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PARTICIPATORY ACTION RESEARCH (PAR):

A VIEW FROM THE FIELD

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A thesis submitted to the Faculty of Graduate Studies and Research in partial fulfilment  
of the requirements of the degree of Doctor of Philosophy

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FOR MY PARENTS

Qu'est-ce que l'éthique d'un intellectuel—je revendique ce terme d'intellectuel qui semble aujourd'hui donner de la nausée à certains—sinon cela: se rendre capable de se déprendre de soi-même.

(M. Foucault, Magazine Littéraire, May 1984, p. 22)

To engage in critical postmodern research is to take part in a process of critical world making, guided by the shadowed outline of a dream of a world less conditioned by misery, suffering, and the politics of deceit. It is, in short, a pragmatics of hope in an age of cynical reason.

(J. L. Kincheloe, and P. L. McLaren, 1994, p. 154)

Practice that is about genuine empowerment can never be certain of where it is heading, but—contrary to the views of some postmodernists—it can, and indeed must, proceed in a way that takes account of universal value, moral and ethical principles, even if the way in which these are defined and operationalised will vary over time and across cultural settings.

(J. Ife, 1999, p. 222)

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## ABSTRACT

The phenomenon of *street children* is world-wide and on the increase despite numerous programs aiming at its eradication. The failure to adequately address this complex and very diverse phenomenon is the result of conceptual confusion with respect to defining who a street child is. The dominant discourse on street children defines them as victims or deviants to be rescued and rehabilitated. As such, the capacity of many of these children for human agency is occluded by excluding them from participation in the construction of solutions to their problems. I argue that, far from being mere victims and deviants, these kids, in running away from alienating structures and finding relative freedom in the street, often become autonomous and are capable of actively defining their situations in their own terms. They are able to challenge the roles assigned to children, make judgements and develop a network of niches in the heart of the metropolis in order to resist exclusion and chronic repression. I further argue that for research and action with street kids to be emancipatory, it is necessary to acknowledge and respect the human agency the kids display in changing their own lives and to capitalize on their voluntary participation in non-formal educational activities as well as in collective advocacy.

The thesis draws on a participatory action research (PAR) methodology spanning eight years of fieldwork with street kids in Cairo, which eclectically combined street ethnography, street work and action science. I critically review the historical development of these methodologies, and I argue for a conception of PAR as an open-ended process of action and reflective participatory research incorporated into everyday activities and work with excluded, marginalized and oppressed groups such as street kids. As such, I pay special attention to the ethical dilemmas that arise in day-to-day PAR practice.



## RÉSUMÉ

Le phénomène des enfants de la rue est en croissance partout dans le monde en dépit de nombreux programmes d'intervention qui visent son abolition. La difficulté d'intervenir de manière adéquate face à ce phénomène complexe et diversifié, provient d'une confusion conceptuelle autour de la définition de ce qu'est un enfant de la rue. Le discours dominant à propos de ces enfants les définit comme victimes ou déviants qu'il faut sauver de la rue dévastatrice et les réhabiliter. Cette définition occulte la capacité de ces enfants d'agir face à leurs réalités et vient ainsi les exclure du processus de recherche de solutions à leurs propres problèmes. Je soutiens que, loin d'être de simples victimes ou déviants, ces enfants, en fuyant des structures aliénantes et en trouvant une liberté relative dans la rue, deviennent souvent autonomes et sont capables de définir leurs situations selon leurs propres valeurs. Ils sont capables de remettre en question les rôles normalement attribués aux enfants, de développer leur propre jugement et de se constituer un réseau de niches en plein milieu de la métropole pour résister à l'exclusion et à la répression chronique. Je soutiens également que l'action et la recherche auprès des enfants de la rue ne peuvent être émancipatrices à moins qu'on leur reconnaisse la compétence dont ils font preuve en tant qu'acteurs sociaux, et qu'on leur donne la possibilité de participer volontairement à des activités d'éducation non formelle ainsi qu'à des démarches de revendication collectives.

Cette thèse se base sur une méthodologie d'action recherche participative implantée dans les rues du Caire pendant huit années consécutives et qui, de manière éclectique, rallie la science action, le travail de rue et l'ethnographie de rue. J'entreprends, avec un regard critique, un survol historique de ces méthodologies. Je défends la thèse de l'action recherche participative en tant que processus ouvert et flexible d'action et de recherche réflexive, intégré au travail auprès des groupes exclus et opprimés tels que les enfants des rues. Compte tenu de cette conception, je prête une attention spéciale aux dilemmes d'ordre éthique qui inévitablement surgissent dans la pratique quotidienne de l'action recherche participative.

## **ACRONYMS AND ABBREVIATIONS**

<b>AR</b>	Action Research
<b>ATTrueQ</b>	Association des Travailleurs et Travailleuses de Rue du Québec
<b>AUC</b>	American University in Cairo
<b>BCJ</b>	Bureau de Consultation Jeunesse
<b>CAPMAS</b>	Central Agency for Public Mobilization and Statistics
<b>CLSC</b>	Centre Local de Services Communautaires
<b>CRIC</b>	Collectif de Recherche en Intervention Communautaire
<b>CSS</b>	Centre de Services Sociaux
<b>EAD</b>	Egyptian Association for Development
<b>EASSC</b>	Egyptian Association to Support Street Children
<b>ILO</b>	International Labour Organization
<b>MISA</b>	Ministry of Insurance & Social Affairs
<b>NGO</b>	Non-Governmental Organization
<b>PAR</b>	Participatory Action Research
<b>PIaMP</b>	Project d'Intervention auprès des Mineur(e)s Prostitué(e)s
<b>PO</b>	Participant Observation
<b>PR</b>	Participative Research
<b>ROPLAM</b>	Regroupement des Organismes de Première Ligne à Montréal
<b>SDC</b>	Social Development Consultants
<b>UNICEF</b>	United Nations Children's Fund
<b>UNESCO</b>	United Nations Educational, Scientific and Cultural Organization

## INTRODUCTION

In this introduction, I would like to present myself, the participatory action research (PAR) process, the subject matter of this thesis, and the institutional frameworks that were associated with the undertaking.

### **Background to My Work with Street Kids**

I was born and brought up in downtown Cairo, Egypt to a middle class family. After high school I attended The American University in Cairo (AUC) to study chemistry. It was during my university years that I discovered the value of democratic participation and cultivated my talents for organizing. I was active in the student government and sat on a number of joint faculty-student committees that we had managed to institute as a means for students to share in the planning and programming of courses and curricular and extra-curricular activities. However, during these years of the late 1960s and early 1970s, the democratic ambience at AUC was in sharp contrast with the prevailing mood in the rest of the Egyptian society. The moment one stepped off campus, one entered a context of state surveillance so powerful and intimidating that to talk politics, people developed the habit of whispering and being suspicious of the others lest they be informants for one of the many state intelligence agencies that were practically ruling the country. The state surveillance apparatus had grown and become ever more aggressive during the years that followed the defeat in the 1967 war with Israel. With this defeat and the death of Nasser in 1970, the socialist and pan-Arab project was interrupted, if not ended.

Soon after graduation in 1973, I decided to leave the country. The overall depressing atmosphere, coupled with my minority status of with respect to religion, were the main motivations behind the decision. I had also had a glimpse of the free and emancipated West when I hitchhiked in Europe during one of my summer holidays, and I wanted to discover it more as insider.

In Paris, I spent a year working 'under the table' as a dishwasher in restaurants and hotels, and was able to watch more than really participate in Parisian lifestyles. I had to learn to cope with racist attitudes and found refuge in the student ghetto of St-Germain-Des-Prés, where there was a great deal of intellectual stimulation. This experience gave

me the chance to fill some of my gaps in arts and culture. However, when it became quite clear that I would not be able to find a job as a chemist, I decided to apply for immigration to the two countries which were then recruiting immigrants—Canada and Australia. Canada was the first to offer me immigrant status, and so I arrived in Montreal in 1974, where I found a job as a chemist with the Noranda Research Centre at Pointe Claire.

I was finally able to lead a western lifestyle, but I quickly became dissatisfied with my job in a closed laboratory. I realized that I had become a chemist not because it was my vocation, but mostly because in Egypt it was only natural for boys to go into the physical sciences whereas social sciences were viewed as more suitable for girls. I decided to abandon chemistry for a career in which there was more interaction with my fellow human beings. I quit my job at the research centre and started to search for an 'interactive' career by working as a night room clerk at the famous Queen Elizabeth Hotel in Montreal. Over the 18 month period I worked there, I managed to climb the ladder to the chief room clerk position, but, more interesting for me, was the re-discovery of my organizing talents when I assumed a leadership role with my colleagues to pursue unionization of the front office personnel. This led me to take some courses in applied social science at Concordia University and to work as volunteer on a hot line for distressed people. A new career in a helping profession became my goal.

In 1978 at the age of 29, I decided to get a degree in social work, with a view to becoming a social worker. Fortunately, there was the 'fast-track', special B.S.W. offered at McGill, where I was accepted and obtained a degree in 14 months. I started my new career at a time when it was still possible to implement social work projects using a generic-casework, group work, and community organizing-approach to intervention with excluded and marginal groups.

Natural affinity with Marxism and feminism was further developed into strategic alliance and working groups with Maurice Moreau (structural social work) and other dear friends and colleagues. These enriching encounters and reading Peter Leonard (radical social work), as well as Marcuse, Gramsci, Reich, Mendel and Freire helped in the construction of my knowledge and identity as a 'gay- activist- radical-social worker'.

I was particularly struggling then, in the late 1970's and early 1980's, with, among other questions, the representations of the oppressed. I resented the implicit—and

often explicit—portrayal of the oppressed in the discourse of the left as kind of helpless victims of structural realities. I equally resented the patronizing attitude of the intelligentsia—with true consciousness—towards the masses of “false consciousness”. I refused to envisage the oppressed as passive receivers of the evil strikes of the oppressor. This view did not match with my personal experiences with oppression and marginalization. I was like many other oppressed people trying to resist oppression and exclusion. It was then that I started to reflect on the notion of *resistance*. I was very much aided by the initiation to *Entrainement Mental*, a methodology of resistance first developed during the nazi occupation of France by French intellectuals and members of the *Résistance*, and later institutionalized by *l'éducation populaire* movement. It enabled me to go beyond the static oppressor-oppressed duality by developing sensitivity to dialectics and an ability to identify and analyze contradictions.

However these ‘golden’ days soon came to an end with the closure of many innovative projects because of cutbacks despite fierce resistance. I was reassigned to the family-children unit of the social service agency not long after the implementation of the *Youth Protection Act*, which was causing lots of confusion and debates. It was here that I came face to face with the social control aspect of state-run social services. I was in a state of shock. I could not practice in an autonomous way any more. My professional identity was insulted and usurped by other social workers in the technocratic hierarchy of social services delivery. After the shock, I started to develop some silent practices in complicity with a few colleagues. Much of our energy was geared towards protecting the clients from the ‘system’. It was then that a number of cases of adolescents involved or suspected to be involved in homosexual prostitution were assigned to me in a challenging manner. It was also then that I came into contact with a community group called *Le Bureau de Consultation Jeunesse* (BCJ), and some of the community workers there grappling to get some of the kids suspected of prostitution out of the grips of an alienating system. I used my position and influence inside the system to lend a hand. After a couple of years, I began to long for professional autonomy and to be in the field. I resigned to join the BCJ, where I became involved in a variety of projects targeting marginal youth and, in particular, *Le Projet d'Intervention auprès des Mineur(e)s Prostitué(e)s* (PIaMP), using street work within an action research methodology.

In 1984, I wrote a social work Master's thesis entitled *La Prostitution des Mineurs: Construction d'un Problème Social* based on my experiences with PlAMP. Since then, constructivism has been a major orienting paradigm in my search for meanings. Upon presentation of the thesis that became the property of BCJ, which published it as a book (Fahmi, 1986), I decided to go back to Egypt. It was not so much a return to my roots as a break from western culture. I could have gone to any other southern country. I recall feeling frustrated by the silence that usually followed whenever I ventured that 'life matters are not always rational', and that we needed to try to understand the non-rational. In going back to Egypt, I wanted to touch base again with the underdeveloped, the non-rational, the metaphysical and the uncertain.

