

1 **The Influence of Lateral and Posterior Total Hip Arthroplasty Approaches on Muscle**  
2 **Activation and Joint Mechanics during Gait**

3 Shawn M Robbins, BScPT, PhD<sup>a</sup>; Sharleen Gomes, MSc<sup>a</sup>; Olga L Huk, MD<sup>b</sup>; David J Zukor,  
4 MD<sup>b</sup>; John Antoniou, MD, PhD<sup>b</sup>

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6 <sup>a</sup>Centre for Interdisciplinary Research in Rehabilitation, Lethbridge-Layton-MacKay  
7 Rehabilitation Centre, and the School of Physical and Occupational Therapy, McGill University,  
8 Montreal, Quebec, Canada

9 <sup>b</sup>Division of Orthopaedic Surgery, SMBD-Jewish General Hospital and McGill University,  
10 Montreal, Quebec, Canada  
11

12 **Emails:** [shawn.robbins@mcgill.ca](mailto:shawn.robbins@mcgill.ca); [sharleen.gomes@mail.mcgill.ca](mailto:sharleen.gomes@mail.mcgill.ca); [olgahuk@gmail.com](mailto:olgahuk@gmail.com);  
13 [david.zukor@mcgill.ca](mailto:david.zukor@mcgill.ca); [janton@orl.mcgill.ca](mailto:janton@orl.mcgill.ca)  
14

15 **Corresponding Author:** Shawn Robbins; School of Physical and Occupational Therapy; Davis  
16 House, McGill University; 3654 Promenade Sir William Osler; Montreal, QC; Canada H3G 1Y5;  
17 Telephone: 514-398-4400 extension 00720; Fax: 514398-8193; Email: [shawn.robbins@mcgill.ca](mailto:shawn.robbins@mcgill.ca)  
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32 **Abstract**

33 *Background:* Lateral and posterior total hip arthroplasty (THA) approaches disrupt muscle  
34 function, which could impact gait. The objectives of this study were to compare muscle  
35 activation and joint mechanics during gait, and isometric strength between participants after  
36 lateral and posterior THA approaches and healthy adults.

37 *Methods:* Participants 1 year post-THA from either lateral (n=21) or posterior (n=21) approaches,  
38 and healthy adults (n=21) ambulated at self-selected speeds. Surface electromyography, optical  
39 motion capture, and force plates measured muscle activation and joint mechanics during gait. A  
40 dynamometer measured isometric torque. Gait characteristics and isometric torque were  
41 compared using analysis of variance and effect sizes (d).

42 *Results:* Lateral THA group had higher gluteus medius amplitudes during gait compared to the  
43 healthy group ( $p < 0.01$ ,  $d = -0.97$ ). Posterior THA group had higher gluteus maximus amplitudes  
44 during loading response ( $p = 0.02$ ,  $d = -0.94$ ) and higher hamstring amplitudes during midstance  
45 ( $p = 0.02$ ,  $d = 0.45$  to  $1.31$ ) than the healthy group. Both THA groups had decreased hip flexion and  
46 adduction angle excursions during gait ( $d = 0.89$  to  $1.14$ ), but increased medial rotation angle  
47 excursions ( $d = -1.06$  to  $-0.91$ ), compared to the healthy group. Lateral THA group had lower  
48 isometric hip abduction torque than the healthy group ( $p = 0.03$ ,  $d = 0.74$ ). There was no pelvic drop  
49 in the THA groups.

50 *Conclusion:* There were few differences in gait and isometric torque between lateral and posterior  
51 THA groups. The elevated muscle activation amplitudes in the lateral and posterior THA groups  
52 compared to healthy adults were likely due to muscle weakness. Despite these findings, there was  
53 no evidence of pelvic drop.

54 **Keywords:** Total knee arthroplasty; gait; motion capture; electromyography; surgical approach<sup>1</sup>

## 55 **Introduction**

56 Over 90,000 total hip arthroplasties (THA) were performed in the United States in 2016  
57 [1]. The two most common surgical approaches are posterior and direct lateral [2]. Distinct  
58 muscles are disrupted with lateral (gluteus medius and minimus) and posterior (gluteus maximus,  
59 short lateral rotators, piriformis) approaches potentially leading to different patterns of muscle  
60 weakness [3]. For instance, gluteus medius partial denervation and isometric hip abduction  
61 weakness were more common after a lateral compared to a posterior THA approach [4,5]. Also,  
62 the occurrence of Trendelenburg signs during gait (contralateral pelvic drop indicating gluteus  
63 medius weakness) was more common in patients that had a lateral compared to a posterior  
64 approach [6]. In contrast, other studies have found no differences in isometric hip abduction  
65 between approaches 3 months to 2 years post-THA [7,8]. Inconsistency in findings could be due  
66 to differences in testing procedures and time since THA. Regardless, weakness in hip muscles  
67 after THA is possible and this could affect functional mobility, such as gait.

68 The impact of surgical approach on gait has been studied [9]. There were no differences  
69 in spatiotemporal parameters, pelvis angles, and hip angles during gait in patients that underwent  
70 lateral or posterior THA approaches 6 weeks to 1 year post-surgery [5,10-13]. However, patients  
71 that had a posterior THA approach had greater frontal hip moments and power than patients that  
72 had a lateral THA approach in the early stages of recovery [9,13]. Additionally, there was  
73 increased lateral trunk lean, a compensation for weak hip abductors, in patients that had a lateral  
74 compared to a posterior approach 6 weeks post-THA, but not at 12 weeks [12]. Thus, few

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<sup>1</sup> PC=principal component; PC-scores= principal component scores; HOOS=Hip Disability and Osteoarthritis Outcome Score; MVIC=maximum voluntary isometric contraction

75 differences in gait mechanics exist between THA approaches and differences are likely  
76 dependent on recovery time.

77         Although evidence exists of gluteus medius impairment following lateral approach [5],  
78 few studies have examined muscle function during gait. Prolonged and elevated gluteus maximus  
79 and medius activation have been demonstrated post-THA compared to healthy adults [14,15].  
80 This could indicate that patients post-THA need to fire more motor units for longer periods of  
81 time in order to produce the required muscle force to adequately control the pelvis and femur.  
82 However, there is a paucity of research comparing muscle activation during gait between THA  
83 approaches. Therefore, the primary objective of this study was to compare muscle activation  
84 during gait between participants that had lateral or posterior approaches for THA 1 year post-  
85 surgery and healthy adults. It was hypothesized that the lateral approach THA group would have  
86 higher gluteus medius activation than the other groups. The secondary objective was to compare  
87 joint angles and moments during gait, spatiotemporal gait parameters, isometric strength, and  
88 clinical outcomes between these groups. It was hypothesized that there would be no differences  
89 in joint angles and moments during gait, spatiotemporal parameters, and clinical outcomes  
90 between THA groups. The lateral approach group would have lower isometric hip abduction  
91 strength.

