

1 **Corrugated megathrust revealed offshore Costa Rica**

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16 **Exhumed faults are rough, often exhibiting topographic corrugations oriented in the**

17 **direction of slip; such features are fundamental to mechanical processes that drive**

18 **earthquakes and fault evolution. However, our understanding of corrugation**

19 **genesis remains limited due to a lack of *in situ* observations at depth, especially at**

20 **subducting plate boundaries. Here we present 3D seismic reflection data of the**

21 **Costa Rica subduction zone that image a shallow megathrust fault characterized by**

22 **(1) corrugated and (2) chaotic and weakly corrugated topographies. The corrugated**

23 **surfaces extend from near the trench to several km down dip, exhibit high reflection**

amplitudes (consistent with high fluid content/pressure) and trend 11–18° oblique to subduction, suggesting 17 – 27 mm/yr of trench-parallel slip partitioning across the plate boundary. The corrugations form along portions of the megathrust with greater cumulative slip and may act as fluid conduits. In contrast, weakly corrugated areas occur adjacent to active plate bending faults where the megathrust has migrated up-section, forming a nascent fault surface. The variations in megathrust roughness imaged here suggest that abandonment and then reestablishment of the megathrust up-section transiently increases fault roughness. Analogous corrugations may exist along significant portions of subduction megathrusts globally.

Faults at field and laboratory scales are observed to be non-planar, or rough, and at earthquake scales (kilometers), are inferred to be irregular and heterogenous^{1–4}. Exhumed fault surfaces commonly display corrugations or striations parallel to the slip direction^{5,6} that are observed across a broad range of spatial scales^{7,8} (μm to km), mechanical media, and geologic environments^{9–12}. For example, slip corrugations are observed along the interfaces between fast-flowing ice streams and underlying sediments^{11,13}. The mechanical processes proposed for corrugation formation are diverse, including: asperity ploughing and abrasion, debris streaking, and fracture/fault branching and linkage, among others^{14–16}. Despite a general recognition that corrugations play a fundamental role in the behavior of faults, to our knowledge, well-defined corrugations have not been observed *in situ* at seismogenic depths along a fault surface, including across an interface of subducting tectonic plates.

The recent 2011 M_w 9 Tohoku-Oki earthquake demonstrated that coseismic slip can propagate all the way to the trench, and that maximum slip can occur along the shallowest portions of the megathrust¹⁷. Subsequent work has shown that ruptures propagate farther along smoother faults due to smaller stress heterogeneity¹⁸ and fewer adjacent fracture networks available for off-fault slip¹⁹. Thus, greater fault roughness is thought to inhibit rupture propagation and has been inferred to do so offshore Costa Rica, where a zone of seamounts, plateaus and ridges are subducting, and the earthquake record lacks evidence for historic shallow coseismic slip^{20,21,19}. We map with unprecedented spatial resolution the shallow 3D megathrust offshore Costa Rica and demonstrate *in situ* heterogeneity in the fault structure.

Megathrust morphology from 3D seismic reflection data

Here we utilize a 2011 3D depth-migrated seismic reflection volume offshore the Osa Peninsula of southern Costa Rica^{22,23}, along the northwest portion of the Cocos Ridge, where the Cocos Plate dives below the Caribbean Plate. The 3D volume images the megathrust at 12.5 x 18.75 m horizontal resolution (binning size) and ~5 – 15 m shallow vertical resolution²⁴. Within the depth-migrated volume we mapped the megathrust (Figures 1-2) utilizing post stack processing, filtering²⁵ and amplitude-driven tracking techniques²⁶ commonly used in oil and gas exploration. The megathrust was differentiated by both its polarity and structural position, namely that it either separates landward-dipping reflections from underlying subhorizontal reflections or that it cuts across and through landward-dipping reflections (Figure 2b-e). We corroborate this interpreter-driven result with independently derived volumetric attributes, such as apparent dip²⁷ and curvature²⁸, that extract subtle geometric variations of features from

trace to trace to better constrain the detailed megathrust morphology (Figure 3). The resulting surface is the best-resolved 3D perspective of any shallow megathrust to date. It reveals a plate interface with remarkable detail and contrasts, varying from 1) smooth and well-developed to 2) rough and weakly-developed (Figures 1-3). Furthermore, the smooth and well-developed portions are corrugated, with corrugations that are meters to tens of meters high, extend kilometers along their long axes (length) and hundreds of meters across their short axes (width). The corrugated portions also exhibit high reflector amplitudes and reversed polarity relative to the seafloor (Figure 1-4).