In 1984, I went back to Egypt. Although I was born and raised there, I did not know it very well. Besides Cairo and Alexandria, the two major cities, I knew little of the rest of the country, especially the rural and semi-urban areas. I spent a year touring the country and visiting different development projects, working as a volunteer whenever appropriate. It was a kind of participant observation in the social development milieu that helped me to appraise the situation. I gradually became active in the social development arena as a free-lance consultant, assuming a variety of tasks and responsibilities, including fieldwork, education and training of community workers, participatory evaluation, project formulation, program design and non-formal education.

In the summer of 1993, I was introduced to Dr. Samia Said,<sup>1</sup> a sociologist by training and president of Social Development Consultants (SDC), a private consultancy firm. In discussing possibilities for collaboration, we realized that we were both interested in 'doing something' about the street children phenomenon in Cairo. This is how the story I tell in this thesis started.

### **This Thesis**

In this thesis, I reflectively narrate and report on fieldwork undertaken with Cairene street children and youth over a period of eight consecutive years (1993-2001). My primary objective is to demonstrate how this fieldwork was implemented through the articulation of a participatory action research (PAR) methodology of social development

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<sup>1</sup> For reasons of confidentiality, all the names of people and organizations, with the exception of international organizations, have been changed.

practice and research that eclectically combined street ethnography, street work and action science. In my view, this combination is particularly pertinent for intervention practice that targets excluded populations.

I did not undertake the PAR case I narrate in this writing with a view to writing a doctoral thesis, nor was any academic publication foreseen. Prior to its beginning in 1993, for more than ten years I had incorporated a PAR framework into my community organizing work in the youth sector both in Montreal and in Cairo. Indeed, an abiding interest in praxis, that is, making research an integral part of practice, has been a major professional concern on my part since the late 1970s, when I first started my career in social work, and in subsequent international development activities. I have experimented with methodologies drawn for the most part from the Southern (Frierean) PAR tradition (see chapter one), which conceives PAR as basically a grassroots practice methodology with a built-in mechanism of ongoing reflection and dialogue that serves to enlighten action, empower participants and generate experiential knowledge.

My personal bias and conviction, as will be seen, are for a conception of PAR as an open-ended process of action and participatory research incorporated into everyday activities and work with excluded, marginalized and oppressed groups. This conception differs from other conceptions that view PAR as essentially a research methodology associated with action within a limited time frame. In my view, the 'practice-biased' conception is better positioned to effectively work on the gap separating action/practice and research/theory, which is a main, if not the major, tenet in PAR.

One major purpose of this thesis is to contribute to the growing body of literature on both PAR and street children by providing *a view from the field*. The vast majority of this literature is published by authors whose roles as academic researchers have, as I shall argue, resulted in what may be a research/academia bias that tends to orient the debate significantly more toward theory at the expense of everyday practice realities, their ethical dimensions in particular. Indeed, the emerging PAR experts are academics mostly concerned with theory, a feature that may well threaten one of the main tenets of PAR—the criticism of the privileging of theoretical knowledge over practical knowledge. In this thesis, I hope to strike a balance by focusing on the ethical dilemmas that arise in day-to-day PAR practice. It is my conviction that grappling with these

dilemmas constitutes the essence of PAR as an open-ended and cyclical process of action and reflection.

As such, the narration that follows is not just about the realities of Cairene street kids;<sup>2</sup> it is equally—and probably more so—about the realities of undertaking research and action with their participation. Therefore, a dual focus will be maintained throughout the thesis: on the lived experiences of these young persons individually and collectively on the one hand, and the lived experiences shared with them by the group of practitioners on the other.

The major analytic focus in this thesis will be on the “natural history” of the PAR process as it unfolded in terms of the developmental stages and the contingencies that characterized it. In tracing these stages and contingencies and reflecting on them, the objective is twofold: to demonstrate the challenges that face PAR undertakings, and by the same token, to demystify the “promises” (Finn, 1994) of PAR, which is often presented as a panacea.

Given the processual nature of the reported PAR case and its abrupt end due to forced closure by the Egyptian Government, I did not opt for a traditional thesis format, following the sequence of introduction, methodology, findings and conclusions. Instead, I “tell the story” of a PAR process that gradually developed after two professionals and two volunteers decided to act upon their desire to ‘do something’ about Cairene street kids. The story is essentially that of a process of experiential learning. The narration follows the progression of *action* in terms of the interwoven and dynamic cyclical *acts* of interpreting, planning, anticipating, doing, experiencing, assessing and readjusting. It is a story of an undertaking that actively assumed the problematic and uncertain features of group life, the dilemmas the actors experience, and the *savoir-faire* they bring to bear in coming to terms with these dilemmas. The main endeavour narrated in the story was focused on incorporating the perspectives of participants, people’s ability to influence one another, their capacity for reflectivity and for intentional and meaningful activity. As such, the unfolding story is one of an interactive, open-ended process of participatory action research.

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<sup>2</sup> I use both terms *kids* and *street children* throughout the text. When reference is made to particular individuals, I use *kids*, and the term *street children* is reserved for references of conceptual nature. However, arbitrary use of either term is sometimes unavoidable.



## Presentation of the Chapters

In Part I, I present a critical review of PAR (chapter one) and street work (chapter two) since they constituted the two major theoretical and methodological frameworks of the fieldwork program with Cairene street kids. The review highlights the convergence in the values and assumptions underlying both PAR and street work, justifying their combination in programs targeting excluded street populations.

In chapter one, after reviewing the historical background of PAR, I examine its major assumptions and values, as well as questions of ontology, epistemology, and methodology. I then discuss the limitations of PAR and some of the critiques emanating from postmodernist/poststructuralist perspectives.

In chapter two, I attempt to organize available knowledge regarding a community organizing practice called *street work* that is little known and poorly understood with a meagre and often sporadic and sketchy literature. I start with an overview of the historical development of street work. I then examine the major parameters of street work practice before presenting the underpinnings of its present situation.

For both PAR and street work, I discuss two diametrically opposed conceptions at the two ends of a practice continuum stretching from radical/critical to instrumental/control. I discuss the discourses emanating from these two conceptions, and show that the polemic around them has been a consistent feature since their origin. Finally, I discuss two major tensions underlying this polemic and suggest a dialectical approach to analyzing and struggling with them.

In Part II, I reflectively re-construct the PAR process undertaken with the Cairene street kids. In chapter three, I start by describing the beginning, exploratory phase of the process in which street ethnography constituted the bulk of street work aiming at elucidating some of the realities of street kids and street life. I discuss these realities in chapter four, and present the conceptual framework that was developed in conjunction with them. This framework was used to inform the progression of the PAR process, the reconstruction of which I resume in chapter five. Lastly, in chapter six I present the impact of this process at the individual, collective and policy levels, and I end with a discussion of some methodological considerations and unresolved issues.

Throughout the thesis, I set aside *commentary* sections to relate and reflect on some of the ethical issues emanating from practice as well as to make links with theoretical aspects. While some of the incidents related here may appear sensationalist, my purpose in relating them is twofold: I want to document some of the situations of extreme marginality and exclusion that we came to witness, and by the same token, demonstrate the depth and complexity of the ethical dilemmas faced by practitioners. I remain convinced that the major challenge for PAR and street work practitioners who work with excluded populations is ethical in nature because of the value-laden, ambiguous, complex and uncertain character of many of the situations in which they become involved, and for which established academic knowledge is of limited use, and which, in addition, often require an immediate response. Hence, PAR and street work practitioners constantly need to construct their own experiential knowledge based on the artistry, spontaneous and intuitive *savoir-faire* that they bring into their practice. Moreover, the strength of this kind of reflective, praxis-based practice resides in its ability to tackle ethical dilemmas with a dialectical sensitivity that helps to elucidate the issues that emanate from within the grey zones of practice and to avoid the trap of Manichean moralism.

### **Institutional Frameworks**

In the summer of 1993, after agreeing with Dr. Said to 'do something' about the street children phenomenon, I started exploratory fieldwork in the streets of Cairo with the help of two volunteers, Samir (male) and Ranya (female), whom I identified through informal networks. In December of the same year, a UNICEF grant to SDC was used to conduct a situation analysis of the street children phenomenon.

Samir, Ranya, and Dr. Said continued to be involved throughout the eight years of the PAR process described in this thesis. Fieldwork was conducted under the umbrella of *The Egyptian Association for Development* (EAD) until *The Egyptian Association to Support Street Children* (EASSC) was set up in 1998 as a non-profit NGO to specifically target street children and youth. Throughout the process, Dr. Said acted as technical advisor to the project, Samir and Ranya headed up the street worker-researcher team, and I was the project leader. Additional workers were recruited

beginning in 1996, and the average number of workers was maintained at five, with very little turnover.

International donor agencies, including UNICEF, CIDA, Oxfam-GB, Médecins sans Frontières, the Ford Foundation and several European embassies in Cairo offered grants and in-kind support to EAD and EASSC. From their response to our proposals and work, it was clear that they liked and appreciated our program.

In April 2001, the process was abruptly brought to an end when EASSC was dismantled by a decree issued by the local governor under whose jurisdiction it was formally registered. In the decree, the governor stated that the decision was made upon recommendation from the Ministry of Insurance and Social Affairs (MISA), which had allegedly observed 16 administrative and financial infractions in EASSC's registries. EASSC went to court to contest the decree. The case is still being reviewed.

**PART ONE**

**THEORETICAL AND METHODOLOGICAL FRAMEWORK**

## Introduction to Part One

In this first part of the thesis, I discuss the theoretical and methodological framework that informed the implementation of the PAR case which targeted street kids in Cairo, Egypt, and which I narrate in the second part. In the following two chapters, I review two methodologies of social action/practice and research—participatory action research and street work—which, in combination with street ethnography and action science, were used in an eclectic manner to implement the PAR reported in this thesis. I refer to street ethnography and action science in chapter two as essential parameters in critical street work.

In the review that follows, I trace the origin of these two methodologies. I specify the context in which they emerged, and I examine their historical development. This emphasis on the historical background reflects my belief, in line with Germain and Hartman (1980, p. 323), that the sense of continuity in the historical content “can advance the formation of a sense of professional identity” and “can lend perspective and depth to understanding the ideological struggles within contemporary social work practice”.

My objectives are twofold: firstly, to demonstrate the convergence in the values, assumptions and *raison d'être* of the emancipatory versions of PAR (Freirean/Southern) and (critical) street work methodologies that justifies their combination in programs targeting excluded street populations; and secondly, to add historical and analytical elements to the rather meagre (especially with respect to street work) body of literature concerning these two methodologies. These elements along with the practice demonstration in part II, are intended to add further legitimacy to the (marginal) emancipatory versions of PAR and street work associated with critical thought.

## Chapter 1

### PARTICIPATORY ACTION RESEARCH

#### Introduction

For a profession like social work, committed to social justice and to the empowerment of oppressed and excluded social groups, participatory action research (PAR) seems, in the eyes of many social workers and researchers, consistent with this commitment (Finn, 1994, Healy, 2001; Reason, 1994; Sohng, 1996). Indeed, PAR is now endorsed as an alternate methodology able to respond to the philosophical and methodological challenges facing contemporary social work and research regarding the need for development of effective strategies for social inclusion (Healy, 2001; Reason, 1994).

Authors addressing the general topic of participative inquiry, including PAR, usually acknowledge the fact that it refers to many diverse definitions, concepts, practices, and typologies of research and action/practice endeavours conducted in different disciplines and fields of study. Trying to put some order into this diversity requires such a high degree of energy that many researchers opt instead to devote their efforts to the practice of PAR, arguing that it is too early to define its reality.

Indeed, there are many approaches to participative inquiry: participatory research, feminist research, collaborative research, appreciative inquiry, action inquiry, co-operative inquiry, critical ethnography, applied anthropology, transformative research, empowerment research, research partnerships, action research, critical action research, participatory action research, and others. These approaches are often based on quite different premises, and emphasize different aspects of the participatory inquiry process. Within a given approach, one is likely to encounter different communities of researchers who represent their work in different ways. Moreover, the degree and quality of articulation in theory and practice differ significantly from one approach to another. According to Reason (1994), the lack of a unified and/or clear position regarding the relationship between the action and research dimensions in participatory research may very well be the source of the ambiguity and vagueness that characterize the various descriptions of the interactions between these two fundamental dimensions.

