprotein C (apoC), and apolipoprotein E (apoE). While the majority of apoproteins are synthesized in the liver, the intestine secretes apoB48 and a portion of apoAs and apoE.

Figure 1. Structure of lipoproteins.

Modified from the book: Cholesterol and Atherosclerosis Daignosis and

treatment written by Scott M. Grundy⁶.



Apoproteins differ between lipoproteins and serve a variety of important functions in the body. Explicitly, they require the synthesis and secretion of specific lipoproteins, stablize the lipoprotein coat and therefore, whole particles, provide structural integrity for the lipoprotein, act as cofactors or inhibitors in the activation of specific enzymes, and interact with specific cell membrane receptors that are involved in the receptor-mediated removal of lipoproteins from circulation or contribute to lipoprotein metabolism.

1.2.2 Classification of lipoproteins

The main functions of the various lipoproteins are determined by their apolipoprotein and lipid components. In general, lipids have lower density than proteins; hence the lipid-to-protein ratio of a lipoprotein determines its class. Those with a lower amount of lipid relative to protein will have a higher density than lipoproteins with a larger lipid-to-protein ratio. Traditionally, plasma lipoprotein classification depends on the density or mobility on agarose gelelectrophoresis based on their size. As shown in **Figure 2**, they are divided into five main categories: chylomicrons (CM), very low-density lipoproteins (VLDL), intermediate density lipoproteins (IDL), low-density lipoproteins (LDL), and high-density lipoproteins (HDL). The following sections will describe each class of lipoprotein in more detail.

Figure 2. Plasma lipoprotein classification.

Based on their diameter on x-axis and their density on Y-axis.



1.2.2.1 Chylomicron (CM)

CMs have a large core of mainly triglycerides and a small amount of cholesteryl esters. Their diameter ranges from 70-1200 nm with a density of less than 0.95g/ml (Table 1). The role of CM is to transport dietary triglycerides to various tissues. They are synthesized within intestinal mucosal cells from assembly of triacylglycerols, derived from dietary fat absorption, and apoB48. ApoB48 results from the apoB100 gene, modified post-transcriptionally by the apo B editing Complex which demethylates a cysteine on the apo B mRNA to uracyl and thus leads to a stop codon, forming a mRNA and a protein that has

48% of the mature apo B100. Subsequently, CMs are secreted into the mesenteric lymph and enter systemic circulation. Other apoproteins are also secreted with CMs. As CMs enter the plasma, they acquire apoE and apoCs (CI, CII, and CIII). In the capillaries of adipose tissue or muscle cells in the peripheral circulation, lipoprotein lipase (LPL) hydrolyzes the triglycerides of CM and releases fatty acids and the A and C apolipoproteins back into systemic circulation. ApoCII (an activator) and apoCIII (an inhibitor) modulate LPL activity. The fatty acids released during lipolysis can be taken up either by muscle cells for energy utilization or adipose tissue for storage. Following LPL action, CMs contain apoB48, apoE, cholesterol ester, and less triglyceride, and are called remnant CMs. They return to the liver for degradation and reutilization of their core constituents. **(Figure 3A)**

1.2.2.2 Very low-density lipoprotein (VLDL), intermediate density lipoprotein (IDL)

VLDL is the major lipoprotein synthesized by the liver and is responsible for transporting endogenously synthesized triacylglycerols (also called triglycerides) from the liver to peripheral tissues. These triglyceride-rich lipoproteins are smaller than chylomicrons, having diameters ranging from 30-80 nm and densities between 0.95-1.006g/ml. ApoB100 is the main structural apolipoprotein in the VLDL particle but it also contains apoE and apoCs (CI, CII, and CIII) (**Table 1**). ApoB100 is synthesized in the rough endoplasmic reticulum and is assembled with triglycerides in hepatocytes and secreted as nascent VLDL. Triglyceride is the major lipid component of the nonpolar core of VLDL but cholesterol ester is also present. As these lipoproteins circulate, they transform into mature VLDL particles via the acquisition of cholesteryl esters and ApoCII, CIII, and E transferred from HDL. VLDL particles follow the same catabolic pathway through lipoprotein lipase as chylomicrons. During hydrolysis of phospholipids, most apoCs and some apoEs leave the surface coat of VLDL and are transferred to HDL. VLDLs also exchange triglycerides for cholesteryl esters from HDL, mediated by cholesteryl ester transfer protein (CETP). After hydrolysis of triglycerides, the VLDL remnants, called intermediate-density lipoprotein (IDL), have two fates: they can be taken up directly by a LDL-receptor via its apoE moiety or they can be further delipidated by hepatic lipase (HL) to form the LDL particle. (Figure 3A)

1.2.2.3 Low-density lipoprotein (LDL)

As mentioned previously, LDL is the product of the interaction of IDL with HL. The major cholesterol-carrying lipoprotein of plasma is LDL. In other animal species, such as ruminants and some rodents, most cholesterol is transported in HDL⁵. The lipid core of LDL is composed almost entirely of cholesteryl esters surrounded by a surface coat of apoB100 (its only apolipoprotein) and unesterified cholesterol and phospholipids (**Table 1**). Normally, triglycerides constitute only 4 - 8 percent of the LDL mass. Circulating LDL is removed mainly by the liver and a smaller proportion by extrahepatic tissues. The removal of LDL occurs mainly by a receptor pathway⁷. Eighty

percent of LDL receptors are localized to specialized regions on the cell surface called "coated pits"^{5, 8}. After LDL particles bind to the LDL receptor, cells internalize LDL and its receptor and make an endosome. Inside the endosome the receptors dissociate from the lipoprotein and are recycled back to the coated pits at the cell surface to be used again. Subsequently, LDL is delivered to lysosomes where catalytic enzymes including cholesteryl ester hydrolase and cathepsins release free cholesterol and degrade apoB100^{5, 8-11}. The free cholesterol derived from esterified cholesterol can serve as a constituent for cell membranes, be reesterified for storage, or be removed from the cell and excreted in the bile. The amount of cholesterol entering the cell regulates the following downstream events: 1) cholesterol synthesis, with the rate-limiting step goverened by hydroxymethylglutaryl coenzyme A (HMG-CoA) reductase, 2) cholesterol efflux from the plasma membrane, 3) intracellular cholesterol esterification via acyl-CoA:cholesteryl acyltransferase (ACAT), and 4) the synthesis of new LDL receptors^{7, 12}. Therefore, the regulation of serum LDL depends on two factors: the quantity of VLDL produced by the liver and the rate of LDL clearance. Hence, the number of LDL receptors is a key regulator of serum LDL concentration by affecting both the rate of formation and the rate of clearance of LDL. (Figure 3A)

1.2.2.4 High-density lipoprotein (HDL)

High-density lipoproteins are the smallest lipoproteins, having a diameter of 5-12 nm with a density of 1.063-1.210 g/ml (**Table 1**). HDLs are a heterogeneous population of lipoproteins whose main apoprotein is ApoAI. The surface of HDL particle contains ApoAII, apoCs and apoE (Table 1). HDL particles also carry other proteins, such as lecithin: cholesterol acyltransferase amyloid A (SAA) protein¹⁴, and beta-glycoprotein- I^{15} . $(LCAT)^{13}$, serum Recently, shotgun proteomics identified 48 proteins in HDL¹⁶. HDL can be subgrouped by density or charge properties. In 1951, Lindgren et al. first identified two HDL subspecies based on their buoyancy with analytic ultracentrifugation¹⁷: HDL₂, which has a density range of 1.063–1.125 g/mL, and HDL₃, has a range of 1.125–1.210 g/mL. HDL₂ is about 50% larger than HDL₃ with 3-4 fold more cholesteryl esters and 2-fold more phospholipids than HDL₃¹⁸. Alternatively, HDL can be classified based on charge. Non-denaturing lipoprotein electrophoresis has been used for decades as a standard laboratory technique to analytically separate lipoproteins. In these systems, HDL migrates as an α -band. Separation of HDL by 2-dimensional electrophoresis (incorporating a size-based separation in addition to separation based on charge) has revealed additional heterogeneity, with pre- β -1, pre- β -2, and pre- α HDL in addition to the α migrating species¹⁹. The next section will discuss this specific class of lipoproteins in more detail.

	Origin	Density (gm/ml)	Size (nm)	Protein (%)	Major apo	Other apo
СМ	Intestine	<0.95	100-1000	1-2	B48	AI, C's
VLDL	Liver	<1.006	40-50	10	B100	AI, C's
IDL	VLDL	1.006-1.019	25-30	18	B100	Е
LDL	IDL	1.019-1.063	20-25	25	B100	
HDL	Liver,	1.063-1.210	6-10	40-55	AI, AII	AIV, Cs,
	intestine					E, F

Table 1. Plasma Lipoprotein Components

Apo: apolipoproteins; HDL: high-density lipoprotein; IDL: intermediate-density lipoprotein; LDL: low-density lipoprotein; VLDL: very-low-density lipoprotein; CM: chylomicrons.

1.3 HDL

1.3.1 Epidemiology of HDL

A large body of evidence derived from epidemiological and clinical studies has shown that a low serum level of HDL-C is a powerful predictor of cardiovascular disease. In 1977, the analysis of 2815 men and women aged 49–82 years from the Framingham Study showed that elevated LDL-C and reduced HDL-C levels are independent predictors for developing cardiovascular disease²⁰. Likewise, the Prospective Cardiovascular Munster (PROCAM) study of 4559 male participants aged 40–64 years revealed a strong negative linear correlation between HDL-C levels and the incidence of CAD (CHD risk ratio of 4.0 for HDL-C <25 mg/dL versus HDL-C >65 mg/dL, p < 0.001)²¹. In addition, several

independent studies estimate that every 0.0259 mmol/L increase in serum HDL-C decreases the risk of cardiovascular disease by 2%²²⁻²⁴. Therefore, Canadian and American CAD prevention guidelines define HDL-C as a categorical risk factor and HDL-C is used in a multivariate model to predict cardiovascular risk and determine the need and intensity of preventive therapies²⁵⁻²⁷.

Some evidence demonstrates that HDL subclasses may have different functions in terms of cardio protection (reviewed by Joy and $Hegele^{28}$), and there is correlation between HDL₂ and an inverse atherosclerosis, hypertriglyceridaemia, and obesity²⁹⁻³¹. Furthermore, patients with CAD have lower α -1 and pre- α -1 HDL, but higher α -3 HDL subfractions compared with control groups, suggesting that HDL₂ is more atheroprotective than HDL₃^{28, 32}. These protective functions of HDL particles have been attributed mainly to their capacity to facilitate transporting excess cholesterol from peripheral cells to the liver for excretion in a process known as reverse cholesterol transport (RCT). The **RCT** pathway is shown in **Figure 3B**.

1.3.2 Metabolism of HDL

The molecular metabolism of HDL is complex since the components of HDL particles are synthesized from several sources and are also metabolized at different sites. The main source of HDL production is the liver. Approximately 80% of ApoAI, the key apolipoprotein of HDL, is secreted by the liver and 20% by the intestine^{33, 34}. Phospholipids, derived from the cell membrane and hydrolysis of triglyceride-rich lipoproteins, are incorporated with lipid-free

ApoAI. Lipid-poor ApoAI can interact with the ATP-binding cassette (ABC) transporter A1 (ABCA1) in peripheral cells promoting efflux of free cholesterol and phospholipids, resulting in the formation of nascent HDL particles. These particles, containing ApoAI and phospholipids (and little cholesterol) form a structure resembling a flattened disk in which the phospholipids form a bi-layer surrounded by two molecules of ApoAI arranged in a circular fashion at the periphery of the disk. These nascent HDL particles mediate further cellular cholesterol efflux and are further modified by LCAT, an enzyme activated by ApoAI. LCAT transfers an acyl chain (a fatty acid) from the R2 position of a phospholipid to the 3'-OH residue of cholesterol, generating cholesteryl esters. The hydrophobic cholesteryl esters move to the core of the lipoprotein and the HDL particle subsequently assumes a spherical configuration (the HDL₃ particle). With further cholesterol esterification, the HDL particle increases in size to become the more buoyant HDL_{2a} Mature HDL particles can serve as acceptors of cellular cholesterol for the ATP-binding cassette sub-family G member 1 (ABCG1) mediated cholesterol efflux^{35, 36}. There is also cholesterol efflux to mature HDL by passive efflux³⁷ and possibly other transporters as well. In humans and some other species, cholesteryl esters in HDL can be transferred to triglyceride-rich apoB-containing lipoproteins by CETP in exchange for triglycerides. These triglyceride-enriched HDL are denoted HDL_{2b}. Triglycerides and phospholipids of HDL_{2b} are hydrolysed by HL and endothelial lipase (EL), respectively, converting them back to HDL₃ particles. Finally, HDL-C can be taken up by the liver and subsequently secreted into the bile in a process that may

involve selective lipid uptake by scavenger receptor BI (SR-BI). The liver catabolizes ApoAI from mature HDL particle, while the kidneys catabolise lipid-poor ApoAI.

Figure 3. Metabolism of Lipoproteins (A) & Reverse cholesterol transport (RCT) (B)



1.3.3 Other properties of HDL

Besides RCT, HDL may afford protection from vascular disease by exerting additional effects that include antioxidant, anti-inflammatory, antiapoptotic, antithrombotic, and vasodilatory functions³⁸.

1.3.3.1 Antioxidant properties of HDL

Typically, the accumulation of LDL in the subendothelial matrix initiates the process of atherosclerosis. The trapped LDL in contact with the oxidative waste of vascular cells undergoes lipid oxidation. Such modifications generate minimally oxidized LDL species that have pro-inflammatory action. Enzymes associated with HDL particles play potential roles in minimizing LDL oxidation and promoting HDL antioxidant properties. These enzymes include paraoxonase-1 (PON1), paraoxoanse-3 (PON3), platelet activating factor acetylhydrolase (PAF-AH) and possibly glutathione phospholipid peroxidase³⁹⁻⁴². PON1, an esterase carried on HDL, can inhibit oxidation of LDL and degrade certain biologically active oxidized phospholipids that play key roles in several aspects of atherogenesis. PAF-AH can hydrolyse and inactivate PAF, the potent lipid mediator involved in inflammatory diseases as well as in atherogenesis⁴³. PAF-AH can also effectively hydrolyze oxidized phospholipids.

1.3.3.2 Anti-inflammatory properties of HDL

Inflammation is a major element in the process of atherosclerosis and is characterized by an accumulation of macrophages and T lymphocytes in the
arterial intima^{44, 45}. In the early stages of this process, the accumulation of minimally oxidized LDL is a trigger for endothelial cells to express a number of adhesion proteins including vascular cell adhesion molecule-1 (VCAM-1), intercellular adhesion molecule-1 (ICAM-1), and E-selectin^{46, 47} and subsequently cause the adhesion of monocytes to endothelial cells⁴⁸⁻⁵⁰.

Once monocytes bind to adhesion proteins on the surface of endothelial cells, they are recruited into the subendothelial space by chemokines such as monocyte chemotactic protein-1 (MCP-1) (Figure 4). The anti-inflammatory activity of HDL is manifested by reducing cytokine-mediated expression of adhesion molecules, diminishing neutrophil infiltration within the arterial wall, and reducing the generation of reactive oxygen species⁵¹⁻⁵³. *In vitro* studies have also shown that HDL inhibits monocyte transmigration in response to oxidized LDL⁵⁴. Accordingly, antioxidant and anti-inflammatory properties of HDL contribute to the antiatherogenic potential of these lipoproteins.

Figure 4. Anti-inflammatory Properties of HDL



1.3.3.3 Enhancing endothelial function

HDL particle attenuates endothelial cell apoptosis and enhances endothelial cell proliferation and migration in order to promote endothelial monolayer integrity. For antiapoptotic actions, HDL prevents the sustained rise in intracellular calcium induced by proapoptotic agents such as OxLDL. Additionally, HDL inhibits endothelial apoptosis induced by TNF- α in a dosedependent manner by inhibiting caspase 3 activity⁵⁵. HDL also promotes endothelial cell proliferation in a calcium-dependent manner by multiple kinase cascades involving PI3-kinase (PI3K), p38 and p42/44 MAP kinase (MAPK), and Rho kinase and by the small GTPase Rac^{56, 57}. Nitric oxide (NO) is an athero-oprotective signalling molecule that can be modified by HDL. Endothelial NO synthase (eNOS) is located in cholesterolenriched plasma membrane caveolae. Oxidized LDL, as a cholesterol acceptor, can disrupt caveolae and eNOS function. HDL regulates the lipid environment in caveolae by providing cholesterol esters that subsequently regulate eNOS subcellular distribution. HDL causes membrane-initiated signalling, which stimulates eNOS activity and production^{58, 59} It also prevents the effect of LDL on eNOS that favours the production of O₂ over NO.

1.3.3.4 Antithrombotic properties of HDL

HDL particle has direct actions on the vascular endothelium and on mechanisms mediating thrombosis. HDL has multiple antithrombotic actions such as the promotion of blood flow, reduction of thrombin production, and the alteration of endothelial and platelet activation. HDL increases blood flow by increasing NO and prostacyclin production⁶⁰. Besides up-regulation of NO and prostacyclin synthesis, HDL reduces platelet activating factor release and thromboxane A2 (TxA2) synthesis that contributes to the antithrombotic effect of HDL^{61, 62}. HDL also enhances the activity of protein C and protein S, which subsequently inactivate the coagulation factor Va⁶³.

Summing up, multidimensional cardioprotective properties of HDL and the unambiguous inverse correlation of HDL-C concentration with CAD have inspired many studies to identify factors including genetic and environmental factors that modulate HDL in man.

1.4 Tools to study genetic varability of HDL-C

The majority of common diseases and most quantitative traits (e.g. blood pressure, serum cholesterol or glucose levels) that can be measured in human populations are complex traits, with multiple genetic and environmental factors interacting to determine the ultimate phenotype. Genetic complexity arises from genetic heterogeneity, epistasis (gene-gene interaction), and pleiotropy (multiple phenotype expression by one gene), with contributions from environmental factors that are often unknown or immeasurable. In addition, the true genetic models that underlie common phenotypes are not completely known and may be different for each variant.

A systematic approach to search for genetic determinants of a disease includes the following steps: first, determine genetic heritability by performing families, twins, or adoption studies; second, illustrate the type and frequency of susceptibility alleles and the mode of inheritance by using segregation analysis; third, perform linkage analysis to identify susceptible loci; fourth, narrow down the candidate region and prioritize the genes at the locus, and fifth, ultimately identify variation in candidate gene(s) and define the biochemical action and source of variation in the trait.

1.4.1 Familial aggregation and heritability

The initial step in searching for susceptible genes for any disease is to show that genetics plays a role in determining susceptibility. There are several approaches to estimate the genetic component of a trait or disease: many diseases cluster in families in a pattern suggesting a strong genetic component to disease susceptibility. The relative risk factor (lambda) is one measure of the influence of genetics. It compares the frequency of the disease in close relatives of affected individuals with its prevalence in the general population. However, familial aggregation does not separate genetic and non-genetic factors. Heritability is used to estimate the extent to which familial aggregation is caused by the contribution of inherited factors; it quantifies the portion of the population variation that can be explained by genetic factors. Heritability can be approximated either from family, twin, or adoption studies.

1.4.2 Segregation studies

Segregation analysis is a general method to evaluate the transmission of a trait within pedigrees. It is used to assess the mode of inheritance and can also provide evidence for a major gene effect in a population. Due to the participation of multiple genes as well as environmental factors in complex diseases, it is often difficult to determine the true models that give rise to diseases with a complex mode of inheritance.

1.4.3 Approaches for identifying evidence for susceptible genes

In the past two and a half decades, techniques for the identification of genes associated with biological traits have evolved dramatically as the complexity of the genome became partially unravelled and as the tools for genomic research increased the analytical throughput by several orders of magnitude.

Several approaches have been used to find disease susceptibility genes: the candidate gene approach, genome-wide searches by either linkage or association, and gene expression array analysis.

1.4.3.1 Candidate gene approach

With the identification of metabolic pathways involved in a disease or trait, the genes involved in these processes can be cloned. These genes can then be targeted for sequencing and association studies. Some rare mutations in candidate genes have been identified through sequencing that are responsible for the extreme level of quantitative traits. Many association studies of candidate genes have shown that both rare and common variants are implicated in determining the level of these traits in the general population⁶⁴. However, for most complex traits there are many candidate genes whose functional effects are unknown; the candidate gene approach is often used to follow-up genome-wide efforts to discover regions of the genome with evidence of association with the trait under study.

1.4.3.2 Genome-wide linkage studies

As the first step in dissecting the genetics of complex phenotypes, focusing on familial forms of the disease can facilitate the detection of the genes involved in a complex or quantitative trait. This approach can take the form of

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linkage analysis that enables specific genetic intervals to be linked with disease susceptibility.

Roughly speaking, there are three possible ascertainment strategies for analyzing quantitative traits. First, under the affected ascertainment scheme, a proband is chosen based on a threshold value for a measured quantitative trait. The second strategy is the selection of sib pairs exhibiting extreme discordance: families are chosen where one sib is in the lower tail of the distribution of the quantitative trait and another sib is in the upper end of the distribution of the same trait. The last scheme is a random selection of families from a population regardless of the quantitative trait.

Having collected families and genotyped them for genetic markers (usually microsatellite markers), linkage analysis can locate a locus that shows segregation between the disease and linked markers inside the families. The degree of linkage is measured by the logarithm of odd ratio (LOD) score. This score was first proposed by Morton⁶⁵ and it statistically measures the linkage between trait or disease and genetic markers.

Positive LOD scores give evidence in favour of linkage and a LOD score of 3.3 has been proposed as the threshold of significant linkage⁶⁶.

Several approaches to linkage analysis have been developed for complex and quantitative traits. Some investigators use parametric or model-based linkage analysis. In this approach the model of disease (disease allele frequency, mode of inheritance and marker allele frequency) must be pre-specified. However, for complex traits this approach may reduce the power to detect genes, especially if the model is misspecified. In contrast, model-free (non-parametric) linkage approaches have been developed that are based on the idea that in the regions of susceptibility genes the affected relatives have share alleles that are identical by descent (IBD), more than expected by chance. Recently, genome–wide scanning techniques have been applied to the identification of the genes of quantitative traits. It assumes that if a marker is linked to a gene influencing the trait, two siblings who have more similar trait values share IBD more than expected. Therefore, quantitative trait locus (QTL) analysis has also been used to identify chromosomal regions that are associated with variations in the trait such as HDL-C levels.