## 92 **Material and Methods**

### 93 *Participants and Design*

94         This cross-sectional study recruited participants that had a THA 1 year previously using  
95 convenience sampling from a tertiary hospital (*blinded*) from September 2016 to October 2018.  
96 They were included if they had a primary THA for hip osteoarthritis and were between 50 to 80  
97 years of age. Exclusion criteria included revision THA, bilateral THA, severe arthritis in any

98 other lower extremity joint, inflammatory arthritis, neurological conditions, or severe  
99 cardiovascular conditions. Participants were assigned into either lateral (n=21) or posterior  
100 (n=21) THA groups based on the surgical approach they received, which was based on surgeon  
101 preference. Additionally, a healthy group (n=21) was recruited from the local community using  
102 advertisements. The healthy group had the same exclusion criteria as listed above and additional  
103 exclusion criteria for this group included current lower extremity pain, hip osteoarthritis, and  
104 previous joint arthroplasty. A flow diagram summarizing the recruitment and exclusion is  
105 provided in Figure 1. The study was approved by the local research ethics board, and informed  
106 consent was obtained from all participants.

107         Sample size was based on a previous study that found large effect sizes ( $d > 1.2$ ) for  
108 differences in pelvic obliquity angles and hip moments between patients that had posterior or  
109 lateral THA approaches [13]. To obtain a large effect ( $f = 0.40$ ) for the planned analysis of  
110 variance (ANOVA) comparing the three groups (posterior THA, lateral THA, healthy) with alpha  
111 at 0.05 and power of 0.80, the estimated sample size for each group was 21.

112         Demographic information and surgical information (e.g. surgical data, leg length  
113 discrepancy) were collected from self-report or participants' charts. The study leg was the  
114 surgical side for the THA groups. The study leg was randomly selected for the healthy group.

#### 115 *THA Surgery*

116         Two surgeons (*blinded*) performed the lateral approach and one surgeon (*blinded*)  
117 performed the posterior approach. The surgeons chose the approach based on their training and  
118 experience, and they performed their selected surgical approach for all their participants.  
119 Posterior approach was performed through a curvilinear incision centered over the greater  
120 trochanter posterosuperior aspect. Gluteus maximus was divided. Piriformis and short external

121 rotators were removed from their tendinous insertion along with the posterior capsule. The two  
122 surgeons performing the lateral approach used the same technique. Lateral approach used a  
123 straight incision centered over the greater trochanter extending proximally to the level of the  
124 anterior superior iliac spine. Gluteus medius was split such that roughly half of its insertion to the  
125 greater tuberosity was preserved. The distal portion was then reflected off the trochanter with a  
126 small wafer of bone along with the distal gluteus minimus anterior capsule. Both approaches  
127 included repairs that restored the muscle attachments. Participants were prescribed a standard  
128 rehabilitation program after surgery during the acute stages of recovery (first week). However,  
129 the rehabilitation program afterwards was not standardized as it was tailored to the requirements  
130 of each participant.

### 131 *Clinical Measures*

132 Participants completed the Hip Disability and Osteoarthritis Outcome Score (HOOS),  
133 which consists of five subscales with 40 items that measure pain, other symptoms (e.g. stiffness),  
134 physical function, sport and recreation, and quality of life [16]. Subscales were transformed to 0-  
135 100 scores with higher scores representing better outcomes. Participants also completed three  
136 performance measures. The Six-Minute Walk Test required participants to ambulate 6 minutes on  
137 a 50 foot (15.24 m) track as fast as possible and the distance covered was measured in meters  
138 [17]. The 30-Second Chair Stand Test required participants to complete sit-to-stand repetitions  
139 from a standard chair (seat height=46 cm) in 30 seconds as fast as possible without using their  
140 arms [18]. The number of complete repetitions was counted. The Stair Climb Test assessed the  
141 time in seconds taken to ascend and descend a flight of 11 stairs (stair height=16 cm) as fast as  
142 possible [19]. They were permitted to use the railing.

### 143 *Gait and Torque Data Collection*

144 Muscle activation was acquired using a wireless surface electromyography (EMG) system  
145 sampled at 2000 Hz (Trigno, Delsys Inc.). Electrodes were placed, based on standardized  
146 landmarks, over: gluteus medius, gluteus maximus, vastus medialis, vastus lateralis, rectus  
147 femoris, medial hamstrings (semitendinosus), lateral hamstrings (biceps femoris), and tensor  
148 fascia latae [20,21]. The skin was shaved and thoroughly cleaned with alcohol prior to electrode  
149 placement. Muscle palpation and submaximal isometric contractions were performed to validate  
150 placement.

151 Kinematic data were collected using an eight camera, three-dimensional optical motion  
152 capture system sampled at 100 Hz (Oqus 3+, Qualisys). Kinetic data were collected using two  
153 synchronized force plates, embedded in a walkway, sampled at 2000 Hz (model BP400600-2000,  
154 Advanced Mechanical Technology Inc.). Forty reflective markers were placed on participants  
155 according to a cluster-based system previously described [22]. Qualisys Track Manager (version  
156 2.8, Qualisys) was used to collect gait data.

157 Firstly, participants completed a static, standing trial on a force plate to determine joint  
158 centers and mass. Next, trials were collected to determine hip joint centers and participants were  
159 required to complete hip flexion, extension, abduction and adduction [23]. Participants then  
160 performed overground gait trials barefoot at self-selected speeds along an 8 m walkway. They  
161 were permitted at least four practice trials. Seven trials were collected, but only five trials were  
162 included. Additional trials were collected to account for potential errors. Trials were selected  
163 based on the presence of complete marker data and adequate force plate strikes. If all trials were  
164 deemed adequate, then the last five trials were selected.

165 Participants then performed maximum voluntary isometric contraction (MVIC) exercises  
166 on an isokinetic dynamometer (Humac Norm, Computer Sports Medicine). Exercises included:

167 1) knee extension in sitting with the knee in 45° of flexion; 2) knee flexion in sitting with the  
168 knee at 55° of flexion; 3) hip flexion in supine with the hip in 20° of flexion; 4) hip abduction in  
169 side-lying with the hip in 0° abduction; and 5) hip extension in prone with the hip in 0° [21,24].  
170 Each exercise included one practice and two collection trials with 30s of rest between trials.  
171 MVIC exercises were used to amplitude normalize gait EMG and provide isometric torque  
172 measures.

### 173 *Gait and Torque Data Processing*

174 Data processing was completed using Visual3D (v5, C-motion Inc.). Gait EMG data were  
175 band pass filtered (20–500 Hz) using a fourth order recursive Butterworth filter, full wave  
176 rectified, and a linear envelope was created by applying a fourth order recursive Butterworth low  
177 pass (6 Hz) filter. Similarly, MVIC EMG data were band-pass filtered and full wave rectified. A  
178 100 ms moving-average window identified maximum EMG amplitudes for each muscle during  
179 MVIC exercises. Maximum EMG amplitudes were used for gait EMG amplitude normalization.

