# 1Gait Risk Factors for Disease Progression Differ Between Non-traumatic and Post-2traumatic Knee Osteoarthritis

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#### 1 Abstract

*Objective:* To examine if relationships between knee osteoarthritis (OA) progression with knee
moments and muscle activation during gait vary between patients with non-traumatic and posttraumatic knee OA.

5 Design: This longitudinal study included participants with non-traumatic (n=17) and post-

6 traumatic (n=18) knee OA; the latter group had a previous anterior cruciate ligament rupture.

7 Motion capture cameras, force plates, and surface electromyography measured knee moments

8 and lower extremity muscle activation during gait. Cartilage volume change were determined

9 over 2 years using magnetic resonance imaging in four regions: medial and lateral plateau and

10 condyle. Linear regression analysis examined relationships between cartilage change with gait

11 metrics (moments, muscle activation), group, and their interaction.

12 *Results:* Measures from knee adduction and rotation moments were related to lateral condyle

13 cartilage loss in both groups, and knee adduction moment to lateral plateau cartilage loss in the

14 non-traumatic group only [ $\beta$ =-1.336, 95% confidence intervals (CI)=-2.653 to -0.019].

15 Generally, lower levels of stance phase muscle activation were related to greater cartilage loss.

16 The relationship between cartilage loss in some regions with muscle activation characteristics

17 varied between non-traumatic and post-traumatic groups including for: lateral hamstring (lateral

18 condyle  $\beta$ =0.128, 95%CI=0.003 to 0.253; medial plateau  $\beta$ =0.199, 95%CI=0.059 to 0.339),

19 rectus femoris (medial condyle  $\beta$ =-0.267, 95% CI=-0.460 to -0.073), and medial hamstrings

20 (medial plateau;  $\beta$ =-0.146, 95% CI=-0.244 to -0.048).

21 *Conclusion:* Findings indicate that gait risk factors for OA progression may vary between

22 patients with non-traumatic and post-traumatic knee OA. These OA subtypes should be

23 considered in studies that investigate gait metrics as risk factors for OA progression.

1 **Key Words:** knee osteoarthritis, anterior cruciate ligament, trauma, gait, magnetic resonance

- 2 imaging, cartilage
- 3

#### 4 Introduction

5

6 Trauma is a risk factor for osteoarthritis (OA) and people are 3.8 times more likely to develop knee OA if they sustained a traumatic injury<sup>1</sup>. Knee OA can be classified as non-7 traumatic (patients with no history of knee trauma but developed OA) and post-traumatic 8 9 (patients having a knee trauma and subsequently developed OA). 10 Knee moments during gait are associated with OA progression in patients with non-11 traumatic knee OA<sup>2-5</sup>. These include knee adduction (KAM), flexion (KFM) and rotation (KRM) 12 moments. KAM is a surrogate measure for the ratio of medial to lateral knee compartment 13 loading<sup>6</sup>. Studies reported that higher KAM values were related to cartilage loss in the medial 14 femur and medial tibia, as measured by magnetic resonance imaging (MRI)<sup>2-5</sup>. These findings 15 16 are supported by studies in which OA progression was determined with other means (e.g. radiographs)<sup>7-9</sup>. The relationship between KFM and OA progression is less clear. In one study, 17 18 higher KFM values during gait were associated with changes in tibia medial-lateral cartilage

19 thickness ratios over 5 years<sup>4</sup>, whereas in other studies there were no relationships between KFM

and medial tibia or medial femur cartilage  $loss^{3,5}$ . Finally, features of the KRM were reported to

- 21 be different in both asymptomatic and knee OA participants that demonstrated radiographic
- medial joint space narrowing over 3 to 7 years compared to those having no progression<sup>7,10</sup>.
- 23

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Less studied is the impact of muscle activation on OA progression, which should be 1 considered since muscle forces influence joint loading<sup>11</sup>. Hodges et al<sup>12</sup> demonstrated that 2 prolonged medial knee muscle (vastus medialis/semimembranosus) activation during gait was 3 4 associated with medial tibia cartilage volume loss over 12 months; however, prolonged lateral 5 knee muscle (vastus lateralis/biceps femoris) activation was protective against cartilage loss. In contrast. Davis et al<sup>7</sup> found greater lateral hamstring activation during gait in patients that 6 7 demonstrated medial compartment joint space narrowing over 3 years measured using 8 radiographs compared to patients without narrowing. Patients that underwent knee arthroplasty 9 5-8 years after baseline gait assessments had higher or prolonged hamstring and quadriceps activation during gait compared to patients that did not undergo knee arthroplasty<sup>10,13</sup>. Although 10 these data are interesting, additional work is required to examine relationships between muscle 11 12 activation magnitude or timing and OA progression.

13

Previous studies investigating the role of gait metrics on OA progression have only included patients with non-traumatic knee OA<sup>4,5,8</sup> or have not indicated if patients were classified as non-traumatic or post-traumatic<sup>2,3,7</sup>. Considering these OA subtypes demonstrate differences in moments and muscle activation during gait<sup>14,15</sup>, then the impact of gait metrics on disease progression may vary. However, there is a paucity of studies comparing progression risk factors between non-traumatic and post-traumatic knee OA.