Many scholars refer to participatory research (PR), action research (AR), and participatory action research (PAR) as a general paradigm that assumes that communally generated knowledge should be dedicated to transforming unjust and oppressive social relations confronting the community. However, a close examination of the literature reveals that there is strong resistance to assimilating all action-oriented research into one category and thereby undermining the difference in the degree of their politicization. McTaggart (1991) observes that PAR has been used as a way to improve and inform a wide spectrum of socio-economic and cultural practices in such a variety of fields that it has come to mean different and sometimes contradictory things to different people. McTaggart (1991, p. 168) also argues that the misuse of the term *participatory action research* is not only due to a lack of understanding, "but also because there are attempts to represent research deliberately as inspired by communitarian values when it is not."

In this chapter, I conduct a critical review of PAR literature. I begin with a brief historical review of the origin of AR and PAR in both Western and Third World contexts,<sup>3</sup> highlighting their differences. I then examine the major assumptions and values underlying the PAR tradition that emerged in the South, as well as issues related to ontology, epistemology, and methodology, before discussing its limitations. Lastly, in the commentary section, I draw upon my own experience as a PAR practitioner to reflect on these limitations.

## 1. HISTORICAL BACKGROUND

In tracing the origin of action research, many authors refer to the early fieldwork of Engels and his alignment with the working classes of Manchester. They also refer to Karl Marx's (1818-1883) *L'enquête ouvrière* and his use of "structured interviews" with French factory workers as a type of AR since it made the workers reflect on their living conditions (Marx, 1979). For a more recent history, some writers consider John Dewey (1859-1952), who developed a philosophy of pragmatism (Dewey, 1916, 1938), as the founder of the first generation of action researchers that emerged after the First World War. However, it is the social psychologist Kurt Lewin who is generally acknowledged as the father and inventor of the term *action research* (Argyris and Schön, 1989;

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<sup>3</sup> The term *third world* that was used before the collapse of the communist block to refer to what is known today as *developing countries* and/or *southern countries*.

Barbier, 1996; Brown and Tandon, 1983; Fals-Borda, 1991; McTaggart, 1991; Zuniga, 1981).

### 1.1 The Lewinian Tradition

Kurt Lewin (1890-1947) was a specialist in Gestalt Psychology at the *Frankfurter Schule* when, in 1933, he fled from mounting Nazism and took refuge in the United States, where he became an American citizen and developed the concept of *action research*. He suggested that "causal inferences about the behaviour of human beings are more likely to be valid and enactable when the human beings in question participate in building and testing them" (Argyris and Schön, 1989, p. 613). This is possible, he argued, through the creation of "an environment in which participants give and get valid information, make free and informed choices—including the choice not to participate—and generate internal commitment to the results of their inquiry" (Argyris and Schön, 1989, p. 613). The essence of Lewin's thought is perhaps best summarized in the well-known saying commonly attributed to him and passed on by his followers, to the effect that "there is nothing so practical as a good theory" (Brown and Tandon, 1983, p. 281).

Lewin's originality resides mostly in his suggestion that the best way to learn about social systems is to try to change them through action (Lewin, 1946). His determination to make the researcher a practitioner outside of university walls was considered unscientific, and he was severely criticized.

Lewin described AR as a process that begins when a group of people decide that there is need for some kind of change or improvement regarding a specific problem area of shared concern. The group then decides to work together through a spiral process in which every cycle is composed of analysis, fact-finding, conceptualization, planning, implementation, and evaluation. This process not only helps in solving problems, but it also generates new critical knowledge about the situation itself. Participants play increasingly important roles in determining necessary adjustments and future directions. Group decision and commitment to improvement are crucial ideas in Lewin's approach. This implies that change comes from within the group, and that solutions are not imposed from outside. Furthermore, the deliberate overlapping of action and reflection requires flexible and responsive action plans that can be changed as people learn from their own experience. This reflects Lewin's conviction that the complexity of social



situations precludes the possibility of anticipating everything that needs to be done in practice.

Since Lewin did not prescribe a rigid set of procedures for AR, contemporary action-oriented researchers still vigorously debate what really defines and constitutes this approach. The AR tradition, developed by his students and followers after his premature death in 1947, has for the most part been associated with private industry, organizational development, and more recently, with the work of scholars in the disciplines of education, agriculture, and human development (Small, 1995). This Lewinian tradition of action research, articulated around the concept of group dynamics developed in the United States, is often criticized for reducing the larger implications of Lewin's insight: "Practice-oriented scholars became so client-centred that they failed to question how clients themselves defined their problems and they ignored the building and testing of propositions and theories embedded in their own practice" (Argyris, 1983, p. 115). Whyte's (1987, 1989) research model for organizational development is often used as an example to demonstrate how an action research model based on a participatory strategy can serve to reinforce and perfect the status quo. Furthermore, critics of the Lewinian tradition argue that the overemphasis on group and interpersonal dynamics, efficiency and effectiveness of task accomplishment, common values, social integration and incremental problem-solving approaches has not only reduced the scope of his insights about the relationship between theory and practice and the social use of science. It has also helped to perpetuate the culture of consensus social theories of affluent nations as a basic ideological assumption (Brown and Tandon, 1983; Fals-Borda, 1991; Finn, 1994; Zuniga, 1981).

According to Kemmis (1993, p. 2), the emancipatory insight of Lewin's AR is congruent with the fact that he was strongly influenced, prior to his departure to the United States, by Moreno, the inventor of group dynamics, sociodrama and psychodrama, who "was interested in research as a part of social movement", and "had already developed a view of action research in which the 'action' was about activism, not just about changing practice or behaviour understood in narrowly individualistic terms".

Kemmis (1993, p. 2) further argues that a view of this kind could not be advocated in the United States in the late 1940s and early 1950s, in light of the

escalating concern about Marxism and communism that provoked “self-censorship among leftist scholars”. Indeed, as we will see below, the connection between action research and social movements was to be articulated outside the United States.

## 1.2 Radicalization of the Lewinian Tradition

In his historical review of AR, Barbier (1996) acknowledges both the contribution of Lewin and the reduction of his ideas by his followers in the United States. However, Barbier argues that since the beginning of the 1970s there has been a gradual and sustained radicalization of AR, led by researchers in Europe and Canada. For Barbier, the term radical, when used in conjunction with AR, refers primarily to the status of the practitioner, that is, the more the practitioner becomes a researcher while remaining a field worker, the more radical the AR approach becomes. This radical view represents what Barbier and others regard as an epistemological break from traditional positivist research: action research is the science of praxis carried out by positioned and politically aware practitioners in the very context in which they are working.<sup>4</sup> The objective of research is viewed as the elaboration of the dialectics of the action with the participation of the concerned group, with a view to radically transforming the social reality at hand and improving the wellbeing of individuals and groups.

However, Barbier notes that we are still far from witnessing a universal radical AR approach. Indeed, in his view, the existing diversities make AR look chaotic, and the proliferation of writing about AR has reduced it to a sort of “sociological gadget”. Yet Barbier sees this current manifestation as a step on an evolutionary process towards the consolidation of the epistemological break, favouring the emergence of existential, integral, personal, and collective AR.

Although Barbier's historical review of the AR tradition is generally coloured with the ‘who is more radical’ syndrome, he does acknowledge a wide variety of contributions and influences from different thinkers and schools. However, this acknowledgement only briefly mentions the contribution of Third World thinkers and activists by citing the names of Freire and Fals-Borda. Barbier is not alone in undervaluing the contribution of Third World intellectuals and activists to the

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<sup>4</sup> I discuss praxis in more detail in sec. 2.4.

development of AR. Indeed, this absence is a common feature of the literature. One of the aims of this chapter is to remedy this deficiency.

### 1.3 Action Research in the Quebec Context

In Quebec, according to Groulx (1997), a radical approach to AR emerged in the early 1980s as a new discourse about social work methods. The then prevailing impasses in social work practice, education and research were attributed to the profession's state of dependence on the so-called "fundamental disciplines" (Groulx, 1997, p. 25). This new discourse called for the liberation of social work from the confines of the applied sciences, hand in hand with the assertion of its disciplinary independence. The specific methodology named AR was identified as the vehicle for the development of disciplinary knowledge specific to social work and articulated through practice models.

Epistemologically speaking, the new discourse, as Groulx (1997) notes, held that knowledge about social work intervention should be produced from within the process of action itself, that is, from intervention practice. Accordingly, research in social work could not be thought of in terms of applied research anymore. Instead, since social work intervention was viewed as having its own logic and operational rules, the research task became that of elucidating and reconstructing action theories and practice models generated and produced in the course of intervention practice itself. In Groulx's view, this is nothing less than a science of praxis.

In accordance with this new perspective, Groulx (1997) points out that the spontaneous knowing of practitioners was no longer perceived as an obstacle to truth about social realities. Rather, a priori disciplinary knowledge was accused of being fragmented, reductionist, and poorly adapted to the complex realities of practice. The subjective experience of practitioners was no longer presented as a source of error and bias, and was accorded a positive epistemological status. Practitioners came to be viewed as indispensable actors in the new process of knowledge production that validated theories emanating from practice experience, theories which were considered to be rich and conducive to the development of practice models.

Lastly, Groulx (1997) remarks that the implementation of the AR methodology required academic researchers to form an alliance with practitioners and to view them as co-investigators. In this new perspective, the hierarchical pattern typical of traditional

research was replaced by more egalitarian, reciprocal relationships intended to promote a dynamic and dialectical rapport between research and action. The research process was thus geared towards the promotion of the very same principles governing social work intervention, in which the client is attributed an active role and the practitioner is allied with the client to negotiate problem-solving strategies. Similarly, the action researcher would now view the practitioner as an active agent with whom a solidarity relationship was to be established in order to negotiate the focus of the research process. Presumably, the status of the practitioner and the client alike would acquire more authority.

#### **1.4 Contribution of the Third World**

Another school of action-oriented research emerged from work with disenfranchised groups in the Third World (Brown, 1985; Fals-Borda and Rahman, 1991; Finn, 1994; Hall, 1981, 1992; Healy, 2001; Petras and Porpora, 1992; Schapiro, 1995; Small, 1995; Sohng, 1996; Zuniga, 1981). This school shares many values and concerns with the Lewinian tradition: the sense of social responsibility and the option of using the intellectual resources of science to improve human well being (Zuniga, 1981, p. 36); the rejection of positivism as the sole philosophical conception of science (Small, 1995, p. 944); the emphasis on the value of useful and popular knowledge and on developmental change (Brown and Tandon, 1983, p. 283); the focus on research questions that have implications for action (Small, 1995, p. 948); and the active participation of those being studied throughout the research process (Finn, 1994, p. 28).

However, the two schools differ ideologically with regard to how these values can be translated into practice. Whereas the Lewinian tradition is based on Consensus Social Theory, the school emerging from the Third World assumes that societal groups have conflicting interests. Moreover, whereas the Lewinian school believes that enhanced efficiency and effectiveness improve the situation of all system members, the equitable distribution of resources and the enhancement of the self-reliance of disenfranchised groups is central to the Third World school, even at the cost of economic efficiency and growth. Furthermore, the values and ideology of the Third World school (empowerment, equity, self-reliance, and commitment to the interests of local participants) often entail challenging oppressive political and social arrangements, with the result that the research group is often positioned in opposition to dominant and mainstream forces.

Following the tradition observed in English literature, the term AR will be used to refer to the Lewinian school, and the term PAR to refer to the Third World (Southern) tradition. It should be noted that only one term is usually used in the French-language literature: *recherche-action*. However, the literature acknowledges the distinction between the two main schools discussed here, usually referring to the Third World tradition as “radical” and the Lewinian tradition as “non-radical”.