180 Marker and force plate data were low pass filtered with a fourth order recursive  
181 Butterworth filter with cut off frequencies of 8 and 20 Hz respectively. Hip joint centers were  
182 calculated using the functional method [23] and knee joint centers were calculated as the mid-  
183 point between medial and lateral epicondyle markers. Hip angles were calculated using an Euler  
184 XYZ sequence and positive angles were represented by flexion, adduction, and medial rotation.  
185 Pelvis angles were calculated relative to the lab co-ordinate system using Euler ZYX (rotation-  
186 obliquity-tilt) sequence [25]. The pelvic obliquity angle in the frontal plane was analyzed as it is  
187 controlled by gluteus medius. A positive pelvic obliquity angle indicated a drop on the ipsilateral  
188 innominate and elevation on the contralateral innominate. Lateral trunk lean angle was calculated  
189 as previously described (positive=ipsilateral trunk lean) [26]. Net external hip moments were



190 calculated about the joint coordinate system using inverse dynamics and amplitude normalized to  
191 body mass [27]. Gait EMG, angles, and moments were time normalized to 100% of the gait cycle  
192 and ensemble averages created from five trials. Spatiotemporal variables were also calculated  
193 based on gait events including gait speed, stride length (normalized to height), and stance time (as  
194 a percentage of stride).

195 Torque data from MVIC exercises were filtered using a fourth order recursive  
196 Butterworth filter with a 10 Hz cut off frequency. A 500 ms moving-average window identified  
197 the maximum torque in each MVIC trial and the highest value from the two trials represented the  
198 isometric torque for a MVIC exercise.

### 199 *Statistical Analysis*

200 Principal component analyses were performed to reduce multidimensionality of gait data  
201 and identify important waveform characteristics. Procedures have been described [28,29].  
202 Briefly, separate analyses were created for each muscle group: gluteus medius, gluteus maximus,  
203 quadriceps (vastus medialis, vastus lateralis and rectus femoris), hamstrings (medial and lateral  
204 hamstrings) and tensor fascia latae. Likewise, separate PCAs were constructed for each joint  
205 angle and moment. Eigenvectors, also named principal components (*PCs*), were determined and  
206 these represent characteristics of the gait waveforms (e.g. amplitude). Eigenvalues represent the  
207 explained variance of *PCs*. Participant ensemble waveforms were scored against *PCs* to produce  
208 *PC-scores* and these describe how closely individual waveforms match the *PC*. The principal  
209 component analysis was completed using custom written programs in Matlab (2018a,  
210 Mathworks).

211 Descriptive statistics were calculated for study variables. One-way ANOVA, compared  
212 groups on demographics, gait *PC-scores*, spatiotemporal gait variables, isometric torque, and

213 clinical outcomes. For muscle groups that included more than one muscle (quadriceps,  
214 hamstrings), two-way mixed model ANOVAs compared groups and muscles. Bonferroni post  
215 hoc tests adjusted for multiple pairwise comparison and mean difference with 95% confidence  
216 intervals (CI) were reported. Cohen's d effect sizes for pairwise comparisons were computed and  
217 interpreted as small ( $d=0.20$ ), medium ( $d=0.50$ ), and large ( $d=0.80$ ) [30]. Since HOOS subscale  
218 scores were not normally distributed, the Kruskal–Wallis H test was used to compare HOOS  
219 subscales; following which Man Whitney U tests were used to test for pairwise group  
220 differences. Nonparametric effect sizes ( $r=Z/\sqrt{N}$ ) were determined for HOOS and interpreted as  
221 small ( $r=0.10$ ), medium ( $r=0.30$ ), and large ( $r=0.50$ ) [31]. SPSS (version 24, IBM) statistical  
222 software was used for all statistical analyses.

## 223 **Results**

224 Demographics are presented in Table 1. The lateral THA group was significantly ( $p=0.03$ )  
225 older than the posterior THA group. The posterior THA group was significantly ( $p<0.05$ ) taller  
226 and heavier than lateral THA and healthy groups, likely because the posterior THA group had a  
227 higher proportion of men. The mean time from the TKA procedure to data collection was 13  
228 months for both the lateral (range=11 to 18 months) and posterior (range=11 to 15 months) THA  
229 groups. Two participants from the lateral THA group and two participants from the posterior  
230 THA group had leg length discrepancies greater than 5 mm according to their medical charts.

### 231 *Muscle Activation*

232 Mean differences with 95% confidence and effect sizes are presented in Table 2 for  
233 muscle activation *PC-scores*. Interpretations of the *PCs* with explained variance (eigenvalues)  
234 and ANOVA results are provided in the Supplemental.

235           There was a significant difference in gluteus medius *PC1-scores* ( $p<0.01$ ). The lateral  
236   THA group had significantly ( $p<0.01$ ) higher *PC1-scores*, indicating higher levels of gluteus  
237   medius activation throughout gait, than the healthy group (Figure 2). This represented a large  
238   effect size ( $d=-0.97$ ). Additionally, there were significant differences in gluteus medius *PC2-*  
239   *scores* ( $p=0.01$ ). The lateral THA group had significantly ( $p=0.01$ ) higher *PC2-scores*, indicating  
240   higher gluteus medius activation during mid/terminal stance, than the healthy group (Figure 2).  
241   This represented a large effect size ( $d=-0.95$ ).

242           There were significant differences in gluteus maximus *PC2-scores* ( $p=0.02$ ). The  
243   posterior THA group had significantly ( $p=0.02$ ) higher *PC2-scores*, indicating higher gluteus  
244   maximus activation during the loading response, than the healthy group (Figure 2). This  
245   represented a large effect size ( $d=-0.94$ ).

246           There was a significant group effect for hamstring *PC2-scores* ( $p<0.01$ ), which  
247   represented the difference in hamstring activation during midstance compared to terminal swing.  
248   The posterior THA group had significantly ( $p=0.02$ ) lower *PC2-scores*, indicating greater  
249   hamstring activation during midstance, than the healthy group (Figure 2). These differences  
250   represented moderate to large effect sizes for the medial ( $d=0.45$ ) and lateral ( $d=1.31$ )  
251   hamstrings.

252           There were no other significant group differences for remaining EMG *PC-scores*. Figures  
253   for non-significant muscles are provided in the Supplemental.

254   *Joint Angles and Moments*

255 Mean differences with 95% confidence and effect sizes are presented in Table 3 for angle  
256 and moment *PC-scores*. Interpretations of the *PCs* with explained variance (eigenvalues) and  
257 ANOVA results are provided in the Supplemental.