1.4.3.3 Association studies

In the past few years, there has been a remarkable increase in genomic discoveries for complex and quantitative traits using genome-wide association studies (GWAS). A GWAS is a method to identify genes involved in human disease. In this approach, thousands of samples are genotyped with single nucleotide polymorphisms (SNPs). The frequencies of single SNP alleles, genotypes, or haplotypes between case and control groups (or in families) are examined to identify loci with statistically significant differences.

This method has several advantages compared to other gene discovery approaches. In comparison to candidate gene studies, which select genes for study based on known or assumed metabolic pathways, GWAS allow a comprehensive scan of the genome in an unbiased way and therefore have the potential to identify entirely novel susceptibility genes. In contrast to family linkage-based methods, association studies are able to uncover the meiotic recombination events in a population, rather than only those in the families studied. Therefore, association signals are confined to smaller regions containing only one to a few genes. In addition, GWAS can detect SNPs with only modest effect on disease. However, the power of association studies is a function of several factors, such as the frequency of the risk allele, sample size, disease frequency, the linkage disequilibrium between the genotyped marker and the true causative allele, and genetic homogeneity of the sample population.

Considerable successes have been reported using this new strategy. For example, genetic variations that influence the risk of type 2 diabetes, coronary heart disease, obesity, Crohn's disease, and many other diseases have been reported⁶⁷⁻⁶⁹.

1.5 Genetics of HDL-C

1.5.1 HDL & heritability

To examine the genetic contribution to the determination of HDL-C levels, there have been at least nine published studies in twins⁷⁰⁻⁷⁸ and fourteen family studies^{77, 79-90}. Estimates for the heritability of plasma HDL cholesterol levels vary between 0.24 to 0.83^{84, 91, 92}.

1.5.2 Segregation of HDL-C

Different modes of inheritance have been observed in different segregation studies, likely due to the complexity of HDL-C metabolism and population-specific differences. A recessive mode of inheritance has been identified for low HDL-C in a genetic study of hypoalphalipoproteinemia⁹³. Another study identified the mixed model, including major gene and multifactorial transmissible components, in a sample of nuclear families from Israel⁹⁴. Some evidence for a recessive major gene for high HDL-C has also been reported in NHLBI Familiy Heart Study and in Utah pedigrees⁹⁵. Considering HDL-C as a continuously distributed trait in genetic analysis of the Lipid Research Clinic's collaborative study, which consists of first degree relatives of Stanford University employees, is more consistent with some degree of multifactorial transmission or major gene model with additive allelic effects and similar allele frequencies 96 . In addition, some studies showed evidence of a major gene for HDL-C but were not able to discriminate between a dominant and an additive model^{97, 98}. However, there have also been reports of no segregation of HDL-C, leading some investigators to suggest that considering other factors such as TG to improve the likelihood of their genetic models significantly⁹⁹. Thus, by including other parameters in data from 526 Mexican Americans, Mahaney and colleague found evidence for a major locus with a co-dominant mixture model⁹¹. These inconsistent results are likely due to the etiological heterogeneity of HDL-C. Differences in the specific protocols for ascertaining probands and differences in methodology could also lead to discrepant results.

1.5.3 Candidate genes regulating HDL metabolism

Genes encoding proteins associated with HDL particles or involved in its metabolism have been studied in great detail. Wang and Paigent reported 54 genes involved in HDL metabolism and function¹⁰⁰. Of these, *ABCA1*, *ApoAI*, *CETP*, *LCAT*, *LPL*, *HL*, *EL*, and *ABCG5/8* have been shown to regulate HDL in humans. Thus, they will be explained in more detail in the following section.

1.5.3.1 ABCA1

The *ABCA1* gene encodes a 240-kDa protein, a membrane protein with 2 transmembrane domains that facilitates phospholipid and cholesterol transport. ABCA1 belongs to a superfamily of ATP-binding cassette transporters, which contains 50 exons and spans 149 kb on chromosome 9 (9q31.1). The main sites of ABCA1 expression are the liver, macrophages, and steroidogenic tissues. Mutations in *ABCA1* result in Tangier disease¹⁰¹⁻¹⁰³ and familial HDL deficiency¹⁰⁴ in the homozygous and heterozygous form, respectively. At least three studies have examined the prevalence of *ABCA1* mutations in patients with low HDL-C. Cohen *et al.*⁶⁴ examined patients from the United States and Canada and identified an *ABCA1* mutation or gene variant in 10-16% of patients with low HDL-C. A similar finding was reported in Germany with approximately 10% of low HDL-C being associated with *ABCA1* mutations in subjects of French-Canadian descent¹⁰⁶, possibly reflecting a founder effect. Several association studies have

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been performed on this candidate gene with HDL-C levels. A distinct example was recently shown by the Copenhagen City Heart Study ¹⁰⁷, which demonstrated in more than 9000 participants a pronounced effect for four rare mutations in *ABCA1* on HDL-C levels (a reduction of 17 mg/dL for heterozygotes vs noncarriers, p < 0.001). However, 19 SNPs of *ABCA1* investigated in other association studies with a large number of individuals did not demonstrate significant effects on HDL-C levels (see review ¹⁰⁸). Nevertheless, the occurrence of many rare variants in *ABCA1* each with a strong effect, could sum to an important influence on HDL metabolism. These rare mutations would not be identified by association studies using common variants because of the small number of mutation carriers even in large studies.

1.5.3.2 ApoAI

The *ApoAI* gene contains four exons, covers 2.21 kb, and is closely linked with two other apolipoprotein genes (*ApoCIII* and *ApoAIV*) on chromosome 11q23.1. *ApoAI* constitutes the major apolipoprotein in HDL, accounting for approximately 70% of the protein mass within HDL. ApoAI plays the key role in the formation and metabolism of HDL particles. It is synthesized in the intestine and liver as a 267-amino acid (aa) preproapolipoprotein and is secreted as a 249-aa proprotein that is rapidly cleaved by a plasma protease to generate the mature single polypeptide with 243-aa residues and a molecular weight of 28 kDa¹⁰⁹. This protein circulates in plasma with a concentration of 1.0-1.5 mg/mL. Recent characterization of the ApoAI crystal structure¹¹⁰ and molecular modeling of the

lipidated ApoAI peptide¹¹¹ have shed considerable light on the structure-function of ApoAI domains critical for nascent HDL particle assembly. ApoAI promotes cholesterol efflux from tissues to the liver for excretion through binding to ABCA1 and is the most potent in vivo activator of LCAT^{112, 113}. ApoAI is composed of 10 amphiphilic α - helices, with 11-aa and 22-aa repeats, which begin at residue 44 and are thought to have a crucial role in lipid binding^{114, 115}. Central amphipatic α -helical regions are critical in LCAT activation¹¹⁶. The Nterminal (1-43) is important for stabilization of lipid-free ApoAI in solution^{117,} ¹¹⁸, while the C-terminal domain has been shown to play a specific role in ABCA1-mediated efflux¹¹⁹. Mutations within the *ApoAI* gene can lead to altered ApoAI and HDL-C levels, but not all ApoAI mutations lead to decreased ApoAI concentration¹²⁰. Other mutations lead to amyloidosis, a disease that has multisystemic effects but is not associated with atherosclerosis. So far, 60 mutations have been identified in this gene, including four nonsense mutations, Gln-2 X, W8X, Q32X, Q84X¹²¹⁻¹²⁴; five frameshift mutations¹²⁵⁻¹²⁹ and 51 missense mutations. Sorci et al have studied at least 47 of the mutations within the ApoAI gene. About 30 of these mutations are associated with low HDL-C and some but not all of them are associated with CAD¹²⁰. In this study, most of the 18 reported mutations that affect ApoAI and HDL-C levels appear to cluster between residues \sim 100 and 200, i.e. within amphipathic helices 5, 6 and 7, which are critical for HDL assembly. Mutations clustering in the amino terminus are associated with amyloidosis. Other mutations, scattered throughout the ApoAI gene, are not associated with low HDL-C or amyloidosis; their physiological significance is unknown. Paradoxically, two mutations, ApoAI _{Milano} (apo A-I_{R173C}) and ApoAI _{Paris} (apo A-I_{R151C}), are associated with low HDL-C with no increase in the incidence of heart disease¹³⁰. Most cases of ApoAI mutations have been described in single families and account for a minority of HDL deficiency cases seen in humans¹²⁰. Only one mutation at promoter -75G>A is associated with high levels of HDL-C¹³¹. Despite numerous identified rare mutations in the *ApoAI* gene that related to low HDL-C, only three common SNPs (rs670, rs5069 and rs5070) have been investigated and showed for an association with HDL-C levels (see review¹⁰⁸).

1.5.3.3 LCAT

The *LCAT* gene contains 6 exons and spans approximately 4.2 kb on chromosome 16q22.1. It is mainly synthesized in the liver and circulates in the plasma with a concentration of 6mg/L, but is also synthesized by the brain. The LCAT glycoprotein consists of 416 amino acids, and displays two activities: a phospholipase A₂ activity which hydrolyses the fatty acid from phosphatidylcholine, and an acyltransferase activity which transfers the fatty acid to free cholesterol and forms cholesterol ester.

Mutations in this gene cause two forms of disease: complete LCAT deficiency, also called Norum disease, which causes a typical triad of diffuse corneal opacities, target cell hemolytic anemia, and proteinuria with renal failure. The partial LCAT deficiency causes Fish-eye disease, also known as dyslipoproteinemic corneal dystrophy, so called because of the pathogomonic corneal infiltrations seen in these patients due to accumulation of cholesterol deposits in the cornea¹³²⁻¹³⁴.

At least 30 mutations have been identified¹³⁵, which may have a dominant effect on HDL-C^{136, 137}. While patients with LCAT deficiency have a marked decrease in HDL-C, accumulating evidence suggests that most familial LCAT deficiency are strongly associated with premature CAD^{138, 139}.

Although *LCAT* is a well-known gene involved in HDL metabolism, only a few large studies examined the possible role of this gene on HDL-C levels. Five common polymorphisms of *LCAT* have been examined for an association with HDL-C levels; however, the findings are not completely consistent (see review¹⁰⁸). At least two SNPs (rs2292318, rs5922) have been shown to be associated with increases in HDL-C^{140, 141}. Moreover, the influence of *LCAT* on HDL-C levels is supported by genome-wide association studies (see below).

1.5.3.4 CETP

The *CETP* gene contains 15 exons and spans approximately 22 kb on chromosome 16q13. CETP has a central role in the metabolism of HDL mediating the equimolar transfer of cholesteryl esters from HDL particles to triglyceride-rich (VLDL and IDL) particles in exchange for triglycerides; the effect of this transfer is an increase in cholesterol of apoB-containing particles and a decrease of HDL-C (see review¹⁴²). Numerous polymorphisms have been detected in the promoter, introns, and exons of the *CETP* gene. Mutations in the *CETP* gene with loss of function are associated with increases in HDL-C.

Deficiency of CETP increases the cholesteryl ester content of HDL and the formation of large, buoyant HDL₂ particles. It has recently been found that these particles maintain their functional abilities, in terms of promoting cellular cholesterol efflux in an apoE and ABCG1-dependent pathway¹⁴³. However, two SNPs, rs1800777 (R451Q), rs5880 (A373P), have been associated with a decreased level of HDL-C^{108, 144}.

Boes *et al.* have summarized several studies that investigated the association of *CETP* polymorphisms with HDL-C concentrations. Common polymorphisms mostly located in the promoter region such as rs1800775 (– 629C>A), rs4783961 (–971G>A), and rs708272 (*Taq*1B) in addition to rs5882 (Ile405Val) have been clearly associated with elevated HDL-C levels^{141, 142, 145-148}. A meta-analysis on *CETP* with 39,581–68,134 participants and 6 SNPs underlines the significant influence of *CETP* gene variation on HDLC levels¹⁴⁹.

1.5.3.5 LPL

The *LPL* gene contains 10 exons spanning about 30 kb, and is located on chromosome 8p21.3. The encoded protein, consisting of 475 aa, hydrolyzes triglycerides within chylomicrons and VLDL through activation by apoCII. LPL is therefore indirectly involved in HDL metabolism¹⁵⁰. Deficiency of LPL or its activator apoCII causes severe hypertriglyceridemia and hyperchylomicronemia with a secondary HDL-C deficiency ¹⁵¹. The inability to hydrolyze triglycerides within chylomicrons and VLDL leads to the accumulation of these particles in plasma. Nearly 100 structural variations in the *LPL* gene have been identified.

There are 61 missense mutations, most of which are located on exons 5 and 6; 12 nonsense mutations; 10 frameshift mutations or small insertions/ deletions; 3 gross mutations; 8 splicing mutations; and 4 promotor variants¹⁵². Several variants in the *LPL* gene are associated with either decreased [–93T>G, –53G>C, rs1801177 (Asp9Asn), Gly188Glu (no rs#), and rs268 (Asn291Ser)] or increased [rs328 (Ser447Ter)] LPL expression or activity (reviewed in ¹⁵²). A meta-analysis of four common polymorphisms confirmed considerable associations with HDL-C: the minor allele of rs328 was associated with higher levels of HDL-C, whereas the minor alleles of rs268, Gly188Glu and rs1801177 were associated with decreased HDL-C levels¹⁵³. In addition, in a multi-ethnic cohort (the SHARE study) two SNPs, rs331 and rs328, associated with higher HDL-C levels in all ethnicities ¹⁵⁴.

1.5.3.6 HL (LIPC, HTGL)

The *HL* gene contains 9 exons and spans approximately 30 kb on chromosome 15q22.1. The encoded protein consisting of 449 aa, is secreted from the liver and bound to hepatocytes, where it hydrolyzes triglycerides and phospholipids from lipoprotein. It is also involved in the selective uptake of cholesterol ester from HDL¹⁵⁵. Two SNPs, rs28933094 (Ter383Met) and Ser267Phe, can contribute to HL deficiency and increased HDL-C concentrations¹⁵⁶. Seven common SNPs of the *HL* gene have been investigated for association with HDL-C concentration. Several studies consistently showed an association of two of these SNPs, rs1800588 (-514C>T) and rs2070895 (-

250G>A), with increased plasma HDL-C concentration ¹⁰⁸. Isaacs A. *et al.*, in a meta-analysis including more than 24,000 individuals, verified that one and two copies of the minor allele of rs1800588 increases HDL-C levels by 1.5 and 3.5 mg/dL, respectively¹⁵⁷.

1.5.3.7 EL (LIPG)

The *EL* gene contains 11 exons and spans about 71.4 kb on chromosome 18q21.1. The encoded 354-aa protein has substantially more phospholipase than triglyceride lipase activity¹⁵⁸. EL, by enhancing the turnover of HDL components and promoting the catabolism of ApoAI, plays an important role in HDL metabolism. Several independent studies revealed five SNPs of *LIPG* that were significantly associated with HDL-C levels. The minor allele of three of those SNPs, rs2276269 (C+42T/ln5), rs6507931 (T+2864C/ln8), and rs3744841 (2237G > A), were associated with decreased HDL-C levels^{159, 160}. Other studies revealed the minor allele of two other SNPs, rs3813082 (-384A > C) and rs2000813 (584 C/T), associated with lower HDL-C levels.

1.5.3.8 ABCG5/8

The *ABCG5* and *ABCG8* genes, which are in a head-to-head orientation on chromosome 2p21, consist of 9 and 13 exons, respectively. Both genes are expressed in the liver and intestine ¹⁶³. Mutations in these ABC monomers were observed in patients with sitosterolemia, and may have contributed to the accumulation of sterol and subsequently atherosclerosis¹⁶⁴. While ABCG5 is known to mediate cellular cholesterol efflux to high-density lipoproteins and plays an important role in HDL metabolism³⁶, no studies have so far revealed any association with HDL-C levels.

1.5.3.9 PLTP

The *PLTP* gene contains 14 exons and spans approximately 14 kb on chromosome 20q13.12. The encoded 446-aa protein transfers phospholipids and cholesterol from TG-rich lipoproteins to HDL during lipolysis, remodelling the size and composition of HDL in the process^{165, 166}. The overexpression of PLTP in transgenic mice is associated with an increase in the influx of phospholipids and cholesterol into HDL, resulting in increased pre– β -HDL and decreased HDL levels^{167, 168} A study of 3,306 participants in the Dallas Heart Studyrevealed that a common sequence variant of the *PLTP* (rs6065904) gene acts in concert with other SNPs in the *CETP* (rs183130, rs5880) and *LPL* (rs2197089) genes to affect plasma levels of HDL-C¹⁶⁹. Additionally, linear regression models predict that possession of the rs2294213 minor allele increases HDL-C independent of triglycerides ¹⁷⁰. Moreover, the influence of *PLTP* gene on HDL-C levels is supported by genome-wide association studies, in which the minor allele of rs7679 was associated with lower levels of HDL-C¹⁷¹.

1.5.3.10 SMPD1

Niemann-Pick disease type A and B is caused by a deficiency of the enzyme acid sphingomyelinase, which is coded for by the *SMPD1* gene. This

gene is located on chromosome 11p15.4, is comprised of 6 exons, and spans about 5 Kb. The encoded protein consists of 631 aa and exists in at least three protein isoforms. *SMPD1* gene defects are reported to be associated with a severe reduction in plasma HDL-C ^{172, 172}. Our group has previously reported that compound heterozygosity at the *SMPD1* gene is associated with decreased activity of acid sphingomyelinase and low HDL-C in French Canadian families ¹⁷³. Furthermore, a decreased activity of lysosomal and secreted acid sphingomyelinase is believed to cause low HDL-C in part by decreased activation of LCAT and impaired formation of cholesteryl ester–enriched HDL particles ¹⁷⁴. Although rare mutations in the *SMPD1* gene can impair the function of acid sphingomyelinase and result in both Niemann-Pick disease type A or B and low HDL-C, it is not known whether common amino acid changing variants in *SMPD1* can modulate HDL-C levels within a population.

1.5.3.11 Other candidate genes

Association studies of several other genes involved in HDL metabolism such as *SR-B1*, *Apo CIII*, *Apo AIV*, *PON1*, and *ApoE* have shown evidence of association with HDL-C levels (see review¹⁰⁸).

1.5.4 Whole genome linkage studies of HDL-C

Numerous whole-genome linkage scans have been performed for various human diseases and traits. This data was mined for associations with many parameters, including HDL-C levels. Parametric linkage studies resulted in the identification of several chromosomal regions that might harbor genes that influence HDL-C levels. Pajukanta et al. performed linkage analysis on the combined data of Finnish and Dutch familial combined hyperlipidemia (FCHL) families. In addition to the familial combined hyperlipidemia trait (FCHL is frequently associated with a low HDL-C), they analyzed four component traits of the disorder TG, TChol, ApoB, and HDL-C. Subjects were coded as affected or unaffected based on the 10th age and sex specific percentiles for HDL-C. The two-point maximum LOD score of the parametric linkage analysis using a recessive mode of inheritance detected evidence of linkage to 16q24.1, with LOD scores of 3.6 (P= 0.00002) for the low HDL-C trait. In their study, the parametric multipoint analysis also revealed a location score of 3.2 (P=0.0001) when allowing for heterogeneity (α value = 0.75) in the same region on chromosome 16¹⁷⁵. Based on this approach, Soro *et al.*, using the same HDL-C percentile as affection status and again a recessive mode of inheritance, found strongest statistical evidence of linkage with a two-point LOD score of 4.7 and 1.6 between the low HDL-C and loci on chromosomes 8q23 and 6q24.1-24.2, respectively in the Finnish population¹⁷⁶.

In a FCHL genome scan from a Dutch population, the nonparametric multipoint LOD score for the same region on chromosome 16 was 1.4, the peak occurring within the same interval close to marker D16S402 for FCHL¹⁷⁷.

Numerous quantitative trait loci (QTLs) for plasma HDL-C also have been reported and these have been reviewed by Wang and Paigen (2005). They reported that 30 QTLs with LOD scores more than 1.4 for HDL-C have been

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identified in humans (Table 3 from their review) 100 . Most of these QTLs (> 90%) are concordant with mice QTLs in syntenic regions. The high degree of concordance between mouse and human QTLs suggests a strong likelihood that the underlying genes will be the same. These authors therefore argue that an examination of HDL-C QTLs in mice will be a more efficient way to identify potential chromosomal regions of interest in the human genome.

The identified loci linked to HDL-C are scattered on different chromosomes and this could be explained by different underlying segregating variants conferring susceptibility to low levels of plasma HDL-C between the families and indeed between populations. To date, the only reproducible region reported in different independent studies is located on chromosome 16. A total of 10 genome scans have implicated this region of chromosome 16 with a LOD score >1; these are summarized in **Table 2**.

Genetic Distance (cM)	Cytogenic Location	Nearest Marker	LOD Score	Population	Authors
45.2	16p12.1	D16S403-D16S769	1.25	Hong Kong Chinese Study	Ng MC. et al. ¹⁷⁸
62.11	16p12.1	D16S313	2.06	Bogalusa Heart Study	Bu X. et al. ¹⁷⁹
75	16q21	D16S3057	1.1*	Framingham Heart Study	Shearman AM. et al. ¹⁸⁰
82	16q22.3	D16S514	1.7	Bogalusa Heart Study	Amos CI.97
92	16q22.3-23.1	D16S2624- D16S518	4.33	Mexican American Study	Mahaney, M.C et al. ¹⁸¹
99	16q22.1-24.3	D16S3096	3.4	Dutch & Finnish Study	Pajukanta P. et al. ¹⁷⁵
106	16q23.3	D16S402	1.4	Dutch families Study	Aouizerat B.E et al.177
111.2	16q24.1-24.2	D16S3091	2.2	Finnish families Study	Soro A et al. ¹⁷⁶
113.52	16q23.3	D16S402	$IR^{\dagger}=6$	FCHL Caucasian(Canada)	Gagnon F, et al. ¹⁸²
113.52	16q23.3	AFM031XA5(D16S402)	1.95	Framingham study	Yip Agustin G, et al. ¹⁸³

Table 2. Published genome-wide linkage analysis for HDL-C that implicateschromosome 16

*LOD score for log (TG/HDL-C), [†]Intensity rate

The initial stage of a whole genome scan typically identifies a large (10-20 cM) genomic region containing hundreds of genes. The first step in identifying the causal gene is to narrow the linked region. This step has proven to be more arduous than originally anticipated. The results of the HapMap project¹⁸⁴ should make the laborious work of gene identification easier by enabling the analysis of a more efficient set of single nucleotide polymorphism (SNPs) and haplotypes in humans and allowing for a more fine-scale comparison of mouse and human homologous QTL's.