Paulo Freire is generally considered to be the father of PAR (Finn, 1994; Gaventa, 1993; Hall, 1981; Selener, 1997). The ideas expressed in *Pedagogy of the Oppressed* (Freire, 1970) and the concepts of *liberating dialogue* and *critical consciousness* developed in *Education for Critical Consciousness* (Freire, 1973) continue to be basic tenets of the type of PAR that maintains a focus on power and its relationship to knowledge production. Freire's understanding and commitment to praxis, as well as his attempt to develop a methodology for involving disenfranchized people as researchers seeking answers to questions raised by their daily struggle and survival, were guided by his grassroots field immersion for many years. His influence has not been limited to Latin America. Since the early 1960s, radical intellectuals quickly spread the model of liberatory pedagogy throughout the developing world. Taking a stand on the side of the poor and powerless, these intellectuals found in Freire's concept the possibility of espousing reflection and practice so as to promote personal liberation, political mobilization, and social transformation.

Indeed, the Third World's contribution to the development of participatory research is quite significant. According to Hall (1981, p. 8), by the late 1950s and early 1960s, the dominant positivist research paradigm had been extended to the Third World “through elaborate mechanisms of international scholarships, cultural exchanges and training of researchers in Europe and North America”. Reactions from the Third World, beginning in Latin America, took many forms. Dependency theorists (see Amin, 1974, 1977 and Said, 1994) unravelled the mechanism of economic and cultural dependency, as well as its contribution to the maintenance of existing class distinctions and to the aggravation of the problem of poverty. At the grassroots level, young scientists from the First World working as development experts in Africa, Asia, and Latin America experienced difficulties when trying to apply their research methodologies in the Third World context. They realized that, by placing the control of the production and

distribution of knowledge in the hands of experts, their techniques and methods were actually reinforcing the imposition of the Western development model with all its contradictions (Park, 1992, p. 33). These international development workers also realized that their local assistants were more effective in gathering pertinent data from the targeted groups as they were able to resort to methods deeply rooted in cultural contexts that promoted the communal sharing of knowledge. Thus, the orientation towards more reliance on local knowledge, experience, wisdom and skills began to surface as a valid and justified approach. In this sense, the PAR tradition emerged within the context of resistance to the imposition of the Western model of research and development on the Third World.

According to Hall (1981), while PAR was being developed in the South (Latin America, Africa, and Asia), critiques of positivist research paradigms were becoming increasingly articulate and loud in the North, leading to the emergence of action- and participatory-oriented methodologies. Solidarity and collegial networking with Southern researchers were established. However, Hall deplores the fact that the majority of writers in the North often neglect the contribution of the South when tracing the origins of participatory research. Fals-Borda (1991) goes further, arguing that the lack of clarity of the different theoretical positions with respect to PAR today could be largely remedied if due attention were paid to earlier attempts in the Third World (since the early 1960s) to construct PAR philosophy and techniques with a view to producing and remaking science and knowledge.

### **1.5 Review of Participatory Action Research in the Third World**

Muhammad Anisur Rahman and Orlando Fals-Borda are acknowledged in the literature as two influential PAR authorities from the South (Colombia) whose contribution, both to the field and to the international intellectual arena, is highly regarded (Hall, 1992; Park 1992; Reason 1994; Small, 1995). In a book, which they edited and to which they contributed several chapters, they review the Southern PAR school since its emergence in the early 1960s (Fals-Borda and Rahman, 1991). They firmly situate it within the long tradition of liberationist movements: "Those who adopted PAR have tried to practice with a radical commitment that has gone beyond usual institutional boundaries, reminiscent of the challenging tradition of Chartists, utopians and other social

movements of the nineteenth century" (Fals-Borda and Rahman, 1991, p. vii). Their theoretical discussion is "written also with a view to undertake a dialogue with academic scholars and in particular those who consider themselves 'post-modern'" (p. viii).

The authors describe their initial work (from the early 1960s until 1977) as an iconoclastic period characterized by an activist and anti-professional bent, with the organization of a political party in mind. In March 1977, at the time of the World Symposium on Action Research and Scientific Analysis in Cartagena, Colombia, this early activism and radicalism gave way to a period of self-assessment and reflection (Fals-Borda and Rahman, 1991, p. 25). A revision was undertaken, resulting in the discovery of Gramsci and in the rejection of the then dominant interpretation of historical materialism, which viewed social transformation largely as the task of an intellectual vanguard supposedly having a more "advanced" consciousness than that of ordinary people. It was also realized that although vanguard parties from both the left and the right might have produced structural changes in some situations, they had also instituted new forms of domination over the people (Rahman, 1991, p. 13).

Accordingly, a new radical analysis emerged, one that maintained that "domination of masses by elites is rooted not only in the polarization of control over the means of material production but also over the means of knowledge production, including control over the social power to determine what is useful knowledge" (Rahman, 1991, p. 14). It then became necessary to tackle simultaneously the two gaps since history had demonstrated that decreasing disparity in access to the means of material production did not ensure a similar reduction in disparity in knowledge relations. On the contrary, it triggered new processes of domination (Rahman, 1991, p. 14).

The new challenge for PAR is to produce a countervailing liberating knowledge "upon which to construct power . . . for the poor, oppressed and exploited groups and social classes" (Fals-Borda, 1991, p. 3). Gramsci's proposal to convert common sense into good sense becomes the basis for the production of this new emancipating collective knowledge. Committed intellectuals and the base groups come together as equals in a process of research and social action, whereby each group contributes its knowledge, techniques, and experiences, forming what Fals-Borda calls a subject/subject relationship. The dialectical tension produced in this joint venture results in the synthesis of a new liberating knowledge combining the intellectual's critical rationality with the

common sense and cultural values of the people. Knowledge thus produced is not offered to the dominant elite for consumption. Rather, the people themselves use it to acquire more power in their struggle for a more just social structure.

Fals-Borda and Rahman are perfectly aware of the fact that since they made the statements above, PAR has been gaining recognition, and many officials and researchers have begun to claim that they are working with PAR, whereas, in fact, they are co-opting it as a methodology and a jargon, without subscribing to its ideology. Despite Fals-Borda and Rahman's worries with regard to this seemingly unavoidable appropriation and co-optation, they refuse to be PAR "watchdogs", to decide what is and what is not authentic PAR (Fals-Borda 1991, p. 162).

In the foregoing, I have broadly reviewed the contexts within which two different traditions of PAR—the Lewinian and the Southern—have emerged. In reality, it is common to encounter PAR undertakings that borrow from both schools, in addition to those that clearly adhere to one or the other. The remainder of this chapter discusses the Southern tradition of PAR and its influence on critical/progressive social work and development.

## 2. VALUES AND IDEOLOGY IN PARTICIPATORY ACTION RESEARCH LITERATURE

The basic ideology of PAR is that a self-conscious people, those who are currently poor and oppressed, will progressively transform their environment by their own praxis. In this process others may play a catalytic and supportive role but will not dominate.

(M. A. Rahman, 1991, p. 13)

PAR's main assumptions and values are consistent and convergent with the tenets of critical theory and progressive forms of social work practice and research (DePoy et al, 1999; Healy, 2000, 2001).<sup>5</sup> Commitment to genuine socio-political change, coupled with a strong belief in the capacity of participants, through collective and conscious actions, to influence the political and economic factors that shape their existence are pillars in

<sup>5</sup> According to Burrell and Morgan (1979, pp. 283-4), critical theory represents "a sociological thought built explicitly upon the work of the young Marx . . . [and] seeks to operate simultaneously at a philosophical, a theoretical and a practical level . . . While critical theory usually refers to the work of the Frankfurt School of social theorists, the latter, Burrell and Morgan maintain, owe much to the work of Gramsci, and it is for this reason that they include him among the most influential critical theorists.



both critical theory and PAR. This emancipatory view is believed to be conducive to greater social justice.

## 2.1 Criticizing Positivist Epistemology

Whereas "the orthodox scientific worldview is the product of the Enlightenment and represents a liberating step for human society in releasing itself from the bonds of superstition and Scholasticism", it has led us "to narrow our view of our world and to monopolize knowing in the hands of an elite few . . . [and] to place the researcher firmly outside and separate from the subject of his or her research, reaching for an objective knowledge and for one separate truth . . . There is an emerging worldview, more holistic, pluralist, and egalitarian, that is essentially participative . . . [that] sees human beings as co-creating their reality through participation: through their experience, their imagination and intuition, their thinking and their action" (Reason, 1994, p. 324). In these few lines, Reason succinctly summarizes the position held by PAR practitioners. Although the merits of traditional science are not denied, a growing number of scholars and practitioners strive to go beyond the limitations of positivism, which may be appropriate for natural sciences, but not for social sciences.

Since PAR practitioners work for the most part with communities subordinated by the dominant culture, they are critical of non-participatory research that serves the interests of this dominant culture by monopolizing the production and use of knowledge to the disadvantage of the communities in which the research takes place. Tandon (1982, 1989) developed a four-point critique of the monopolist research to which most PAR proponents subscribe: absolutist, purist, rationalist and elitist. The "absolutist" critique emphasizes that pure knowledge cannot be generalized across contexts, thus its creation cannot be the aim of social research. The "purist" critique condemns the drive for objectivity and value-free research, and claims that a separation between researcher and subject (in order to uphold academic rigour) only maintains the researcher's full control. The "rationalist" critique asserts that the classical research paradigm overemphasizes thinking as an objective means of knowing, at the expense of feeling and acting. Finally, the "elitist" critique challenges the exclusive accessibility of the dominant research paradigm to elite professionals, with the result that their research only serves the economic and ideological interests of their class.

## 2.2 Knowledge and Power

As we have already seen, the Southern tradition emphasizes the political aspect of knowledge production. Those involved in the PAR process understand that knowledge for social change is produced in a context of unequal power relations (Gaventa, 1988, 1991). The definition of ordinary people's problems and decisions affecting their lives are based on scientific/expert/specialist knowledge. This knowledge is heavily loaded with technical terminology, jargon and specialized language of argumentation unfamiliar to ordinary people, who are thereby excluded from the debate. Expert representations are so powerful that people often end up internalizing the dominant constructions and discounting their own experience (Sohng, 1996).

This analysis demonstrates the importance of understanding the role of knowledge as a key instrument for maintaining control and power. Therefore,

the PAR tradition starts with concerns for power and powerlessness, and aims to confront the way the established and power-holding elements of societies world-wide are favoured because they hold a monopoly on the definition and employment of knowledge (Reason 1994, p. 328).

This starting point aims at establishing an understanding of both oppressive and enabling sources of power. However, inasmuch as understanding of this kind requires an appreciation of history, PAR strives to be contextualized in the socio-political environment in which it is conducted and in the historical conditions that culminated in the situation under study (Freire, 1970; Fals-Borda and Rahman, 1991). PAR's goal is to go beyond official and elitist constructions of history in order to allow the multiple histories of people's cultural and daily life expressions to surface.

## 2.3 Participatory Action Research is People-centred

If I perceive the reality as the dialectical relationship between subject and object, then I have to use methods for investigation which involve the people of the area being studied as researchers, they should take part in the investigation themselves and not serve as the passive objects of the study.

(P. Freire, 1982, p. 27)

Participatory action researchers challenge top-down approaches to knowledge production, and seek to promote egalitarian relations in the research process (Brown and Tandon, 1983). They refuse to separate themselves from the research subjects and they

join in as committed participants in a process of co-learning that honours and values the knowledge and experience of oppressed people. The wisdom of "organic intellectuals", whose critical awareness is grounded in personal experience, is a valued source of knowledge (Gramsci, 1987, 1992). A major point of departure is thus the lived experience of people and the idea that through the actual experience of something, we may "intuitively apprehend its essence; we feel, enjoy and understand it as reality" (Fals-Borda and Rahman, 1991, p. 4).

PAR values people's knowledge. It aims to foster their ability to conduct research and to appropriate knowledge produced by the dominant knowledge industry and to use it for their own benefit. It encourages them to explore problems from their own perspective, and, significantly, it liberates their minds for critical reflection and inquiry. In this way, PAR contributes to the development of freedom and democracy (Tandon, 1989).

## 2.4 Participatory Action Research is about Praxis

Praxis was one of the first articulating concepts of the PAR movement. Proscribed as unscientific by positivists, it has from the beginning had the advantage of moving away from those schools where practice means technological manipulation or social engineering of humans, and instrumental control of natural and social processes.