20

We aimed to determine if knee moments and muscle activation during gait were
 associated with knee OA structural progression over 2 years, and to examine if this relationship
 varies between non-traumatic and post-traumatic knee OA. We hypothesized that the KAM and
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1 prolonged quadriceps/hamstring activation would be related to OA cartilage volume loss in the 2 non-traumatic OA group in both the medial and lateral knee compartments, but not in the posttraumatic OA group. 3 4 5 Method 6 7 **Participants** 8 9 This was a longitudinal, cohort study. Participants diagnosed with symptomatic knee OA, according to clinical criteria from the American College of Rheumatology<sup>16</sup>, and between 35 to 10

11 75 years of age were enrolled. Participants were recruited from January 2015 to March 2017

12 from three tertiary hospitals in Montreal, Canada and the local community using convenience

13 sampling. Exclusion criteria included: trauma or surgery within one year, previous joint

14 arthroplasty, inflammatory arthritis, or neurological conditions. Each participant provided

15 written, informed consent. The project was approved by the local research ethics board and

16 procedures were in accordance with this ethics board and with the Helsinki Declaration of 1975,

as revised in 2000.

18

Participants that sustained a previous traumatic anterior cruciate ligament (ACL) rupture
were classified as post-traumatic knee OA. Participants gave an estimate of when this injury
occurred. Participants that reported no previous ACL rupture were classified as non-traumatic
knee OA. A musculoskeletal radiologist with 8 years of experience (MB) confirmed ACL status

(injured, normal, and/or reconstructed) on MRIs. The side with the most severe symptoms was
 selected when participants reported bilateral knee OA.

3

4 A sample size calculation determined 22 participants per group (44 total) would be 5 required for a linear regression analysis examining the relationship between cartilage volume change and KAM parameters (PS Power and Sample Size Calculations Version 3.0)<sup>17</sup>. The 6 7 sample size estimation was based on a previous study that found KAM impulse was associated 8 with cartilage volume loss in patients with knee OA over one year<sup>2</sup>. Lower 95% confidence 9 intervals (CI) of the regression coefficients (i.e. regression line slope) ranged between 6.0 and 10.6, and an average of this range (8.3) was used. Standard deviation estimates for medial 10 compartment cartilage volume change over two years (8% cartilage loss) and KAM impulse (0.4 11 Nm\*s/%body weight\*height) were determined from previous studies<sup>2,18</sup>. Alpha and power 12 values were set at 0.05 and 0.80, respectively, with a dropout rate of 20%. We were unable to 13 estimate the sample size based on the relationship between cartilage loss with the interaction 14 between gait parameters and knee OA subtype since this data were not available. 15 16 Demographic variables [e.g. body mass index (BMI)] were collected. The Intermittent 17 and Constant Osteoarthritis Pain Scale (ICOAP) measured constant and intermittent pain<sup>19</sup>. 18

19 Higher scores indicating greater pain intensity and frequency. The International Physical Activity

20 Questionnaire Short Form (IPAQ) measured physical activity and total metabolic equivalents per

21 week were reported<sup>20</sup>. Gait testing and MRI were completed at baseline and 2-year follow-up

visits. Radiographs were collected at baseline only. Baseline data have been previously reported

including descriptions of collection and processing procedures $^{15,21}$ .

1	
2	Radiographs
3	
4	Radiographic measures were taken from standing hip to ankle, anterior-posterior
5	radiographs <sup>22</sup> . Kellgren-Lawrence disease severity scores (KL-scores; 0=no OA to 4=severe)
6	quantified disease severity for medial and lateral knee compartments <sup>23</sup> . Knee alignment was
7	determined using the mechanical axis angle (MAA; positive values=valgus), as previously
8	described <sup>22</sup> .
9	
10	Gait Data Collection
11	
12	Motion and force data were collected with an eight-camera motion capture system
13	(OQUS 300+, Qualisys) sampled at 100 Hz, and two force plates (BP400600, AMTI) sampled at
14	2000 Hz. Forty reflective markers (12.7 mm diameter) were attached to participants according to
15	a cluster based system <sup>24</sup> . Muscle activation was measured with a 16-channel electromyography
16	(EMG) system (Trigno, Delsys) sampled at 2000 Hz. Surface electrodes were placed on muscles
17	according to previous guidelines <sup>25</sup> : medial and lateral gastrocnemius, vastus lateralis and
18	medialis, rectus femoris, and medial and lateral hamstrings. Prior to electrode placement, the
19	skin was shaved and cleaned with alcohol. Qualisys Track Manager (version 2.16) software was
20	used for data collection.
21	

Firstly, participants stood on a force plate to measure body mass and identify knee and 22 ankle joint centres. Next, participants were required to flex/extend and abduct/adduct each hip in 23

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order to identify functional hip joint centers<sup>26</sup>. Gait testing were performed with participants 1 2 ambulating barefoot over 8 m at self-selected speeds. Participants completed at least four practice gait trials. Seven successful gait trials were collected; however, only five trials were 3 4 processed. Additional trials were collected to account for potential errors. Finally, participants 5 completed a series of maximum voluntary isometric contractions (MVIC) using a previously described protocol<sup>15</sup> including 1) knee extension in sitting with the knee in 45°, 2) knee flexion 6 7 in sitting with the knee  $55^{\circ}$  of flexion, 3) knee extension in supine with the knee in  $15^{\circ}$  of 8 flexion, 4) knee flexion in prone with the knee in 55°, 5) ankle plantarflexion in long sitting with 9 the ankle in neutral, and 6) unilateral heel raise. Participants performed exercises 1-5 on a dynamometer (Cybex Norm). MVIC exercises were used to normalize gait EMG waveforms. 10 11