258 For hip flexion angles, there was a significant difference in *PC2-scores* ( $p<0.01$ ). The  
259 healthy group had significantly higher *PC2-scores* with large effect sizes, indicating greater  
260 flexion angle excursions between terminal swing/loading response and pre/initial swing, than  
261 both lateral ( $p<0.01$ ,  $d=1.14$ ) and posterior ( $p<0.01$ ,  $d=0.93$ ) THA groups (Figure 3).

262 There was a significant difference in hip adduction angle *PC2-scores* ( $p=0.01$ ). The  
263 posterior THA group had significantly ( $p=0.01$ ,  $d=0.89$ ) lower *PC2-scores* with a large effect  
264 size, indicating less adduction angle excursions between midstance/pre-swing and loading  
265 response/swing, compared to the healthy group (Figure 3).

266 There were significant differences in hip medial rotation *PC2-scores* ( $p<0.01$ ). The  
267 healthy group had significantly lower *PC2-scores* with large effect sizes, indicating less medial  
268 rotation angle excursions between terminal stance/pre-swing and loading response/terminal  
269 swing, compared to lateral ( $p=0.01$ ,  $d=-0.91$ ) and posterior ( $p<0.01$ ,  $d=-1.06$ ) THA groups  
270 (Figure 3). Also, there were significant differences in hip medial rotation *PC3-score* ( $p<0.01$ ).  
271 The posterior THA group had significantly higher *PC3-scores* with moderate to large effect sizes,  
272 indicating greater medial rotation angle excursions between midstance and mid-swing, compared  
273 to lateral THA ( $p=0.04$ ,  $d=-0.77$ ) and healthy ( $p<0.01$ ,  $d=-1.20$ ) groups (Figure 3).

274 There were significant differences in pelvic obliquity angle *PC3-scores* ( $p=0.02$ ). The  
275 posterior THA group had significantly ( $p=0.02$ ,  $d=0.85$ ) lower *PC3-scores* with a large effect size  
276 compared to the healthy group. This indicated that the posterior THA group had decreased

277 excursions from ipsilateral pelvic elevation during mid/terminal stance to ipsilateral pelvic drop  
278 during swing (Figure 3).

279 There were no other significant group differences for the remaining joint angle and all  
280 external moment *PC-scores* between groups (Supplemental).

### 281 *Spatiotemporal Parameters*

282 There were no significant difference in gait speed, stride length, and stance time between  
283 the groups (Table 1).

### 284 *Isometric Torque*

285 From the MVIC exercises, there were only significant differences in isometric hip  
286 abduction and knee extension torque (Table 1). The lateral THA group had significantly ( $p=0.03$ ,  
287  $d=0.74$ ) lower isometric hip abduction torque than the healthy group which represented a  
288 moderate effect size. The posterior THA group ( $p=0.03$ ,  $d=-0.86$ ) had higher isometric knee  
289 extension torque than the healthy group which represented a large effect size.

### 290 *Clinical Measures*

291 Nonparametric tests revealed significant differences in HOOS subscales (Table 1,  
292 Supplemental). Pairwise comparisons revealed the healthy group had higher scores than the  
293 lateral THA group on HOOS physical function ( $p=0.05$ ,  $r=0.37$ ) and quality of life ( $p<0.01$ ,  
294  $r=0.62$ ) subscales, which represented moderate to large effects. The healthy group had higher  
295 scores than the posterior THA group on HOOS sports ( $p=0.03$ ,  $r=0.39$ ) and quality of life  
296 ( $p=0.03$ ,  $r=0.40$ ) subscales, which represented moderate to large effects. There were no  
297 significant HOOS differences between posterior and lateral THA groups.

298           There were significant differences in the Six-Minute Walk Test and Stair Climb Test  
299 (Table 1). Pairwise comparisons revealed the posterior THA group had greater distances on the  
300 Six-Minute Walk Test ( $p=0.02$ ,  $d=-0.97$ ) and shorter times on the Stair Climb Test ( $p=0.04$ ,  
301  $d=0.93$ ), representing better performance, than the lateral THA group. There were no significant  
302 group differences on the 30-Second Chair Stand Test.

### 303 **Discussion**

304           Few studies have compared muscle activation during gait between THA approaches.  
305 There were no differences in muscle activation between lateral and posterior THA groups. In  
306 comparison to healthy adults, the lateral THA group had higher gluteus medius activation and the  
307 posterior THA group had higher gluteus maximus and hamstring activation. Both THA groups  
308 did not demonstrate excessive pelvic drop or lateral trunk lean, which are indicators of  
309 Trendelenburg gait. THA groups had reduced hip flexion and adduction range of motion (i.e.  
310 excursion), but increased medial rotation range of motion, during gait compared to the healthy  
311 group. Therefore, abnormalities in muscle activation and hip angles remain 1 year post-THA,  
312 although there are few differences between posterior and lateral approaches.

313           Higher and prolonged gluteus medius activation was hypothesized in the lateral THA  
314 group, although the only statistically significant difference was in comparison to the healthy  
315 group. Likewise, previous studies demonstrated that patients that underwent lateral approach  
316 THA had elevated and prolonged gluteus medius activation during gait compared to healthy  
317 adults [14]. Higher gluteus medius activation in the lateral THA group is likely a compensation  
318 for muscle weakness, which was demonstrated by significantly lower isometric hip abduction  
319 torques. Despite these findings, the lateral THA group was able to control frontal plane pelvic  
320 obliquity and there were no signs of Trendelenburg gait (pelvic drop) or compensations for

321 Trendelenburg gait (increased lateral trunk lean). The posterior THA group had higher gluteus  
322 maximus activation during loading response and elevated hamstring activation during midstance  
323 compared to the healthy group. This latter finding is supported by a previous study [15]. This is  
324 also likely a compensation for muscle weakness. The ANOVA demonstrated no statistically  
325 significant difference in isometric hip extension torque; however, the posterior THA group had  
326 lower values compared to the healthy group which represented a moderate effect ( $d=0.47$ ). The  
327 posterior THA group also had higher isometric knee extension torque, which was likely due to a  
328 higher proportion of men. In summary, deficits in hip abductor and hip extensor muscles were  
329 present long-term in participants that had lateral or posterior THA approaches respectively. Long-  
330 term rehabilitation interventions, such as strengthening and functional exercises, should address  
331 these deficits.