(O. Fals-Borda, 1991, p. 156)

Antonio Gramsci (1891-1937), an Italian Marxist, critical theorist and political activist, is generally acknowledged to be the major influence on the development of praxis as a political methodology for work with the oppressed (Burrell and Morgan, 1979; Fals-Borda and Rahman, 1991). Gramsci's thought was focused on introducing a subjective element into orthodox Marxism by stressing the capacity of the oppressed to develop a critical consciousness that represents a concrete force for a political end. In so doing, Gramsci reconnected with the young "radical humani" Marx before the epistemological break in the latter's thought that led him move towards "radical structuralism" (Althusser, discussed in Burrell and Morgan, 1979, p. 282). By merging elements of structure and consciousness, Gramsci made a strong plea for integrating the subjective and objective aspects of experience by calling for a dual focus on the structural situation and on people's subjective experience.

One major implication of this renewal with the young Marx is that transformation could no longer be perceived as the sole task of an intellectual vanguard supposedly having a more “advanced” consciousness than that of ordinary people. Instead, the path to transformation is through praxis-based modes of inquiry in which the intellectual’s critical rationality works in a dialectical tension with the common sense and cultural values of the people, which results in the synthesis of a new liberating knowledge.

Praxis-based research suggests an ongoing process of engagement, adult education, communication, action and reflection. The objective of this process is to produce, with a group of people, knowledge and action that are directly useful to them in terms of empowerment and liberation. As such, a praxis-based approach attempts to unify theory and practice by suggesting that “one cannot ‘do’ theory or practice in isolation; rather, it is a reflexive process of learning by doing and doing by learning” (Ife, 1999, p. 220).

The above account on PAR values and ideology must now be situated within the ontological, epistemological, and methodological frames of reference.

### **3. ONTOLOGY, EPISTEMOLOGY AND METHODOLOGY**

Before presenting the way in which PAR theorists address ontological, epistemological, and methodological questions, a word of caution is necessary. These questions are usually raised in conjunction with the construction of paradigms, that are, basic belief sets that represent a worldview regarding the nature of reality: what can be known and how to go about finding it. While it is true that PAR does hold some beliefs regarding these issues, as will be shown below, its proponents—especially those in the Third World—refuse to think in terms of building a new paradigm. As Fals-Borda (1991, p. 162) puts it: “The participatory approach to producing and remaking knowledge would go so far as to accept the general epistemic change in the overall nature of its search, short of claiming that PAR is a new paradigm or is building one on purpose”. This aversion to paradigm-building reflects a basic refusal to play the role of

watchdogs of the new knowledge to decide what is scientific and what is not. It would mean playing the same game of intellectual superiority and technical control that we have been challenging in the academic world. Perhaps we should be content to follow Foucault (1980) and develop a more modest conceptual systematization of heretofore

'subjugated knowledges' as a more stimulating and creative task (Fals-Borda 1991, p. 162).

Accordingly, the alternative to paradigm-building is an insistence on viewing PAR as a rigorous search for knowledge in the context of

an open-process of life and work . . . a progressive evolution ended toward an overall, structural transformation of society and culture, a process that requires ever renewed commitment, an ethical stand, self-critique and persistence at all levels. In short, it is a philosophy of life as much as a method (Fals-Borda and Rahman, 1991, p. 29).

In the following discussion, I will examine how critical researchers treat PAR's philosophical assumptions in general without dogmatically adhering to a particular paradigm or insisting on constructing a new one.

### 3.1 Ontology

PAR's ontological position is quite different from the positivist one, which assumes that a 'real' and 'factual' reality exists independently of the observer. From a positivist perspective, this kind of reality can be apprehended rationally and knowledge of the true state of affairs can be achieved through objective inquiry. This basic ontological posture is criticized as being both reductionist and deterministic (Hesse, 1980).

According to Reason, PAR's ontological position is well expressed by Freire:

The concrete reality for many social scientists is a list of particular facts that they would like to capture . . . For me, the concrete reality is something more than isolated facts. In my view, thinking dialectically, the concrete reality consists not only of concrete facts and (physical) things, but also includes the ways in which the people involved with these facts perceive them. Thus in the last analysis, for me, the concrete reality is the connection between subjectivity and objectivity, never objectivity isolated from subjectivity (Freire in Reason, 1994, p. 332).

This is a relativist ontology that maintains that there is no unique, pre-existing 'real' world independent of human mental activity and human language (Guba and Lincoln, 1994). Reason (1994, p. 333) notes that advocates of this ontological position draw on several philosophers (Dewy, Habermas, Maxwell, Skolimowski, etc.), for whom constructions of reality are manifested not only through the mind, but also through the reflective action of individuals and groups.

This relativist ontological position maintains that "valid human inquiry essentially requires full participation in the creation of personal and social knowing" (Reason, 1994, p. 332). In this light, dialogue becomes a key notion

because it is through dialogue that the subject-object relationship of traditional science gives way to a subject-subject one, in which the academic knowledge of formally educated people works in a dialectic tension with the popular knowledge of people to produce a more profound understanding of the situation (Reason, 1994, p. 328).

### 3.2 Epistemology

There appears to be no consensus regarding the epistemological dimension of PAR. Whereas some writers maintain that there are significant epistemological differences between the various action-oriented research approaches (Barbier, 1996; Goyette and Leesard-Hebert, 1987), others argue that the differences are negligible (Reason, 1994; Small, 1995).

Goyette and Leesard-Hebert (1987) strive to demonstrate that there are different epistemological positions that can be identified within the various approaches to AR. Some, they argue, do not stand in radical opposition to positivism. They base their case on the fact that some action-oriented research, inspired by the work of Argyris and Schön, includes the experimental method as one of the possible research strategies. However, this line of argument is flawed. On the one hand, the authors confuse issues of methodology and epistemology. On the other hand, they do not capture the essence of the concept of *experimentation-in-action* developed by Argyris and Schön (1974), which is not a replica of traditional hypothesis testing. Goyette and Leesard-Hebert only refer indirectly to Argyris and Schön through their interpretation of St-Arnaud (1992). It is beyond the scope of the present dissertation to present the concept of *experimentation-in-action* as developed by Argyris and Schön. Suffice it to say that these two authors maintain that reflection-in-action and experimentation-in-action can be the new foundations of an action-science that breaks with the "rational technicality" of mainstream positivism.<sup>6</sup>

Small (1995) argues that those action-oriented researchers who adopted a positivist epistemology for some time quickly realized that their methods and research agenda

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<sup>6</sup> I discuss in more detail the works of Argyris and Schön in chapter two, sec.2.3.

were not congruent with this paradigm, and as a consequence, they shifted to a post-positivist position. However, above and beyond the debate of whether some action-oriented research espouses a positivist paradigm or not, Small (1995) believes that the common critique and stand against dominant positivist philosophical conceptions has made most action-oriented researchers particularly sensitive to and aware of epistemological issues and their implications for conducting research. They strongly argue, as we have seen, that logical positivism is just one theory of knowledge creation, one that is neither unique nor the best. Furthermore, action-oriented researchers make a strong plea for an alternative epistemology that is to a large degree a reversal of the positivist conception of knowledge. Firstly, it calls for clarifying and positioning the relationship between epistemology and ideology, and between knowledge and power:

If an inquiry is primarily engaged in service of a dominant class it will not need to dialogue with people; it is not interested in their reality, but rather in imposing on them a dominant reality...If an inquiry is engaged in the service of the development of people, it will necessarily engage with them in dialogue (Reason, 1994, p. 333).

This claim stresses the importance of recognizing the value of popular knowledge, common sense and wisdom, and intuitive learning.

Secondly, the new epistemology strives to understand the complex world of lived experience from the point of view of those who live it. Major emphasis is placed on the fundamental importance of experiential knowing, developed within the framework of an existential concept of experience. Research is no longer viewed as the study of people who are regarded as passive objects of inquiry and unaffected by the research process. Instead, the research object is conceived as a situation in which human subjects are actors who play a critical role and are capable of undertaking self-reflection regarding their world and their action within it (praxis).

Thirdly, by embracing the idea that experiential knowledge arises through participation with others, action-oriented research believes that people can and should participate in the identification of their own problems, the analysis and interpretation of these problems, and the generation of relevant knowledge (Reason, 1994; Small, 1995). Thus, participation gives rise to experiential knowing and helps to break up the relationship of subordination by transforming the relationship between the researcher

and the researched into subject-subject rather than subject-object. In other words, knowledge is created in the interaction between investigators and respondents.

A fourth philosophical difference concerns the aim of inquiry. From a positivist perspective, the aim is to produce, through verified hypotheses established as facts or laws, a universal explanation (prediction and control) primarily based on cause-effect linkages (Guba and Lincoln, 1994). Action-oriented research places more emphasis on the specific situation or context and less emphasis on universal laws because the primary concern is to create change that will benefit those who are being studied. Moreover, unlike positivist research, which is concerned with describing 'what is' and refrains from proposing what 'should be', action-oriented researchers believe that any scientific endeavour is value-laden and that judging the morality of proposed solutions to social problems cannot and ought not be avoided.

Finally, the objective of promoting the interests of those being studied leads action-oriented researchers to place considerable emphasis on the ethical implications of the research study. Unlike positivist researchers, whose primary ethical concern is that subjects consent to be studied and are neither harmed nor deceived, action-oriented researchers believe that using the subjects for the exclusive gain of researchers is exploitative. Thus, not only do they maintain that subjects should gain from the research results and implications, but they are also concerned with how the actual process of research affects the individuals and systems studied.

Thus, concerns for epistemology and ontology appear to be secondary to the primary concern of confronting "the way in which the established and power-holding elements of societies world wide are favoured because they hold the monopoly on the definition and employment of knowledge" (Reason, 1994, p. 328).

### 3.3 Methodology

PAR is a methodology for an alternate system for knowledge production based on the people's role in setting the agendas, participating in the data gathering and analysis, and controlling the use of the outcomes. The PAR methodology may use diverse methods, both qualitative and quantitative, to further these ends, many of which will derive from vernacular (often oral) traditions of communication and dissemination of knowledge.

(P. Reason, 1994, p. 329)



In general, methodological issues are examined within a context that goes beyond the sterile debate over the relative merits of quantitative and qualitative methods. While neither of these methods is viewed as a priori unacceptable, the tendency towards qualitative methods seems to reflect a desire to be coherent with the ontological and epistemological positions discussed above. The connection between objectivity and subjectivity, the active involvement of participants, and the objective of capturing the depth and complexity of the particular situation under study may very well explain the favouring of qualitative methods. Moreover, most PAR researchers do not concern themselves with the limited ability of qualitative methods to produce universal facts since the immediate problem is usually the primary concern.

In keeping with the emphasis on PAR as a form of inquiry that leads to empowerment, the actual methodologies, which in orthodox research would be called research design, data gathering, data analysis, and so on, take second place to the emergent processes of collaboration and dialogue that empower, motivate, increase self-esteem, and develop community solidarity. Thus, expressive forms such as socio-drama, plays, dance, drawing and painting, and other “engaging activities encourage a social validation of ‘objective’ data that cannot be obtained through the orthodox process of survey and fieldwork. It is important for an oppressed group, which is often part of a culture of silence, to find ways to tell and thus reclaim their own story” (Salazar in Reason, 1994, p. 329).

Accordingly, a key methodological feature distinguishing PAR from other social research is dialogue. The dialogic approach differs from traditional ‘interviewing’ in several aspects. Interviewing presupposes the primacy of the researcher’s frame of reference. It offers a one-way flow of information that leaves the researched in the same position after having shared knowledge, thus ignoring the self-reflective process that the imparting of information involves. In the dialogic approach, the researcher’s sharing of his/her perceptions, questions and reflections in response to the participant’s account and the putting forward of different theories and data, invites participants to engage in explicit reflexivity. This sharing is conducive to the creation of an authentic, two-way relationship between researchers and participants, in which learning involves self-examination from a new, critical standpoint.

The vigorous debate about the limitations of the positivist epistemology discussed above has given rise to a number of alternative methodological research models that place emphasis on generating knowledge in the context of practice rather than in some artificial, experimentally-controlled setting. These models are somewhat overlapping, and are known variously as phenomenological, hermeneutic, interactional, and structural approaches (Reamer, 1993). They are neither mutually exclusive nor exhaustive, and are often used in an eclectic fashion.