1.5.5 Whole-genome association studies and HDL-C

Several genome-wide scans and meta-analyses of lipids have been conducted in independent population samples. Common variants at 30 loci were reported to be associated with the concentration of various lipoproteins¹⁷¹. Most of these loci were previously implicated in lipid metabolism; however, at least eight new loci near *MVK-MMAB*, *GALNT2*^{185, 186}, *CTCF-PRMT8*, *MADD-FOLH1*¹⁸⁷, *ANGPTL4*, *FADS1-FADS2-FADS3*, *HNF4A*, and *TTC39B*¹⁷¹ have been found to influence HDL-C levels. However, the associated SNPs at the new loci are non-coding and explain only a minor fraction of the variance in HDL-C levels. Therefore, the causal variants, or even the causal genes, are not yet clear. Of particular note, in these studies the previously-known loci *ABCA1*, *ApoA1*, *LCAT* and *CETP*, which cause mendelian syndromes or harbor multiple rare alleles that contribute to HDL-C variation⁶⁴, also contained common SNPs that were associated with HDL-C. Therefore, sequencing of all newly identified loci,

while considering all genes in the linkage disequilibrium pattern as high-priority targets, will be necessary to discover all common and rare variants and verify the full impact of each locus.

CHAPTER 2 STUDY DESCRIPTION

2.1 Main ineterst or problem

A reduced level of HDL-C is known to be directly associated with an increased risk of coronary artery disease. HDL-C is involved in the removal of excess cholesterol from cells to the liver for metabolism and excretion. It has been clearly demonstrated that variation at several genes has a significant effect over the spectrum of HDL-C levels in the general population. The identification of low HDL-C that is the result of the variation in a single gene may lead to a better understanding of the complex pathways of HDL metabolism and pave the way for novel therapeutic approaches. We postulate that novel genes related to HDL metabolism may contain variants that underlie low HDL-C in a proportion of the Quebec population. In addition, some genes may contain more common variants that contribute to the overall variability of HDL-C.

2.2 Proband and family collection

Patients with a severe decrease in HDL-C (less than 5% of age and sex adjusted percentiles based on the Lipid Research Clinics Population Studies Data Book, as previously described¹⁸⁸) have been targeted, and members of their family have been recruited for the past 15 years in Dr. Jacques Genest's clinic. In total, 40 extended families with over 900 subjects have been recruited. Family members were sampled after a 12 h fast and the discontinuation of lipid-

modifying medications for at least 4 weeks. Demographic and clinical information, medications, blood pressure, serum glucose, and lipoprotein profiles were determined for all participating subjects. Consent was obtained from the probands and family members for plasma sampling, DNA isolation and skin biopsy. The research protocol was reviewed and approved by the Research Ethics Board of the McGill University Health Center.

In thirteen of these families, various mutations in ABCA1 were identified as the cause of low HDL-C^{101, 106}. One family had a mutation in the SMPD1 gene (the cause for Niemann-Pick disease type I, sub-groups A and B) that segregated with low HDL-C in the family¹⁸⁹. For this study I focused on families with no known cause of low HDL-C (severe hypertriglyceridemia– defined as plasma triglycerides >10 mmol/L, cellular cholesterol or phospholipid efflux defect, or previously known mutations in genes associated with HDL-C deficiency). In total, 25 informative families with 711 individuals and 20 singleton probands were investigated in this project.

2.3 Experimental approach

Using families of probands with HDL-C <5th percentile for age and sexmatched subjects, two approaches were used to identify the genes related to HDL; **Candidate gene approach**: Candidate genes were screened either by direct sequencing or by haplotyping a collection of well-characterized and informative microsatellite markers flanking the genes of interest. **Genome-wide scan**: In families with sufficient meiosis and affected individuals, identified chromosomal regions that segregated with the low HDL-C by either binary or quantitative trait loci (QTL) analysis were densely mapped with microsatellites and SNPs. Genetic databases (NCBI, UCSC) were queried to identify all genes in the LOD-1 region.

2.4 General objective

The main objective of this project is to identify novel genes and their variants related to HDL metabolism through the genetic analysis of highly informative families of French Canadian descent.

2.5 Specific objectives

The research project is composed of four specific objectives that have been the subject of four different publications in scientific journals.

2.5.1 Specific objective 1

The first part of the project was the direct sequencing of *ApoAI* and *LCAT*, known genes involved in HDL metabolism and thus possible causes of low HDL-C. The objective was to identify non-synonymous variants in these genes that segregate with low HDL-C in the carrier families. Using this approach, I sequenced the *ApoAI* and *LCAT* genes in all 54 probands. Direct sequencing of genomic DNA revealed a novel mutation of the *ApoAI* gene, ApoAI_{E136X}, which was identified in 3/54 patients (6%) with low HDL-C. In two families, the E136X mutation showed a strong co-segregation with low HDL-C levels. In addition, we examined a group of 105 CAD patients with a low HDL-C for the presence of the ApoAI_{E136X} mutation by restriction endonuclease digestion. The result of this work has been published in journal of atherosclerosis: "*A novel nonsense apolipoprotein A-I mutation (ApoAI (E136X)) causes low HDL cholesterol in French Canadians. Atherosclerosis. 2006 Mar;185(1):127-36. Epub 2005 Jul 14.*" and will be presented in detail in **CHAPTER 3.**

2.5.2 Specific objective 2

The disorder Niemann-Pick disease, types A and B have identified as a cause of low HDL-C¹⁸⁹. The *SMPD1* that codes for acid sphingomyelinase is the cause of Niemann-Pick diseases A and B. In order to investigate the possible association of common variation in SMPD1 and low HDL-C in humans, two common coding polymorphisms in the SMPD1 gene, G1522A (G508R) and a hexanucleotide repeat sequence within the signal peptide region, were investigated in 118 unrelated subjects of French Canadian descent with low plasma levels of HDL-C (< 5th percentile for age and gender-matched subjects). Control subjects (n=230) had an HDL-C level greater than the 25th percentile. The results suggested that the two common coding variants at the SMPD1 gene locus are not associated with low HDL-C levels in the French Canadian population, and are published in the journal BMC Medical Genetics: "Sphingomyelin phosphodiesterase-1 (SMPD1) coding variants do not contribute to low levels of high-density lipoprotein cholesterol. BMC Med Genet. 2007 Dec 18; 8:79." and will be presented in CHAPTER 4.

2.5.3 Specific objective 3

We performed a genome scan on 25 families, including 13 mutligenerational families with an average of 12 affected individuals per family. The genome scan was executed using 485 autosomal microsatellite markers covering the human genome with an average marker density of about 6 cM. Using parametric linkage analysis, a locus was identified on chromosome 4q31.21, which was subsequently fine-mapped. The genes in the LOD-1 region were sequenced from probands of two linked families. This finding has been published in the journal of Arteriosclerosis, Thrombosis, and Vascular Biology: "*Evidence for a gene influencing high-density lipoprotein cholesterol on chromosome 4q31.2 Arterioscler Thromb Vasc Biol. 2006 Feb; 26(2):392-7.*" and will be presented in **CHAPTER 5.**

2.5.4 Specific objective 4

In addition, we have performed a genome-wide QTL analysis. Variance components methods implemented in the software SOLAR identified several regions of linkage to the HDL-C trait, including a locus on chromosome 16q that had a LOD score of 2.69 at 95 cM. I fine-mapped this region on chromosome 16q23-24 and sequenced all coding regions of the 39 genes located in this region. The R162G variant of the *CHST6* gene consistently segregated with HDL-C affection in four families. However, an association study in 136 cases and 218 controls did not confirm this finding. RT-PCR results demonstrated a significant difference in the expression level of *CHST6* and *KIAA1576* in this region. The

results of this work has been resubmitted in revised form to the European Journal of Human Genetics: *"Fine Mapping and Association Studies of a High-Density Lipoprotein Cholesterol Linkage Region on Chromosome 16 in French-Canadian Subjects"* and will be presented in **CHAPTER 6.**

Preface to chapter 3

This chapter is based on candidate gene approach using direct sequencing. The objective was to identify non-synonymous variants in candidate genes that segregated with low HDL-C in the carrier families. Using this approach, I sequenced the *ApoAI* and *LCAT* genes in 54 probands. The result of this work has been published: "*A novel nonsense apolipoprotein A-I mutation (ApoAI (E136X)) causes low HDL cholesterol in French Canadians. Atherosclerosis. 2006 Mar;185(1):127-36. Epub 2005 Jul 14.*"

CHAPTER 3

A NOVEL NONSENSE APOLIPOPROTEIN A-I MUTATION (APOA-I_{E136X}) CAUSES LOW HDL CHOLESTEROL IN FRENCH CANADIANS

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Key words: Hypoalphalipoproteinemia; High-density lipoprotein deficiency; Apolipoprotein AI; Gene mutation; Coronary artery disease; French Canadian population.

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3.1 Abstract

The molecular causes of severe high-density lipoprotein cholesterol (HDL-C) deficiency was examined in a group of 54 unrelated French Canadian subjects. The lecithin:cholesterol acyl transferase (LCAT) and apolipoprotein (apo) AI gene were analyzed in all probands by direct DNA sequencing. While no LCAT mutation was detected, a novel nonsense apoAI mutation (E136X) was found in 3/54 probands. Genetic analysis of two kindreds showed a strong cosegregation of the apoAI locus with the low HDL-C trait. The E136X mutation was detected in families by MaeI restriction digestion. E136X carriers (n=17) had marked HDL-C deficiency; among the nine carriers \geq 35 years old, five men had developed premature coronary artery disease (CAD). A peptide of apparent molecular weight of 14 KDa was identified in fresh plasma, the HDL fractions, and lipoprotein deficient plasma from the three probands but not in normal controls (n=3), suggesting that the mutant apoAI peptide is secreted and binds lipids. The mutation was not observed in an additional 210 chromosomes from unrelated subjects of French Canadian descent, <60 years of age, with CAD, and low HDL-C levels. We conclude that apoAI (E136X) is a cause of HDL-C deficiency in the French Canadian population and is associated with premature CAD.

3.2 Introduction

Genetic lipoprotein disorders are frequently seen in patients with premature coronary artery disease (CAD)¹⁹⁰. One of the major, categorical cardiovascular risk factors is a decreased plasma level of high-density lipoprotein cholesterol (HDL-C). In many cases, a low HDL-C level reflects increased hepatic secretion of apolipoprotein (apo) B–containing lipoproteins and is a feature of the metabolic syndrome ^{25, 191}. In patients with very low levels of HDL-C, mutations of genes involved in the metabolism of HDL particles have been identified. The most commonly reported gene defects in patients with a low HDL-C involve the ATP binding cassette A1 (ABCA1), apolipoprotein (apo) AI and lecithin:cholesterol acyltransferase (LCAT) genes^{101, 104, 134}. Many other genetic defects can cause a low HDL-C level but are relatively rare; conversely, mutations in the cholesteryl ester transfer protein (CETP) gene are associated with elevated HDL-C¹⁹².

HDL particles are involved in various metabolic processes, including cholesterol transport¹²⁰, vascular endothelial function, protection against LDL particle oxidation and anti-inflammatory properties^{38, 193-196}. The best studied role of HDL particles and presently considered to be the most important against the development of atherosclerotic cardiovascular disease – is reverse cholesterol transport. This pathway involves the secretion of apoAI (the major protein moiety in HDL) from hepatic and intestinal cells, the binding of apoAI to the cellular ABCA1 transporter and formation of nascent HDL particles, the esterification of free cholesterol by LCAT and the formation of spherical HDL₃ and HDL₂

particles, the exchange of core cholesteryl esters for triglycerides to apo B– containing lipoproteins via cholesteryl ester transfer protein (CETP), the selective uptake of cholesteryl esters via the scavenger receptor B1 (SR-B1), and the hydrolysis of core triglycerides by hepatic lipase and endothelial lipase¹⁹⁷. At least 49 mutations of the apoAI gene have been identified in the past 2 decades and are associated with HDL deficiency states, amyloidosis and premature CAD¹²⁰. Of these, four are nonsense mutations, leading to a premature termination of the protein (Q-2X, W8X, Q32X, and Q84X)¹⁹⁸⁻²⁰¹. In none of these mutations, could the truncated peptide be identified in plasma.

In the present study, we identified a novel apoAI mutation, $apoAI_{E136X}$, in 3 out of 54 unrelated probands of French Canadian descent selected for low levels of plasma HDL-C.

3.3 Methods

3.3.1 Subject selection

All study subjects were examined and sampled in the Preventive Cardiology / Lipid Clinic of the McGill University Health Centre. The inclusion criteria include a HDL-C $< 5^{th}$ percentile (age and gender matched based on the Lipid Research Clinics Population Studies Data Book, as previously described¹) and no known cause of low HDL-C (severe hypertriglyceridemia – defined as plasma triglycerides >10 mmol/L, cellular phospholipid efflux defect, or previously known mutations in the genes associated with HDL-C deficiency). When possible, all available living relatives were asked to participate in the study.

Family members were sampled under strict conditions (12 h fast, discontinuation of lipid modifying medications for at least 4 weeks). Demographic and clinical information, medications, blood pressure, serum glucose, and lipoprotein profiles were determined on all participating subjects. Separate consent forms were obtained from the probands and family members for plasma sampling, DNA isolation and skin biopsy. The research protocol was reviewed and approved by the Research Ethics Board of the McGill University Health Center. A second group consisted of 105 unrelated patients of French Canadian origin with premature CAD (mean age, 48 ± 9 years) selected for a HDL-C < 5th percentile²⁰².

3.3.2 Biochemical analysis

Plasma was isolated in all study subjects, after a 12 h fast, in EDTA– containing tubes. The buffy coat was kept for DNA isolation. Lipids and HDL-C were measured using standard techniques and the LDL-C was calculated according to the Friedewald formula (LDL-C = total cholesterol – triglycerides/2.2 - HDL-C, all concentration in mmol/L), unless the triglycerides were > 4.5 mmol/L. In this case, ultracentrifugation of plasma was used and the lipoprotein cholesterol concentration was measured directly.

3.3.3 Cellular analysis

ApoAI–mediated cellular phospholipid efflux assays were performed as previously described²⁰ on all probands to examine possible deficiencies of
cellular lipidation of HDL most likely associated with functional variants of the ABCA1 transporter gene²⁰³.

3.3.4 Haplotyping

Genotypes (deCODE genetics, Reykjavik, Iceland) at markers D11S4206, D11S908 and D11S4089, flanking the apoAI gene locus on chromosome 11q22-23 were used to construct haplotypes.

3.3.5 DNA sequencing

Direct sequencing of the apoAI and LCAT genes was performed on all probands. Exon-specific oligonucleotides were synthesized (Operon Biotechnologies, Huntsville, AL), designed in such a way that each intron-exon boundary included at least 10 bp intronic. Sequencing was performed using the dye termination method on a ABI PRISM® 3100-*Avant* Genetic Analyzer (Applied Biosystems, Foster City, CA). To rule out sequencing artifacts, all variants identified were sequenced bi-directionally. The PHRED/ PHRAP/ CONSED programs were used for sequence alignment and analysis.

3.3.6 Detection of mutation

Genotyping for the E136X mutation was performed by PCR amplification in exon 4 (412-bp) followed by restriction digestion with *Fsp*BI (*MaeI*). The forward primer in intron 3 is 5'-AGCCCTCAACCCTTCTGTCT-3'; and the reverse primer in exon 4 is 5'-CAGCTCGTCGCTGTAGGG-3'; annealing

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temperature is 63°C. PCR product (15μ L) was incubated with *Fsp*BI (Fermentas Canada, Burlington, ON) (5U) in a total volume of 25 μ L overnight at 37°C, and the resulting fragments were separated on a 1.5% agarose gel. In the presence of the mutation, a cleavage site is created, resulting in 308- and 104-bp fragments.

3.3.7 Protein analysis

Plasma was obtained from the three probands and three normal controls, and HDL was isolated by differential ultracentrifugation at density (*d*) 1.063 < d< 1.210 g/mL, using KBr to adjust the density of plasma. In 250 µL of the total plasma, the HDL fractions and the lipoprotein deficient fractions, apoAI was immunoprecipitated by a polyclonal anti-human apoAI antibody (Biodesign International, Saco, ME) and 100 µg of protein were separated on 8-27% polyacrylamide gradient gel (PAGGE; 125 V, 24 h, 4°C), Electrophoretically separated samples were electrotransferred (30 V, 24 h, 4°C) on to nitrocellulose membranes (Hybond ECL, Amersham Bioscience). ApoAI was detected by incubating the membranes with immunopurified polyclonal anti-apoAI antibody (Biodesign International, Saco, ME).

3.3.8 Separation of lipoproteins by two-dimensional non-denaturating gradient gel electrophoresis

Plasma apoAI-containing particles from a normal subject and the three probands were analyzed by two-dimensional non-denaturating gradient gel electrophoresis, as previously described²⁰⁴. Briefly, Lipoproteins from plasma samples (200 μ L) were separated in the first dimension (according to particle charge) by 0.75% agarose gel electrophoresis (100 V, 8 h, 4°C) and in the second dimension (according to particle size) by 2–16% polyacrylamide concave gradient gel electrophoresis (80 V, 20 h, 4°C). Iodinated high molecular weight protein mixture (7.1–17.0 nm, Amersham Biosciences) was run as a standard on each gel. Electrophoretically separated lipoproteins and proteins were electrotransferred (30 V, 20 h, 4°C) onto nitrocellulose membranes (Hybond ECL; Amersham Biosciences). Membranes were then incubated for 30 min in phosphate-buffered saline (PBS) containing 5% non-fat milk powder. ApoAI-containing lipoproteins were detected by incubating membranes with affinity-purified goat polyclonal anti-human apoAI antibody (Biodesign International, Saco, ME.) labeled with ¹²⁵I. After incubation with anti-apoAI, membranes were washed three times (30 min) with PBS containing 0.05% (v/v) Tween 20, and the presence of labeled antibody was revealed by autoradiography on XAR-2 Kodak film.

3.3.9 LCAT assay

Ability of apoAI to esterify cholesterol via an exogenous LCAT was analyzed on HDL from the three probands and a normal control subject. The HDL fraction was isolated from probands and a normolipidemic subject by differential ultracentrifugation, as mentioned above. Twelve-well plates were coated with free ³H-cholesterol (2.5 μ Ci Perkin Elmer Life Sciences Canada) in 500 μ L methanol per well. In the HDL fractions, endogenous LCAT activity was initially inactivated by heating at 56°C for 45 min and triplicate HDL samples (420 µg protein/well) were labeled overnight at 4°C in ³H-cholesterol coated wells. Ten µg protein of labeled HDL (≈10,000 cpm) were added to 0.1 M Tris-HCl, pH 8.3 containing 5 µL of 30% (v/v) bovine serum albumin, 0.5% (v/v) βmercaptoethanol, and 600 ng (0.005 U/ng) of exogenous LCAT (C-terminal histidine-tagged human recombinant lecithin: cholesterol acyltransferase (hrLCATH6), generously provided by Dr. John S. Parks, Wake Forest University School of Medicine, Winston-Salem, NC) in a total volume of 200 µL. The reaction mixture was incubated at 37°C for 1 h and then extracted in Folch reagent (chloroform-methanol 2:1 v/v) at 37°C for 2 h. Free and esterified ³Hcholesterol were separated on thin layer chromatography plates (Silica Gel Linear K; Whatman, Clifton, NJ), developed in heptane-ether-methanolacetic acid (80:30:3:1.5 v/v/v/v), and counted by scintillation. Results are expressed as the percent of esterified over the total (free + esterified) ³Hcholesterol.

3.4 Results

As part of a research project on the genetics of HDL, data on 59 probands with severely reduced levels of plasma HDL-C have been collected. Also, 40 families of probands with low HDL-C, including more than 900 family members, have been recruited. At least one first degree relative was affected by a low HDL-C in the recruited families. The size of families varies between 3 and 109, with a median of 14 relatives per family. We have previously reported in four of these subjects the ABCA1 gene as the cause of Tangier disease and familial HDL deficiency^{101, 104} and in one subject, the sphingomyelin phosphodiesterase-1 gene, coding for acid sphingomyelinase as another genetic cause of a low HDL-C¹⁸⁹. These 5 probands were eliminated from this study. In the present study, we examined 54 unrelated probands of French Canadian descent, selected for a HDL-C $< 5^{\text{th}}$ percentile for age and gender. None of the probands had diabetes, severe hypertriglyceridemia (fasting plasma triglycerides > 10 mmol/L) or known mutations at genes modulating HDL-C levels. A defective cellular lipidation of HDL, commonly associated with ABCA1 gene defects, was tested by performing cellular phospholipid efflux was found in 7 probands. In the remaining subjects, cellular phospholipid efflux was within normal limits, according to our previously established criteria ²⁰³. Using a candidate gene approach, we sequenced the apoAI and LCAT genes in all 54 probands.

Direct sequencing from genomic DNA revealed, in 3 unrelated probands, a heterozygous $G \rightarrow T$ substitution at position 478 in exon 4 of the apoAI gene (data not shown), predicting a substitution of glutamic acid (*Gag*) for a stop codon (*Tag*) at residue 136 (E136X). LCAT gene sequencing, however, showed no functional variant on all 54 probands.

The presence of the E136X mutation was also detected by endonuclease digestion (as illustrated in **Fig. 1**), where a *Mae*I site is present in a 412-bp fragment amplified from the 5' end of apoAI–exon 4. As shown in **Fig. 1**, the heterozygous mutation was confirmed on the three affected probands, compared

to DNA from a normal control amplified and submitted to *Mae*I digestion in the same conditions.

Haplotype encompassing the apoAI gene has been constructed in a region spanning 11.4 Mb surrounding the gene, using the three genetic markers D11S4206, D11S908, and D11S4089 localized respectively at 7.2 and 1.5 Mb from the gene in the centromeric region and 4.2 Mb in the telomeric region. Haplotyping data, including the E136X mutation detection in the apoAI gene, were examined in two available kindred from affected probands (**Fig. 2A and 2B**). There was a strong co-segregation of the mutated allele with the phenotype of low HDL-C levels in both kindred.

In the first pedigree (**Fig. 2A**), 7 subjects (5M, 2F) are found heterozygous carriers of the mutation with a HDL-C $< 5^{\text{th}}$ percentile. All the three male carriers, 35 years old or older, had developed premature CAD. Only one female carrier, older than 35 years, had not developed premature CAD. The proband underwent coronary by-pass grafting (CABG) at age 53; one of his brothers, subject 307, also has premature CAD, and underwent CABG at age 48; and subject 403 underwent percutaneous coronary intervention (angioplasty) at age 30. All three subjects have a low HDL-C and carry the apoAI_{E136X} mutation. Interestingly, individual 406, who is the spouse of a non-carrier subject in this kindred, shows a decreased HDL-C below the 5th percentile without carrying the apoAI_{E136X} mutation. Also, the son (individual 509) of this subject shows a severe decrease in HDL-C, suggesting that another molecular cause for a HDL-C deficiency might

be present in this pedigree. These subjects have not been investigated in the present study.