The corrugations are observed within hundreds of meters (>200-600 m) from the up-dip extent of the megathrust and can be seen extending down-dip >5 km to plate bending faults (~1.4 km below seafloor; Figures 2-3). At these shallow depths, corrugation distribution is heterogenous with the shallow central and eastern portions of the megathrust having a relatively chaotic morphology that lacks well-defined corrugations (Figures 1-3). These shallow chaotic portions generally coincide with places where the megathrust has propagated up section (relative to its original position) through tilted, fractured and consolidated strata of the frontal prism^{29,30}, capturing upper plate material and transferring it to the subducting plate (frontal prism erosion; Figure 2b, d and e). These newly propagated portions of the megathrust spatially coincide with large-offset (~>200 m) plate bending faults, either propagating down dip for landward-dipping faults or up dip for seaward-dipping faults (Figure 2). Several local plate bending faults seem to be propagating into the overlying frontal prism, possibly due to delayed initial plate bending that is landward of the trench rather than seaward of the trench. In contrast, normal faults are typically first observed at the outer rise, i.e., outer trench wall, along

other Pacific convergent margins³¹ (Figure 2). Newly propagated portions of the megathrust generally form proximal to plate bending faults with offsets $\sim >200$ m, although an exception is within the most SE portion, where trench-parallel offsets are <200 m, even down to <100 m. This exception could be due to lateral propagation (along strike) of the new megathrust from the central area. Regardless, because these newer portions of the megathrust have accommodated small amounts of slip, they have not developed a well-defined surface, resulting in lower amplitude and relatively chaotic seismic reflections (Figure 2). These portions lack well-defined corrugations (Figures 1-3).

Scale of corrugations

We extracted corrugation widths and heights across the megathrust horizon. The corrugations have a median width and height of 160 m and 7 m, with a range of 113 – 729 m and 2.7 – 53 m (Figure 4). The corrugations are at a similar scale to structures along other large-scale displacement interfaces, including intermediate-scale corrugations along onshore and offshore low-angle detachments faults^{9,10,32,33} and mega-scale glacial lineations¹¹. A best fit linear trend to the data gives a height/width aspect ratio of 0.08. This value of 0.08 is slightly larger than observed for terrestrial fault exposures¹⁶, although it may be biased high because of detectability limitations³⁴. Heights less than the theoretical vertical resolution of ~ 5 m are observable due to the 3D nature of the data. In this case, the corrugations generally extend hundreds of meters to kilometers, extending beyond the Fresnel zone (horizontal resolution), making heights <5 m detectable. Figure 4 reports heights as low as 2.7 m. These values indicate that significant height

corrugations exist that could be important for producing seismic waves, channeling fluids and controlling tremor locations as inferred from previous studies of exhumed faults^{8,16,35}.

Corrugation genesis

We observe several consistencies with outcrop fault corrugations⁵⁻⁸. The corrugations are not imaged along underthrusting, undeformed strata or in the overlying frontal prism (Figure 2b-e). The corrugations do not coincide with truncations and/or offset of reflections below or above the megathrust (i.e., are not coincident with trench perpendicular faulting; Figure 2b-e). Furthermore, they are oriented $\sim 11 - 18^\circ$ clockwise from plate motion vectors^{36,37}, making them more orthogonal to the trench and more closely aligned with regional earthquake slip vectors³⁸. Based on these observations, and in conjunction with their continuity, distribution and scale, we interpret the corrugations to be non-penetrative slip lineations that form due to slip along the plate interface.

What slip processes drive their formation is less clear. We have imaged discrete features (we call knobs in Figures 1-3) that are at a similar scale as most of our observed corrugations (Figure 4). These knobs could act as asperities that groove or furrow adjacent rock, analogous to groove-ploughing theories^{14,15}; however, they lack detectable corrugations in their wake (Figure 2). Alternatively, could processes thought to control meter-scale roughness, such as anastomosing and linking slip surfaces that form lenses¹⁶, scale up to these hundreds of meters wide corrugations? Detailed 3D imaging of *in situ* corrugations observed here extend those observed at outcrop scales and those observed along other mechanical media and provide a new dataset for future quantitative investigations.