Groulx (1997) dissects the basic differences between these different approaches: the analytical discourse of both the phenomenological and hermeneutic approaches is focused on the construction of the representation system and on the meaning that actors give to their action and reality. However, the two approaches use different conceptual and analytical modes of interpretation. Subjectivism in the phenomenological approach is so highly valued that actors' subjective account is systematized without trying to attribute any other meaning to it than the one given by the actors themselves (Groulx, 1997, p. 56). In other words, the researcher's vigilance consists in refuting any analytical category that is foreign to the actor's own category. The researcher's main objective is to capture, through as much description as possible, the lived experience of the subject, with a view to elaborating a thematic presentation of the collected material.

In the hermeneutic approach, the researcher seeks to go beyond the client's manifest account with the aim of constructing a meaning through a semantic analysis of the content material collected from the subject (Groulx, 1997, p. 56). In other words, the research process here leads to the construction of a meaning that is quite different from the common sense meaning offered by the subject. The latter's account is viewed by the researcher as a rhetoric in which metaphors are key elements for the apprehension of the collected account.

As for the interactional and structural approaches, Groulx (1997) maintains that they are less concerned with the construction of meaning. Instead, using the concept of strategy, they focus, on the study of the action or practice itself. Yet they resort to analytical modes of interpretation that differ from each other in ways comparable to the phenomenological and hermeneutic approaches discussed above. In the interactional approach, strategy is conceived in terms of activities and action modalities used to seek solutions to problematic situations or to cope with uncertainties. The analysis of

collected material tends to be concrete and descriptive, and due attention is given to the context within which social interactions take place.

Lastly, in the structural approach, the issue of power is central, and strategies are viewed as constructions of social relationships (Groulx, 1997, p.58). Thus, the identified socio-structural processes can account for the deployed strategies. This means that it is crucial to go beyond the description of the phenomenon in order to be able to reconstruct other levels of realities of which the actors themselves may be unaware.

The foregoing review of PAR values and ideology clearly reveals the similarities between PAR and critical theory;<sup>7</sup> the traditional distinction between ontology and epistemology is challenged by virtue of the belief that what can be known is created by the interaction between the researcher and the researched. Furthermore, as is the case with both critical theory and constructivism, the methodological question in PAR is approached with a great deal of dialectical sensitivity. Dialogue and the dialogical relationship between the researcher and the researched are perceived as dialectical in nature, producing tension conducive to a more informed and aware consciousness. Varying personal constructions are elicited and refined through interaction between the researcher and the researched, and are compared and contrasted through dialectical interchange.

PAR values and ideology also converge with moderate strands of postmodernism, such as “constructive postmodernism” (Reason, 1994a, 1994b), “resistance postmodernism” (Kincheloe and McLaren, 1994; Lane, 1999) and “affirmative postmodernism” (Rosenau, 1992) with respect to difference, relativism, subjectivity, concentration on process, and cultural sensitivity. However, there still remains a basic difference between PAR tenets and those of postmodernism, namely, PAR still strongly holds onto the idea of human rights as being fundamental to all people, regardless of culture, nationality, race, gender, etc. (the humanist project). Ife (1999, p. 216) argues that postmodern scepticism of universal principles has resulted in the construction of a relativist concept of human rights that can be used to justify the oppression of minorities in different parts of the globe, and by the same token “has not

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<sup>7</sup> Actually, some writers consider PAR to be part of critical theory (Guba and Lincoln, 1994; Healy, 2001; Kincheloe and McLaren, 1994).

been helpful to human rights activists (including social workers) seeking to protect the basic civil, political, economic, social, cultural and environmental rights of vulnerable individuals, communities and populations". Nonetheless, Ife (1999), along with Leonard (1997), Pease and Fook (1999), and Healy (2001), maintains that critical social work and development can still benefit from the insights of postmodernism without jeopardizing the claim to social justice on which their foundations rest.

As we will see, this convergence with critical theory and postmodernism has led critics of PAR to develop high expectations of its promises, which, admittedly, hinge on representing PAR as a sort of panacea.

Let us now turn to the difficult issue of the limitations of PAR.

#### 4. THE LIMITATIONS OF PARTICIPATORY ACTION RESEARCH

To facilitate the discussion of the limitations of PAR, I have grouped the major elements covered in the literature under three headings: the ideological foundation; the role of the researcher; and the nature of participation. In reality, the issues discussed under the different headings are interrelated. In the discussion below (sec. 6), I draw attention to missing elements in the literature and attempt to further problematize the limitations of PAR in a more holistic manner.

##### 4.1 Ideological Foundations

Many PAR researchers designate social change as the research objective. In so doing, they adhere to an ideology in which *power* is a central notion. They argue that by critically analyzing institutionalized power and by constructing knowledge (research) as well as action strategies (mobilization, education and training, pressure groups, setting up action projects, etc.), more power can be acquired. Unmasking the myths imposed by the power structure, creating popular knowledge that prevents those in power from maintaining a monopoly on determining the rights of others, and reinforcing the organizing potential of the base group are some of the main mechanisms that are conducive to the acquisition of more power.

But power for whom? Vaillancourt (1981, p. 69) soberly reminds us that PAR "est située quelque part dans les rapports sociaux, soit du côté des forces sociales dominées, soit du côté des forces sociales dominantes . . . [elle] peut être faite pour promouvoir les

intérêts des classes populaires dominées, soit des classes capitalistes dominantes". In other words, PAR is not intrinsically conducive to acquiring power for the benefit of the powerless; the risk of appropriation is always there.

While Freire's notion of *conscientization* refers to a process by which self-awareness is raised through collective self-inquiry—dialogue and reflection in opposition to indoctrination—there are many traditional processes of knowledge transfer that falsely attribute to themselves a liberatory Freirean approach (McTaggart, 1991, p. 171; Rahman, 1991, p. 17). Selener (1997) points to the paradox often observed between the researchers' intentions, claims, and desires for dialogue and the actual effort made to convince participants of the value of the researcher's view and method, thus, paradoxically, producing the very effect that they want to avoid, that is, the "indoctrination" of the other (the uneducated).

Hall (1981, 1992) maintains that unless adequate control is placed over the PAR process and results, abuse can result in further empowerment of those who are already in control. PAR provides researchers with insights and views which are not otherwise readily accessible and which they can sometimes use to accrue more power for themselves within the academic status quo (Hall, 1981, p. 15). This raises the ethical issue of the use and ownership of PAR results, which, if left uncontrolled and/or not addressed, can lead to manipulation and abuse.

Groulx (1997, p. 64) makes the same critical remark regarding the use of qualitative research (including PAR) in social work:

Est-ce que cette démarche méthodologique produit des connaissances renouvelant l'intervention et l'analyse de la réalité sociale ou ne participe-t-elle pas plutôt à la construction de l'identité du travail social en agissant comme discours de légitimation sociale?

The argument advanced by Groulx is that qualitative research in social work has two functions. Qualitative researchers believe that knowledge production based on their research methodologies promotes disciplinary autonomy and democratic processes. The emphasis on the subject as actor, the attention paid to context, the replacement of control of variables by reflection-in-action, and the replacement of social determinism by the logic of action have led to the emergence of a new, inter-subjective rationale. This orientation has contributed to the construction of a new discourse in social work, one

that renews the intelligibility of social work practices within an autonomous intervention discipline. However, Groulx (1997) argues that the re-appropriation of qualitative methodology in social work can also be viewed as an ideological strategy to establish a distinctiveness *vis-à-vis* concurrent discourses and practices in the disciplinary, professional, and administrative arenas. As such, the hypothesis of disciplinary renewal can be substituted by a corporatist hypothesis aimed at the acquisition of more authority (Groulx, 1997, p. 65).

Deconstructed by the corporatist hypothesis, the qualitative discourse, according to Groulx (1997, p. 66), can be shown to be harmonious with recent state policies regarding decentralization and partnership with innovative practitioners using creative practices. The attention paid by the qualitative discourse to the subjective lived experience of clients, to action strategies, and to community networks, coupled with the critique of institutional dependence, can be used to legitimize the current disengagement of the state. Groulx's conclusion is that despite the different, if not contradictory hypotheses that one can have about the role of qualitative research in social work, it has nonetheless generated new categories for understanding social problems (Groulx, 1997, p.67).

## 4.2 Role of the Researcher

The role of the researcher is often perceived ideologically:

Le chercheur est amené à s'engager non seulement intellectuellement mais également affectivement et socialement, c'est à dire avec ses valeurs, ses idéologies, ses croyances et tout son être . . . Le chercheur ne peut donc prétendre à la neutralité: y prétendre serait un leurre (Amegan et al, 1981, p. 47).

Also, the role of the researcher is often described rather humbly as a "co-learner" and "participant". Yet the researcher is also engaged actively in "educating", "building capacity", "awareness raising", "enabling", "managing conflict" and making other contributions that would not only make him/her less of an outsider, but also lead to the "emancipation" of both the individual and the community.

Healy (2001) remarks that all of these activities, including the initiation of the research itself and the promotion of participants' involvement, illustrate research workers' power, an issue that often receives insufficient attention. She highlights a

certain culture of silence, an occlusion of power issues, reinforced by a “radical egalitarian stance”, an “edict against the explicit use of power”, and a “power aversion rhetoric” that are responsible for the “dissonance between the claims of PAR and its actuality”. This situation, she argues, stems from the fact that PAR workers do not reflect on the positive (liberatory) as well as the negative (constraining) effects of their own power. She maintains that this kind of reflection is necessary if one wants to attend adequately to PAR limitations. In her critical appraisal of PAR, Healy uses insights from postmodernism, arguing that PAR workers can themselves gain from such a perspective by acknowledging the different forms of power intrinsic to their work and by questioning their claims and practices.

The fact that researchers are often from outside the social milieus or communities in which PAR is conducted provokes little critical reflection in the literature. This issue is usually dismissed by resorting to Gramsci's notion of *organic intellectuals*, according to which the authentic and practical commitment of the researcher and the collective pursuit of social, educational, and political practice prevent the creation of permanent hierarchies.

Very few writers address the contradictions and conflicts that arise when (a) researchers from academia become involved in activist PAR type of research, and (b) they become the main producers of PAR accounts in the literature. Cancian (1993) contends that when researchers with academic careers develop strong ties with community groups in PAR undertakings that are strongly oriented toward community empowerment, they are likely to be the least successful in academia—combination of activism with an academic career requires going against an academic mainstream that focuses on research products rather than on the research processes, on regular publication in academic journals rather than in publications for laypersons, and on accountability to academic standards rather than to activist standards.

Cancian's own experience with PAR as an academic researcher allows her to draw a conclusion regarding the ideal that the empowerment of oppressed community groups is the single legitimate *raison d'être* of PAR:

I now believe that this ideal does not fit people like myself who value their membership and standing in academia . . . Another departure from the ideals of participatory research stems from my observation that most non-researchers are not interested or skilled in many aspects of academic research; therefore, I plan to separate some of my academic research

from the participatory components of the project, and retain control over the research process, although part of the research agenda will be collectively controlled (Cancian, 1993, p. 97).

For Petras and Porpora (1992, p. 109), two sociologists from academia involved in PAR, the “action” component in PAR is conceptualized as “service” rendered to the community by academic researchers keen to “reciprocate for the time, tolerance and confidence provided by community leaders and activists”. As such, the tension created when academic researchers become involved in PAR undertakings with community groups is a tension between service and scholarship. The service, according to these two writers, could take the minimal form of providing documentation and special publications to community groups and their members. Academic researchers can also assist the groups to build strategies that help them to confront their opponents effectively, to develop models and typologies based on successful PAR undertakings, and to acquire a variety of technical skills. This minimal form of PAR, coined by the writers as the “parallel process model”, is the means by which academic researchers who are sympathetic to community concerns can respond to the community’s needs while keeping control of their scholarly questions and research regarding the community group’s immediate agenda.