The second pedigree (**Fig. 2B**) shows 9 subjects (5M, 4F) who carry the heterozygous mutation and all have a decreased HDL-C $< 5^{\text{th}}$ percentile. In carriers older than 35 years, 2/3 males had developed premature CAD, whereas the only female had not developed CAD at 58 years of age. The proband had a diagnosis of angiographically documented CAD and underwent CABG at age 39; one brother (subject 307) had CAD diagnosed at age 56 (CABG), has a very low HDL-C and also carries the apoAI_{E136X} mutation. Three females (individuals 302, 313, and 404), non-carrier of the mutation, have an unexplained decrease of HDL-C level, suggesting the presence of other genetic, metabolic or environmental causes of a decreased HDL-C level in this kindred.

Haplotyping in the kindred from the third affected proband has not been carried out due to the unavailability of the family members.

We examined 62 family members from probands 1 and 2; of those, 14 were affected with apoAI_{E136X}. All affected subjects had an HDL-C level $< 5^{th}$ percentile for age- and gender-matched subjects. **Table 1** shows the lipid and lipoprotein lipid levels in the three affected probands and affected family members. Proband 1 had a HDL-C of 0.67 mmol/L with a normal triglyceride level of 1.36 mmol/L. Proband 2 had moderate hypertriglyceridemia (8.23 mmol/L) and a HDL-C of 0.37 mmol/L. Similarly, proband 3 had moderate hypertriglyceridemia (7.45 mmol/L) with a HDL-C of 0.17 mmol/L. ApoB levels were normal in proband 1 and 2 and elevated in proband 3, while apoAI was

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decreased in the three probands. **Table 2** shows the mean values for the group of 54 probands with HDL-C deficiency, as well as a second group of patients with premature CAD (n=105) and markedly reduced HDL-C levels. A reference group²⁰², consisting of normolipidemic subjects (152 men and 61 women) of French Canadian origin, matched for age is shown for comparison purposes.

The three probands were carefully examined by one of us (J.G.). There were no cutaneous or tendinous xanthomas, no xanthelasmas, no corneal opacifications seen on slit lamp examination and no enlarged lymph nodes, liver or spleen. There is no family history of amyloidosis although rectal biopsies or echocardiographic examinations were not performed on any of the subjects, for the diagnosis of systemic or cardiac amyloidosis.

We also examined a group of 105 CAD patients with a low HDL- C for the presence of the apo AI_{E136X} mutation, by endonuclease digestion. We did not find the mutation in any of the 210 chromosomes analyzed in this second group of CAD patients with low HDL-C.

ApoAI protein from the three probands and three normal controls was examined by electrophoretic separation on polyacrylamide gradient gel and immunodetection with a polyclonal anti-apoAI antibody. **Fig. 3** shows the apoAI found in HDL fractions from the three probands (left panel) and the three normal subjects (right panel). A band below the molecular weight 30 kDa (standards not shown), observed in both probands and normals, corresponds to the major form of apoAI protein with a normal molecular weight of 28.3 kDa. A distinct band, very likely the truncated form of apoAI, close to the molecular weight 14 kDa,

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was identified only in subjects with the $apoAI_{E136X}$ and was not present in normals. This band was also detected in apoAI from total plasma and lipoprotein deficient plasma fractions from the three probands but not in the three normals (data not shown). This observation suggests that the $apoAI_{E136X}$ encodes a truncated form of apoAI protein, which is secreted in the plasma and is partially lipidated.

Plasma apoAI-containing lipoproteins were examined by two-dimensional gel electrophoresis in the three probands, compared to a normal control subject (**Fig. 4**). There was a marked reduction in the larger mature HDL particles (HDL_{2a} and HDL_{2b}) from the three probands. The lack of these particles seems to correlate respectively with the low levels of HDL-C measured in the total plasma of the three probands.

The ability of the HDL fraction to activate LCAT was assessed using HDL fractions from the three probands and a normal control subject. Equal amounts of HDL proteins were used in the *in vitro* LCAT assay, performed after inactivating native LCAT. The results of the LCAT activity assay, determined by the percentage of esterified cholesterol from the total radiolabeled cholesterol in each HDL fraction, is shown in **Fig. 5**. HDL from heterozygous apoAI_{E136X} maintain the ability to esterify cholesterol, due to the functioning apoAI allele. As negative control, we also performed the *in vitro* LCAT assay from reconstituted LpAI (Δ 122-165), which has been previously shown to prevent the LCAT reaction¹²⁰, and found no esterification reaction in this system (data not shown).

3.5 Discussion

A low HDL-C is considered a major risk factor for the development of CAD²⁵. The majority of cases of low HDL-C are associated with the male gender, obesity, high blood pressure, hypertriglyceridemia, a sedentary or an unhealthy lifestyle, including cigarette smoking. A low HDL-C is a diagnostic criterion for the metabolic syndrome². Increased hepatic secretion of apoB–containing lipoproteins and hypertriglyceridemia are thought to cause the secondary decrease in HDL-C.

There are rare genetic mutations in the genes associated with HDL metabolism. These include structural proteins (apoAI), modifying enzymes (LCAT), and the ABCA1 transporter, the genetic defect in Tangier disease and familial HDL deficiency. Here, we report a novel mutation of the apoAI gene, apoAI_{E136X}, identified in 3/54 patients (6%) with severe HDL-C deficiency, defined as a HDL-C $< 5^{th}$ percentile for age and gender. This mutation was not identified in another 105 patients from same ethnic origin, with CAD and a low HDL-C. In the two kindred examined, including a total of 62 subjects, in addition to the probands, the apoAI_{E136X} was identified in 14 individuals. All had a HDL-C level $< 5^{th}$ percentile for age- and gender-matched subjects and mild to moderate hypertriglyceridemia (**Fig. 2A, 2B and Table 1**). Premature CAD was documented in probands 1 and 2, and in three additional family members. We did not perform non-invasive testing for atherosclerosis in other family members.

This novel mutation is located within the 5th predicted repeat unit of the mature apoAI peptide, and the predicted mutant peptide would be expected to be slightly more that half the secreted mature apoAI.

The apoAI_{E136X} mutant protein appears to be secreted in plasma, as shown in **Fig. 3**. A peptide with an apparent molecular weight of \approx 14 KDa, was found on PAGGE in the HDL fraction and in the lipoprotein deficient fraction after preparative ultracentrifugation. This truncated apoAI peptide nevertheless appears to bind lipids (hence its presence in the HDL fraction) and is not identified in three normolipidemic subjects. The plasma concentration of the peptide appears to be markedly less than the wild type apoAI. This suggests that the peptide might be unstable in plasma and rapidly cleared, likely by the kidneys. Of note, the patients examined so far have no clinical features of amyloidosis or nephropathy.

At least 49 mutations of the apoAI gene have been reported^{120, 205}. Some of these mutations are associated with hereditary amyloidosis and appear to cluster in the amino terminus of the mature peptide; all consist of amino acid changes. Another cluster of mutations near the apoAI repeat units 6, 7 and 8 (residues143-208)¹²⁰, consisting of amphipatic α -helices, are associated with a low HDL-C. We postulate that apoAI_{E136X} would be unable to activate LCAT, thereby contributing to the low HDL-C in our patients. To determine whether HDL from affected patients (heterozygous for apoAI_{E136X}) could still activate LCAT with the normal apoAI, we examined the formation of cholesteryl esters using an *in vitro* assay. We used equal amounts of HDL protein from control and patients. We have shown in **Fig. 3** that the majority of apoAI in the plasma of

affected subjects contained the normal apoAI. The results shown on **Fig. 5** confirm that the remaining apoAI allele can activate LCAT normally.

Genetic analysis in the two affected kindred suggest that this mutation is autosomal dominant. All heterozygous carriers of the mutation have an HDL-C level $< 5^{\text{th}}$ percentile, and plasma HDL-C levels are decreased by approximately 50%, suggesting that the mutant apoAI protein is unable to carry cholesterol in plasma.

The apoAI_{E136X} mutation was identified in three unrelated probands of French Canadian descent with severe HDL-C deficiency. This mutation is associated with premature CAD in our family studies. The same mutation was not discovered when 128 individuals and 155 individuals were sequenced in the US and Canada, respectively⁶⁴. In addition, we were unable to identify this mutation in a second group of patients with premature CAD, selected for a low HDL-C (**Table 1**). The possibility of a founder effect within the French Canadian population remains to be examined. While the prevalence of this mutation in our initial group of patients is 6%, the prevalence is likely much lower in the general population.

3.6 Acknowledgments

We thank Dr. John S. Parks for the hLCATH6 generously provided. This work was supported by grants MOP 15042 and 62834 from the Canadian Institutes of Health Research (CIHR). J. Genest holds the McGill University-Novartis Chair in Cardiology.

3.7 Figures

Figure 1. Mutation detection by endonuclease digestion.

Fragment of 412 bp in apoAI-exon 4 was amplified by PCR under conditions described in "Section 3.3.6". As shown in the schematic representation of apoAI gene (*lower panel*), the presence of the E136X mutation creates a *MaeI* restriction site cleaving the fragment into 308 and 104 bp. *Upper panel*, after restriction digestion, PCR fragments from the three probands (PD1, PD2, PD3) and a normal control subject (NL) was separated on 1.5% agarose gel and revealed the heterozygous mutation (+/–) only in the probands



Figure 2. Genetic analysis of the families of proband 1 and 2.

(A) pedigree of proband 1; (B) pedigree of proband 2. Squares represent males and circles represent females. Diagonal lines and crosses through the symbols indicate deceased inviduals or unavailable data, respectively. Haplotypes at the apoAI gene locus (region 11q22–23) include three genetic markers flanking the gene and the E136X mutation (0, absence; 1, presence). Filled bars indicate alleles bearing the mutation. Horizontal line in bars or partly filled bars represent meiotic recombinations. Individual number (as referred in Table 3.2), age, HDL-C value followed by percentile ranking for age and gender are listed below each symbol. Black symbols indicate individuals with a HDL-C value below the fifth percentile, consistent, for most of the cases, with the presence of the E136X mutation in one allele. *, individual with documented premature coronary artery disease; parentheses, inferred marker genotypes; large arrow, proband.

Figure 2A.



Figure 2B:



Figure 3. Polyacrylamide gradient gel electrophoresis separation of HDL apoAI from the three probands and three normal subjects.

HDL fraction was isolated from plasma as described in "Section 3.3.7". ApoAI protein was immunoprecipitated from HDL fractions and separated on 8–27% polyacrylamide gradient gel. After electrotansfer onto nitrocellulose membrane, apoAI was immunodetected with a polyclonal anti-apoAI antibody. *Left panel*, HDL apoAI (E136X, +/–) from the three probands (PD1, PD2, PD3) shows a band below 30 kDa corresponding to the normal molecular weight of apoAI (28.3 kDA) produced by the normal allele; an additional band is observed below 14 kDa, most likely corresponding to the truncated form of apoAI produced by the allele bearing the E136X mutation. *Right panel*, in contrast, HDL apoAI (E136X, –/–) from the three normal controls (NL1, NL2, NL3) tested shows only one band corresponding to the normal apoAI molecular weight.



HDL-ApoAl_{E136X}

Figure 4. Two-dimensional gradient gel electrophoresis separation of apoAIcontaining lipoproteins.

Plasma from a normal subject (NL) and the three probands (PD1, PD2, PD3) were first separated according to the particle charge (on agarose gel, 0.75%), then according to the particle size (on non-denaturating polyacrylamide gradient gel, 2–16%). Lipoproteins were electrotransfered onto nitrocellulose membrane and apoAI was detected with ¹²⁵I-labeled anti-apoAI antibody. Molecular size markers are indicated on the left-hand panel. ApoAI-containing HDL subpopulations are indicated with arrows on this panel. In the three probands, there is a marked reduction of HDL_{2a} and HDL_{2b} particles compared to the normal subject. In contrast, amount of nascent pre- β_1 -LpAI is not affected and HDL₃ particles appears clearly less reduced.



Figure 5. Ability of apoAI to activate LCAT in HDL from the three probands compared to a normal subject.

LCAT activity assay was performed in HDL fraction from the three probands (PD1, 2, 3) and a normal subject (NL control) according to the procedure described in "Section 3.2". From a same amount of HDL proteins, the percentage of cholesterol esterified in HDL during 1 h by an exogenous LCAT was determined. The ability of apoAI to esterify cholesterol in HDL fractions is not significantly different between the three probands and the normal control. Non-mutated apoAI, produced by the normal allele, seems the major form of apoAI present in HDL particles in probands.



3.8 Tables

Subjects	Family	Age	Gender	BMI	Total	Triglycerides	HDL	Chol	ApoA-I	ApoB	CAD
		γ		kg/m²	mmol/L	mmol/L	mmol/L	mmol/L	g/L	g/L	
Proband 1	301	64	Σ	28.4	4.56	1.36	0.67	3.26	0.81	0.66	+
Kindred [†]	307	99	Σ	33.4	3.87	3.16	0.53	1.68	0.73	0.75	+
	403	35	Z	29.4	5.08	1.56	0.68	3.62	0.81	1.36	+
	421	37	ш	30.1	4.43	0.78	0.49	3.38	0.51	0.70	I
	507	1	ш	22.4	5.38	0.83	0.74	4.00	0.59	0.89	Ι
	531	17	Σ	25.9	4.86	0.82	0.56	3.68	0.59	0.79	I
	533	16	Σ	21.8	3.32	1.04	0.32	2.30	0.50	0.71	I
Proband 2	301	50	Σ	32.3	7.53	8.23	0.37	2.87	0.51	1.06	+
Kindred [†]	303	57	ш	25.6	5.76	4.63	0.71	2.77	0.81	1.35	I
	307	53	Z	34.3	2.20	2.16	0.36	0.79	0.43	0.54	+
	311	46	Z	31.6	6.40	2.28	0.50	4.67	0.59	1.54	I
	401	25	ш	33.4	5.00	2.97	0.44	2.98	0.52	1.13	I
	402	23	Σ	23.0	4.57	1.68	0.52	3.18	0.59	0.95	I
	412	29	ш	31.2	4.46	1.50	0.42	3.23	0.46	1.08	I
	420	15	ш	19.3	3.37	0.65	0.69	2.33	0.50	0.55	Ι
	425	23	Σ	30.1	5.05	1.88	0.49	3.57	0.49	1.04	I
Proband 3		52	Μ	29.3	7.45	6.50	0.17	n.d.	< 0.25	1.89	I
Mean				28.3	4.90	2.47	0.51	3.02	0.56	1.00	
SD				4.6	1.38	2.13	0.16	0.93	0.17	0.37	
[†] Pedigree pre BMI, body ma	esented in Iss index;	Fig. 2/ CAD, c	A, Proband coronary art	1; and Fi tery disea	g. 2B, Prot se; n.d., no	oand 2. ot determined.					

Table 1. Characteristics of the probands and their family members heterozygous for ApoAI_{E136X}.

Subjects	Age	Sex	Total	Triglycerides	HDL	LDL	CAD
-	-		Chol		Chol	Chol	
	y †	п	mmol/L †	mmol/L †	mmol/L †	mmol/L †	п
Probands							
М	54±12	46	4.8±1.5	3.2±2.3	0.6 ± 0.2	2.7 ± 0.9	18
F	52±11	8	4.9±1.0	3.9±1.9	0.6±0.1	2.6±0.9	3
CAD patients							
M	49±10	76	6.5±2.1	4.9±4.0	0.6 ± 0.1	3.7±1.7	76
F	49±6	29	7.8±3.4	9.0±13	0.7±0.2	4.2±1.9	29
Normal Control [‡]							
М	47 ± 8	152	5.6±0.9	1.8 ± 1.0	1.2±0.3	3.5±0.9	0
F	51±6	61	5.6±0.9	1.5 ± 0.8	1.5±0.4	3.4 ± 0.8	0

Table 2. Characteristics of the two study groups of subjects with low HDL-C and a reference group of same origin.

[†]Mean \pm S.D.

[‡] As reference of a healthy French Canadian population, described elsewhere¹⁹.

CAD, coronary artery disease.

Preface to chapter 4

In part of candidate gene approach of my project, I investigated the possible association of common variations in *SMPD1* gene and low HDL-C in French Canadian. The results are published in the journal *BMC Medical Genetics*: "*Sphingomyelin phosphodiesterase-1 (SMPD1) coding variants do not contribute to low levels of high-density lipoprotein cholesterol. BMC Med Genet. 2007 Dec 18;8:79.*" and will be presented in this chapter.

CHAPTER 4

SPHINGOMYELIN PHOSPHODIESTERASE-1 (SMPD1) CODING VARIANTS DO NOT CONTRIBUTE TO LOW LEVELS OF HIGH-DENSITY LIPOPROTEIN CHOLESTEROL

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Key words: Association study, haplotypes, hypoalphalipoproteinemia, highdensity lipoprotein, Niemann-Pick disease, polymorphisms, *SMPD1* gene.

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4.1 Abstract

Niemann-Pick disease type A and B is caused by a deficiency of acid sphingomyelinase due to mutations in the sphingomyelin phosphodiesterase-1 (SMPD1) gene. In Niemann-Pick patients, SMPD1 gene defects are reported to be associated with a severe reduction in plasma high-density lipoprotein (HDL) cholesterol. Two common coding polymorphisms in the SMPD1 gene, the G1522A (G508R) and a hexanucleotide repeat sequence within the signal peptide region, were investigated in 118 unrelated subjects of French Canadian descent with low plasma levels of HDL-cholesterol ($\leq 5^{th}$ percentile for age and gendermatched subjects). Control subjects (n=230) had an HDL-cholesterol level > the 25th percentile. For G1522A the frequency of the G and A alleles were 75.2% and 24.8% respectively in controls, compared to 78.6% and 21.4% in subjects with low HDL-cholesterol (p=0.317). The frequency of 6 and 7 hexanucleotide repeats was 46.2% and 46.6% respectively in controls, compared to 45.6% and 49.1% in subjects with low HDL-cholesterol (p=0.619). Ten different haplotypes were observed in cases and controls. Overall haplotype frequencies in cases and controls were not significantly different. These results suggest that the two common coding variants at the SMPD1 gene locus are not associated with low HDL-cholesterol levels in the French Canadian population.

4.2 Introduction

A low plasma level of high-density lipoprotein (HDL) cholesterol is defined as a cardiovascular risk factor and is part of the assessment of global cardiovascular risk stratification²⁰⁶. Therapeutic goals set for the prevention of cardiovascular disease include targets for low density lipoprotein (LDL) cholesterol, non-HDL-cholesterol²⁵, and the total cholesterol to HDL-cholesterol ratio²⁰⁷. However, a goal for an absolute HDL-cholesterol value is still a matter of controversy as the current therapeutic approaches are limited in their ability to raise HDL-cholesterol^{194, 208}. In the majority of cases, a low HDL-cholesterol is secondary to increased hepatic secretion of apolipoprotein B–containing lipoproteins and triglycerides²⁰⁸. Some cases of low HDL-cholesterol are due to genetic defects in HDL–associated protein apolipoprotein AI, modifying enzymes (hepatic lipase, lipoprotein lipase, cholesteryl ester transfer protein, lecithin:cholesterol acyl transferase) and the ATP binding cassette A1 transporter

Niemann-Pick disease type A and B is caused by a deficiency of the enzyme acid sphingomyelinase coded by *SMPD1* gene. *SMPD1* gene defects are reported to be associated with a severe reduction in plasma HDL-cholesterol²¹⁰. In the search for genes causing disorders of HDL-cholesterol, we examined extended (3 or more generations) kindred of French Canadian descent to identify Mendelian traits. Using this approach, we have previously reported that compound heterozygosity in the *SMPD1* gene is associated with decreased activity of acid sphingomyelinase and low HDL-cholesterol¹⁸⁹. Furthermore, a

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decreased activity of lysosomal and secreted acid sphingomyelinase is believed to cause low HDL-cholesterol in part by decreased activation of lecithin:cholesterol acyltransferase (LCAT) and impaired formation of cholesteryl ester-enriched HDL particles²¹¹. The SMPD1 gene is located on chromosome 11p15.1-p15.4, is comprised of 6 exons, and encodes a cDNA of 2.5 kb. The acid sphingomyelinase protein (http://genome.ucsc.edu/; Genome Browser: NP 000534²¹²) consists of 631 amino acids and exists in at least three protein isoforms. The protein also contains a signal peptide, a saposin domain that is common in lysosome-targeted proteins, and a large metallophosphoesterase domain. Although rare mutations in the SMPD1 gene can impair the function of acid sphingomyelinase and result in Niemann-Pick disease type A or B, as well as in low HDL-cholesterol, it is not known whether common amino acid change variants in SMPD1 can modulate HDL-cholesterol levels within a population. The objective of this study was to investigate, in a population selected for HDL-cholesterol levels, associations between known common amino acid variants of the SMPD1 gene and low plasma levels of HDL-cholesterol in subjects of French Canadian descent. We focused on frequent (> 5% in the general population) polymorphisms that affect the coding sequence of SMPD1.

4.3 Methods

4.3.1 Subject characteristics

A total of 348 unrelated subjects of French Canadian origin (118 with low HDL-cholesterol levels and 230 control subjects) were examined at the McGill University Health Centre. Low HDL-cholesterol levels were defined as those less than the 5th percentile (age and gender-matched), based on the Lipid Research Clinics Population Studies Data Book²¹³. Subjects with low HDL-cholesterol had no known cause of HDL deficiency (severe hypertriglyceridemia defined as plasma triglycerides > 10 mmol/L, cellular phospholipid or cholesterol efflux defect or previously known mutations in genes associated with HDL deficiency). The control group was of same origin and chosen based on HDL-cholesterol levels > 25th percentile, matched for age and gender. Demographic and clinical information, medications, blood pressure, and lipoprotein profiles were determined on all participating subjects. Hypertension was defined as a blood pressure $\geq 130/85$ mmHg. Coronary artery disease (CAD) was present when angiographically documented or patient had a past history of acute myocardial infarction. Consent was obtained for the plasma sampling and DNA isolation. The research protocol was reviewed and approved by the Research Ethics Board of the McGill University Health Centre (REB No. BMA 05-006).