Implications for forearc translation

Previous work has shown compelling evidence for strain partitioning along the Costa Rica margin^{38,39}, resulting in a forearc that is being translated predominantly northwestward (trench parallel). Using the orientation of two prominent troughs from the NW and SE megathrust as slip directions, and MORVEL plate velocities^{36,37}, we constrain the rate of northwestward translation offshore Osa to $\sim 17 - 27$ mm/yr (Figure 3d). These rates are higher than previous rates of $11 - 17$ mm/yr from Costa Rica to Guatemala^{37,38}. We also observe a relatively continuous counter-clockwise rotation of slip, $\sim 7^\circ$, from the southeastern to northwestern portion (away from the Cocos Ridge), ~ 11 km along strike (Figures 2-3). The counter-clockwise rotation fits the regional trend of rotation of slip away from the Cocos Ridge, as seen in slope seamount scars and GPS derived velocity fields³⁹. Our observed counter-clockwise rotation of slip and lower trench parallel rates away from the Cocos Ridge support the model of the Cocos Ridge acting as a rigid indenter that drives tectonic escape and trench parallel motion^{37,39}, even in areas where convergence is nearly orthogonal (southern Costa Rica).

Implications for earthquakes

These new observations demonstrate several important processes. They show that the megathrust is smoothed as it accumulates slip (i.e., matures), aligning with results seen in outcrop⁴⁰, and that slip develops corrugations at similar scales to corrugations seen along exhumed faults in other environments^{9,10,32,33}. The well corrugated portions produce notably higher amplitude negative polarity reflections, which have been linked to higher fluid content in these environments²³. Furthermore, within these fluid-rich corrugated portions, we observe streaks of low amplitudes (Figure 3c), which correspond to troughs of larger individual corrugations (e.g., Troughs NW and SE; Figure 2b and 2c).

160 These observations, coupled with findings from offshore Nicoya³⁰, suggest that as fluids
161 ascend to, or move along, the nonplanar and corrugated megathrust, they are bounded by
162 its low cross-fault permeability and thus migrate from local lows (troughs) to local highs
163 (ridges). This could facilitate linear zones of varying pore-fluid pressures from troughs to
164 ridges, which have been appealed to at greater depths in prior work related to slip-parallel
165 streaking of tremor³⁵.

166 It is not clear whether historical earthquakes offshore Costa Rica have slipped to
167 the trench (e.g., 1983 Osa Earthquake $M_w=7.4$ ⁴¹). However, well recorded earthquakes,
168 like the 2012 Nicoya Earthquake $M_w=7.6$ or the 2002 Osa Earthquake $M_w=6.4$ (nucleated
169 only at ~6 km depth and ~25 km from the trench), do not seem to have done so^{20,42}. Our
170 data show that the shallow, smooth and corrugated portions of the megathrust are
171 bordered by younger and rougher generations of the megathrust cutting through the base
172 of the overlying plate. If rougher and/or immature faults inhibit rupture propagation^{18,19},
173 our data may show why deeper coseismic slip offshore Costa Rica does not propagate to
174 the trench and why earthquakes there seem to have multiple rupture patches¹⁹.
175 Furthermore, because continued plate bending faulting with subduction is seen at other
176 convergent margins⁴³, our results provide a means to assess the tendency for shallow
177 coseismic slip elsewhere.

178 Novel technology and workflows^{25–28} applied to a 3D pre-stack depth-migrated
179 volume of a subduction zone have made it possible to document *in situ* corrugations
180 along a megathrust within an active subduction zone for the first time. These findings
181 also have important implications for the net exchange of materials under the frontal prism
182 and more broadly the exchange of material at a margin thought to be erosive. Finally,

because corrugations are observed across the entire width of the 3D volume, we speculate that analogous corrugations exist along portions of subduction megathrusts globally. The previous hypotheses proposing that corrugations control slip and fluid behavior on the plate interface appear to be well-founded^{35,44}.