In the same article, Petras and Porpora analyze another model of participatory research, that of the institutional engagement of an entire university (as opposed to individual researchers from within a university) with the community. They report on the experience of the University of Central America (UCA) in El Salvador as a model for an entire university establishment to be fully and organically engaged with oppressed groups and communities, a commitment which cost the lives of six faculty members and two co-workers in 1989. However, the writers do not seem to favour the UCA model:

Our academic identity should not be disparaged . . . For all our sympathies with the poor and oppressed, for all our willingness to engage in social activism in other capacities, when it comes to our research, many of us will opt for some of the parallel process rather than for the mutual engagement or UCA models (Petras and Porpora, 1992 p. 121).

This position seems to stem from Petras’s and Porpora’s belief that universities ought not to be the voice of the poor but rather its “theoretical reason” (Petras and Porpora, 1992, p. 123).



I would like to end this brief discussion of the role and problematic of academic researchers involved in PAR by quoting other sociologists, Stoecker and Bonanich (1992, p. 9), who wrote the guest editor's introduction to a special volume of *The American Sociologist* on participatory research:

Now we need to recognize with cold clarity that PR [participatory research] is not going to win us many friends among the wealthy and powerful, and in that sense it can be threatening to our own well-being. Doing PR, at least in its purist forms, is antithetical to climbing the ladder of professional success. PR is revolutionary sociology. It is not business as usual . . . [and] puts forward a compelling moral challenge. It raises the question: Who are our universities for? Are they for faculty, for the students, for the power elite, or should they be for those who are most hurt by the system?

### 4.3 Nature of Participation

Ideally, PAR seeks the active and collective participation of people concerned in all research activities, including research design, data collection, processing, analysis, and interpretation. If the research involves a large number of people, it is usually accepted that the natural leaders or "peoples' cadres" represent the group in the research process.

Finn (1994, p. 28) attenuates the passionate rhetoric of PAR's tenets. She argues that although participation is reflected in the philosophical commitment of the researchers, its scope is often limited by practical realities. Rather than a dichotomy between orthodox and participatory research, there is a continuum with varying degrees of meaningful participation in and control of the process and products of research by the participants. Just as the process of "citizen participation" in the planning of public social programs has often been co-opted or made routine, Finn maintains that similar risks of co-optation face participatory research as the concept gains political popularity.

For Sohng (1996), forging an egalitarian relationship between researchers and community members is no easy task. Disenfranchised groups often internalize the negative connotations of the stigma imposed on them by a dominating structure, which may reinforce subordination to outside researchers. The latter may find it difficult to relinquish the role of expert and tend to impose their own views and ideas. These tendencies can be countered, according to Sohng, if there is a clear commitment to implement mechanisms in the PAR process for explicit reflexivity and for scrutinizing interactions and unexamined assumptions of authority and expertise.

Hall (1981, p. 11) prefers to see the problem of how collective a participatory research process may be as a key methodological issue. He recognizes that under the

guise of participation and strategies for “involving” the people, outside interests may attempt to manipulate communities for purposes of domestication, integration, and exploitation. He believes, however, that manipulation can be explicitly rejected if enough zealotry is employed in keeping control and learning in the hands of the people.

Lastly, Reason (1994) recognizes a sort of inevitable tension between the ideal of participation as presented in PAR discourse and the practical demands for effective leadership. For Reason, this tension is a “living paradox, we have to live with, to find creative resolution moment to moment” (Reason 1994, p. 335).

However, the participation issue is even more complex; some issues I discuss here, and others I address in the commentary section below. Firstly, the concern for participation is well justified in the eyes of its critics, given the emergence of an escalating number of practices, in both social work and international development arenas that claim to espouse PAR ideals, whereas in fact they reinforce the status quo of power differentials. It is indeed ironic to see that the writings of people like Paulo Freire (1970, 1973, 1982) and Ivan Illich (1976, 1990), whose writings were perceived as revolutionary and threatening until not long ago, have now entered the mainstream and been accepted by the development establishment, notably the World Bank (Francis, 2001). The World Bank’s motivation for adopting an ostensibly radical rhetoric regarding participation is a question that merits active analysis but is beyond the scope of this thesis. Suffice it to say that its endorsement of participatory methods in 1998 triggered the emergence of structured participative technologies based on formulaic prescriptions that have helped strip the concept of empowerment of its political potential (see Cooke and Kothar, 2001).

Secondly, in addition to the question of who, and who does not participate, the nature of participation itself needs to be explained. There is a tendency to label any activity along the continuum of participation (from information-sharing to consultation to participation) as *participation*. Information-sharing and consultation occur more frequently than participation in decision-making or implementation.

Thirdly, the social in the participatory discourse emerges as a heightened sense of “community” and “empowerment”. For the most part, it is viewed in terms of process, consultation and partnership. However, “community”, when used to refer to

homogeneous groups as equally disempowered and disenfranchised, glosses over social and power differences within these same groups. The appearance of consensus may be deceiving. PAR researchers cannot simply, by fiat, wish or otherwise, create a participatory stance, one enabling them to lead the community, bringing it to rise above historically rooted issues related to gender, factions, class and so forth.

The preceding discussion of the limitations of PAR reveals the existence of some putative PAR practices that are not always consistent with PAR values and assumptions. Furthermore, there is a strong tendency to consider these “divergent” practices as a manifestation of the co-optation of PAR, both as a discourse and as a methodology. In my view, the co-optation issue merits further problematization. Let me mention three elements that can provide material for a deeper critique. First, the predominant representation of recent PAR co-optation as a “risk” ignores the fact that the co-optation process has been in operation for a long time, that is, since the instrumentalization of PAR in organizational development practices shortly after Lewin’s death, and can now be said to be fully fledged. The fact that PAR has already been co-opted is not acknowledged in the debates, and the overemphasis on the continued risk of co-optation creates confusion that needs to be clarified.

Secondly, radical practitioners and thinkers are often unduly concerned about the “risks” and “dangers” of co-optation. Over the years, the prevailing capitalist mode of production in Western societies has displayed a formidable ability to co-opt the discourses and methodologies of progressive/radical movements that question its premises. The commodification of the youth and sexual liberation movements are two outstanding examples. In short, co-optation is part of the game, and it needs to be addressed within this context by dissecting (deconstructing) its mechanisms and revealing the interests that are involved and often occluded.

Third, by hastily associating all divergent PAR practices with some form of co-optation, critical practitioners and researchers not only fall into the trap of the ‘who is more radical’ syndrome, but also, and more importantly, fail to discern different types of divergence. For, in addition to the type of divergence that is part of the co-optation mechanism (use of technical instrumentalization supported by formulaic prescriptions), there is another type of divergence that is not necessarily a sign of co-optation. The divergence from the utopian ideals of PAR may stem from the fact that they are

precisely *utopian*, that is, they are to be pursued regardless of whether they are attained. Thus, appraisals of PARs cannot simply be reduced to measuring the attainment of ideals. Instead, the focus of appraisals and evaluations should be on the PAR process undertaken to pursue these ideals within a specific local context and on the quality of reflexivity that informs and directs the process. I develop this issue further in the commentary section below.

## 5. SUMMARY OF THE LITERATURE REVIEW

Let me summarize the main points in the foregoing account. Kurt Lewin, originally a specialist in Gestalt psychology, is generally acknowledged to be the father and inventor of the concept of action research. His innovation resides mostly in his suggestion that the best way to learn about social systems is to try to change them through action. The AR tradition developed by his students and followers after his premature death has for the most part been associated with organizational development, and therefore under-emphasizes the wider implications of Lewin's insight.

In the early 1960s, PAR emerged in the Third World in the context of organized resistance to intellectual colonialism by the Western social research apparatus and its hegemony of the Third World development process. The imported development agenda and technology were accused of promoting the economic and political interests of First World power brokers and local elite at the expense of the less powerful. PAR was born out of this resistance; its proponents advocated increased local participation and recognition of the value of local knowledge and popular wisdom. In short, PAR, in the South, has challenged the expertise of Western science in order to foster the research capabilities of the disenfranchised, who, as knowing actors, can define their reality, describe their history, and thereby transform their lives.

Throughout the turbulent 1960s and 1970s in the North, there was harsh criticism of the supposed value-neutral character of social scientific knowledge, long associated with positivist epistemology. Many scholars and thinkers attacked the control functions of professional expertise and demonstrated how the link between knowledge and power was intrinsic to social science methodologies and how these only served particular power interests. Critiques of this kind challenged the claim that only one scientific solution to a given social problem could exist and emphasized the failings of established

social theory, which, they argued, derived from its inadequate account of prevailing socio-cultural conditions and inability to bring about social change.

In response to the escalating criticism of the positivist epistemology, a number of social scientists have attempted to advance a participatory approach to knowledge production by which social theory is readjusted in order to address the problems of contemporary society more effectively. In this approach, the relationships between scientists, practitioners and citizens are geared towards the requirements of democratic empowerment.

PAR, as one of these participatory approaches, subscribes to the basic tenets of critical theory. It seeks to link theory and practice through an understanding of praxis that occurs across both the personal and the political. PAR questions dualistic modes of thinking and adopts a dialectical perspective, suggesting that creative change can emerge in the tension between binary oppositions. PAR further asserts that knowledge and action cannot be separated and that the dialogic method developed by Freire is the best way to integrate them. In the dialogic method, researchers, workers, and participants engage in relationships of mutual education within an overall context of reflexivity. Lastly, PAR focuses on the production of knowledge that can help disadvantaged groups articulate and meet their needs.

However, different conceptions of PAR have led to the emergence of “appropriated” and “co-opted” PAR practices that do not conform to these ideals and assumptions. This state of affairs, coupled with PAR promises that sometimes tend to represent it as a panacea, seem to have made PAR critics, especially those inspired by postmodernist/poststructuralist perspectives, focus on issues of power at the expense of dismissing other important issues, as is discussed below.

## **6. REFLECTIVE COMMENTARY**

### **6.1 The Need to Demystify the Promises of Participatory Action Research**

My review of the critiques of PAR provoked a mixed reaction on my part. It was very interesting, comforting and encouraging to find many of my own criticisms in the literature. Given my constructivist orientation, I was particularly attracted by critical poststructuralist/postmodernist perspectives. My curiosity and frustration, elicited by an overabundance of references to Foucault, and especially to his notion of power as related

to knowledge production, led me to review Foucault's writings and some of their postmodernist interpretations. I quickly became irritated by the postmodernist insistence that the fact that Foucault himself denied being postmodernist did not really matter; Foucault's concept of power remains a foundation for the postmodernist paradigm. As my frustration mounted, I chanced upon an interview with Foucault conducted only a few months prior to his death in 1984, following the publication of the second and third volumes of his *The History of Sexuality*. In this interview (*Magazine Littéraire*, May 1984), Foucault's extended answers to the well-informed and astute interviewer were very enlightening regarding his own trajectory. Equally important, Foucault's answers also revealed a contradiction in the postmodernist discourse, which I address later.

Returning to my mixed reaction, after much wrestling and agonizing, I managed to identify the source of my frustration with poststructuralist/postmodernist critiques of PAR, despite my agreement with the basic premises of these perspectives. These critiques seem to add to the confusion that arises when PAR ideals are represented as attainable objectives if only one sticks to PAR principles. This made me realize the need for PAR accounts to demystify PAR promises, which this thesis attempts to do.

Prototype definitions of PAR describe it as research initiated by a community of oppressed people who get together and decide to do something about their situation. Somehow, an external academic researcher or two become affiliated with this community and lead its members in studying and researching their situation in a participatory (democratic) manner. In this way, everybody participates in the specification of the research questions, data collection, processing, analysis, and interpretation. Accordingly, the group enters into a process of examining its reality by asking penetrating questions, mulling over assumptions related to its everyday problems and circumstances, deliberating alternatives for change, and taking meaningful actions to improve the situation and empower the group members.

Understandably, this prototype definition of PAR, which represents it as a sort of panacea, tends to generate very high expectations amongst its critics. It is quite legitimate for these critics to strive to attenuate the passionate rhetoric in PAR. However, when PAR promises paradoxically come to be viewed as specific objectives to be measured only in terms of attainment or non-attainment without paying due attention to the process that culminated in their realization or non-realization, there is a danger of falling into the trap of

dogmatic Puritanism. In other words, when the ideals that are supposed to be the guiding principles in PAR processes become rigid criteria in the construction of critical appraisals, we run the risk of becoming 'utopist' instead of remaining 'utopian'. The trap here is also one of conceptual confusion stemming from the myths perpetuated in some PAR discourses. Let me elaborate.