4.3.2 Measurement of plasma lipids and lipoprotein

The lipid lowering agents were withdrawn in all study subjects for at least four weeks before measurement of the lipid profile. Insulin and oral hypoglycemic agents were maintained in diabetic patients. Plasma was isolated in all study subjects, after a 12-hour fast, in EDTA-containing tubes. Lipids and lipoproteins were measured using standardized techniques and the LDL-cholesterol was calculated according to the Friedewald formula, unless triglyceride levels were >4.5 mmol/L^{214, 215}.

4.3.3 DNA analysis

DNA was isolated from the buffy coat obtained after centrifugation of whole blood. Two previously reported common polymorphisms of the *SMPD1* gene in Niemann-Pick disease type A and B^{216, 217} were examined. The G \rightarrow A substitution at position c.1522 located in exon 6 of the *SMPD1* gene, predicting a substitution of arginine (R) for a glycine (G) at residue 508 (G508R) (rs1050239) was detected by polymerase chain reaction followed by digestion with the restriction enzyme *Msp1* (New England Biolabs, MA, USA). The hexanucleotide repeat polymorphism at the start position c.103²¹² (Genomic position 6368507 in http://genome.ucsc.edu/) was located in exon 1 of the *SMPD1* gene and detected using the sense primer 5'-GTCAGCCGACTACAGAGAAG-3' and the antisense primer 5'-GGCATCTACAATCCATCACT-3'. The antisense primer was radiolabeled at the 5' end with T4 polynucleotide kinase and [γ -³²P] ATP (PerkinElmer, MA, USA) by standard procedures. Polymerase chain reaction products were resolved on a 6% polyacrylamide denaturating gel.

4.3.4 Data analysis

The data was analyzed by examining allele frequencies in subjects with low HDL-cholesterol versus controls. The DeFinetti Program (http://ihg.gsf.de/cgi-bin/hw/hwa1.pl) was employed to test the deviation from Hardy-Weinberg equilibrium and also to compare the frequency of the SNPs between cases and controls. For the G1522A SNP, HDL-cholesterol levels were compared between homozygotes of the common allele and both heterozygotes and homozygotes for the rare allele pooled together. Deviation from Hardy-Weinberg equilibrium for the hexanucleotide repeat polymorphism was tested by PEDSTATS version 0.6.5. The CLUMP program version 2.3 was used to assess the significance of the same marker between cases and controls, by using 1000 simulations in a Monte Carlo approach²¹⁸.

Haplotype frequencies (containing both polymorphisms) were estimated for cases and controls, using PHASE Ver.2.02²¹⁹.

Power calculations using the Genetic Power Calculator (http://pngu.mgh.harvard.edu/~purcell/gpc/qcc.html) demonstrated that we would have >80% power with our given sample size (controls=230, cases=118) to detect a SNP that accounts for 0.02 or more of the variance in HDL-cholesterol. This assumes that we are directly testing a causitive variant with an allele frequency of 23% with a type I error rate α =0.05.

Statistical analyses were performed with the SAS package version 8 (SAS Institute Inc, NC, USA) and SigmaStat version 2.0 (Jandel Corporation, San Rafael, CA, USA). A χ^2 analysis (GraphPad InStat, CA, USA) was performed

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with respect to allele frequencies in each of the HDL-cholesterol groups. Age, body mass index (BMI), and all lipid parameters in both groups were treated as continuous variables. Comparisons were made through generalized linear model procedures (Proc GLM) followed by Duncan's post hoc test. All *p*-values <0.05 were considered significant.

4.4 Results

We analyzed a total of 348 subjects from a pool of control subjects and patients with premature CAD. The selection criterion was an HDL-cholesterol $<5^{\text{th}}$ percentile for cases (n=118), and an HDL-cholesterol >25^{\text{th}} percentile for controls (n=230). Mean ages were 50±10 and 50±9 years for control and case groups, respectively. Additional demographic and biochemical characteristics are shown in **Table 1**. Subjects with a low HDL-cholesterol had a higher BMI, were more likely to have type II diabetes, hypertension, CAD and a family history of CAD. These correlates of low HDL-cholesterol have been previously well established¹⁸. The low HDL-cholesterol group had an HDL-cholesterol of 0.67±0.13 mmol/L and the control group had a mean HDL-cholesterol of 1.35±0.33 (p<0.001). Plasma triglyceride levels were higher in the low HDLcholesterol group than in the controls (3.95±3.35 mmol/L vs. 1.63±0.89 mmol/L, p<0.001).

We examined two polymorphisms at the *SMPD1* gene locus: G1522A (G508R) and a hexanucleotide repeat sequence CTGG (TC)(GT). From our 348 subjects analyzed, 230 controls and 117 cases were successfully genotyped. The

prevalence of the G508R variant in cases and controls is shown in **Table 2**. The presence of the G allele was seen in 75.2% of controls and 78.6% of subjects with low HDL-cholesterol (OR=0.82; p=0.317). The G allele has been reported at a frequency of 0.85 in an European population [National Center for Biotechnology Information: http://www.ncbi.nlm.nih.gov; SNP database #rs1050239, NCBI assay ID #ss24058527 from PERLEGEN for European panel]. We also separately analyzed the association of this variant between patients with and without CAD and no significant difference was confirmed (p=0.06, data not shown). Genotype frequencies for the GG, GA and AA classes did not differ between subjects with low HDL-cholesterol and controls (Table 2). We examined the association of age, gender, BMI, diabetes mellitus, hypertension, plasma triglycerides, CAD, familial history of CAD, total plasma cholesterol, plasma LDL-cholesterol, between subjects with the GG genotype and subjects with either the AG or AA genotypes in cases and controls (Table 3). We found significant associations between the genotypic classes with familial history of CAD (p=0.0003), total cholesterol (p=0.03) and LDL-cholesterol (p=0.02) in controls. In addition, we found significant associations between the genotypic classes with total cholesterol (p=0.009) in the low HDL-cholesterol subjects. We did not find any significant difference in the prevalence of the GG or AG + AA genotypes with the presence of diabetes. The analysis was also carried out separately between each genotypic class (GG, AG and AA), and the results were similar as those presented in **Table** 3.

The second polymorphism consisted of a unique hexanucleotide sequence CTGG(TC)(GT) located within the signal peptide region of the acid sequence sphingomyelinase (corresponding to the hydrophobic LVLALALALALA. The genotype distribution of the hexamer polymorphism was examined and the most frequent allele was the 6 and 7 repeats (respectively 46% and 47% of the control group) (Table 4). We identified 9 genotypes in our study population with the most prevalent being 6/7, 7/7 and 6/6. There was no significant difference in the genotype or allele frequencies between low HDLcholesterol subjects and controls. We used the CLUMP program to confirm these results with a p-value of 0.6 after a 1000-simulation analysis. We examined the most frequent genotypes with respect to age, gender, BMI, diabetes mellitus, CAD, family history of CAD, triglycerides, total cholesterol and LDL-cholesterol levels and we found significant differences between the subgroups of subjects with 6/6, 6/7, 7/7 for hypertension (p=0.04) and triglycerides (p=0.005) in low HDL-cholesterol subjects only (Table 5).

We used the PHASE program to reconstruct haplotypes in cases and controls. Substantial LD was observed as the A allele of G1522A was seen almost exclusively with the hexanucleatide repeat of "6" (Table 6). Overall haplotype frequencies between cases and controls were not significantly different (p=0.5) (Table 6).

4.5 Discussion

The present report suggests that common genetic variability at the SMPD1 gene locus does not contribute significantly to HDL-cholesterol levels in a French Canadian population. Rare mutations at the SMPD1 gene can cause Niemann-Pick disease type A or B, which can differ in degrees of neurological impairment. Mutations for both types A and B are distributed throughout the SMPD1 gene and the structure-function relationship between mutations and disease states is not fully understood. We and others have previously reported that patients with Niemann-Pick disease type A/B have low plasma levels of HDL-cholesterol¹⁸⁹, ^{210, 220}. More recently, we have shown that cellular cholesterol processing is abnormal in fibroblasts with SMPD1 mutations²¹¹. Despite an abnormal lysosomal transport of cholesterol and sphingomyelin, cellular cholesterol efflux onto apolipoprotein AI does not appear to be the rate-limiting step in generating nascent HDL particles. Instead, our data suggests that abnormal composition of nascent HDL particles leads to abnormal LCAT activity and decreased cholesterol esterification when the protein product of the SMPD1 gene, acid sphingomyelinase, is defective²¹¹. It has been previously reported that reconstituted HDL particles using proteoliposomes with an increasing ratio of sphingomyelin to phosphatidylcholine inhibits LCAT activity and cholesteryl ester formation²²¹⁻²²³. This leads to an inability of HDL particles to mature into spherical HDL₃ particles. In turn, current evidence points to an increased catabolism of these nascent, cholesteryl ester-poor HDL particles by the kidney²²⁴.

In a previous report, we have shown that rare mutations of the *SMPD1* gene leads to reduced activity of acid sphingomyelinase and is associated with a low HDL-cholesterol. In addition, the mutations segregate within families with a gene dosage effect. This gene-dosage effect was shown in HDL-cholesterol levels in homozygotes and compound heterozygotes¹⁸⁹. Here, we have found that the prevalence of the G1522A substitution (G508R) was not significantly different in subjects with a low HDL-cholesterol, compared with controls. We used the polyphen program (http://tux.embl-heidelberg.de/ramensky/) to determine the predicted impact of individual variants on *SMPD1* function and this variant was predicted to be benign.

Moreover, the presence of the 6 and 7 hexanucleotide repeats as well as the 10 different haplotypes in cases and controls were not significantly different. In a previous report, the 5 separate alleles, corresponding to 9, 7, 6, 5 and 4 hexanucleotide repeats were unrelated to Niemann-Pick disease²¹⁷. Corresponding allele frequencies of 0.5%, 12.4%, 50.4%, 34.9% and 1.8% were found in that study, generating 9 different genotypes⁶.

Some significant associations were found between *SMPD1* genotypic classes and characteristics of the cases and control subjects. For example, in the control group, carriers of the 1522A had a significant increase in family history of CAD, plasma LDL-cholesterol and total cholesterol levels. However, the 1522A allele was associated with lower total plasma cholesterol concentrations in the cases. Given the lack of consistency of these results between the control and low HDL-cholesterol groups, and the relative statistical weakness of these

associations (not significant or only marginally significant when Bonferroni corrections are applied for multiple testing), the clinical relevance of these findings is uncertain and are probably the result of multiple statistical tests.

This study is limited by the relatively small number of subjects (n=348). However, we did have greater than 80% power to detect a genetic variant that accounts for as little as 2% of the variance of HDL-cholesterol. In addition, we used arbitrary cut-points of an HDL-cholesterol $<5^{th}$ percentile and $>25^{th}$ percentile for cases and controls, respectively. These data should still be confirmed in a large-scale study.

4.6 Conclusion

Our data suggest that while rare mutations at the *SMPD1* locus can cause Niemann-Pick disease types A and B and the concomitant low HDL-cholesterol, the two common coding non-synonymous variants that we examined at this locus do not appear to influence HDL-cholesterol levels to any great extent. Forty-five mutations in *SMPD1* gene causing different forms of Niemann-Pick disease type A and B have been described²²⁵. Since the incidence of Niemann-Pick disease type B is difficult to estimate due to the lack of enzyme testing in clinic, variability in symptoms and the lack of knowledge of Niemann-Pick disease type B by treating physicians, many patients remain undiagnosed²²⁵. It remains to be determined if variations in the *SMPD1* gene, affecting the activity of acid sphingomyelinase, might contribute to the modulation of HDL-cholesterol levels in the general population. This study did not examine rare mutations and thus carrier status for Niemann-Pick disease type B was not ruled out in either group. However, Niemann-Pick disease type B should not be common enough to influence our findings.

4.7 Acknowledgments

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4.8 Tables

	Control subjects	Low HDL-C subjects	t test
	(n=230)	(n=118)	р*
Age (y)	50 ± 9	50 ± 10	0.93
Gender (M/F)	150/80	85/33	0.20
BMI (kg/m^2)	26.3 ± 4.5	28.1 ± 4.9	0.001
DM (%)	10.4	21.2	0.01
HTN (%)	20.4	45.8	<0.001
CAD (%)	49.8	72.3	<0.001
FH of CAD (%)	61.3	72.6	0.04
TG (mmol/L)	1.63 ± 0.89	3.95 ± 3.35	<0.001
T Chol (mmol/L)	5.73 ± 1.41	5.98 ± 2.20	0.26
HDL-C (mmol/L)	1.35 ± 0.33	0.67 ± 0.13	<0.001
LDL-C (mmol/L)	3.70 ± 1.33	3.50 ± 1.61	0.27

Table 1. Baseline characteristics of low HDL-C and control subjects.

BMI, body mass index; DM, diabetes mellitus; HTN, hypertension; CAD, coronary artery disease; FH, familial history; TG, plasma triglycerides; T Chol, plasma total cholesterol; HDL-C, plasma high-density lipoprotein cholesterol; LDL-C, plasma low density lipoprotein cholesterol.

* significant *p*-value bolded.

Genotype or allele	Control subjects (n)	Low HDL-cholesterol subjects (n)	χ²,two-sided <i>p</i> -value and O.R.
GG	56.1% (129)	64.1% (75)	0.152^{\dagger}
AG	38.3% (88)	29.1% (34)	0.72 (0.45-1.13) [‡]
AA	5.6% (13)	6.8% (8)	
G	75.2%	78.6%	0.317
А	24.8%	21.4%	0.82 (0.57-1.20) [‡]

Table 2. Genotype distribution and allele frequency of the G1522A variant in the SMPD1 gene in control and low HDL-cholesterol subjects.

O.R., odd ratio.

[†]AG and AA genotypes where pooled for statistical analysis.

[‡]95% confidence interval for O.R.

_	Control subjects		t test	Low HDI	L-C subjects	t test
	GG	AG + AA	р*	GG	AG + AA	р*
n	129	101		75	42	
Age (y)	50 ± 10	50 ± 8	0.5	49 ± 9	51 ± 11	0.4
Gender (M/F)	81/48	69/32	0.3	52/23	32/10	0.4
BMI (kg/m ²)	26.4 ± 4.6	26.3 ± 4.4	0.9	28.3 ± 5.2	27.7 ± 4.5	0.5
DM (%)	10.5	10.9	0.9	20.3	19.0	0.9
HTN (%)	19.3	22.8	0.5	51.3	33.3	0.06
CAD (%)	45.9	56.0	0.1	75.4	65.8	0.3
FH of CAD (%)	51.7	75.8	0.0003	75.0	67.5	0.4
TG (mmol/L)	1.60 ± 0.89	1.70 ± 0.89	0.4	4.34 ± 3.89	3.19 ± 1.90	0.07
TChol (mmol/L)	5.55 ± 1.19	5.96 ± 1.62	0.03	6.33 ± 2.39	5.25 ± 1.56	0.009
HDL-C (mmol/L)	1.34 ± 0.31	1.33 ± 0.33	0.8	0.67 ± 0.13	0.67 ± 0.13	0.9
LDL-C (mmol/L)	3.51 ± 1.10	3.93 ± 1.54	0.02	3.71 ± 1.74	3.17 ± 1.34	0.09

Table 3. Comparison of biochemical data of the low HDL-C and control subjects between different groups of G1522A genotypes.

BMI, body mass index; DM, diabetes mellitus; HTN, hypertension; CAD, coronary artery disease; FH, familial history; TG, plasma triglycerides; T Chol, total plasma cholesterol; HDL-C, plasma high-density lipoprotein cholesterol; LDL-C, plasma low density lipoprotein cholesterol.

* significant *p*-value bolded.

Genotype or allele	Control subjects (%)	Low HDL-C subjects (%)	Р
5/5	0.4	0	0.527
5/6	6.3	2.6	
5/7	5.4	7.0	
6/6	20.3	25.5	
6/7	45.6	37.7	
7/7	20.3	26.3	
7/8	0.4	0	
7/9	0.9	0.9	
7/10	0.4	0	
5	6.3	4.8	0.619
6	46.2	45.6	
7	46.6	49.1	
8	0.2	0	
9	0.5	0.5	
10	0.2	0	

Table 4. Genotype distribution and allele frequency of the hexanucleotide repeat polymorphism in the SMPD1 gene in low HDL-C and control subjects.

	Control subjects (n=230)			t test	Low HDL-C subjects (n=118)			t test
	6/6	6/7	7/7	р	6/6	6/7	7/7	р*
n	45	101	45		29	43	30	
Age (y)	50 ± 8	50 ± 9	49 ± 9	0.79	48 ± 10	51 ± 10	49 ± 10	0.51
Gender (M/F)	30/15	68/33	29/16	0.94	22/7	29/14	20/10	0.69
DMI $(1 ca/m^2)$	26.1	26.4	26.0	0.96	27.9	27.8	29.2	0.45
Divit (kg/tit)	± 5.1	± 4.0	± 4.1	0.80	± 4.2	± 5.1	± 5.6	0.43
DM (%)	15.0	55.0	30.0	0.57	19.0	42.9	38.1	0.47
HTN (%)	23.1	61.5	15.4	0.35	22.2	35.6	42.2^{\dagger}	0.04
CAD (%)	26.1	51.1	22.8	0.77	23.9	45.1	31.0	0.16
FH of CAD (%)	28.3	53.3	18.3	0.05	23.6	43.1	33.3	0.15
TG	1.60	1.56	1.68	0.71	2.73	4.94	3.57	0.007
(mmol/L)	± 0.68	± 0.85	± 0.88	0.71	± 1.38	$\pm 3.65^{\dagger}$	± 2.58	0.005
T Chol	6.09	5.61	5.82	0.10	5.56	6.12	6.03	0.40
(mmol/L)	± 1.45	± 1.52	± 1.32	0.19	± 2.21	± 1.77	± 2.06	0.48
HDL-C	1.36	1.33	1.31	0.00	0.66	0.67	0.69	0.55
(mmol/L)	± 0.34	± 0.31	± 0.28	0.80	± 0.11	± 0.13	± 0.10	0.35
LDL-C	3.96	3.60	3.79	0.22	3.60	3.38	3.68	0.74
(mmol/L)	± 1.37	± 1.34	±1.39	0.32	± 2.08	± 1.34	± 1.62	0.74

Table 5. Comparison of biochemical data of the low HDL-C and control subjects between the most prevalent genotypes of the hexanucleotide repeat polymorphism in the SMPD1 gene.

BMI, body mass index; DM, diabetes mellitus; HTN, hypertension; CAD, coronary artery disease; FH, familial history; TG, plasma triglycerides; T Chol, plasma cholesterol; HDL-C, plasma high-density lipoprotein cholesterol; LDL-C, plasma low density lipoprotein cholesterol.

[†]significantly different from genotype 6/6.

* significant *p* value bolded.

Haplotype	Total (%)	Controls subjects (%)	Low HDL-C subjects (%)
5 G	5.5	5.8	4.7
5 A	0.4	0.5	0.1
6 G	23.3	22.7	24.5
6 A	22.4	23.1	21.1
7 G	46.9	45.8	48.9
7 A	0.8	1.2	0.2
8 G	0.2	0.2	0.0
9 G	0.4	0.4	0.4
10 G	0.2	0.2	0.0

Table 6. Estimation of the haplotype frequency distribution of the G1522A variant and hexanucleotide repeat polymorphism in the SMPD1 gene in low HDL-C and control subjects.

p-value for testing H_0 : cases ~ controls=0.5.

Preface to chapter 5

To identify novel associated loci with low HDL-C, we performed a genome scan on French Canadian families. The genome scan was executed using 485 autosomal microsatellite markers covering the human genome with an average marker density of about 6 cM. Using parametric linkage analysis, a locus was identified on chromosome 4q31.21, which was subsequently fine-mapped. This finding has been published in the journal of Arteriosclerosis, Thrombosis, and Vascular Biology: "*Evidence for a gene influencing high-density lipoprotein cholesterol on chromosome 4q31.2 Arterioscler Thromb Vasc Biol. 2006 Feb;26(2):392-7.*" And will be presented in this chapter.

CHAPTER 5

EVIDENCE FOR A GENE INFLUENCING HDL-C ON CHROMOSOME 4q31.21

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Key words: high-density lipoprotein cholesterol, family study, complex trait, coronary heart disease, gene identification.

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5.1 Abstract

Objective: A low level of plasma high-density lipoprotein cholesterol (HDL-C) is a major risk factor for coronary atherosclerosis. To identify novel genes regulating plasma HDL-C levels, we investigated 13 multigenerational French Canadian families with on average 12 affected individuals per family for genome wide signals which we subsequently fine mapped. Methods and **Results:** We genotyped a total of 362 individuals, including 151 affected subjects for 485 autosomal microsatellite markers. In parametric two-point linkage analyses, the highest two-point lod score of 4.6 was observed with marker D4S424 on chromosome 4q31.21 (at ~142 Mb). The multipoint analysis of this region resulted in a lod score of 3.8 and a lod minus 1 region of 12.2 cM, containing 40 known genes. The results were obtained by allowing for genetic heterogeneity among these extended pedigrees, and approximately 50% of families were linked to this region with the highest single-pedigree lod score being 3.6. We further restricted the linked region from 12.2 cM to 2.9 cM (2.37 Mb) by genotyping 15 additional markers in the three families with the highest LOD-scores. We sequenced four genes with a likely role in lipid metabolism as well as two genes residing directly under the linkage peak, but found no evidence for a causative variant. None of the genes residing in the significantly restricted 2.37-Mb region has previously been associated with HDL-C metabolism. Conclusion: This study provides significant evidence for a gene influencing HDL-C on chromosome 4q31.21.

5.2 Introduction

Plasma high-density lipoprotein cholesterol (HDL-C) is a quantitative trait where complex interactions of several genes and environmental factors determine the plasma levels in the general population. A decreased level of plasma HDL-C is a major risk factor for coronary atherosclerosis. Furthermore, aggregation of other cardiovascular risk factors including features of the metabolic syndrome is typical in patients with low HDL-C^{202, 208}. The genetic component, estimated to determine approximately 50% of plasma HDL-C variability, has been heavily investigated to identify genes regulating plasma HDL-C levels²²⁶. Previously, complex quantitative traits have been suggested to be caused by common sequence variants each with a small to moderate phenotypic effect^{227, 228}. However, most recent studies suggest that both rare and common variants confer the susceptibility to low plasma levels of HDL-C in the general population^{64, 105}, although the extent to which each group contributes to this susceptibility is currently not known.