12 Gait Data Processing

13

Gait data processing and was performed using Visual3D (version 5.02, C-motion). 14 Marker and force plate data were filtered with recursive, low-pass, 4th order Butterworth filters 15 with frequency cuts offs of 8 Hz and 20 Hz, respectively. The speed of posterior superior iliac 16 spine makers was used to define gait speed. Three-dimensional net external knee moments, in 17 Newtons\*meters (Nm), were calculated about the joint coordinate system using inverse 18 19 dynamics. Flexion (sagittal), adduction (frontal), and lateral rotation (transverse) represented 20 positive values. Discrete parameters were extracted from knee moments: maximum KAM value (KAM peak); area under the stance phase KAM using the trapezoidal method (KAM impulse); 21 22 maximum KFM value during stance (KFM peak); and the difference in the maximum KRM

value during early/mid-stance and minimum KRM value during late stance (KRM range). These
 parameters have demonstrated relationships to OA progression<sup>2-4,7</sup>.

3

Gait EMG processing included band-pass (20-500 Hz) filtering with a 4<sup>th</sup> order recursive 4 Butterworth filter, wave rectification, creating a linear envelope (low-pass, 4<sup>th</sup> order recursive 5 Butterworth filter at 6 Hz), and amplitude normalizing to the peak EMG from MVIC exercises. 6 7 EMG gait waveforms were time normalized to 100% of the gait cycle and ensemble averages 8 were created from five trials for each participant. To identify important EMG waveforms characteristics, principal component analysis (PCA) was utilized<sup>27</sup>. Ensemble EMG waveforms 9 for each muscle group (gastrocnemius, quadriceps, hamstrings) from the baseline data collection 10 11 were entered into data matrices (X). Separate PCAs were conducted for each muscle group. To 12 increase the robustness of the PCA, data from healthy participants (n=22) were included to increase sample size (Table 1). Covariance matrices were determined from (X) and an 13 eigenvector decomposition of covariance matrices produced eigenvectors (U). Eigenvectors are 14 also called principal components (PC), and they represent unique waveform characteristics (e.g. 15 shape). Only the first three PCs were retained for each muscle group because they account for at 16 least 80% of the EMG waveforms variance<sup>25</sup>. Principal component scores (*PC-scores*; also 17 known as z-scores) were calculated for each participant ensemble EMG waveform (PC-18 19 scores = (X-X)\*U, and they represent how closely a waveform matches the waveform characteristic<sup>27</sup>. 20

21

22 Magnetic Resonance Imaging

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1	MRI procedures were previously described <sup>21,28</sup> . The MRI (GE Discovery MR750) was
2	conducted with a 3.0T high-resolution system with an eight-channel knee coil. Sequence
3	acquisition was a T1-weighted, 3D sagittal gradient echo sequence with fat suppression (flip
4	angle 20°, repetition time 42 ms, echo time 7 ms, slice thickness 1.5 mm). An automatic knee
5	cartilage segmentation process was used to determine cartilage volume for four separate regions:
6	1) lateral condyle, 2) lateral plateau, 3) medial condyle, and 4) lateral plateau. Percentage of
7	cartilage volume change between the 2-year follow-up and baseline was determined for each
8	region. Negative values represented cartilage loss. A previous study demonstrated that this
9	procedure has low error between repeated images $(0.14 \text{ to } 1.20\%)^{28}$ .
10	
11	Statistical Analysis
12	
13	Descriptive statistics were determined for demographic variables, ICOAP, IPAQ, KL-
14	scores, MAA, and gait speed. Hypothesis-driven, linear regression analyses examined
15	relationships between percentage of cartilage volume change with group (non-traumatic vs. post-
16	traumatic) and gait parameters. Dependent variables were cartilage volume change in each
17	region. Firstly, baseline cartilage volume for the region of interest was entered as a confounder to
18	account for variations in baseline volume. Next, group (non-traumatic/post-traumatic) was
19	entered and then a gait variable (i.e. knee moment discrete parameters or EMG PC-scores).
20	Finally, the interaction between the group and the gait variable was entered; however, this was
21	only retained in the final model if the interaction coefficient improved the model. Additionally,
22	BMI was entered as confounder for knee moment analyses only since moments are greatly
23	impacted by body mass. Variables were continuous except for group (0=non-traumatic, 1=post-
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1	traumatic). Regression coefficients with 95% CI were reported. Separate analyses were
2	performed for each cartilage volume region with each gait variable. Unadjusted Pearson
3	correlation coefficients with 95% CI were calculated between cartilage volume change and gait
4	variables to assist in interpretation. Normality (P-P plots), linearity/homoscedasticity (predicted
5	values vs. standardized residuals), independent errors (Durbin-Watson test), multicollinearity
6	(tolerance), and influential cases (Cook's distance, leverage) were examined to ensure statistical
7	assumptions were met. Participants that did not have MRI data from both baseline and 2-year
8	follow-up visits were excluded. Analyses were performed with SPSS version 24.0 (IBM).
9	
10	Results
11	
12	The final sample included 17 participants in the non-traumatic (12 female) and 18
12 13	The final sample included 17 participants in the non-traumatic (12 female) and 18 participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating
13	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating
13 14	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses;
13 14 15	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses; the majority were female (5 out of 6) and had a high BMI (mean 34.0 kg/m <sup>2</sup> ). In those included,
13 14 15 16	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses; the majority were female (5 out of 6) and had a high BMI (mean 34.0 kg/m <sup>2</sup> ). In those included, 9 of the 18 participants in the post-traumatic OA group had an ACL reconstruction. Mean time
13 14 15 16 17	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses; the majority were female (5 out of 6) and had a high BMI (mean 34.0 kg/m <sup>2</sup> ). In those included, 9 of the 18 participants in the post-traumatic OA group had an ACL reconstruction. Mean time from self-report injury to data collection was 21 years (standard deviation 10 years) in the post-
13 14 15 16 17 18	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses; the majority were female (5 out of 6) and had a high BMI (mean 34.0 kg/m <sup>2</sup> ). In those included, 9 of the 18 participants in the post-traumatic OA group had an ACL reconstruction. Mean time from self-report injury to data collection was 21 years (standard deviation 10 years) in the post- traumatic OA group. Twenty-four participants had higher KL-scores in the medial compartment,
13 14 15 16 17 18 19	participants in the post-traumatic (7 female) OA groups (Table 1). A diagram demonstrating exclusions is provided in Figure 1. Participants lost to follow-up were not included in analyses; the majority were female (5 out of 6) and had a high BMI (mean 34.0 kg/m <sup>2</sup> ). In those included, 9 of the 18 participants in the post-traumatic OA group had an ACL reconstruction. Mean time from self-report injury to data collection was 21 years (standard deviation 10 years) in the post-traumatic OA group. Twenty-four participants had higher KL-scores in the medial compartment, five participants had higher KL-scores in the lateral compartment, and five had equal KL-scores