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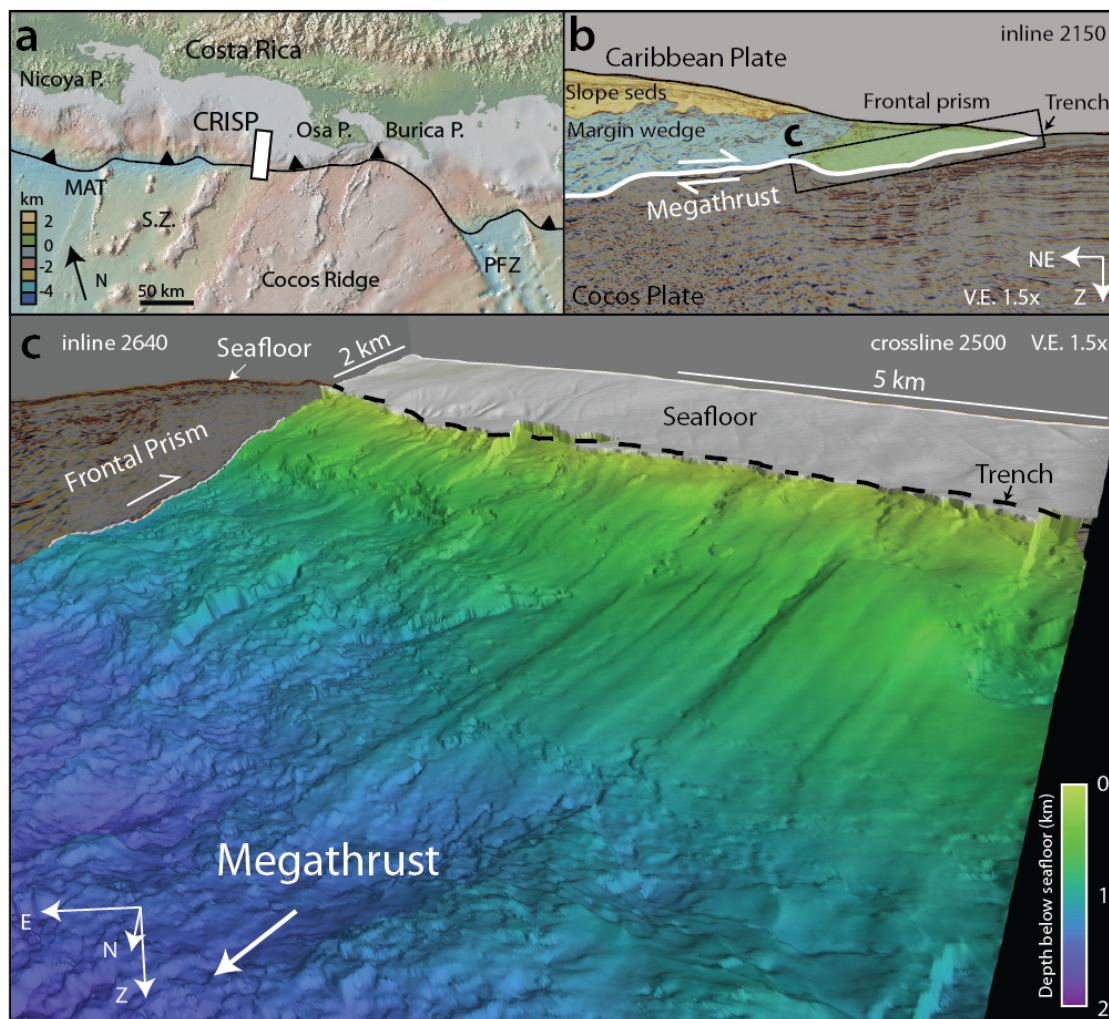
Author contributions

J.W.K., E.A.S. and N.L.B. obtained financial support for the marine seismic reflection program and collected and processed the seismic data. J.H.E. applied post processing, performed amplitude-driven tracking and extracted geometric attributes along the shallow megathrust. E.E.B. called attention to the corrugations. D.S.B. and J.D.K. furthered analysis of the corrugations. R.W. and K.O. extracted the scale of the corrugations. J.H.E. wrote the manuscript with contributions from all other authors.

Competing financial interests

The authors declare no competing financial interests.