332         The majority of joint angle differences were between THA and healthy groups, with few  
333 differences between posterior and lateral THA groups. Lower hip flexion and adduction angle  
334 excursions during gait in the THA groups is consistent with previous studies and is partly due to  
335 long standing joint restrictions from hip osteoarthritis [32,33]. Interestingly, medial rotation angle  
336 excursions were greater in the THA groups, especially the posterior THA group, which is  
337 supported and refuted by previous studies [34,35]. This might be a result of altered muscle  
338 activation of the deep rotators, which were not measured. In regards to pelvic obliquity, posterior  
339 THA group had lower range of motion during gait compared to the healthy group and there were  
340 no differences between approaches. Previous studies have found no differences in pelvic  
341 obliquity 1 year post-THA compared to healthy adults [32] and no differences between lateral  
342 and posterior THA approaches [5]. Finally, there were no group differences in the hip abduction  
343 moment, which is consistent with previous studies [9]. This moment provides an indication of the  
344 net muscular contributions of hip adductor and abductor muscles during gait. Along with the

345 other kinematic and kinetic data, these findings indicate there is no evidence of Trendelenburg  
346 gait and hip abductors are providing sufficient force to control the pelvis in both THA groups.

347 For the HOOS, there were no significant differences between lateral and posterior THA  
348 groups. This is supported by a recent study that also compared these approaches 1 year post-THA  
349 [36]. However, the posterior THA group had better performance on the Six-Minute Walk Test  
350 and Stair Climb Test compared to the lateral THA group. A higher proportion of men in the  
351 posterior THA group likely explains this finding. As support, previous research demonstrates that  
352 men have better scores than women on similar clinical outcomes in both healthy populations and  
353 patients with hip osteoarthritis [37-39].

354 A study limitation is that pre-operative data were not available. Group differences could  
355 be partly due pre-operative disparities. Secondly, sex, age, weight, and height varied between  
356 groups, and these difference could impact gait [40]. Information about center of rotation  
357 restoration, offset, and component alignment were not available in the medical charts, and  
358 potential differences between THA groups could affect gait. Isometric muscle strength was  
359 measured in order to normalize EMG. A further long-term comparison of concentric and  
360 eccentric hip strength between THA approaches is required. Rehabilitation programs after the  
361 acute stages of recovery were not standardized and were not recorded. Finally, results cannot be  
362 generalized to other THA surgical approaches (e.g. direct anterior).

### 363 **Conclusions**

364 In conclusion, there were few differences in muscle activation and joint mechanics during  
365 gait between participants that had either lateral or posterior approaches for THA 1 year post-  
366 surgery. However, there were differences between both THA groups and healthy adults. The  
367 lateral THA group had elevated and prolonged gluteus medius activation during gait and  
368 isometric hip abduction weakness. Despite these findings, there was no evidence of excessive



369 pelvic drop during gait. The posterior THA group had elevated and prolonged hip extensor  
370 activation during gait, which was likely a compensation for muscle weakness. Long-term  
371 strengthening and rehabilitation are required to address these muscular deficits.

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518 Table 1: Mean (standard deviation) values for demographic, spatiotemporal gait variables, and  
519 isometric torque values. Frequency is provided for sex.

Variable	Healthy (n=21)	Lateral THA (n=21)	Posterior THA (n=21)	p value*
Age (years)	63 (8)	68 (7)	62 (7)	0.05
Mass (kg)	71.95 (12.37)	73.72 (13.26)	82.97 (15.49)	0.03
Height (m)	1.65 (0.07)	1.66 (0.10)	1.72 (0.06)	0.01

Body mass index (kg/m <sup>2</sup> )	26.54 (4.82)	26.64 (3.16)	27.80 (4.54)	0.57
Sex (frequency)	15 women	11 women	6 women	-
	6 men	10 men	15 men	
Gait speed (m/s)	1.26 (0.14)	1.20 (0.18)	1.24 (0.19)	0.45
Gait stride length†	0.77 (0.08)	0.74 (0.07)	0.74 (0.07)	0.24
Gait stance time (% stride)	60.82 (1.31)	61.36 (1.78)	60.80 (1.30)	0.39
Hip abduction torque (Nm/kg)‡	1.25 (0.36)	1.00 (0.30)	1.13 (0.21)	0.04
Hip extension torque (Nm/kg)‡	1.26 (0.38)	1.17 (0.29)	1.12 (0.24)	0.31
Hip flexion torque (Nm/kg)‡	1.16 (0.26)	1.10 (0.21)	1.20 (0.25)	0.36
Knee extension torque (Nm/kg)‡	0.99 (0.27)	1.13 (0.27)	1.20 (0.23)	0.03
Knee flexion torque (Nm/kg)‡	0.71 (0.21)	0.61 (0.23)	0.75 (0.17)	0.06
HOOS-pain (/100)	98 (5)	92 (9)	96 (6)	0.07
HOOS-symptoms (/100)	95 (8)	92 (9)	92 (9)	0.35
HOOS-physical function (/100)	98 (4)	94 (7)	97 (5)	0.05
HOOS-sports (/100)	97 (9)	90 (13)	90 (11)	0.03
HOOS-quality of life (/100)	97 (6)	83 (16)	89 (14)	<0.01
Six-Minute Walk Test (m)	542.32 (90.71)	510.84 (64.19)	574.51 (67.66)	0.03
30-Second Chair Stand Test (reps)	16 (5)	15 (4)	18 (5)	0.09
Stair Climb Test (s)	8.94 (3.64)	10.36 (2.83)	8.03 (2.15)	0.04

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THA=total hip arthroplasty; HOOS=Hip Disability and Osteoarthritis Outcome Score.  
 \*p value from one-way analysis of variance or Kruskal-Wallis H Test (HOOS subscales).  
 †Stride length was normalized to height and has no units.  
 ‡Isometric torque was not available for all exercises for one participant from the healthy group.  
 One participant from the lateral THA group did not complete isometric hip abduction.

Table 2: Pairwise comparison for muscle activation principal component scores.

Muscle	PC	Healthy-Lateral THA		Healthy-Posterior THA		Lateral THA-Posterior THA	
		Mean difference (95% CI)	ES	Mean difference (95% CI)	ES	Mean difference (95% CI)	ES
Gluteus	1	-118.96	-0.97	-61.28	-0.63	57.67	0.50