HDL has a key role in reverse cholesterol transport (RCT), mobilizing cholesterol from the peripheral tissues to liver. This mechanism contributes to the cardioprotective effect of HDL. In RCT, the ATP-binding cassette transporter A1 (ABCA1) protein controls efflux of intracellular cholesterol to lipid-poor apolipoprotein A-I, the major apolipoprotein of HDL. Interestingly, ABCA1 mutations were discovered to cause Tangier disease, a rare recessive HDL deficiency¹⁰¹⁻¹⁰³, and sequence variants in ABCA1 also appear to contribute to variations in plasma HDL-C levels in the general population^{64, 105}. Recent

evidence further shows that two other ABC transporters, ABCG1 and ABCG4, mediate the efflux of cellular cholesterol to smaller and larger HDL subclasses, HDL2 and HDL3 that constitute the bulk of the plasma HDL²²⁹. Genes implicated in rare Mendelian forms of HDL deficiency include apolipoprotein AI (APOA1) and lecithin cholesterol acyltransferase (LCAT)^{134, 230}; and genes implicated in candidate gene studies include apolipoproteins and the enzymes remodeling HDL^{231, 232}. The previous genome wide scans have also identified six chromosomal loci for HDL-C: a locus on chromosome 8q in Mexican Americans and Finns^{92, 176}; loci on 15q and 9p in Mexican Americans^{92, 233}; a locus on 11q23 in 105 Utah CHD families²³⁴; and loci on 16q and 20q in Finns^{175, 176}. However, DNA sequence variants contributing to variation in plasma levels of HDL-C in the general population are largely unknown, especially regarding the prevalence of variants with major effects.

We performed a genome scan in 13 extended multigenerational French Canadian families, each exhibiting affected individuals in consecutive generations. Importantly, families with a defect in cellular cholesterol efflux or previously known ABCA1 mutations were excluded. This was done to minimize confounding factors and to identify genes with novel functions. We also anticipated that extended families with on average 12 HDL-C affected individuals per family could provide a powerful approach for the identification of genes contributing to the complex HDL-C trait, especially when allowing for heterogeneity and thus, assuming that the same gene is causative within a multigenerational family but not necessarily between all families.

5.3 Methods

5.3.1 Subjects

A total of 13 multigenerational French Canadian families consisting of 362 genotyped family members were collected in the Cardiovascular Genetics Laboratory, McGill University Health Centre, Royal Victoria Hospital, Montreal, Canada. All subjects provided separate informed consent forms for plasma and DNA sampling, isolation, and storage. The research protocol was approved by the Research Ethics Board of the McGill University Health Centre.

The selection criterion for probands was an HDL-C level < the 5th age/sex-specific population percentile based on the Lipid Research Clinics Population Studies Data Book, as previously described²³⁵. Exclusion criteria for the probands were as follows: severe hypertriglyceridemia (>10 mmol/l), cellular cholesterol efflux or phospholipid efflux defect in skin fibroblasts, or previously known ABCA1 mutations. All available living relatives were invited to participate in the study. Family members were sampled after a 12-hour fast and discontinuation of lipid modifying medications for at least 4 weeks. Demographic and clinical information, medications, and lipoprotein profiles were determined on all subjects. In the 13 extended families, there were 151 subjects affected using the HDL-C $\leq 10^{\text{th}}$ age/sex specific population percentile (see **Table 1** for the phenotypic characteristics of the family members).

5.3.2 Biochemical measurements

Lipids and lipoproteins were measured using standardized techniques as described previously^{9, 22}. Low-density lipoprotein cholesterol (LDL-C) was calculated according to the Friedewald formula [LDL-C (mmol/L) = Total cholesterol – (triglycerides /2.19 + HDL-C)], unless the triglycerides were >4.5 mmol/L. In this case, ultracentrifugation of plasma was used and lipoprotein lipid concentration measured directly. Cellular cholesterol efflux and phospholipid efflux assays have been carried out on the probands as described previously^{101, 203, 236}.

5.3.3 Genotyping and sequencing

The genome scan was executed using 485 autosomal microsatellite markers covering the human genome with an average marker density of about 6 cM. DNA from 362 individuals in the 13 French Canadian HDL-C families was genotyped by deCODE Genetics, Reykjavik, Iceland using Applied Biosystems Automated DNA Sequencing System. The genome-wide scan marker sets by deCODE Genetics are based partly on the ABI JD Marker Linkage set and partly on the in-house designed and validated markers originally selected from the Marshfield genetic map. For fine mapping of chromosome 4q31.21, a total of 15 additional fine mapping markers with heterozygosity values >0.50 were analyzed in 155 individuals of the three families with the highest LOD-scores. Their genotyping was also performed by the deCODE Genetics using Applied Biosystems.

Sequencing of the RAB33B, member RAS oncogene family (RAB33B), TBDN100 transcriptional coactivator tubedown-100 (TBDN100), uncoupling protein 1 (mitochondrial, proton carrier) (UCP1), ATP-binding cassette, subfamily E (OABP), member 1 (ABCE1), solute carrier family 7, (cationic amino acid transporter, y+ system) member 11 (SLC7A11) and protocadherin 18 (PCDH18) genes was performed using the dye termination method and Applied Biosystems Automated DNA Sequencing System.

5.3.4 Statistical analyses

We carried out parametric two-point linkage analyses of all 485 microsatellites using the Mendel program²³⁷ under the assumption of a recessive mode of inheritance. The Mendel program was selected because it allowed us to keep these extended families intact in the two-point analyses. For these analyses, subjects were coded as affected or unaffected based on the 10th age/sex specific percentiles for HDL-C. We performed the analyses of this complex trait by allowing for heterogeneity because ignoring locus heterogeneity significantly decreases the power to detect linkage²³⁸. We used a gene frequency of 8% based on an estimated ~1% disease prevalence for low HDL-C, and allowed for a 2% phenocopy rate to address the problem of incomplete penetrance.

We also conducted parametric multipoint analyses using the Location Score option of SimWalk2 (version 2.90)²³⁹ on chromosomal regions with significant two-point lod scores (above 3.0) to further explore the linkage evidence obtained in the initial two-point screening of all markers. Assuming again a recessive mode of inheritance, we incorporated the same parameters into the model as in the two-point linkage analyses described above. SimWalk2's Location Score option calculates lod scores both under homogeneity (alpha = 1.0) and by allowing for heterogeneity. The heterogeneity lod scores are maximized over a grid of possible alpha values. The SimWalk2 program was also used to build the gene-specific haplotypes of the identified SNPs.

5.4 Results

We performed a genome-wide scan of 13 extended multigenerational French Canadian families with 362 genotyped individuals (an average of 28 genotyped individuals per family), to identify genes regulating plasma HDL-C levels. These 13 families included 151 affected subjects, resulting in an average of 12 affected individuals per family. All of the families had 3 or more generations of which DNA is available for family members. The detailed subject and family characteristics are shown in **Tables 1** and **2**.

A total of 485 autosomal microsatellite markers were genotyped and tested for linkage using a recessive mode of inheritance. In the initial screening we performed a two-point linkage analysis for each marker on each chromosome by allowing for heterogeneity. We were able to keep all these extended families intact in the linkage analysis by using the Mendel program and thus to obtain all information about vertical transmissions in the families. The results of the two-point analyses are shown in **Figure 1**. The highest two-point heterogeneity lod score of 4.6 was observed with marker D4S424 on chromosome 4q31.21 (at ~142

Mb), and approximately 50% of families were linked to this chromosomal region (**Figure 1**). It is noteworthy that separately one of the families with 86 genotyped individuals of which 39 were affected provided a lod score of 3.6 (with a posterior probability of linkage = 0.9998) with marker D4S424 using the Mendel program. The next most significant family resulted in a two-point lod score of 1.8 (with a posterior probability of linkage = 0.9834) with marker D4S424. Peak markers for other chromosomes resulting in lod scores >1.0 are also indicated in **Figure 1**. Markers on chromosomes 2, 12, 14, 15 and 16 resulted in lod scores > 1.0 (**Figure 1**).

We performed the multipoint analyses using the Location Score option of SimWalk2 (version 2.90) only for the regions that showed significant evidence for linkage in the initial screening with the two-point linkage analysis to further explore the interesting signals of potential linkage evidence by multipoint. The multipoint analysis of the 4q31.21 region resulted in a lod score of 3.8 and a 12.2-cM lod -1 region (**Figure 2**). Separately, the same family that produced a two-point lod score of 3.6 produced a multipoint lod score of 3.8. Again approximately 50% of the families were linked to the region in the multipoint analysis. It is worth noting that the average marker density for this linked region in the genome scan was 1 marker / 9.4 cM, affecting the information content of the multipoint analysis. The description of the gene symbols and exact positions of the genes residing in the lod -1 region are shown in **Supplementary Table 1** that will be available at our web site:

(http://www.genetics.ucla.edu/labs/pajukanta/QuebecHDL/).

We fine mapped the 12.2- cM region on 4q31.21 by genotyping a total of 15 additional microsatellite markers. In the initial scan we had genotyped three markers (D4S1527, D4S424 and D4S2962) for this region. This strategy resulted in a marker density of 1 marker / 0.68 cM. We genotyped the additional 15 markers in 155 individuals of the three families with the highest LOD-scores and performed the multipoint analysis again using the Location Score option of SimWalk2 (version 2.90) with the same parameters as in the initial multipoint analysis. As a result, the lod -1 region was further restricted from 12.2 cM to 2.9 cM (~2.37 Mb) (Figure 2). Of the 40 previous candidate genes, 10 reside within this restricted region of 2.37 Mb. Two of these genes, PCDH18 and SLC7A11, reside directly under the peak (Figure 2). The appearance of two peaks in this lod -1 region is more likely to be related to the marker frequencies, i.e. the information content of the microsatellites, rather than to biological processes, because nine of the 22 genotyped microsatellite markers have a relatively low heterozygosity value between 0.5-0.7. Furthermore, there is a gap of 3.6 cM between markers D4S1586 and D4S3008, that reside in close vicinity of the second peak (Figure 2), where no informative microsatellites (heterozygosity value>0.5) were found using the Marshfield, DeCode or UCSC Human Genome Browser databases.

We sequenced probands of two linked families, including the proband of the family that produced the significant linkage signal alone, for the coding regions and exon-intron boundaries of all regional candidate genes with a probable role in lipid metabolism. Accordingly, the RAB33B, TBDN100, UCP1 and ABCE1 genes were sequenced. We also sequenced the PCDH18 and SLC7A11 genes that are located directly under the fine map peak (Figure 2). None of the 17 identified variants in these six genes (Supplementary Table 2 that will be available at http://www.genetics.ucla.edu/labs/pajukanta/hdl/) represent a missense or nonsense variant with obvious functional consequences, although the possibility of their regulatory role cannot be excluded without actual functional analyses. We investigated these 17 variants first in five affected individuals / family. The segregation of 10 of the 17 variants with the low HDL-C trait could not be ruled out by genotyping the five affected subjects per family (for rs numbers of the 10 variants, see Supplementary Table 2). Therefore, we then genotyped these 10 SNPs in all family members of the particular family/families. None of the SNPs segregated with the low HDL-C trait in these extended families, nor did we find significant evidence for linkage with any of the SNPs using the Mendel program as all 10 SNPs resulted in lod scores <1.1. Finally no gene-specific haplotypes segregating with the low HDL-C trait were observed using the SimWalk2 program.

5.5 Discussion

We identified a significant signal of linkage (a lod score of 4.6) for low plasma HDL-C on chromosome 4q31.21 in French Canadian families with low HDL-C. These extended families with an average of 12 low HDL-C affected family members and an average of 28 genotyped individuals per family are very powerful to test for linkage due to the large number of potentially informative meioses in several consecutive generations. In the linkage analyses, we allowed for heterogeneity, because plasma HDL-C is a quantitative trait likely regulated by variants of multiple genes interacting with environmental factors. We hypothesized that the underlying segregating variants conferring susceptibility to low levels of plasma HDL-C in these extended multigenerational families may well differ between the families but less likely within the families. The latter assumption is supported by the fact that these families are French Canadian, a regional population group known to exhibit a higher degree of genetic homogeneity when compared to more mixed populations²⁴⁰. Approximately 50% of families were linked to chromosome 4q31.21. After observing significant evidence for linkage in the total study sample, we investigated the families separately. In one single family with 86 genotyped individuals and 39 HDL-C affected individuals we observed a significant lod score of 3.6 for this chromosomal region on 4q31.21.

Six previous genome-wide scans have identified six chromosomal loci for HDL-C on 8q, 9p, 11q23, 15q, 16q and $20q^{92, 175, 176, 233, 234}$. In the current study, we observed lod scores > 1.0 for two of these 6 loci: the loci on 15q and 16q. In addition, we also detected the region on 2q previously observed for HDL-C in the Utah and Finnish families^{241, 242}. However, the only significant signal in the present study was observed for 4q31.21.

To select the region for further fine mapping, we used the lod -1 criterion, a heuristic approach that can generally be considered as somewhat arbitrary when investigating complex traits, because this 4q31.21 region provided the only

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significant signal in this genome scan and furthermore, when analyzing the families separately, one extended family alone resulted in a significant lod score of 3.6. Taken together these results suggest that a gene in the region may contribute significantly to the low HDL-C levels in a manner resembling a single gene disorder at least in this one family, resulting in a significant lod score separately. If the employment of the lod -1 does not result in gene identification within this region, we will extend the investigated region to lod -2 in future steps of the fine mapping.

Fine mapping of the 4q31.21 region with 15 additional microsatellites and a marker density of 1 marker / 0.68 cM allowed us to further restrict the lod -1 region from 12.2 cM, including 40 genes to 2.9 cM (\sim 2.37 Mb), including ten genes. However, as described above we recognize that the application of the lod -1 strategy is somewhat arbitrary when investigating complex traits. Therefore, we sequenced all genes among the 40 genes that have a likely role in lipid metabolism, i.e. TBDN100, RAB33B, UCP1 and ABCE1, but found no evidence for a variant co-segregating with low-HDL-C in the two linked families examined. We also sequenced the two genes residing precisely under the fine map peak, PCDH18 and SLC7A11. The PCDH18 gene is a potential calciumdependent cell-adhesion protein expressed in several tissues, and the SLC7A11 gene is a member of a heteromeric Na+-independent anionic amino acid transport system, highly specific for cystine and glutamate. Again, no evidence for a cosegregating variant was observed. In future studies, the 34 remaining regional genes need to be investigated. Finally, all SNPs identified in the linked families

as well as the tag SNPs, provided by the HapMap Project and capturing most of the genetic variation in this region, could be tested for association with HDL-C in a Canadian population-based case-control study sample to identify the underlying gene. By utilizing this strategy for the identification of the DNA sequence variants, we can also identify rare variants in addition to the common variants identified by the HapMap Project. This may be of importance because rare variants may have a role in individual families, and because recent studies suggest that both rare and common variants confer the susceptibility to low plasma levels of HDL-C in the general population^{6, 7}. Since none of the probands in this study had a cellular cholesterol efflux defect, the functional role of the underlying gene for HDL-C in this region is unlikely to be directly linked to cellular efflux of cholesterol.

5.6 Acknowledgments

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5.7 Figures

Figure 1. Two-point linkage results of the genome wide scan of the 13 French-Canadian families with low HDL-C when allowing for heterogeneity. Alpha value and position are indicated for the peak marker on chromosomes with

lod scores > 1.0



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Figure 2. Multipoint analyses of the genome-wide scan and fine mapping for HDL-C on chromosome 4q31.21.

The curve of seven markers represents the multipoint analysis using all families, and the curve of 22 markers the multipoint analysis using a subset of three families. For a list of genes residing in the LOD -1 region, see **Supplementary Table 1**.



5.8 Tables

Table 1. Characteristic	s of the 13 French	Canadian low	HDL-C families.
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Nι	mber of individuals with DNA available;	362;
M	/F*	163 M, 199 F
No	o. of probands; M/F	13; 11 M, 2 F
No	o. of families in each group / Mean no. of individuals	
of	which DNA is available in each family type:	
	2 generations	0
	3 generations	3 / 7
	4 generations	9 / 28
	5 generations	1 / 86
Fa	milies with ≥ 3 generations (%)	100 %
No	o. of affected subjects, M/F; Mean no. of affected	
su	bjects in families is given in parenthesis:	
	HDL-C $\leq 10^{\text{th}}$ percentile	151; 72 M, 79 F (12)
No	o. of affected sibpairs (independent):	
	HDL $\leq 10^{\text{th}}$ percentile	66

* M indicates males; and F, females.

Table 2. Phenotypic characteristics of the 13 French Canadian families with low HDL-C shown separately in the affected individuals, unaffected individuals, spouses, and probands.

French Canadian		Affecte	ected* M/F Unaffect		ed M/F Spouses M/F		es M/F	Probands M/F	
HDL-0	C families								
Individ	luals (M/F)	72	79	91	120	25	33	11	2
Age	(years)	38.7±19.8	39.2±19.9	37.6±15.7	40.4±19.5	51.7±11.1	50.8±11.8	49.5±12.2	44.0±5.7
BMI‡	(kg/m^2)	26.8±5.3	23.8±4.7	24.8±4.4	23.2±4.7	27.7±3.6	25.9±5.3	26.7±4.9	25.3±5.4
TG§	(mmol/l)	2.8±2.3	2.1±2.1	1.5±0.9	1.4±0.7	1.7±1.0	1.7±1.1	4.3±3.5	3.7±0.1
HDL-0	(mmol/l)	0.7±0.2	0.9±0.2	1.1±0.2	1.3±0.3	1.1±0.3	1.4±0.4	0.6±0.1	0.6±0.1

* The affection status is determined as HDL-C \leq 10th age-sex specific population percentile. † M indicates males; F, females; ‡ BMI, body mass index; and § TG triglycerides.

Supplementary Table 1. Gene symbol, description, and position of the genes found in the LOD -1 region (40 genes) and surrounding regions (5 genes) on chromosome 4q31.21

(see Figure 2). The positions are based on the UCSC Human Genome

Browser (build 35) (http://genome.ucsc.edu).

	Gene symbol	Description	Position (bp)
1	PDZK6	PDZ domain containing 6	128 911 724 - 128 995 535
2	APG-1	Heat shock protein (hsp110 family)	129,061,057 - 129,112,127
 3	PLK4	Polo-like kinase 4	129 159 705 - 129 177 532
4	PGRMC2	Progesterone receptor membrane component 2	129 548 903 - 129 566 571
5	PHF17	PHD finger protein 17	130.088.574 - 130 152 032
6	PCDH10	Protocadherin 10	134.428.074 - 134 432 009
7	PCDH18	Protocadherin 18	138 798 464 - 138 811 234
8	SLC7A11	Solute carrier family 7 (cationic amino acid	139 442 854 - 139 520 963
0.	520,111	transporter. v+ system) member 11	10, 10, 10, 10, 10, 10, 10, 10
9.	CCRN4L	CCR4 carbon catabolite repression 4-like	140.294.547 - 140.324.511
10.	ELF2	E74-like factor 2	140,336,475 - 140,363,071
11.	OSAP	Ovary-specific acidic protein	140.544.921 - 140.559.097
12.	NDUFC1	NADH dehydrogenase (ubiquinone) 1.	140,568,717 - 140,574,564
		subcomplex unknown 1, 6kDa	, , , , ,
13.	TBDN100	Transcriptional coactivator tubedown-100	140,580,281 - 140,649,041
14.	RAB33B	RAB33B, member RAS oncogene family	140,732,565 - 140,754,672
15.	SET7	SET domain-containing protein 7	140,784,797 - 140,835,163
16.	MGST2	Microsomal glutathione S-transferase 2	140,944,620 - 140,983,002
17.	MAML3	Mastermind-like 3	140,997,246 - 141,432,838
18.	SCOC	Short coiled-coil protein	141,622,205 - 141,660,909
19.	CLGN	Calmegin	141,667,213 - 141,706,387
20.	UCP1	Uncoupling protein 1 (mitochondrial, proton	141,838,654 - 141,847,488
		carrier)	
21.	RNF150	Ring finger protein 150	142,144,329 - 142,412,221
22.	ZNF330	Zinc finger protein 330	142,499,701 - 142,513,453
23.	IL15	Interleukin 15	142,915,359 - 143,012,216
24.	INPP4B	Inositol polyphosphate-4-phosphatase, type II,	143,307,498 - 143,710,137
		105kDa	
25.	USP38	Ubiquitin specific protease 38	144,463,702 - 144,500,244
26.	GAB1	GRB2-associated binding protein 1	144,615,654 - 144,748,883
27.	SMARCA5	SWI/SNF related, matrix associated, actin	144,792,469 - 144,832,171
		dependent regulator of chromatin, subfamily a,	
20	CVDE	member 5	145 140 (25 145 194 217
28.	GYPE	Give on the precursor	145,149,025 - 145,184,517
29.	GYPA CDEDW	Glycophorin A	145,390,124 - 145,419,393
3U.	UCDM-	Give and an in Mark (STA)	145,390,151 - 145,419,393
31. 22	HGPMZ	Giycophorin NIZ II-IV fragment	145,595,440 - 145,419,593
32. 22		Anonhasa promoting according subunit 10	140,924,777 - 140,017,480
35.	ANAPUIU	Anaphase promoting complex subunit 10	140,2/3,920 - 140,3/0,933
34	ABCE1	ATP hinding cassette sub family F (OAPP)	146 377 098 - 146 407 916
54.	ADUEI	member 1	140,377,090 - 140,407,910
35	HIN1	Putative HIV-1 induced protein HIN-1	146 437 893 - 146 456 915
36	MADH1	SMAD mothers against DPP homolog 1	146 760 630 - 146 836 836
37	MMAA	Methylmalonic aciduria type A protein	146 917 831 - 146 934 342
51.		mitochondrial precursor	170,717,051 - 170,757,542

38. LSM6	LSM6 homolog, U6 small nuclear RNA associated	147,454,485 - 147,468,667
39. POU4F2	POU domain, class 4, transcription factor 2	147,917,692 - 147,921,228
40. NYD-SP14	NYD-SP14 protein	147,985,785 - 148,224,585
41. SPAR	Surfactant protein A binding protein	148,304,677 - 148,308,829
42. EDNRA	Endothelin receptor type A	148,759,734 - 148,823,710
43. TMEM34	Transmembrane protein 34	148,911,657 - 148,914,275
44. GRAF-2	Rho GTPase activating protein 10	149,011,058 - 149,351,531
45. NR3C2	Nuclear receptor subfamily 3, group C,	149,357,524 - 149,721,128
	member 2	

Gene Symbol	Location	SNP Position on Genome Browser (Build 35) and Proband's genotype	rs number	Amino-Acid Change	Allele Frequency
ABCE1		rioband s genotype			
Proband 1					
Proband 2 UCP1	Intron 11	146400050 GG/GC	rs10519725		0.965/0.035 (G>C)
Proband 1 Proband 2					
TBDN100					
Proband 1	Intron 6	140623226 AA/AA	rs13146944		NA
	Intron 13	140639546 GG/AA			NA
	Intron 19	140666589 CC/AA	rs998310		0.533/0.467 (C>A)
Proband 2	Intron 6	140623226 AA/AG	rs13146944		NA
	Intron 13	140639546 GG/AA			NA
	Intron 19	140666589 CC/CA	rs998310		0.533/0.467 (C>A)
RAB33B					
Proband 1	Exon 1	140732750 GG/CC	rs13126617	5' UTR	NA
	Exon 1	140732901 CC/GG	rs13128486	5' UTR	NA
Proband 2	Exon 1	140732750 GG/GC	rs13126617	5' UTR 5'	NA
	Exon 1	140732901 CC/CG	rs13128486	UTR	NA
PCDH18					
Proband 1	Exon 3	138807288 CC/TT	rs10018837	Syn	NA
Proband 1	Exon 4	138799135 CC/TT	rs9286351	3 [°] UTR	NA
Proband 2	Exon 1	138811058 CC/CT		5' UTR	NA
Proband 2	Intron 2	138807417 GG/CC	rs10006580		NA
Proband 2	Intron 4	138798380 GG/GT	rs9330354		NA
SLC7A11					
Proband 1	Intron 11	139450833 CC/CT	rs4504306		0.567/0.433(T>C)
Proband 1	Exon 8	139462019 GG/GA		Syn	NA
Proband 1	Exon 8	139450324 CC/CT	rs13120371	3 [°] UTR	NA
Proband 2	Intron 3	139510922 TT/AA	rs6823642		NA
Proband 2	Exon 5	139498099 GG/CC	rs6838248	Syn	NA
Proband 2	Intron 5	139493404 AA/AG	rs11944083		NA
Proband 2	Intron 11	139450833 CC/CT	rs4504306		0.567/0.433(T>C)
Proband 2	Exon 8	139450324 CC/TT	rs13120371	3' UTR	NA

Supplementary Table 2. DNA sequence variants identified by sequencing the four regional candidate genes with a probable role in lipid metabolism.