22

#### 23 Knee Moments-Lateral Condyle

2	KAM peak was related to lateral condyle cartilage loss (Table 2), with lower KAM peak
3	values associated with greater cartilage loss in both groups (Figure 2). A KAM peak decrease by
4	10 Nm would result in an additional cartilage volume loss of 2.38% in the lateral condyle over
5	two years. Similarly, lower KAM impulse was associated with greater lateral condyle cartilage
6	loss in both groups (Table 2, Figure 2).
7	
8	KRM range was related to lateral condyle cartilage loss (Table 2), with lower KRM range
9	associated with greater cartilage loss in both groups (Figure 2). A KRM range decrease by 5 Nm
10	would result in an additional cartilage volume loss of 3.01% in the lateral condyle over two
11	years.
12	
13	Knee Moments-Lateral Plateau
14	
15	KAM impulse, group and their interaction were related to lateral plateau cartilage loss
16	(Table 2), with lower KAM impulse values associated with greater cartilage loss in the non-
17	traumatic group (Figure 2). In this group, a KAM impulse decrease by 5 Nm*s would result in an
18	additional cartilage volume loss of 4.30% in the lateral plateau over two years. The relationship
19	was weaker in the post-traumatic group.
20	
21	Knee Moments- Medial Condyle and Medial Plateau
22	

1	There were no substantial relationships between medial condyle and medial plateau
2	cartilage loss with discrete knee moments, group, or their interaction (Table 3). There were no
3	relationships between KFM peak and cartilage loss in any region (Table 2 and 3).
4	
5	Muscle Activation-Lateral Condyle
6	
7	EMG waveform characteristics captured by the PCs and explained variance are provided
8	in Table 4. Vastus medialis PC3-scores were related to lateral condyle cartilage loss
9	(Supplemental Table 1). Vasus medialis PC3 represented the amplitude of mid-stance activation.
10	Lower PC3-scores, indicating lower mid-stance activation, were associated with greater lateral
11	condyle cartilage loss in both groups (Supplemental Figure 1).
12	
13	The interaction between lateral hamstring PC2-scores and group was related to lateral
14	condyle cartilage loss (Supplemental Table 1). Lateral hamstring PC2 represented the difference
15	in activation between swing phase and mid-stance. Lower PC2-scores, representing greater
16	swing phase activation and reduced mid-stance activation, were associated with greater lateral
17	condyle cartilage loss in the post-traumatic group (Figure 3). There was no relationship in the
18	non-traumatic group. However, there were influential cases and a sensitivity analysis is provided
19	in the Supplemental.
20	
21	Muscle Activation-Lateral Plateau

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1	Vastus lateralis PC2-scores were related to lateral plateau cartilage loss (Supplemental
2	Table 2). Vastus lateralis PC2 represented the difference in late swing/early stance activation
3	compared to late stance activation. Lower PC2-scores, indicating lower late stance activation,
4	were associated with greater lateral plateau cartilage loss in both groups (Supplemental Figure
5	1).
6	
7	Vastus lateralis PC3-scores were related to lateral plateau cartilage loss (Supplemental
8	Table 2). Vasus lateralis <i>PC3</i> represented the amplitude of mid-stance activation. Lower <i>PC3</i> -
9	scores, indicating lower mid-stance activation, were associated with greater lateral plateau
10	cartilage loss in both groups (Supplemental Figure 1).
11	
12	Muscle Activation-Medial Condyle
13	
14	Rectus femoris PC2-scores, group, and their interaction were related to medial condyle
15	cartilage loss (Supplemental Table 3). Rectus femoris PC2 represented the difference in late
16	swing/early stance activation compared to late stance activation. Lower PC2-scores, indicating
17	lower activation during late stance, were associated with greater medial condyle cartilage loss in
18	the non-traumatic group (Figure 3). This relationship was weaker in the post-traumatic group.
19	
20	Rectus femoris PC3-scores were related to medial condyle cartilage loss (Supplemental
21	Table 3). Rectus femoris <i>PC3</i> represented the amplitude of mid-stance activation. Lower <i>PC3</i> -
22	scores, indicating lower mid-stance activation, were associated with greater medial condyle
23	cartilage loss in both groups (Supplemental Figure 2).