medius		(-207.00, -30.92)		(-149.33, 26.76)		(-28.14, 143.49)	
	2	-35.18 (-63.81, -6.56)	-0.95	-25.11 (-53.74, 3.52)	-0.70	10.07 (-17.83, 37.98)	0.27
	3	-6.87 (-32.21, 18.46)	-0.19	-11.40 (-36.74, 13.93)	-0.40	-4.53 (-29.23, 20.16)	-0.14
Gluteus maximus	1	-51.14 (-128.73, 26.44)	-0.60	-63.26 (-140.84, 14.32)	-0.58	-12.12 (-88.75, 64.51)	-0.11
	2	-8.56 (-37.00, 19.87)	-0.21	-31.76 (-60.19, -3.32)	-0.94	-23.19 (-51.28, 4.90)	-0.63
	3	-13.31 (-34.47, 7.85)	-0.49	-14.23 (-35.39, 6.93)	-0.58	-0.92 (-21.82, 19.98)	-0.03
Tensor fascia latae	1	-24.01 (-71.62, 23.60)	-0.37	-4.12 (-51.73, 43.49)	-0.07	19.89 (-27.72, 67.50)	0.30
	2	-6.67 (-28.20, 14.86)	-0.21	2.88 (-18.65, 24.41)	0.12	9.55 (-11.98, 31.08)	0.34
	3	-10.49 (-22.58, 1.60)	-0.58	-1.86 (-13.95, 10.23)	-0.14	8.63 (-3.46, 20.72)	0.53
Vastus lateralis	1	-0.90 (-56.57, 54.76)	-0.01	33.39 (-22.96, 89.75)	0.37	34.30 (-22.06, 90.65)	0.42
	2	-3.88 (-21.11, 13.36)	-0.14	2.98 (-14.47, 20.43)	0.10	6.86 (-10.59, 24.30)	0.25
	3	4.45 (-6.74, 15.65)	0.23	-3.01 (-14.34, 8.33)	-0.19	-7.46 (-18.80, 3.87)	-0.40
Vastus medialis	1	5.08 (-60.30, 70.46)	0.04	37.66 (-28.54, 103.85)	0.33	32.58 (-33.61, 98.77)	0.39
	2	-9.25 (-38.66, 20.16)	-0.17	-9.11 (-38.88, 20.67)	-0.18	0.14 (-29.63, 29.92)	0.00
	3	4.85 (-24.67, 34.38)	0.02	-10.72 (-40.67, 19.24)	-0.24	-15.57 (-46.25, 15.1)	-0.50
Rectus femoris	1	18.28 (-14.67, 51.23)	0.31	40.17 (6.81, 73.53)	0.74	21.89 (-11.47, 55.25)	0.47
	2	5.04 (-6.54, 16.62)	0.29	7.00 (-4.73, 18.72)	0.36	1.96 (-9.77, 13.68)	0.10
	3	6.80 (-1.78, 15.38)	0.30	6.41 (-2.29, 15.12)	0.42	-0.38 (-9.3, 8.53)	0.03
Lateral hamstrings	1	-24.73 (-60.32, 10.86)	-0.43	-18.74 (-54.32, 16.85)	-0.36	6.00 (-29.59, 41.58)	0.10
	2	10.27 (-6.02, 26.55)	0.40	29.15 (12.86, 45.43)	1.31	18.88 (2.60, 35.17)	0.61
	3	0.13 (-12.29, 12.55)	0.01	0.62 (-11.80, 13.04)	0.03	0.49 (-11.93, 12.91)	0.02
Medial hamstrings	1	-22.85 (-52.65, 6.95)	-0.44	-2.02 (-31.82, 27.78)	-0.05	20.83 (-8.97, 50.63)	0.41
	2	-0.36 (-19.10, 18.39)	-0.01	11.87 (-6.87, 30.62)	0.45	12.23 (-6.51, 30.98)	0.37
	3	-10.36 (-22.08, 1.36)	-0.55	-3.85 (-15.57, 7.86)	-0.20	6.51 (-5.21, 18.22)	0.34

530 Note: PC, principal component; CI, confidence interval; THA, total hip arthroplasty; ES, effect  
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533 Table 3: Pairwise comparison for angle and moment principal component scores.

Angle/	PC	Healthy-	Healthy-	Lateral THA-
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Moment		Lateral THA		Posterior THA		Posterior THA	
		Mean difference (95% CI)	ES	Mean difference (95% CI)	ES	Mean difference (95% CI)	ES
Hip flexion angle	1	-12.60 (-68.51, 43.30)	-0.17	-42.03 (-97.94, 13.87)	-0.56	-29.43 (-85.33, 26.48)	-0.41
	2	18.39 (5.43, 31.35)	1.14	16.58 (3.62, 29.54)	0.93	-1.81 (-14.77, 11.15)	-0.11
	3	-1.77 (-9.40, 5.86)	-0.16	4.02 (-3.61, 11.65)	0.41	5.79 (-1.83, 13.42)	0.64
Hip adduction angle	1	-3.68 (-21.92, 14.55)	-0.15	2.04 (-16.20, 20.27)	0.09	5.72 (-12.51, 23.95)	0.24
	2	4.88 (-4.25, 14.01)	0.38	11.27 (2.14, 20.40)	0.89	6.39 (-2.73, 15.52)	0.62
	3	5.34 (-0.59, 11.27)	0.72	4.04 (-1.89, 9.96)	0.49	-1.31 (-7.23, 4.62)	-0.17
Hip medial rotation angle	1	12.33 (-39.81, 64.48)	0.17	-3.52 (-55.67, 48.63)	-0.05	-15.85 (-68.00, 36.30)	-0.23
	2	-15.42 (-28.18, -2.67)	-0.91	-17.87 (-30.63, -5.11)	-1.06	-2.44 (-15.20, 10.32)	-0.15
	3	-6.19 (-16.47, 4.09)	-0.49	-16.86 (-27.14, -6.58)	-1.20	-10.67 (-20.95, -0.39)	-0.77
Pelvic obliquity angle	1	8.02 (-4.86, 20.89)	0.44	0.79 (-12.09, 13.66)	0.04	-7.23 (-20.11, 5.64)	-0.49
	2	4.73 (-0.99, 10.45)	0.59	3.66 (-2.06, 9.38)	0.54	-1.08 (-6.80, 4.65)	-0.14
	3	3.75 (-1.12, 8.63)	0.57	5.63 (0.75, 10.50)	0.85	1.87 (-3.01, 6.75)	0.31
Lateral trunk lean angle	1	-10.84 (-23.86, 2.18)	-0.65	-11.29 (-24.31, 1.73)	-0.66	-0.45 (-13.47, 12.57)	-0.03
	2	-3.07 (-6.93, 0.80)	-0.55	-1.43 (-5.30, 2.43)	-0.28	1.63 (-2.23, 5.50)	0.36
	3	-1.04 (-4.08, 1.99)	-0.28	-0.56 (-3.60, 2.47)	-0.14	0.48 (-2.56, 3.51)	0.12
Hip extension moment	1	0.20 (-0.62, 1.03)	0.22	0.31 (-0.52, 1.13)	0.27	0.10 (-0.72, 0.93)	0.09
	2	-0.04 (-0.56, 0.49)	-0.06	-0.09 (-0.61, 0.43)	-0.13	-0.06 (-0.58, 0.47)	-0.07
	3	-0.01 (-0.26, 0.24)	-0.03	0.01 (-0.24, 0.26)	0.03	0.02 (-0.23, 0.27)	0.06
Hip abduction moment	1	-0.09 (-0.74, 0.55)	-0.11	-0.14 (-0.79, 0.51)	-0.15	-0.05 (-0.70, 0.60)	-0.06
	2	0.31 (-0.06, 0.68)	0.65	0.15 (-0.22, 0.52)	0.32	-0.16 (-0.53, 0.21)	-0.31
	3	-0.06 (-0.34, 0.23)	0.72	0.07 (-0.22, 0.35)	0.49	0.12 (-0.16, 0.41)	-0.17
Hip medial rotation moment	1	-0.07 (-0.28, 0.15)	-0.21	-0.14 (-0.35, 0.07)	-0.57	-0.07 (-0.29, 0.14)	-0.27
	2	0.06 (-0.07, 0.18)	0.39	0.02 (-0.10, 0.15)	0.14	-0.04 (-0.16, 0.09)	-0.21
	3	-0.01 (-0.07, 0.06)	-0.09	-0.01 (-0.07, 0.05)	-0.13	-0.00 (-0.07, 0.06)	-0.05