314 **Figure Captions**



315
 316 **Figure 1 | Tectonic setting, seismic reflection profile and upslope perspective view of**
 317 **the megathrust. a**, Topographic shaded relief map of the Costa Rica margin (from
 318 Global Multi-Resolution Topography (GMRT) synthesis within GeoMapApp⁴⁵). The
 319 Middle American Trench (MAT) is shown with a black line and black triangles on the
 320 upper plate. 2011 The coverage of the 3D seismic reflection volume (CRISP) is shown
 321 with a white rectangle. **b**, Inline 2150 from the CRISP volume is showing the trench,
 322 frontal prism (green) and outer wedge with interpreted sections of slope sediments
 323 (yellow) and margin wedge (blue). **c**, Perspective view of the shallow megathrust looking

324 seaward toward the trench and the frontal prism has been cut away. Inline 2640 (frontal
325 prism) is shown for reference. Color scale is kilometers (km) below seafloor and grey
326 denotes the seafloor. V.E. is vertical exaggeration.
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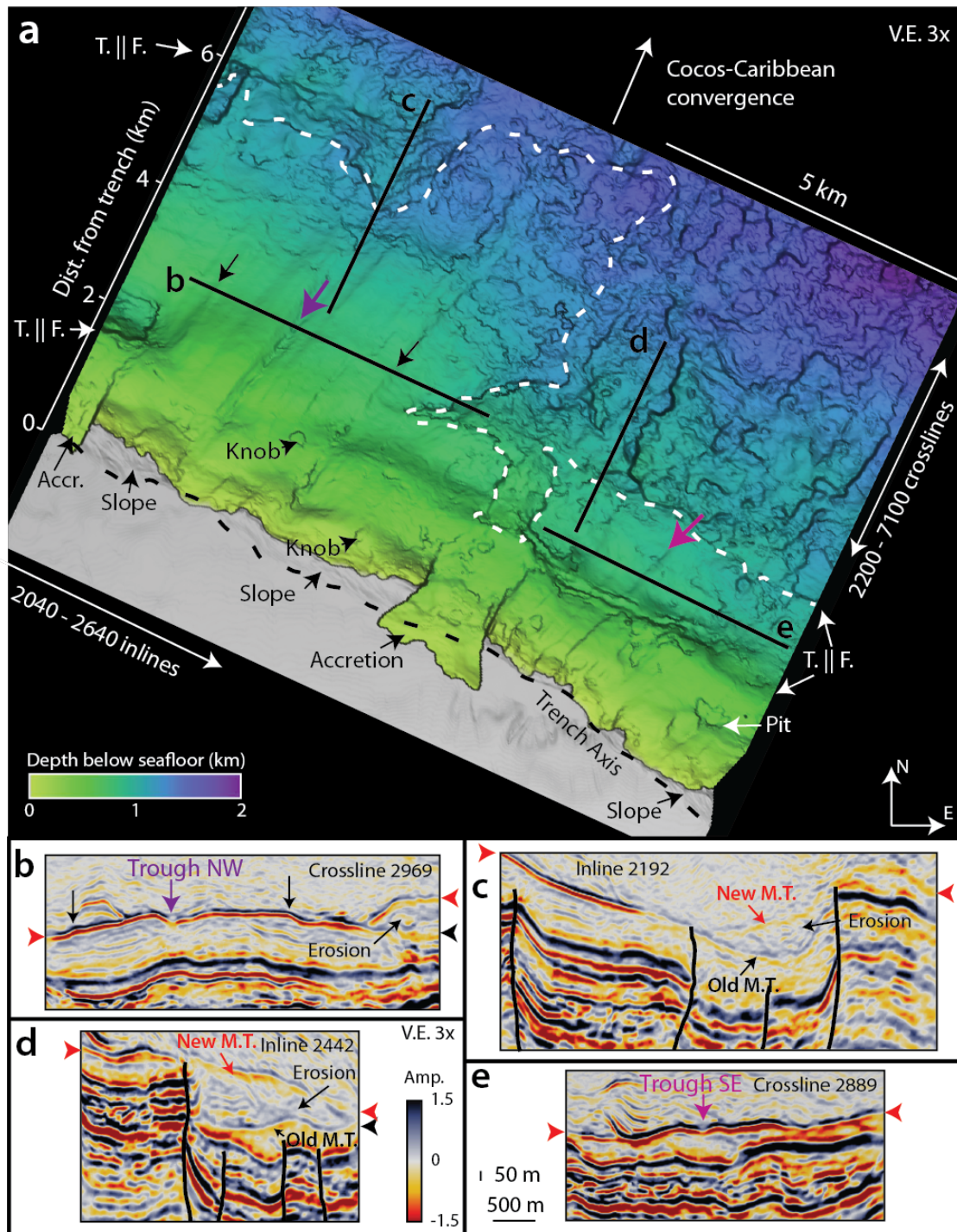


Figure 2 | Map view of depth below seafloor and seismic reflection images of shallow megathrust. **a**, Map view of shallow megathrust with depth below seafloor (km) overlain in greens (thinner) to blues (thicker). Trench is shown with dashed black line.