1

#### 2 Muscle Activation-Medial Plateau

3

5	
4	Examining the assumptions, one participant did not fit medial tibial plateau regression
5	models (standardized residuals=-4.34 to -3.55) and was an influential case (leverage=0.19 to
6	0.45). Removal of the participant diminished most regression coefficients and improved the
7	distribution of the residuals. Thus, the participant was excluded from these analyses. The
8	participant was from the non-traumatic group and had the lowest baseline medial tibia cartilage
9	volume (182 mm <sup>3</sup> ; group mean=1716 mm <sup>3</sup> ). A moderate cartilage loss (-138 mm <sup>3</sup> ) resulted in a
10	large percent change (-76.03%) compared to the group mean (-5.57%).
11	
12	Vastus medial PC2-scores were related to medial plateau cartilage loss (Supplemental
13	Table 4). Vastus medialis PC2 represented the difference in late swing/early stance activation
14	compared to late stance activation. Lower PC2-scores, indicating lower late stance activation,
15	were associated with greater medial plateau cartilage loss in both groups (Supplemental Figure
16	2). However, there were influential cases and a sensitivity analysis is provided in the
17	Supplemental.
18	
19	The interaction between lateral hamstring PC2-scores and group was related to medial
20	plateau cartilage loss (Supplemental Table 4). Lateral hamstring PC2 represented the difference
21	in activation between swing phase and mid-stance. Lower PC2-scores, representing greater

swing phase activation and reduced mid-stance activation, were associated with greater medial

plateau cartilage loss in the post-traumatic group (Figure 3). This relationship was weaker in the
 non-traumatic group.

3

The interaction between medial hamstring *PC1-scores* and group was related to medial plateau cartilage loss (Supplemental Table 4). Medial hamstring *PC1* represented the overall shape and amplitude of activation. Lower *PC1-scores*, indicating lower activation levels, were associated with greater medial plateau cartilage loss in the non-traumatic group (Figure 3). This relationship was in the opposite direction for the post-traumatic group.

9

#### 10 **Discussion**

11

KAM and KRM were associated with lateral condyle cartilage volume loss in both non-12 traumatic and post-traumatic groups, while the relationship between KAM and lateral plateau 13 cartilage loss only existed in the non-traumatic group. Lower levels of muscle activation during 14 stance phase were generally related to greater cartilage volume loss, which did not support the 15 16 study hypothesis; however, the relationship depended on the OA subtype in some instances. Findings demonstrate that gait risk factors for OA progression vary between patients with non-17 traumatic and post-traumatic knee OA. Hence, these OA subtypes should be considered in OA 18 19 progression studies and when evaluating disease-modifying interventions that aim to slow OA 20 progression (e.g. osteotomy). However, given the small sample size, caution should be used when interpreting the findings. 21

1 KAM and KRM, but not KFM, were related to cartilage loss. Firstly, both lower KAM 2 peak and impulse were related to lateral condyle cartilage loss in non-traumatic and posttraumatic groups. This relationship was present in the lateral plateau for the non-traumatic group 3 4 but not the post-traumatic group, which partially supports the study hypothesis. Lower KAM 5 values would suggest a shift of dynamic knee loads to the lateral compartment. This increased 6 lateral loading may have contributed to OA progression within this compartment. There were no 7 relationships between KAM with medial condyle or plateau cartilage loss which does not concur with previous studies or our hypothesis<sup>2,4,5,7,8</sup>. Differences in study samples and regions of 8 cartilage measurement could explain this disparity. In previous studies<sup>2,5,7,8</sup>, participants had 9 primarily medial compartment OA and OA progression was only evaluated in the medial 10 compartment. The current study included participants with lateral compartment OA and cartilage 11 12 measurements from this region were performed. Alternatively, findings in the medial compartment could be due to type II error. Secondly, lower KRM range was associated with 13 lateral condyle cartilage loss, which is similar to a previous study examining radiographic OA 14 progression<sup>10</sup>. Thus, knee moments are related to OA progression, although the relationship 15 16 might vary between OA subtypes.

17

EMG waveform shape characteristics (*PC2-scores*, *PC3-scores*) were most frequently related to cartilage loss. Lower muscle activation during mid-stance and late stance was generally associated with greater cartilage loss in both groups. However, the relationship between some EMG characteristics and cartilage loss depended on the OA group. Lower lateral hamstring mid-stance activation (*PC2-scores*) was related to greater lateral condyle and medial plateau cartilage loss in the post-traumatic group only, although influential cases might partially Robbins SM, Pelletier JP, Abram F, Boily M, Antoniou J, Martineau PA, Morelli M, Martel-Pelletier J (2021). Gait risk factors for disease progression differ between non-traumatic and post-traumatic knee osteoarthritis. Osteoarthritis and Cartilage. 29(11):1487-1497. doi: 10.1016/j.joca.2021.07.014