534 Note: PC, principal component; CI, confidence interval; THA, total hip arthroplasty; ES, effect  
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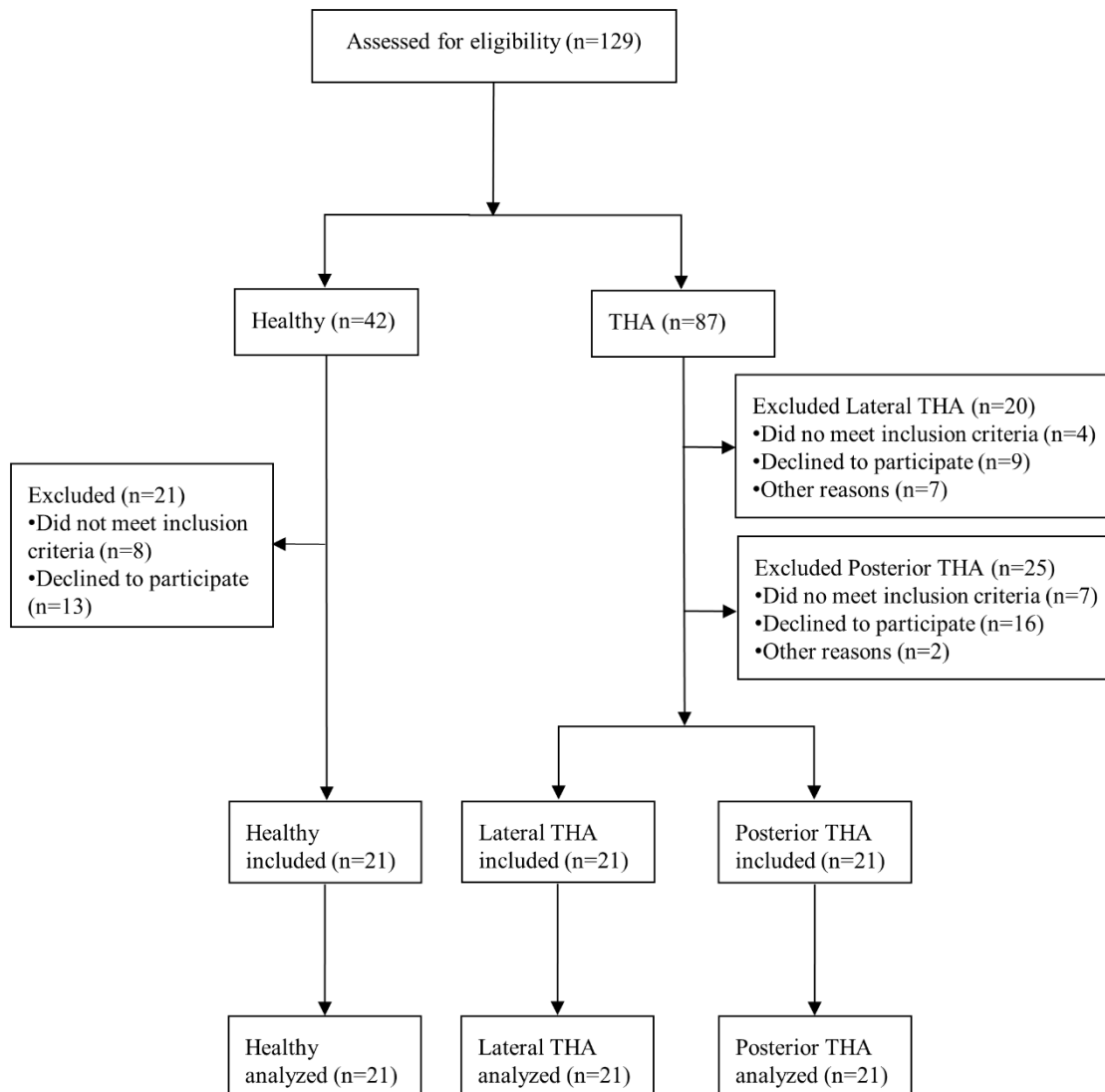
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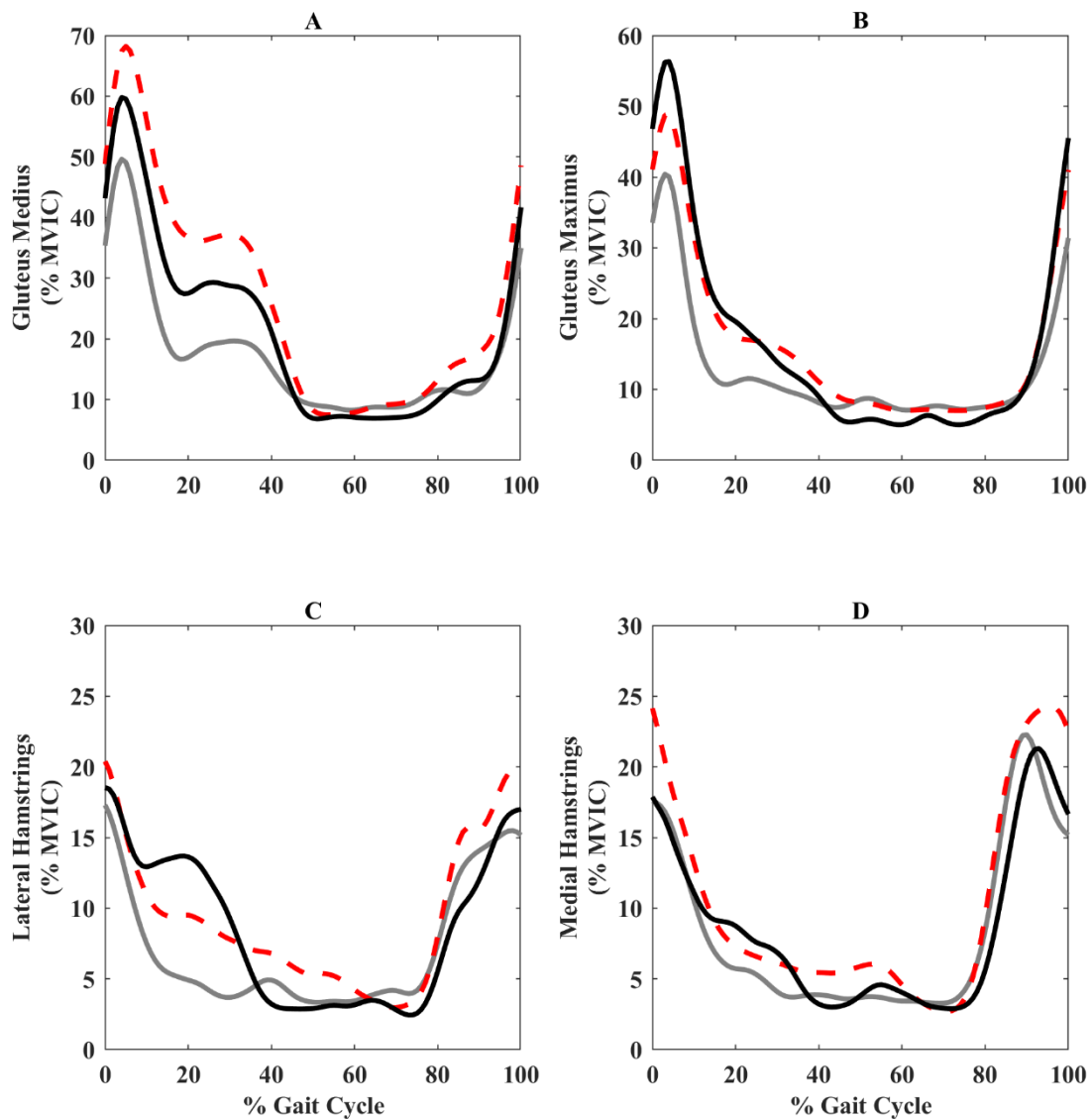
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560 Figure 1: Flow diagram of steps involved in the screening and enrollment of the healthy and total  
561 hip arthroplasty (THA) groups.