Approximate boundary from corrugated to weakly corrugated is shown with dashed white line. Black solid lines denote locations of inlines and crosslines shown in b-e. Inline numbers increase from left to right and crossline numbers increase from bottom to top. Black arrows denote prominent ridges in map view and in b. Purple arrow is prominent trough labeled Trough NW in map view and in b. Pink arrow is Trough SE in map view and in c. T||F is trench parallel faults. **b**, Crossline 2969 showing depth section of corrugated megathrust with prominent troughs (including Trough NW) and ridges. Note low amplitude reflection at center of Trough NW. **c-d**, Inlines 2192 and 2442 showing depth sections of down dip portion of corrugations where the megathrust steps up section in relation to large offset trench parallel faults (shown with white dashed lines). **e**, Crossline 2889 showing depth section of corrugations within the southeast portion, including Trough SE. Amplitude reflection color scale and vertical and horizontal scale is shown between panels d and e. M.T. is megathrust. Red arrowheads denote active megathrust and black arrowheads denote former megathrust Note change of megathrust reflection amplitude along well corrugated versus weakly corrugated portions.

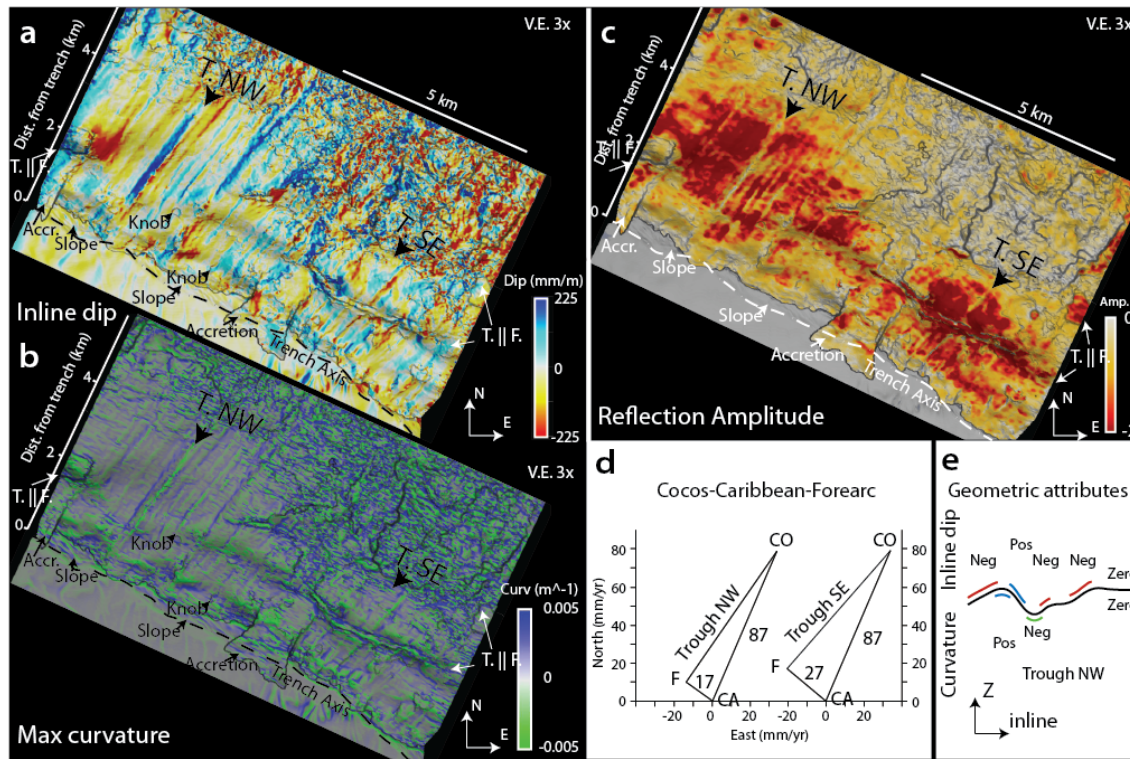