ABCE1 indicates ATP-binding cassette, sub-family E (OABP), member 1 gene;

UCP1, uncoupling protein 1 (mitochondrial, proton carrier) gene; TBDN100, TBDN100 transcriptional coactivator tubedown-100 gene; and RAB33B indicates RAB33B, member RAS oncogene family gene; PCDH18, protocadherin 18 gene; and SLC7A1, solute carrier family 7, (cationic amino acid transporter, y+ system) member 11 gene. Syn indicates a synonymous SNP; and NA, not available. A total of 10 of these 17 variants (rs10519725, the new G/A variant in intron 13 of TBDN100, the new C/T variant in 5' UTR of PCDH18, rs10006580, rs10018837, rs9286351, rs9330354, rs11944083, the new synonymous G/A variant in exon 8 in SLC7A11, rs4504306) were genotyped in all family members of the particular family/families but none of them segregated with the low HDL-C trait in these extended families.

Preface to chaper 6

In addition to the parametric linkage analysis, we performed a genomewide QTL analysis to identify region(s) of linkage to the HDL-C trait. Based on this approach a locus on chromosome 16q was identified that had a LOD score of 2.69 at 95 cM. I fine-mapped this region on chromosome 16q23-24 and sequenced all coding regions of the 39 genes located in this region. The results of this work has been resubmitted in revision form to the European Journal of Human Genetics: *"Fine Mapping and Association Studies of a High-Density Lipoprotein Cholesterol Linkage Region on Chromosome 16 in French-Canadian Subjects"* and will be presented in this chapter.

CHAPTER 6

FINE MAPPING AND ASSOCIATION STUDIES OF A HIGH-DENSITY LIPOPROTEIN CHOLESTEROL LINKAGE REGION ON CHROMOSOME 16 IN FRENCH-CANADIAN SUBJECTS

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Key words: high-density lipoprotein cholesterol, family study, complex traits, coronary heart disease, gene identification.

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6.1 Abstract

Low levels of high-density lipoprotein cholesterol (HDL-C) are an independent risk factor for cardiovascular disease. To identify novel genetic variants that contribute to HDL-C, we performed genome-wide scans and quantitative association studies in two study samples: a Quebec-wide study consisting of 11 multi-generational families and a study of 61 families from the Saguenay-Lac St-Jean (SLSJ) region of Quebec. The heritability of HDL-C in these study samples was 0.73 and 0.49 respectively. Variance components linkage methods identified a LOD score of 2.61, at 98 cM near the marker D16S515 in the Quebec-wide families and a LOD score of 2.96 at 86 cM, near the marker D16S2624 in the SLSJ families. In the Quebec-wide sample, four families demonstrated segregation over a 25.5 cM (18 Mb) region, which was further reduced to 6.6 Mb with additional markers. The coding regions of all genes within this region were sequenced. A missense variant in CHST6, segregated in four families and with additional families we observed a p value of 0.015 for this variant. However, an association study of this SNP in unrelated Quebec-wide samples was not significant. We also identified a SNP (rs11646677) in the same region, which was significantly associated with low HDL-C (p=0.016) in the SLSJ study sample. In addition, RT-PCR results from cultured cells demonstrated a significant difference in expression of CHST6 and KIAA1576, another gene in the region. Our data constitute additional evidence for a locus on chromosome 16q23-24 that affects HDL-C levels in two independent French Canadian studies.

6.2 Introduction

Coronary artery disease (CAD) is the most common cause of death in Western societies as well as in emerging market economies.^{191, 243} Epidemiological studies have consistently shown an association between low levels of high-density lipoprotein cholesterol (HDL-C) and the prevalence of CAD.^{20, 244, 245} HDL-C has a key role in reverse cholesterol transport, mobilizing cholesterol from peripheral tissues to the liver, a mechanism contributing to the cardio-protective effect of HDL-C.

Several studies have shown modest to high estimates of heritability (0.24 to 0.83) for plasma HDL-C levels,^{209, 246} underlining the importance of genetic factors. However, until recently, only a few specific genes had been identified (see Dastani *et al* ²⁰⁹ for review). Rare mutations in the ATP binding cassette A1 (*ABCA1*), lecithin: cholesterol acyltransferase (*LCAT*) and apolipoprotein A-I (*APOA1*) genes and other genes involved in HDL metabolism have been reported in individuals with low levels of HDL-C^{64, 108}. However, these account for approximately ~26% of familial forms of HDL deficiency in Quebec.^{106, 247} Identifying novel genes that regulate HDL-C levels may provide further insights into lipoprotein metabolism and potential pathways for pharmacological modulation of HDL-C.

In the present study, we examined the genetic determinants of low HDL-C in well-characterized families and individuals of French Canadian descent. Specifically, we performed genome-wide linkage followed by association

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analyses of SNPs located on chromosome 16q23-24 with the HDL-C trait, and examined the expression of a number of candidate genes in this region.

6.3 Methods

6.3.1 Subjects

Probands for the QUE study sample with HDL-C levels < the 5th percentile, (age/sex-specific) based on the Lipid Research Clinics population studies data book, as previously described,²³⁵ were selected from the Preventive Cardiology/Lipid Clinic of the McGill University Health Center, Royal Victoria Hospital (Montreal, Canada). Exclusion criteria for the probands were: severe hypertriglyceridemia (>10 mmol/L), cellular cholesterol or phospholipid efflux defect in skin fibroblasts,²³⁶ or the presence of known ABCA1 or ApoA1 mutations based on exonic sequencing. A total of 11 families out of 29 recruited multigenerational French-Canadian families, each exhibiting at least 3 individuals with low HDL in consecutive generations (n=412 subjects) were selected for genome-wide linkage analysis. The size of the families varied between 4 and 109 individuals, with a median of 41. Family members were sampled after a 12-hour fast and discontinuation of lipid modifying medications for at least 2 weeks. 136 cases (HDL<5%) and 218 controls (HDL>25%) derived from the QUE families and additional CAD patients were selected for an association study. These additional CAD patients consisted of unrelated French Canadian patients with premature CAD ²⁰². All subjects provided informed consent for plasma and DNA sampling, isolation, and storage. The Research Ethics Board of the McGill

University Health Centre approved the research protocol. The SLSJ sample was composed of 410 phenotyped individuals from 61 nuclear families from the Saguenay-Lac Saint-Jean region of Quebec that were ascertained as part of ongoing family-based studies of CAD or type 2 diabetes. Both studies required that at least two siblings be affected. Biochemical measurements were performed after a 3-week medication washout period for all hypocholesterolemic and antihypertensive drugs. The SLSJ case/control sample was predominantly derived from the families and consisted of 94 individuals with an HDL-C $<5^{th}$ percentile and 94 individuals with an HDL-C $>40^{th}$ percentile. Table 1 summarizes the subjects for this study.

6.3.2 Biochemical measurements

HDL and triglycerides were measured using standardized techniques as described previously.²³⁶

6.3.3 Genome scan markers and data quality control

The genotyping for the QUE families was performed by DeCode Genetics (Reykjavik, Iceland) with 485 autosomal microsatellite markers from the Decode map (an average marker density of 6 cM) on 412 subjects from the QUE families (n=11, mean family size=41). The genome scan of on 410 phenotyped individuals from 61 SLSJ families was executed as described in Rioux *et al.*²⁴⁸ by using either 312 or 396 microsatellite markers, with an average marker density of 11.9 and 9 cM respectively. These markers were modified versions of the Co-operative
Human linkage Center Screening Set version 6.0, that were supplemented with additional Genethon markers to increase the information content²⁴⁹. Overall, 34 markers were common between the two marker sets used, however there were no markers in common on chromosome 16.

For both the SLSJ and the QUE studies, the software GRR²⁵⁰ was used to identify mispaternities and sample mix-ups. In total, three mispaternities and one sample mix-up were identified and appropriate adjustments were made before further analysis.

6.3.4 Sequencing and genotyping

Sequencing of all 39 known genes in narrowed region was performed using the Thermo-Sequenase BigDye Direct Cycle-Sequencing kit (Applied Biosystems). We also selected 200 tagging SNPs (tSNPs) that spanned the region from 55574820 to 77244563 bp (NCBI, build 36.1) corresponding to the linkage peak on chromosome 16q13-23.1. T The selected SNPs were based on $r^2<0.8$ between tSNPs and minor allele frequency (MAF) >0.05 from the European samples of the HapMap project in genes that were differentially expressed after cholesterol or 22-hydroxycholesterol stimulation of human fibroblasts, using Affymetrix (Santa Clara, California, USA) micro-array data (**supplementary Table 1**). The SNPs were genotyped in the SLSJ study sample using the SNPstream Genotyping System (Beckman Coulter, Inc). We also genotyped 51 tSNPs in the QUE sample in a parallel study as previously described.²⁵¹

6.3.5 Haplotype construction

Ten Families with a positive LOD score (LOD>0) on chromosome 16 were chosen for haplotype analysis. Haplotypes were constructed with the program Merlin²⁵² using both microsatellite markers and SNPs based on HDL-C less than 5% as the affected status. The haplotype analysis for SNPs in unrelated subjects from the SLSJ and the QUE study sample was performed using Haploview v4.1 ^{251, 253}

6.3.6 RNA extraction and RT-PCR

Skin fibroblasts were available for three out of four probands from the QUE families with the highest linkage scores. These and three control cell lines were cultured based on a standard protocol.²³⁶ Total RNA was prepared from cultured fibroblasts using the RNeasy mini RNA extraction kit (Qiagen) according to the manufacturer's instructions. Total RNA (100 ng) in a 14 µL-reaction was reverse transcribed using the cDNA Qiagen Kit according to the manufacturer's protocols. Real-Time quantitative PCR assays were performed using the Quantitect SYBR® Green PCR kit (Qiagen) on an ABI PRISM® 7300 Sequence Detection System (Applied Biosystems). The amplifications were carried out in a 96-well plate with 50 µL reaction volumes and 40 amplification cycles (94°C, 15 s; 55°C, 30 s; 72°C, 34 s). Experiments were performed in triplicate and the mRNA expression was taken as the mean of three different experiments. The expression levels. Fold changes relative to controls were

determined using $\Delta\Delta$ Ct method. A mean fold change (2^{- $\Delta\Delta$ Ct}) and the standard error of the mean value were determined and significance was determined with a two tailed *t*-test.

6.3.7 Statistical analyses

SIMWALK2 version 2.91 was used to estimate the multipoint inheritance vectors in the QUE families.^{239, 254} Genome scans were performed using the variance components approach as implemented in SOLAR. For multipoint linkage analysis, the HDL-C phenotype was adjusted for triglycerides, sex and age X sex using SOLAR (version 4.2.0). Association tests in families were performed using the Mendel version 9.0.0²⁵⁵ with the option for association given linkage. Case/control association analyses were performed with Haploview version 4.1. Heritability of HDL-C was analyzed with the method implemented in the SOLAR version 4.2.0 software package with the " ascertainment correction" option. While we realize that using this correction may still lead to biased results, the heritability we report for our samples is consistent with previous report of HDL.

6.4 Results

In the QUE families, heritability of HDL-C was estimated to be 73% ($p=9.89X10^{-16}$) in the genotyped individuals. Sex, age X sex, and triglycerides were significant contributors (p<0.05) to the variance of HDL-C in this study

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sample. The heritability estimate for the SLSJ families was 49% ($p = 6.55X \ 10^{-22}$). Sex and triglycerides were significant covariates in this study sample. The results of the linkage analysis for HDL-C in the SLSJ and QUE studies are shown in **supplementary Figure 1**.

In the genome scan for the QUE study sample, we identified four chromosomal regions that may harbor QTL for HDL-C (LOD scores >1). We observed a LOD score of 2.61 at 98 cM (based on the Decode Map²⁵⁶) near the marker D16S515 in chromosome 16q23-24. In the SLSJ study sample, the highest multipoint LOD score (2.96) was observed at 86 cM on chromosome 16, located 1.25cM away from D16S2624 in chromosome 16q22-23. Chromosomal regions with a multipoint LOD score ≥ 1 in either of the two genome scans are summarized in **Table 2. Figure 1** shows the multipoint LOD scores on chromosome 16 for the SLSJ and QUE study samples as well as two previously reported findings in the region.

Haplotype construction identified a 25.5 cM (18 Mb) region on 16q23-24 that segregated with the low HDL-C phenotype in the four QUE families with the highest HDL-C LOD scores. The segregating region was further restricted by additional microsatellite markers from 25.5 cM to 18.1 cM (~7.8 Mb) in the family with the highest LOD score (**Figure 2a**). Using the UCSC genome browser (http://genome.ucsc.edu/), we identified 39 genes residing within this 7.8 Mb region.

In the SLSJ study sample, we tested for association between HDL-C affection and 200 tSNPs selected from genes in the region that were differentially

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expressed in human fibroblasts treated with cholesterol or 22-hydrocholesterol and thus appeared to be sterol-regulated (microarray data not shown). One SNP, rs11646677, which was located within the shared haplotype from the QUE families, showed evidence of association with HDL-C affection (p=0.016) (supplementary Figure 2).

We sequenced all coding regions of the 39 genes located in this region (Figure 2a). The LCAT gene was excluded from the candidate region by a recombination event (subject # 407, supplementary Figure 3a) and by sequencing in all QUE probands. We identified several non-synonymous variants within the 39 genes. These were genotyped in family members and checked for segregation. We found three non-synonymous variants within the CHST6 gene and its homologue CHST5, located in the same region. One of the variants in the CHST6 gene, R162G, segregated with HDL-C in the four QUE families that had the highest linkage score (supplementary Figure 3 a, b, c and d). In association analysis of these families and additional families recruited later using Mendel with the option association given linkage we observed a p value of 0.015 for this variant. However, an association study in 136 cases and 218 controls did not confirm this finding (data not shown). This SNP and four other telomeric SNPs (spanning from 74070744 to 74822026 bps build 36.1) comprise a haplotype (Figure 2b) that is found in the four families but had a haplotype frequency of only 0.05 in our unrelated samples from Quebec.

The non-synonymous variants found in all the sequenced genes are listed in **Table 3**. Segregation analyses with these SNPs narrowed down the region to 6.6 Mb in the family with the highest LOD score.

Because none of the other non-synonymous variants identified by sequence analysis segregated in our families, we investigated the potential influence of regulatory variants on HDL-C levels, using RT-PCR analyses of nine genes within the 6.6-Mb region, between the genes CHST6 and WWOX. Three of those genes (ADAMTS18, CLEC3A, CNTNAP4) were not significantly expressed in fibroblasts. The results of those genes that are expressed in fibroblasts are shown in Figure 3. The expression of the CHST6 and KIAA1576 genes were found to be increased in cases as compared to controls (p<0.001). The CHST5 and WWOX genes were not differentially expressed, while the NUDT7 gene had lower expression in cases compared with controls but this was not statistically significant.

6.5 Discussion

The inverse relation between HDL-C plasma levels and CAD has been well described in multiple epidemiological studies. Though environmental influences play an important role in determining serum HDL-C levels, genetics plays a major role in determining HDL-C levels. The genetic heterogeneity underlying HDL-C has been underscored by the discovery and identification of several genes involved in HDL metabolism²⁰⁹. We performed two genome-wide scans in large families with probands ascertained for type 2 diabetes, CHD or low HDL-C. We obtained suggestive evidence for linkage ²⁵⁷ on chromosome 16 from both of these French Canadian study samples. The region on chromosome 16q22-24 has been associated with HDL-C in several studies. Specifically, two previous studies, one involving Mexican Americans¹⁸¹, and the other using Finnish and Dutch family samples ¹⁷⁵, both provided evidence of linkage to this region on chromosome 16 and both produced linkage peaks that were located less than 12 cM from the peaks observed in our analysis of Quebec families. Indeed, at least eight previous genome-wide scan studies have identified chromosomal loci for HDL-C on chromosome 16 with LOD scores greater than 1.97, 176, 177, 183, 258-261 In addition, Mehrabian et al.²⁶⁰ found a QTL for HDL-C on mouse chromosome eight at genetic markers D8Mit12 (LOD=3.6), and D8Mit14 (LOD=3.5) that is syntenic to human chromosomal region 16q22.1-16q24. Moreover, in a study of the mouse strains C57BL/6J and 129S1/SvImJ, Ishimori et al.²⁶¹ identified a suggestive QTL on chromosome 8 (cM 44, LOD 2.6) consistent with the locus identified by Mehrabian et al.²⁶⁰ Thus, these mouse QTLs for HDL-C provide additional confirmation of the involvement of this region of human chromosome 16 in HDL-C metabolism.

The *LCAT* and *CETP* genes, two well-known genes involved in HDL metabolism, are located near chromosome 16q23-24. LCAT mediates the formation of cholesteryl esters within HDL particles, which allows the maintenance of a gradient of free cholesterol from the plasma membrane to HDL particles and ensures a net movement of cholesterol from the cell to HDL. We sequenced the *LCAT* gene in the probands of the QUE families and no coding

variants were identified. CETP promotes the transfer of cholesteryl esters from HDL particles to triglyceride-rich (VLDL and IDL) particles in exchange for triglycerides.^{192, 262, 263} Many association studies have revealed a significant association between CETP gene polymorphisms and levels of plasma HDL-C (reviewed in ref. ^{264, 265}). Fine mapping of the 16q23-24 region with 12 additional microsatellites markers excluded both the *LCAT* and *CETP* genes from the linked region.

Our previous analysis of common variants in the region demonstrated an association between an intronic SNP in the WWOX gene (rs2548861) and HDL-C in the QUE sample (p=0.02 using a dominant model). ²⁵¹ However this SNP, explains only 1.5% of the variance in HDL-C concentrations at the population level ²⁵¹ and is not likely to be a major determinant of HDL-C in families that demonstrate a strong pattern of segregation.

Using both microsatellite markers and SNPs, we were able to identify a minimal haplotype in one linked family of approximately 6.6 Mb on chromosome 16q23-24. Of note, a genome-wide association study from the Diabetes Genetics Initiative (http://www.broad.mit.edu/diabetes/) also showed evidence of association (p value < 0.0001) with 6 SNPs (rs8057477, rs4888535, rs6564359, rs7404386, rs3924497, rs8049365) between the positions 75307621-75376819, all residing within the linked region. , We sequenced all coding and exon-intron boundaries of the 39 genes found within this region. One variant in *CHST6* showed evidence of co-segregation with low HDL-C and was investigated further. CHST6 belongs to the sulfotransferase family. It catalyzes the transfer of

sulfate to position 6 of non-reducing N-acetylglucosamine (GlcNAc) residues of keratin.²⁶⁶ It is located on the Golgi apparatus membrane and is mainly expressed in cornea and brain but also in the spinal cord and trachea. Defects in the *CHST6* gene are the cause of macular corneal dystrophy [MIM: 217800]. In the present study, *CHST6* was considered a good candidate gene for the low HDL-C trait because ocular manifestations have been previously associated with other gene defects causing a low HDL-C phenotype (e.g. *LCAT*, *APOA1*). However, there is currently no published data characterizing the lipid profile of patients with macular corneal dystrophy or directly relating the function of this gene to lipid metabolism.

We resequenced all other genes in the 6.6 Mb region and found no segregating variants but could not rule out variants found in noncoding regions of these loci, which could have an effect on the transcription of these genes. Therefore we examined the expression of genes in the three probands of the families linked to this region. An increase in the level of *CHST6* expression was found in these probands and we hypothesize that it might contribute to HDL metabolism. However, our RT-PCR results should be interpreted with caution as fibroblasts may not be the most appropriate cell model. Furthermore, we cannot exclude the possibility that the observed linkage and association at this locus may be caused by another nearby gene.

In a proteomic analysis of HDL by Rezaee *et al.*, C-type lectin domain family 3 member A (*CLEC3A*) has been identified as a HDL-associated protein.²⁶⁷ The gene coding for CLEC3A protein also resides in the fine-mapped

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region on chromosome 16q23-24. Sequencing of this gene in probands revealed one non-synonymous (Q197L) variant in one family. However this variant did not segregate with low HDL-C in this family and as *CLEC3A* is not expressed in fibroblasts, we were not able to investigate the differential expression level of its mRNA.