1 account for this finding. In the non-traumatic group, lower rectus femoris late stance activation 2 (PC2-scores) and lower medial hamstring activation throughout gait (PC1-scores) were related to medial condyle and plateau cartilage loss, respectively. However, lower medial hamstring 3 4 activation was protective against medial plateau cartilage loss in the post-traumatic group. These findings partially conflict with previous studies<sup>7,12</sup> in which elevated and prolonged activation is 5 related to medial compartment OA progression; although previous studies disagree with each 6 7 other if prolonged lateral knee muscle activation are protective or promote OA progression. As 8 stated above, disparities in the results could be due to differences in study samples and regions in 9 which progression were measured. Our findings imply that increased and prolonged muscle activation during stance was protective against cartilage volume loss. This contradicts the 10 hypothesis that elevated and prolonged muscle activation increases knee contact forces, leading 11 to faster progression. Alternatively, perhaps higher and prolonged muscle activation during 12 stance stiffened the knee and provided more effective control over OA-related abnormal knee 13 arthrokinematics<sup>11,29</sup>. Abnormal arthrokinematics have been postulated to cause OA, particularly 14 in patients following knee trauma<sup>30</sup>. Patients with knee OA that have greater passive (i.e. stress 15 16 tests) and dynamic (i.e. varus thrust) frontal plane instability demonstrate greater muscle coactivation during gait<sup>29,31</sup>. This suggests that increased and prolonged muscle activation might 17 attempt to normalize knee arthrokinematics, which could slow OA progression. Further work is 18 19 needed to determine the role of muscle activation in OA initiation and progression, and the optimal amount of knee loading required to maintain joint health. 20

21

Risk factors for OA progression differed between non-traumatic and post-traumatic
 groups. KAM impulse was associated with lateral plateau cartilage loss only in the non-traumatic
 Robbins SM, Pelletier JP, Abram F, Boily M, Antoniou J, Martineau PA, Morelli M, Martel-Pelletier J (2021). Gait risk factors for disease progression differ between non-traumatic and post-traumatic knee osteoarthritis. Osteoarthritis and Cartilage. 29(11):1487-1497. doi: 10.1016/j.joca.2021.07.014

1 group. This could be due to the fact that initial lateral plateau tissue damage from trauma might 2 have been the strongest factor in OA initiation/progression in participants with post-traumatic OA and KAM during gait played a less decisive role. In support, the interaction between KAM 3 4 and group was only found at the lateral plateau, which is the most common site of bone marrow lesion and fractures with cortical discontinuity following ACL injury<sup>32,33</sup>. Loading distribution 5 6 during gait, which KAM serves as a proxy, maybe more important in OA progression at the 7 lateral plateau in patients with non-traumatic knee OA. In regard to muscle activation, the 8 strength and direction of the relationships between muscle activation and cartilage loss depended 9 on the OA subtype. Differences in joint stability and arthrokinematics between groups might have altered the relationship between muscle force and subsequent joint loads, thereby impacting 10 progression. 11

12

The small sample size was a limitation. This prevented the inclusion of other confounders 13 (e.g. sex) in analyses and the study might have been underpowered to detect interactions. Since 14 we examined medial and lateral compartment OA, our sample was heterogeneous. Including 15 16 lateral compartment OA was more representative of the population since lateral compartment OA changes are common in patients with post-traumatic knee OA<sup>34</sup>. We did not control for 17 physical activity prior to the MRI, which might impact cartilage measurements. Previous 18 19 rehabilitation or the type of physical activity participants completed were not recorded. The graft 20 type was not recorded for participants that had an ACL reconstruction and graft location could impact muscle function. Finally, only ACL ruptures were considered and findings cannot be 21 22 generalized to other injuries.

<sup>23</sup> 

Robbins SM, Pelletier JP, Abram F, Boily M, Antoniou J, Martineau PA, Morelli M, Martel-Pelletier J (2021). Gait risk factors for disease progression differ between non-traumatic and post-traumatic knee osteoarthritis. Osteoarthritis and Cartilage. 29(11):1487-1497. doi: 10.1016/j.joca.2021.07.014

1	In conclusion, knee moments and muscle activation during gait were associated with
2	cartilage volume loss in participants with non-traumatic and post-traumatic knee OA. The
3	strength and direction of these relationships depended on the OA subtypes, demonstrating that
4	risk factors for OA progression vary between these groups. Hence, the effectiveness of disease
5	modifying interventions might vary between these OA subtypes. For muscle activation, while we
6	showed that lower levels of muscle activation were generally related to cartilage loss, additional
7	work is required to determine the role of muscle function in knee OA progression.
8	
9	Author Contributions
10	
11	All authors made substantial contributions. Shawn Robbins was responsible for
12	conception and design, obtaining funding, collection and assembly of data, analysis and
13	interpretation of the data, drafting and revision of the article, and final approval of the article.
14	Mathieu Boily was responsible for analysis and interpretation of the data, critical revision of the
15	article for important intellectual content, and final approval of the article. Moreno Morelli, Paul
16	Martineau, and John Antoniou were responsible for providing the OA patients, critical revision
17	of the article for important intellectual content, and final approval of the article. François Abram
18	was responsible for setting the MRI sequences, MRI data processing, interpretation of the MRI
19	data, critical revision of the article for important intellectual content, and final approval of the
20	article. Jean-Pierre Pelletier was responsible for study design, data analysis and interpretation,
21	article revision, and final approval of the article. Johanne Martel-Pelletier was responsible for
22	study design, data analysis and interpretation, drafting, and revision and final approval of the