Figure 3 | Map view of dip, curvature and reflection amplitude along megathrust, linear velocity diagram and a reference dip/curvature diagram. a-c, Inline dip, maximum curvature and reflection amplitude extracted along the picked megathrust horizon. Note that with inline dip values, blues and reds meet along the axes of troughs and ridges, i.e., inline dip highlights the sides of dipping features. Whereas with maximum curvature, trough and ridge axes are highlighted by greens (troughs) and blues (ridges). Note how sensitive maximum curvature is to more chaotic portions of the megathrust and note linear streaks of low amplitudes along corrugation troughs. d, Linear velocity diagram of trench parallel slip from the orientations of Trough NW and Trough SE. CO is Cocos Plate, CA is Caribbean Plate, and F is Forearc. e, Reference diagram for inline dip and curvature. For inline dip, blues dip to the SE and reds dip to the NW. For curvature, greens denote troughs and blues denote ridges.

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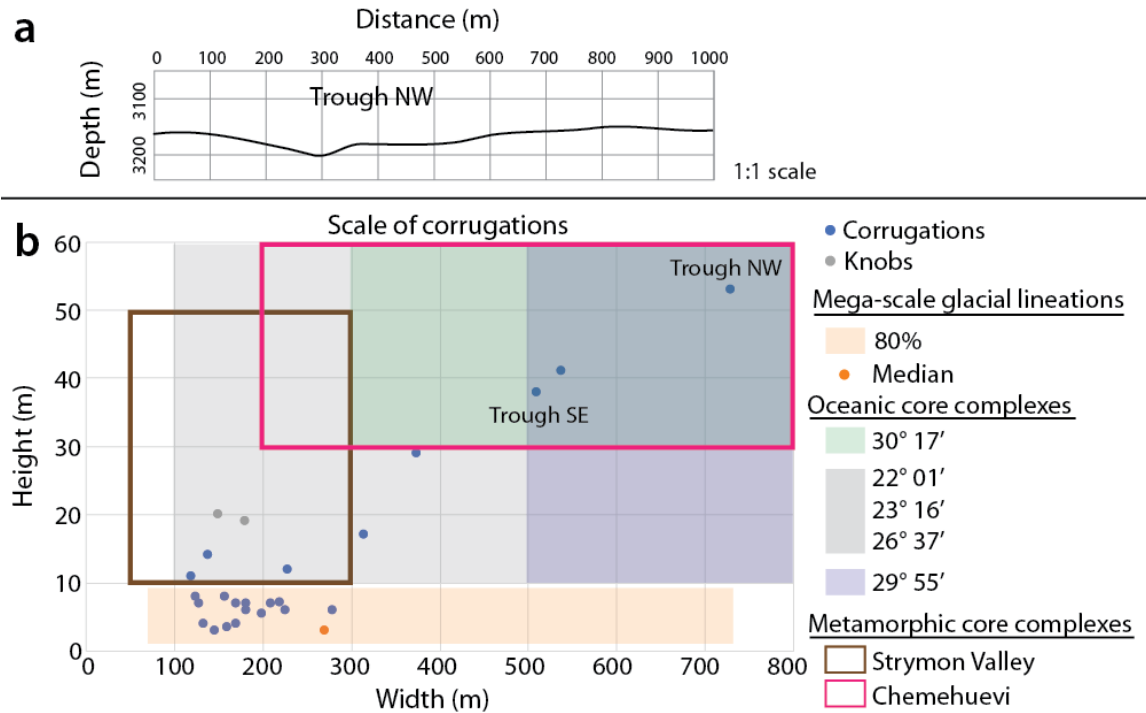


Figure 4 | Scale of corrugations. a, A 1:1 scale of Trough NW for reference. **b,** Widths and heights plotted of corrugations and knobs from megathrust. Overlain are the ranges of corrugations from mega-scale glacial lineations⁴⁶ (80% of measurements and median value), oceanic core complexes³² (intermediate scale) and metamorphic core complexes^{10,33}.