In summary, we have fine-mapped a region on chromosome 16q23-24 that is likely to harbour a gene for low plasma levels of HDL-C. Recently, genome-wide association studies have been performed for lipid traits, including HDL-C.^{185, 268} Although these studies have revealed polymorphisms associated with the variation in HDL-C level in a number of European populations, such variants do not account for a significant fraction of their variance and are not likely to be the cause of extreme levels of HDL-C that segregate in some families. More likely, multiple rare genetic variants that have a large effect lead to the extreme levels of HDL-C observed in families. These may sometimes be found in the same genes as the common variants that effect HDL-C, but perhaps not always. Therefore the identification of the causal genes for more severe forms of low HDL-C may contribute to further understanding the regulation of HDL-C levels and subsequently provide new therapeutic targets for CAD prevention. With recent advances in sequencing technology, it should be feasible to resequence this particular region in its entirety to identify the regulatory variants that contribute to HDL-C levels.

6.6 Abbreviations

ABCA1, ATP binding cassette A1; *APOA1*, apolipoprotein A-I; CAD, coronary artery disease; FBAT, family-based association test; HDL-C, high-density lipoprotein cholesterol; *LCAT*, lecithin:cholesterol acyltransferase; LDL-C, low-density lipoprotein cholesterol; MAF, minor allele frequency; SLSJ, Saguenay-Lac St-Jean region of Quebec, Canada; SNPs, single nucleotide polymorphisms; tSNPs, tagging SNPs.

6.7 Acknowledgments

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6.8 Figures

Figure 1. Multipoint LOD scores for HDL-C on chromosome 16 in the SLSJ and QUE study samples as well as previously reported findings in the region. The filled line and dashed line correspond to results from the QUE samples near marker D16S515 at 16q23-24 and SLSJ samples near marker D16S2624 at 16q22-23. ● reported peak in Mexican American families near markers D16S2624- D16S518 at 16q22.3-23.1 ¹⁸¹. ■ reported peak in Finnish and Dutch families near marker D16S3096 at 16q22.1-24.3¹⁷⁵.



Figure 2. Linked region for HDL-C on chromosome 16q21-24.

Panel A. The position of microsatellite markers with the interval distance (cM) between them is indicated and below the line is actual the physical locations (Mb). Brown markers are genome-wide scan markers and other markers are from the subsequent fine mapping. Position of the LOD score peak is shown in the box. Family identification numbers are indicated on the left side and the segregating haploytypes for each family are shown. The position of *CETP*, *LCAT*, and *CHST6* are indicated by the arrowhead. The distance between arrows A and B corresponds to the initially identified linked region, which was narrowed down to the region between A and C. The known genes in this region are listed shown in panel B. Families indicated in bold carry the R162G mutation in *CHST6*.

Panel b. Haplotype with a frequency of only 0.05 in our unrelated samples from Quebec.



Region for SNPs association in SLSJ (23Mb)

Figure 3. mRNA expression levels of select candidate genes found in the linked region.

mRNA expression of the genes (normalized to succinate dehydrogenase) from the skin fibroblasts of three probands are compared with the mean mRNA expression from three controls. The mRNA expression level was taken as the mean fold change ($2^{-\Delta\Delta Ct}$). The standard error of the mean values of triplicate experiments are shown.



Supplementary Figure 1. Results of linkage analysis from SOLAR in the a) QUE and b)SLSJ study samples.

Genome-wide multipoint linkage results for HDL-C with LOD scores on the y axis and chromosomal position for all chromosomes on x axis.



b. SLSJ samples



Supplementary Figure 2. SNPs vs – log(p-value) in the SLSJ cohort.

x axis shows the physical distance in bp. y axis is $-\log P$ value. Corresponding microsatellite markers in this region are indicated at the top of the figure. The indicated SNP with a corresponding P value is the nearest SNP to the *CHST6* gene.



Supplementary Figure 3. Co-segregation of the five SNP haplotype that includes the variant of the *CHST6* gene and the low HDL-C trait.

(A) pedigree of family 1; (B) pedigree of family 2; (C) pedigree of family 3; (D) pedigree of family 4._Probands are identified by black arrows. Diagonal and crossed lines through the symbols indicate deceased individuals and unavailable data, respectively. Filled bars indicate alleles bearing the mutation. Haplotypes around the *CHST6* gene locus include four microsatellite markers flanking the gene and the R162G mutation itself (0, absence; 1, presence). Not shown are four other SNPs that are on the same haplotype as R162G (see Figure 2, Panel B) in all four families. Horizontal lines and partly filled bars represent recombination. Individual, age, total cholesterol (TCHOL), triglyceride (TRIG), HDL-C followed by percentile ranking for age and gender, and LDL-C are listed respectively below each symbol. Black symbols indicate individuals with a HDL-C value below the 5th percentile. Inferred marker genotypes are indicated in brackets.





C. FAMILY 3



D. FAMILY 4



6.9 Tables

Table 1. Subjects in this study

Subjects	QUE	SLSJ
Family		
# families	11	61
# individuals	412	410
Case/Control		
# cases	136	94
# controls	218	94

QUE: samples from French Canadian families from across Quebec SLSJ: samples from the SLSJ region of Quebec

Cohort	Chromosome	Location (cM)	LOD score
QUE	16	98	2.61
	15	8	1.68
	5	217	1.24
	1	209	1.08
SLSJ	16	86	2.96

Table 2. Whole genome scan results with LOD score > 1.

rable 2. ivon-synonymous variants identified by sequencing.				
Gene	aa change	rs number	Physical Distance*	
FA2H	Pro97Ala	rs35874850	73331496	
RFWD3	Thr90Asn	rs8058922	73252580	
RFWD3	Ile564Val	rs7193541	73222244	
MLKL	Pro132Ser	rs35589326	73286763	
MLKL	Met364Thr	rs34389205	73267111	
CFDP1	Asn204Asp		73986529	
BX648484	Met 44Ile		74043126	
CHST6	Arg162Gly		74070744	
CHST5	thr297met	rs3826107	74120831	
CHST5	Leu 6Val	rs3743601	74147593	
TERF21P	Lys324Glu	rs4888444	74247780	
CASPR4	Leu279Val	rs34251012	75040248	
CASPR4	Gln789Arg	rs12933808	75090084	
CASPR4	Ala922Pro		75126951	
CASPR4	Asp1158Glu	rs7202925	75144703	
ADAMTS18	Tyr191His	rs11643211	75959046	
ADAMTS18	Leu625Ile	rs11640912	75917420	
ADAMTS18	Leu769Ile	rs9930984	75911474	
ADAMTS18	Ala946Ser	rs12935394	75886491	
ADAMTS18	Ser1080Arg	rs35478105	75882826	
ADAMTS18	Ser1159Thr	rs3743749	75880736	
NUDT7	Are183His	rs308925	76327335	
CLEC3A	Gln197 Lys	rs2072663	76622234	
WWOX	Ala179Thr	rs12918952	76978276	
KIAA0431	Ser 600Thr		79635403	
PKD1L2	Val20Ala	rs9924530	79811418	
PKD1L2	Trp73Arg	rs9924371	79811260	
PKD1L2	Gln120Leu	rs7191351	79807455	
PKD1L2	Gly129Asp	rs7185774	79807428	
PKD1L2	Lue173Ser	rs8060294	79806246	
PKD1L2	Val183Ile	rs12933806	79806217	
PKD1L2	Pro301Ala	rs11150370	79798601	
PKD1L2	Lys416Gln	rs7194871	79790065	
PKD1L2	Pro512Lue	rs7205673	79789776	
PKD1L2	Leu711Pro	rs4889261	76770879	
PKD1L2	Gly785Cys	rs9935113	79768997	
PKD1L2	Arg849His	rs1869349	79766748	
PKD1L2	Ala863Val	rs12596941	79766016	
PKD1L2	Leu1036Pro	rs12597040	79757056	
BCM01	Arg267Ser	rs12934922	79859195	
BCM01	Ala379Val	rs7501331	79871997	
PLCG2	His244Arg	rs11548656	80474413	
PLCG2	Arg268Trb	rs17537869	80480314	
	U r			

Table 2. Non-synonymous variants identified by sequencing.

*Physical distance is based on positions from the UCSC Genome Browser (http://genome.ucsc.edu) (Build 36.1 March 2006).

Supplementary Table1: List of differentially expressed genes using Affymetrix micro-array data in area under the linkage peak on chromosome 16.

Position based on Build 36.1

Gene	Position(bp)	
CPSF5/ NUDT21	55020549-55042762	
BBS2	55089850-55111696	
MT1K/MT1M	55258154-55259478	
NUP93	55373242-55436178	
SLC12A3	55456620-55507263	
CETP	55553336-55575257	
NOD27	55625581-55674937	
NIP30	55763664-55769970	
TM4SF11	55847510-55876072	
HSPC065	56841364-56875235	
CMTM4	65206154-65288111	
DNCLI2	65312300-65343026	
APPBP1	65394413-65422282	
RRAD	65513083-65516940	
CBFB	65620551-65692459	
CENPT	66419561-66425504	
NFATC3	66676876-66818338	
LYPLA3	66836748-66852462	
SLC7A6	66855924-66893223	
SMPD3	66949733-67039910	
ZFP90	67131162-67158540	
TCMO7	67435010-67676586	
CIRH1A	67724000-67760438	
VPS4A	67902788-67916446	
CYB-5M	68016064-68055208	
WWP2	68353775-68533144	
PDXDC2	68567703-68634407	
DDX19L	68938325-68964782	
SF3B3	69115202-69163702	
CALB2	69950127-69981843	
ZNF23	70039004-70053618	
PHLPPL	70240294-70315344	
ATBF1	71374287-71639775	
CFDP1	73885109-74024888	
CHST5	74119929-74126569	
GABARAPL2	74157750-74169280	
MON1B	75782832-75792754	
ADAMTS18	75873526-76026512	

CHAPTER 7

DISCUSSION AND CONCLUSION

HDL-C levels are inversely correlated with risk of CAD. The prevalence of low HDL-C in patients with CAD has been examined in several studies. To accentuate the importance of plasma lipoproteins in the pathogenesis of CAD and acute myocardial infarction, the largest case-control study of myocardial infarction (INTERHEART) has shown that the apo B/apo AI ratio (respectively an index of atherogenic lipoproteins and protective lipoproteins) accounts for approximately 49% of the population attributable risk of acute myocardial infarction²⁶⁹. It is estimated that approximately 40% of patients with premature CAD have HDL-C below the10th percentile of age- and sex-specific norms ^{190, 202,} ²⁰⁸ and this represents the most common lipoprotein disorder in patients with CAD. The athero-protective effects of HDL are multifactorial and include: removing cholesterol from lipid-laden macrophages in the atherosclerotic plaque, anti-inflammatory effects, preventing oxidation of low-density lipoproteins (LDL), anti-thrombotic properties, vasomotor tone modulation and improving endothelial cell survival (by preventing apoptosis), migration and proliferation²⁷⁰. Nevertheless, the major athero-protective effect of HDL has been attributed to its key role in RCT, a process in which cholesterol from peripheral tissues such as lipid-laden macrophages (foam cells) is selectively returned to the liver for excretion in the bile. Mutations in any of the proteins regulating this complex metabolic pathway may potentially decrease HDL-C levels and accelerate CAD. Although, a previously study reported that heterozygocity at the ABCA1 locus increases the risk of CAD 3.5 fold ²⁷¹, in a study of the Copenhagen Heart study, Frikke-Schmidt examined the prevalence of rare variants at the ABCA1 gene locus in CAD cases compared with controls and concluded that ABCA1 mutations causing a low HDL-C were not increased in CAD cases ¹⁰⁷. This discrepancy can be explained in part by the ascertainment bias in former study that recruited patients from a premature CAD clinic. Thus these data challenge the existing concept that genetic forms of HDL deficiency increase cardiovascular risk.

Over 100 proteins have been genetically or biochemically demonstrated to be involved in HDL metabolism. These are summarized in **Figure 1**. Based on a proteomic study of HDL proteins, at least 56 different proteins have been identified, by a combination of one- and two-dimension electrophoresis, MALDI-TOF and isotope-coded affinity tag (ICAT)²⁶⁷. All of the apolipoproteins (with the exception of apo B) are found in HDL particles, as are several enzymes involved in lipoprotein processing, inflammation, free-radical enzymes and many others whose function is yet to be characterized. To these, must be added a nearly equal number of proteins, enzymes, receptors, and transcription factors known to be involved in HDL metabolism, but not found in HDL particles. Wang and Paigent reported 54 genes involved in HDL metabolism and function in the mouse; of these, apo AI, CETP, LCAT, EL, HL, LPL, ABCA1 and ABCG5/8 have been shown to regulate HDL in humans¹⁰⁰.

Figure1. Candidate Genes for HDL Metabolism and Functions

(Modified from Wang X and Paigen B Circ Res 2005;96:27; Rezaee F. et al.

Proteinomics 2006;6:722 and Vaisar T et al. J Clin Invest 2007;117:746-756.)



Many studies have examined the role of genetic variability at many of these genes, either by DNA sequencing or by association studies and have shown that both rare and common variants in these genes affect the level of HDL-C (see review by Boes et al.¹⁰⁸)

Additionally, many studies confirmed that plasma levels of HDL-C have a strong inherited basis. It estimated that more than 50% of the variability in lipid and lipoprotein levels between individual is a result of the effect of genes. Linkage analysis has been very successfully used to discover the genetic basis of monogenic traits that segregate in a Mendelian manner. Such investigations for monogenic lipid disorders have also successfully identified many genes important for lipoprotein metabolism²⁷². An example is the isolation of the ABCA1 gene as the causal gene for Tangier disease in patients who have extremely low HDL-C^{101, 103, 104}. In the past few years, numerous family studies using parametric or quantitative linkage have revealed many loci harbouring genes involved in HDL metabolism. The apparent lack of consistency observed in linkage studies can be explained, in part, by the oligogenetic and multivariate nature of HDL regulation, but also by pleiotropy and variable ascertainment used for the selection of probands. Yet, most of the genes and mutations, underlying these findings remain to be defined.

The previous genome wide scans have identified several chromosomal loci including a locus on chromosome 8q in Mexican Americans and Finns^{92, 242}; 5q and 9p in Mexican Americans^{92, 233}; a locus on 11q23 in 105 Utah CHD families²³⁴; and loci on 16q and 20q in Finns^{175, 176}.

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In humans, at least 21 studies have been published, identifying over 45 QTLs for HDL-C. As some of the QTLs map to similar chromosomal localizations, it is possible that they represent the same genes. In their review, Wang and Paigen (2005) estimated that 30 QTL's with LOD score more than 1.4 for HDL-C have been identified in humans. Most of these QTLs (> 90%) are concordant with mice QTLs in syntenic regions. The high degree of concordance between mouse and human QTLs suggests a strong likelihood that the underlying genes will be the same. These authors therefore argue that an examination of HDL-C QTLs in mice will be a more efficient way to identify potential chromosomal regions of interest in the human genome¹⁰⁰.

Linkage studies have good statistical power for discovering less frequent alleles. However, association studies will have more power to discover common alleles. It has been proposed that a significant portion of the risk for complex trait, such as low HDL-C, is the result of common genetic variants (the common-disease common-variant (CDCV) hypothesis)²⁷³. Recently, GWAS approach has been successfully applied to identify several new, reproducible associations for HDL-C. The GWAS were successful in identifying many common variants in known genes or loci but also allowed the identification of new loci, presumably important in the regulation of lipoprotein metabolism. Many of those loci with common variants have been identified in genes that have been shown to also harbour rare mutations that lead to monogenic low HDL-C (or, in the case of the CETP gene, high HDL-C) including: ABCA1¹⁰¹⁻¹⁰³,ApoA5²⁷⁴, ApoB²⁷⁵, CETP²⁷⁶, LDLR²⁷⁵, HL²⁷⁷, LPL²⁷⁸. Thus, the novel loci might also harbour rare variants

and be strong candidates for Mendelian dyslipidemias. By the GWAS approach, investigators have identified and/or confirmed 19 loci with common variants that influence plasma levels of LDL-C, TG, and HDL-C. **Figure 2** shows these loci. Of those, the nine novel loci that affect the level of plasma HDL-C are near the genes: GALANT2, MVK/ MMAB, NR1H3, MADD/ FOLH1, FAD1-3, ANGPLT4, HNF4A, TTC39B, CTCF-PRMT7. The associated SNPs at the new loci are all noncoding and explain only a minor fraction of variance in HDL-C level (up to2.42 mg/dl). So the causal variants or even the causal genes are not yet clear, but these new candidate genes and their nearby genes should certainly be used as clues to search for variants with major effect that can explain severe form of HDL-C deficiency in the families. To identify the causal variants deep sequencing of the genes at the new loci are required. Ultimately, functional studies involving positional candidate genes are essential to uncover the mechanism of these novel genetic factors that regulate HDL metabolism.

Figure2. Loci reported to be associated with lipid variables

(LDL-C, TG, and HDL-C). Modified from comments on GWAS by Teri A

Manolio in *Nature Genetics* 41, 5 - 6 (2009).²⁷⁹ Arrow heads show associated loci with HDL-C.



Despite the remarkable progress in gene identification, we are still faced with the major challenge of investigating the function of these genes. Additionally, network analysis could help elucidate the signalling pathways, gene regulatory networks, and protein interactions. The network for HDL genes as we know it today is shown in **Figure 3**.





Green arrows: positive effect; red arrows: negative effect; black lines show binding effect; cell localization of genes marked as yellow: cytoplasm, Orange: extra-cellular, pink: nucleus, green: cell membrane.

(http://www.jmdbase.jp/JmdBaseExt/Top.aspx)

As mentioned above, the known genes in HDL metabolism have been identified by the candidate gene approach, family linkage studies and more recently GWAS. However, because only a small percentage of the variance and of the heritability has been accounted for, it is clear that there are other genes yet to be identified those modulate HDL-C levels in man.

Because of the existence of pleiotropy and heterogeneity in the nature of HDL-C, which hampers the task of identifying new genes for this trait, I believe that choosing an isolated population could increase our chances to discover new genes. This is because an isolated population will have reduced heterogeneity and hence increased power to discover susceptibility genes ^{280, 281}. Therefore, our study has been conducted on the population of Quebec, which is descended from approximately 5,000 settlers from France, who arrived between 1608 and 1759. The advantages of limited number of settlers, a high birth rate, and relatively little genetic admixing because of language, cultural and religious barriers make the French Canadian population ideally suited for genetic studies.

To identify novel genetic determinants that contribute to HDL-C levels in the Quebec population, we focused our research on a sample of wellcharacterized families who were recruited for low HDL-C. I applied conventional candidate gene and family linkage approaches to pursue novel genes.

Firstly, searching the known candidate genes involved in the metabolism of HDL-C is a reasonable approach to identify variants that influence HDL-C levels. Several candidate gene association studies revealed variants that affect the level of HDL-C. However, due to allelic heterogeneity the genes need to be
sequenced to identify causative rare variants. Based on this idea, we sequenced well-known genes involved in HDL metabolism. Causal variants in ABCA1 were identified in thirteen of our probands^{104, 106}. I turned to two other strong candidates, the ApoA1 and LCAT genes. Direct sequencing of genomic DNA revealed a novel mutation of the apoA-I gene, apoAI_{E136X}, identified in 3/54 patients (6%) with low HDL-C. In two available families, the E136X mutation showed a strong co-segregation with the phenotype of low HDL-C level. Interestingly, there are some individuals with low HDL-C in these families, who not bearing apoA- I_{E136X} mutation. This puts emphasis on the complexity of HDL that could be the results of phenocopy or heterogeneity in HDL. When added to the ABCA1 families, we have now accounted for 26% of the low HDL-C families in Quebec (assuming our families are representative). Afterwards, the identification of these genetic susceptibility to low HDL-C and the development of CAD may provide a more comprehensive understanding of the genetic basis for CAD. In turn, this has the potential to lead to the development of novel therapies for subjects with HDL deficiency.

The remaining families with no identified mutations in these candidate genes were subjects for further investigations to identify new gene(s) involved in the metabolism of HDL-C. Thus, we performed a genome scan in 13 extended multigenerational French Canadian families. In a parametric linkage analysis we identified a significant signal of linkage for low plasma HDL-C on chromosome 4q31.21. We also observed LOD scores > 1.0 for two loci on 15q and 16q. Because the only significant signal in this study was observed for 4q31.21, this region was selected for further fine mapping. Following fine mapping, we sequenced all genes in LOD-1 region. However no evidence for a co-segregating variant was observed in our families. Harrap et al. in a study of healthy families from the general population in Australia also observed suggestive evidence for linkage of HDL-C to chromosome 4q32.3²⁸². While their linkage peak and fine mapping analyses is approximately 30 cM further towards the q-telomere, it is in the vicinity of evidence for HDL-C in our samples. Further independent studies will be required to verify this locus.

Given that there is genetic heterogeneity underlying HDL-C and environmental influences also contribute to determining serum HDL-C levels, QTL analysis may be a more suitable approach to identify chromosomal regions that are associated with variation in HDL-C levels. Several QTLs have been identified for HDL-C. The inconsistency of the results can be explained by the difference in study design, measurement of HDL-C, ethnicity of population, and covariates used in the analysis. The only reproducible region in QTL analysis is located on chromosome 16q23-24. In fact, at least eight previous genome-wide scan studies have identified chromosomal loci for HDL-C on chromosome 16 with LOD scores greater than one^{97, 176, 177, 180, 182}.

In our QTL analysis, we obtained suggestive evidence for linkage on chromosome 16 from two French Canadian study samples. In our sample, four families demonstrated segregation over a 25.5 cM (18 Mb) region, which was further reduced to 6.6 Mb with additional markers. The coding regions of all genes within this region were sequenced. A missense variant in the gene CHST6, segregated in all four families demonstrating segregation. However, an association study of this SNP in unrelated Quebec-wide samples was not significant but these results might be underpowered because of the small number of samples used in this association study. An association study in the SLSJ study sample identified a SNP (rs11646677) located in the same region, which was significantly associated with low HDL-C (p=0.016).

In addition, RT-PCR results from cultured cells demonstrated a significant difference in the expression level of the CHST6 and KIAA1576 genes in this region. Thus my data constitutes additional evidence for a locus on chromosome 16q23-24 that affects HDL-C levels in French Canadian subjects.

My research has lead to specific research approaches. Using next generation DNA sequencing the genomic sequence of this narrow region will be sequenced to identify the variants that are present in cases with low HDL-C and not present in a non-low HDL-C individual in the family. It is hoped that the association of those variants or particular haplotypes with low HDL-C in the families could explain the signal of linkage on chromosome 16q23-24.

In conclusion, I believe that by identifying casual SNPs for low HDL-C it may be possible to define individuals at increased risk for cardiovascular disease. Moreover, the identification of novel genes involved in HDL metabolism may help identify novel metabolic pathways and possibly provide novel therapeutic targets to modulate HDL-C in humans and test whether genotype-driven targeting of therapeutic interventions can effectively reduce their risk for heart disease.

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APPENDIX

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