© This manuscript version is made available under the CC-BY-NC-ND 4.0 license https://creativecommons.org/licenses/by-nc-nd/4.0/ 1 article. Shawn Robbins (shawn.robbins@mcgill) takes responsibility for the integrity of the work 2 as a whole, from inception to finished article. 3 **Acknowledgements- Other Contributors** 4 5 6 The authors would like to thank Larissa Fedorowich from the Lethbridge-Layton-7 MacKay Rehabilitation Centre (Montreal, Canada), and Mohan Patel, and Antonys Melek from 8 the PERFORM Centre (Montreal, Canada) for their assistance with data collection. The authors 9 are grateful to A. Pelletier and J. Thériault form ArthroLab Inc. for assisting with MRI processing. 10 11 **Acknowledgement of Funding Source** 12 13 This work was supported by the Canadian Foundation for Innovation [Robbins, grant 14 #31903], the Canadian Institutes of Health Research [grant number ONM 137376], and the 15 16 Arthritis Society [grant number YIO-14-096]. Shawn Robbins was supported by the Arthritis Society [grant number YIS-14-065] and the Fonds de recherche du Québec – Santé [grant 17 number 33107]. 18 19 **Statement of Role of Funding Source in Publication** 20 21 22 The funding sources had no role in this research.

<sup>23</sup> 

Robbins SM, Pelletier JP, Abram F, Boily M, Antoniou J, Martineau PA, Morelli M, Martel-Pelletier J (2021). Gait risk factors for disease progression differ between non-traumatic and post-traumatic knee osteoarthritis. Osteoarthritis and Cartilage. 29(11):1487-1497. doi: 10.1016/j.joca.2021.07.014

## **1** Conflict of Interest

2

3		Jean-Pierre Pelletier and Johanne Martel-Pelletier are shareholders in ArthroLab Inc.,	
4	Montr	eal, Canada. François Abram is an employee of ArthroLab Inc., Montreal, Canada.	
5	Arthro	ArthroLab Inc. was responsible for setting the MRI data acquisition as well as processing and	
6	analys	ing the images. The other authors have no conflicts of interest related to this study.	
7			
8	Data Statement		
9			
10		Data are confidential and are not available through an online database.	
11			
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2 Figure 1: Flow diagram of the participants. OA, osteoarthritis; ACL, anterior cruciate ligament.

Robbins SM, Pelletier JP, Abram F, Boily M, Antoniou J, Martineau PA, Morelli M, Martel-Pelletier J (2021). Gait risk factors for disease progression differ between non-traumatic and post-traumatic knee osteoarthritis. Osteoarthritis and Cartilage. 29(11):1487-1497. doi: 10.1016/j.joca.2021.07.014



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2 Figure 2. Relationships between A) knee adduction moment (KAM) peak with lateral condyle cartilage volume change (non-traumatic r=0.467, 95CI%=-0.018 to 0.774; post-traumatic 3 r=0.328, 95% CI=-0.164 to 0.690), B) KAM impulse with lateral condyle cartilage volume 4 5 change (non-traumatic r=0.500, 95% CI=0.025 to 0.791; post-traumatic r=0.476, 95% CI=0.012 to 6 0.772), C) knee rotation moment (KRM) range with lateral condyle cartilage volume change (non-traumatic r=0.356, 95% CI=-0.150 to 0.715; post-traumatic r=0.391, 95% CI=-0.93 to 7 8 0.725), and D) KAM impulse with lateral plateau cartilage volume change (non-traumatic r=0.387, 95% CI=-0.115 to 0.732; post-traumatic r=-0.020, 95% CI=-0.483 to 0.451). The non-9 traumatic group are represented by filled dots and post-traumatic by unfilled dots. The lines of 10 best fit are shown for the non-traumatic (solid line) and post-traumatic (dashed line) groups. 11 12



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Figure 3. Relationships between A) lateral hamstring principal component (PC) 2-scores with 2 3 lateral condyle cartilage volume change (non-traumatic r=0.000, 95% CI=-0.481 to 0.481; posttraumatic r=0.578, 95% CI=0.135 to 0.829), B) rectus femoris *PC2-scores* with medial condyle 4 5 cartilage volume change (non-traumatic r=0.662, 95%CI=0.266 to 0.867; post-traumatic r=-6 0.214, 95% CI=-0.619 to 0.281). C) lateral hamstring *PC2-scores* with medial plateau cartilage volume change (non-traumatic r=0.051, 95%CI=-0.456 to 0.533; post-traumatic r=0.668, 7 95%CI=0.276 to 0.869), and D) medial hamstring PC1-scores with medial plateau cartilage 8 9 volume change (non-traumatic r=0.578, 95% CI=0.115 to 0.835; post-traumatic r=-0.470, 95%CI=-0.768 to -0.004). The non-traumatic group are represented by filled dots and post-10 traumatic by unfilled dots. The lines of best fit are shown for the non-traumatic (solid line) and 11 post-traumatic (dashed line) groups. 12

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### 1 Table 1: Participant characteristics.