Methods

3D seismic reflection data. The 3D seismic reflection dataset was acquired aboard the *R/V Marcus G. Langseth* in 2011 using a source of two 27-gun arrays spaced 75 m apart and four 6 km long streamers spaced 150 m apart. The two 27-gun array fired every 25 m in flip-flop mode and had a volumetric displacement of 3200 liters. Each streamer consisted of 468 channels with 12.5 m channel spacing. Data were recorded for 8 s at a 2-ms sample rate. Subsequent processing of the data removed multiples and suppressed

noise using normal seismic processing workflows, including: high pass and band pass filtering, noisy trace removal, spherical divergence correction, amplitude gain control, velocity analysis, deconvolution, stacking and a post-stack time migration performed by CGGVeritas and Repsol in Madrid, Spain. These data were then used to generate a 3D velocity model that was utilized in a full pre-stack depth migration performed by Repsol in The Woodlands, TX. The resulting depth-migrated dataset consists of 12.5 x 18.75 m bins with ~60 fold and images the interface between the Cocos and overlying Caribbean plates down to depths >10 km.

Post processing data conditioning. Dip and azimuth data were calculated for every sample along every trace within the volume using the Fast Fourier Transform (FFT) algorithm within OpendTect v6.0.6 software²⁶. The FFT iteratively transformed a moving sub-cube of 5x5x5 samples (inlines x crosslines x depth; relative to the sample and trace of interest) into the 3D Fourier Domain and found samples along adjacent traces with the same phase within the designated window. Once adjacent samples with the same phase are found, the apparent dip and azimuth (either inline or crossline direction) are recorded for that sample along that trace. This results in 3D surfaces of constant phase as recorded by a 3D volume of apparent dip and azimuth data, referred to as a steering cube, that should represent apparent geologic structure. This steering cube was then used to guide a 2x2 median filter that smoothed amplitudes and removed noise. With the 2x2 median filtered data, another iteration of FFT 3x3x5 was performed, resulting in a smoother, less noisy steering cube that preserves structure.

Megathrust mapping. Mapping efforts were performed within OpendTect v6.0.6 on the 2x2 median filtered data and were augmented by the FFT 3x3x5 steering cube. Mapping was done using an iterative workflow of interpreter picks and amplitude-driven auto tracking: 1) Pre-load an area of interest with the 2x2 median filtered data, 2) load inlines and crosslines, 3) start/load megathrust horizon, 4) pick several samples along megathrust, termed seeds, that are auto tracked along that inline or crossline, 5) adjust amplitude-driven auto tracking parameters, in this case, we used correlation threshold values that ranged from ~60-95% (algorithm compared the amplitude of the last tracked pick to the next candidate pick), and search windows of ~10-50 m, 6) 3D auto track, 7) QC auto tracked horizon, undo or delete errant portions, adjust picks and/or auto tracking parameters and re-track, 8) lock tracked seeds and repeat. During amplitude-driven tracking, a sub-sample depth value (<5 m) is achieved by fitting a quadratic polynomial to a series of 5 m sample points. Once the megathrust is tracked, the horizon is gridded to fill in remaining holes, using an algorithm that is guided by the steering cube.

Volumetric attributes. Apparent inline and crossline dips were calculated for data conditioning and contained within the steering cube. Positive inline dips are to the SE (increasing inlines) and negative to the NW (decreasing inlines). Positive crossline dips are to the NE (increasing crosslines) and negative crossline dips are to the SW (decreasing crosslines). Maximum curvature is derived from the apparent dip volume contained in the steering cube by using it to estimate local 3D surfaces for each sample in the volume with a 3x3 least squares fit grid. Using these 3x3 surfaces, maximum

curvature is calculated for every sample using simple arithmetic approximations and mean and Gaussian curvatures²⁸.

Measuring corrugations. Geometries were measured manually with graphical tools in Matlab and OpendTect. In Matlab, troughs and ridges were extracted from xyz elevation data using a 20 by 20 element moving window to average all z values within the square neighborhood for every element in the matrix. These neighborhood averaged z values were then subtracted from the original elevation data. The resulting matrix consists of positive values where the central z value is greater than the neighborhood average and negative when less, corresponding to topographic highs and lows respectively. This differencing matrix helped constrain troughs and ridges. The widths of each is determined as the distance from trough to trough or peak to peak, and the amplitudes as the difference in elevation between the peak and trough, as measured graphically in Matlab and OpendTect. In Matlab, widths and amplitudes were extracted along the horizon and in OpendTect, widths and amplitudes were measured along selected crosslines. Measurements in Matlab were corroborated by measurements in OpendTect and vice versa. ~30 total bedforms were measured at discrete points along the megathrust (i.e., a representative part of the corrugation was measured). Two amplitudes <1 m were excluded.