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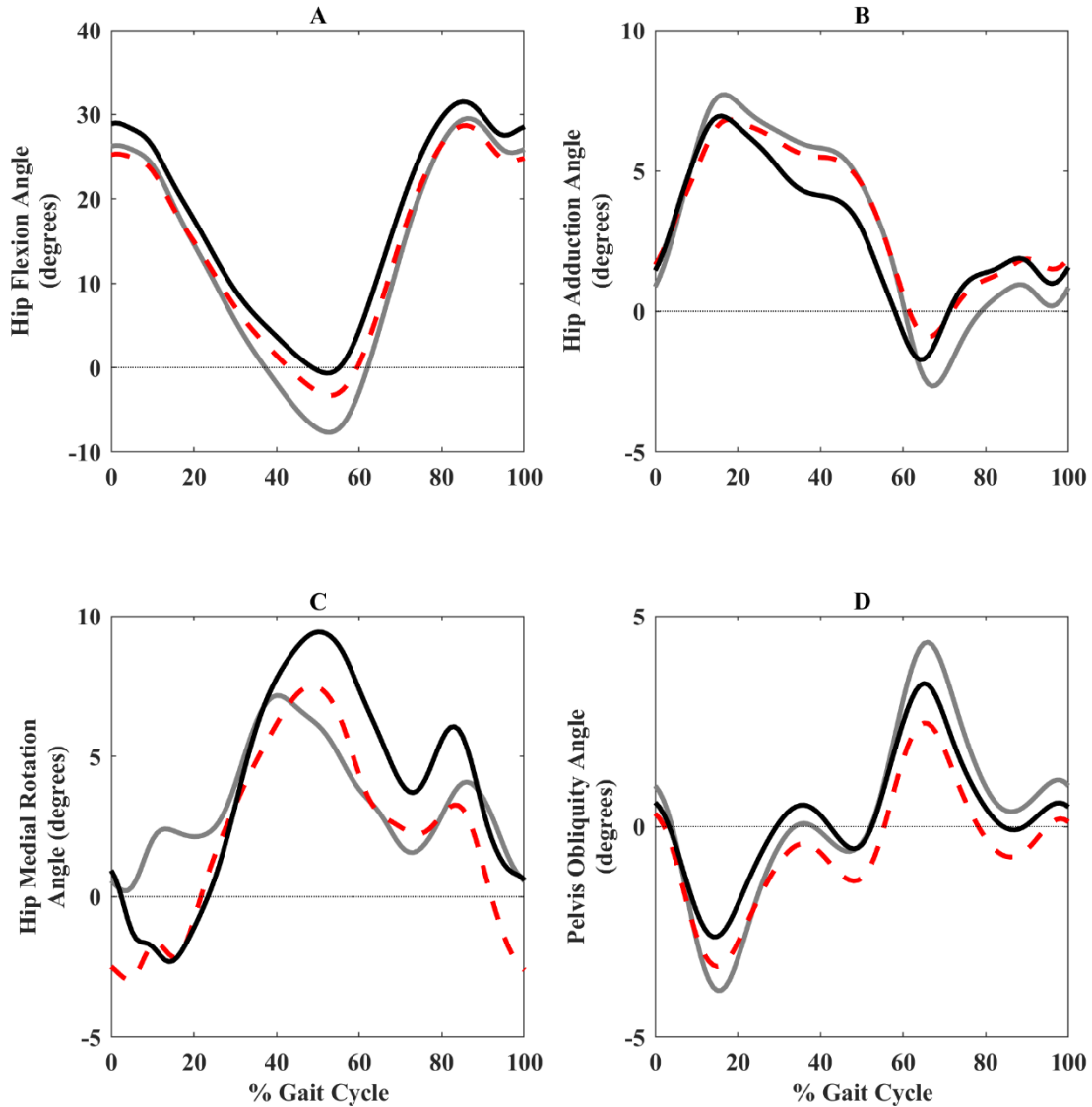
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569 Figure 2. Muscle activation during gait. Gluteus medius (A), gluteus maximus (B), lateral  
570 hamstring (C), and medial hamstring (D) electromyography, normalized to maximum voluntary  
571 isometric contraction (MVIC), for the lateral THA (red, dashed lines), posterior THA (black,  
572 solid lines), and healthy (grey, solid lines) groups.

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577 Figure 3. Hip and pelvis angles during gait. Hip flexion (A), hip adduction (B), hip medial  
578 rotation (C), and pelvic obliquity (D) angles for the lateral THA (red, dashed lines), posterior  
579 THA (black, solid lines), and healthy (grey, solid lines) groups. Positive values are represented  
580 by hip flexion, hip adduction, hip medial rotation, and ipsilateral pelvic drop.