Variables		Non-traumatic OA Group (n=17)		Post-traumatic OA Group (n=18)		Healthy Group (n=22)	
Age, years		59 (7)		57 (9)		59 (7)	
Female, % (n)		71% (12)		39% (7)		73% (16)	
Height, m		1.67 (0.07)		1.70 (0.10)		1.65 (0.09)	
Mass, kg		77.61 (17.12)		75.81 (16.35)		72.7 (12.1)	
Body mass index, kg/m <sup>2</sup>		28.05 (6.93)		25.83 (3.22)		27.0 (4.6)	
ICOAP-Constant pain (/100)		17 (25)		16 (19)		0 (0)	
ICOAP- Intermittent pain (/100)		29 (23)		27 (21)		3 (8)	
IPAQ- Total (METS/week)		4205 (2986)		4670 (2439)		4119 (3118)	
Gait speed, m/s		1.20 (0.14)		1.22 (0.13)		1.25 (0.17)	
MAA <sup>*</sup> , °		-0.28 (5.71)		-2.84 (4.55)		-	
	Score	Medial	Lateral	Medial	Lateral		
	0	0	8	0	7	-	
KL- scores <sup>*</sup> , frequency	1	2	1	1	3	-	
	2	10	2	11	6	-	
-1	3	3	4	4	1	-	
	4	1	1	2	1	-	

2 Results are shown as mean (standard deviation) unless otherwise indicated.

3 OA, osteoarthritis; ICOAP, Intermittent and Constant Osteoarthritis Pain Scale; IPAQ,

4 International Physical Activity Questionnaire Short Form; METS, metabolic equivalents; MAA,

5 mechanical axis angle (varus alignment is negative, valgus alignment is positive); KL-scores,

6 Kellgren-Lawrence radiographic disease severity scores.

7 \* One participant from the non-traumatic OA group was missing MAA and KL-scores.

8 Radiographic measures were not available for the healthy group.

- 1 Table 2: Unstandardized regression coefficients with 95% confidence intervals with lateral
- 2 condyle and plateau cartilage volume change as the dependent variables and external knee
- 3 moment discrete parameters as the independent variables.

Region	Gait Parameter	Unstandardized Regression Coefficients (95% Confidence Interval)				
		Gait Parameter	Group*	Interaction <sup>†</sup>		
	KAM peak	0.238 (0.066, 0.411)	1.297 (-2.889, 5.483)	-		
Lateral Condyle	KAM impulse	0.596 (0.240, 0.953)	1.389 (-2.567, 5.345)	-		
	KFM flexion	0.16 (-0.148, 0.179)	2.757 (-1.909, 7.423)	-		
	KRM range	0.603 (0.119, 1.086)	1.864 (-2.334, 6.062)	-		
Lateral Plateau	KAM peak	0.128 (-0.216, 0.471)	3.556 (-4.929, 12.040)			
	KAM impulse	0.861 (-0.136, 1.858)	19.620 (2.324, 36.916)	-1.336 (-2.653, -0.019)		
	KFM flexion	-0.074 (-0.389, 0.241)	4.274 (-3.899, 12.447)	-		
	KRM range	-0.599 (-1.600, 0.402)	5.872 (-2.315, 14.060)	-		

4 KAM, knee adduction moment; KFM, knee flexion moment; KRM, knee rotation moment.

<sup>\*</sup> Group is coded as 0=non-traumatic and 1=post-traumatic.

6 <sup>†</sup> Interactions only remained in the final model if they were significant.

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- 1 Table 3: Unstandardized regression coefficients with 95% confidence intervals with medial
- 2 condyle and plateau cartilage volume change as the dependent variables and external knee
- 3 moment discrete parameters as the independent variables.

	Gait Parameter	Unstandardized Regression Coefficients (95% Confidence Interval)				
Region		Gait Parameter	Group*	Interaction <sup>†</sup>		
Medial Condyle	KAM peak	0.037 (-0.064, 0.137)	0.916 (-1.697, 3.529)	-		
	KAM impulse	0.156 (-0.057, 0.369)	0.674 (-1.840, 3.188)	-		
	KFM flexion	0.029 (-0.060, 0.117)	1.330 (-1.147, 3.808)	-		
	KRM range	0.149 (-0.132, 0.430)	0.851 (-1.691, 3.392)	-		
Medial Plateau	KAM Peak	0.003 (-0.409, 0.415)	2.830 (-8.021, 13.681)	-		
	KAM impulse	0.212 (-0.671, 1.094)	2.088 (-8.548, 12.725)	-		
	KFM flexion	-0.102 (-0.462, 0.258)	2.539 (-7.651, 12.730)	-		
	KRM range	-0.372 (-1.496, 0.752)	3.732 (-6.719, 14.182)	-		

4 KAM, knee adduction moment; KFM, knee flexion moment; KRM, knee rotation moment.

<sup>\*</sup> Group is coded as 0=non-traumatic and 1=post-traumatic.

6 <sup>†</sup> Interactions only remained in the final model if they were significant.

- 1 Table 4: Interpretations and explained variance for each principal component (*PC*) derived from
- 2 electromyography waveforms during gait.

Muscle group	PC	Description	Explaine Variance (%)
Gastrocs	1	Overall amplitude and general shape (higher scores = greater activation)	55.96
	2	Timing shift in gastrocnemius activation (higher scores = later onset)	18.76
	3	Difference in gastrocnemius activation between early and mid-/ late stance (higher score = greater difference)	10.90
Quadriceps	1	Overall amplitude and general shape (higher scores = greater activation)	77.61
	2	Difference in quadriceps activation between late swing/early stance and late stance (higher scores = greater late stance activation)	6.52
	3	Amplitude of quadriceps activation during mid-stance (higher scores = greater mid-stance activation)	4.43
Hamstrings	1	Overall amplitude and general shape (higher scores = greater activation)	57.79
	2	Difference in hamstring activation between mid-stance and swing (higher scores = greater mid-stance activation and lower swing activation)	15.10
	3	Difference in hamstring activation between mid/late stance and early stance/late swing (higher scores = lower mid/late stance activation and higher early stance/late swing activation )	8.94
	3		8.9

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