As mentioned above, one common claim in the PAR literature is that PAR processes are usually initiated by a group of oppressed individuals who come together with a view to undertaking actions to improve their situation and become more empowered. Reason (1994, p. 334) acknowledges that "many PAR projects could not occur without the initiative of someone with time, skill, and commitment, someone who will almost inevitably be a member of a privileged and educated group". Yet Reason refers to this fact as a "paradox . . . [with which] PAR appears to sit uneasily", and Healy (2001, p. 96) quotes him to strengthen her argument with regard to the necessity for researchers to recognize their power in PAR structures. Notwithstanding the validity and pertinence of Healy's and other writers' pleas, it would seem appropriate now, after decades of PAR practice and documentation, to recognize the fact that the majority of PAR undertakings are initiated by outside researchers and/or practitioners, keen to develop solidarity ties with oppressed grassroots communities or groups. This recognition can pave the way for divesting PAR discourse of the utopist claim and ambition that the initiation of the research process itself comes or should come from members of the oppressed communities or groups. Many oppressed groups have neither the abilities nor the resources to initiate a PAR process.

Another PAR promise that needs to be demystified concerns the ideal of democratic participation. PAR researchers and practitioners are often confronted with the participation issue, and are reproached with the fact that participants do not assume a primary role in the research process. Once again, these criticisms assume that egalitarian participation should be the normal state of affairs in PAR, whereas in reality there is a great deal of evidence that points to the contrary. As already mentioned, researchers do spend a great deal of time promoting participant involvement by facilitating meetings, raising consciousness, fostering activist attitudes and conducting other mobilizing activities. Again, recognition of this active role played by researchers can serve to dismiss another myth about PAR related to democratic participation. When people from different

cultures enjoy varying levels of power, status, influence and facility with language come together in a PAR undertaking, the notion of participation becomes so problematic that it needs to be incorporated into the research process as an objective to be continuously addressed and reflected upon. In other words, democratic participation, like other PAR promises, is an ideal towards which researcher and participants strive in a PAR process.

As an open-ended process, PAR undertakings cannot be expected to be exemplary models of participation, where power issues are acknowledged and subjected to effective mechanisms of democratic control. PAR promises are utopian ideals that guide the process. Whether these ideals can actually be achieved is another matter. Those involved in PAR ought to be asked what they do to elicit more participant involvement, how they approach obstacles to increased participation, and how they deal with power differentials. PAR researchers should account for their efforts in transmitting knowledge and skills: have they been able to demystify research, and have participants and workers acquired critical analysis and research skills? Have researchers themselves been able to develop and refine their methodologies? In short, the challenge for PAR is to strive for the attainment of its promises through a monitored and reflexive process so that the emphasis is less on achievements and more on the processes that facilitate them.

Another element that contributes to my frustration with PAR criticism is the fact that many of my concerns, like those of other PAR practitioner-researchers, are only addressed superficially in the literature. PAR literature remains predominantly research-focused and/or biased despite significant efforts to include demonstrations from the field. In particular, the ethical dilemmas that arise in day-to-day PAR practice are presented mostly in terms of protecting participants from exploitation by 'powerful' researchers, which is quite a legitimate concern. However, the focus on the protection of participants is often at the expense of dismissing from the debate other, equally important and more complex, ethical dilemmas that PAR and street work practitioners face by virtue of the proximity and familiarity with excluded groups. Indeed, these practitioners often become involved in situations that are value laden, ambiguous, complex and uncertain, and for which established academic knowledge is of limited use, and which, in addition, often require an immediate response. In general, the literature neither addresses such issues nor does it dwell on the fact that practitioners need to



construct their own experiential knowledge based on the spontaneous and intuitive *savoir-faire* that they bring into their practice.

Another day-to-day practice concern that does not receive due attention in the literature is that of leadership. Yet, as mentioned above, leadership is vital to initiating a PAR process, and it often comes from the ranks of intellectuals by virtue of their privileged economic and social status. I would hasten to add that this leadership's input is often necessary to sustain the process as well. There is an implicit expectation in the literature that leadership skills and responsibilities and, by the same token, the power associated with them, have to be rapidly disseminated in order to facilitate the emergence and establishment of genuine participation (democracy). Moreover, leadership that does not strive for the distribution of its power is either viewed as abusive of PAR or in need of being sensitized. Once again, the ideal of participation is either praised or criticized without a more profound reflection on the underlying problematic. While diffuse leadership is certainly desirable and a goal towards which PAR leaders should strive, it is important to realize that in practical terms, the concrete demands of effective leadership require a wide range of abilities, including personal, intellectual, political and moral commitment, as well as interpersonal, group management and data management skills, in addition to a capacity for self-awareness and self-reflexivity.

Some elements of the leadership issue not addressed in the literature include the following:

1. The transmission of skills and knowledge in a PAR process is not simply a technical question. It takes place within a liberatory pedagogical framework and process that needs to be respectful of the participants' pace and the material conditions of their daily lives, which tend to be harsh and strategically oriented towards survival mechanisms. Accordingly, the pedagogical pace tends to be slow, often delaying the full sharing of leadership. This raises the question of the necessity, not to mention the paradox, of PAR initiators maintaining leadership for longer than is implied by PAR promises.

2. Given the existence of individual differences, especially in large groups, it is naïve to think that all participants will simultaneously acquire similar levels of leadership skills and knowledge to enable them to participate. In effect, in PAR

undertakings with large groups, only a small group of participants become 'full' participants. This issue is handled in the literature rather superficially by maintaining that when working with large groups, it is not essential for everyone to participate. While this assertion may be comforting, it dismisses a wide range of related problems from the analysis. When, for example, a number of participants become actively engaged in daily PAR activities, ambiguous reactions are elicited amongst their peers. The latter, even if not so keen themselves to participate more actively, nonetheless resent and resist the assumption of leadership roles by peers. As such, participants who may be ready to assume leadership roles and responsibility have to cope with this resistance. This is no easy task for an emerging leadership of participants, who, for good reason, are usually concerned about not jeopardizing their relationships with peers. It is sometimes argued in this connection that natural leaders do exist in communities of oppressed groups, and the problems discussed here may not be all that thorny. But this argument is not consistent with the fact that natural leaders frequently do not associate closely with PAR promoters (the outsiders); it is, rather, the less powerful community members who work exceptionally hard to reciprocate the efforts of promoters and thus run the risk of being 'othered' by their peers.

3. Even when some of the natural leaders of a community become more active within PAR activities, the others do not automatically accept them in their newly assumed responsibilities. They often express their resentment and resistance by reminding the natural leaders that their behaviour and values are not consistent with their new role.

4. As Schapiro (1995) notes, situations of this kind are more complex when the emerging participant leaders gain greater authority and begin to focus their efforts on maintaining this newly acquired power.

5. Another element that further compounds such situations is the fact that all too often there are two sets of participants: those who belong to the disadvantaged group, and those who are the practitioners within the community organization in which the PAR process is initiated. This differentiation is notoriously absent in the literature and, consequently, its problematization is dismissed.

6. By challenging oppressive political and social arrangements, PAR leadership is often positioned in opposition to dominant and mainstream forces, which often elicits

charges of subversion and repression from governments and various vested interests. While issues of this kind are sometimes mentioned in the literature, they are seldom problematized.

Associated with the leadership issue is that of training and education, also notoriously absent from the literature. It may be that the overemphasis on the researcher as a co-learner has prevented any acknowledgement of the fact that PAR leaders do assume an important role in training and educating their co-workers, as well as the participants. To overlook this fact is to help perpetuate a conception of egalitarianism confounded with identity (similarity) that does not recognize differences within the heterogeneous group of actors in the PAR process. By insisting that we learn both together and from each other, we dismiss the whole problematic issue related to the encounter between different types of knowledge. For while it is true that in PAR all knowledge and wisdom (of researchers and participants alike) are equally valued, they nonetheless remain circumscribed by a dialectical tension that requires further analysis.

## 6.2 Two Different Conceptions of Participatory Action Research

Reflecting on the reasons for my frustration with PAR literature, I asked myself the following difficult question: Could the conceptual confusion and the dismissal of the problematic associated with the simultaneous articulation of the three components of PAR be related to the fact that a great deal of PAR literature is produced by researchers in academia who have had “encounters” with PAR solely through association with a community activist group over a limited period of time?

Without disputing the necessity and value of such encounters, I would like to suggest that when PAR is implemented in this fashion, it is frequently characterized by: (a) researchers whose professional affiliation lies elsewhere than in the community group itself, (b) a timeframe limited to the duration of this collaboration, and (c) researchers who report the results to colleagues and in academic literature. Such a model of PAR operationalization may explain the bias in the literature towards a more research-oriented treatment of PAR issues. More importantly, the problematic related to other models of PAR operationalization is not addressed in the literature. Here, I am referring particularly to the model of PAR operationalization in which researchers are professionally affiliated with activist community organizations as *practitioner-*

*researchers*, that is, they are part of the organization's framework. This second model is characterized by the following three characteristics: (a) the research activity is incorporated into the everyday operational activities of the community group, and, consequently, it is (b) not limited in time, but constitutes an ongoing activity in the context of an open-ended process, and lastly, (c) the results are not published in the literature. The implications of these two different models of PAR operationalization raise the following question: To what extent is the first model responsible for maintaining the traditional gap between theory and practice? Similarly, to what extent is the second model of PAR operationalization bound to remain underrepresented in academic literature?

It seems to me that these two different models of PAR operationalization (for the sake of convenience, I will call the first model the *detached-researcher* model, and the second the *engaged-researcher* model) may reflect different conceptions of PAR.<sup>8</sup> When PAR is conceptualized as an open-ended process, the research activity must be incorporated into the everyday practice of the activist community organization (as opposed to research being carried out on the side or in a parallel way). This, in turn, requires that the organization's staff, or at least some of them, have the skills and knowledge required for PAR to be undertaken. The presence of a practitioner-researcher, that is, a practitioner who is also a PAR researcher, among the organization's staff, as found in the engaged-researcher model, can facilitate the introduction of a PAR approach in practice. The sustainability of the latter within an open-ended process is possible only if staff and beneficiaries join in the undertaking, acquire PAR skills and knowledge, and approve the adoption of the methodology.

In the detached-researcher model, the activist community organization wants to initiate a PAR process (either willingly or because of a donor request) but does not have the necessary knowledge and skill. As such, it requests the help of an outside researcher, usually from academia. Sometimes, outside researchers themselves propose undertaking a joint PAR venture to activist community organizations. The partnership thus developed between outside researchers and grassroots communities is usually restricted

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<sup>8</sup> A third mode of PAR operationalization consists of researchers themselves forming a group of individuals who are part of a disadvantaged population such as, for example, teenage mothers or physically handicapped children. In most cases, such groups dissipate with the end of the PAR activities. In some rare cases, the group continues and an organization is set up.

by time limits, by virtue of the grant that made it possible or by the researchers' other career commitments. This detached-researcher model raises many questions.

First, PAR entails a long process requiring sustained commitment and involvement; it is usually intense and laden with tensions and risks. As such, time is a critical element for the success of a PAR undertaking. Accordingly, researchers need to ask themselves whether their input and collaboration within the specified timeframe can realistically be conducive to instituting a PAR approach in the organization's practice. I am raising this issue because in my view the incorporation of a PAR approach *in practice* is the essence of PAR itself and the safeguard against reducing it to a mere research activity.

Second, by joining a pre-existing activist community organization, researchers encounter at least two sets of actors. The first is composed of the cadres, who are responsible for running the organization, that is, the practitioners who, like the researchers, are often from outside the disadvantaged community group. The latter constitute the second set of actors encountered by researchers. In addition to the fact that these two groups of actors, the activists and the community, are not usually homogeneous groups, outside researchers walking into the setting of pre-existing organizations are bound to encounter institutionalized modes of operation reflecting values that are often different, even the exact opposite, of those promoted by PAR promises and ideals. Such situations are not uncommon and leave outside researchers, often wearing the hat of PAR advisors, overwhelmed by the obstacles preventing the institution of democratic processes of practice and research.

This raises an important third question: is it worthwhile initiating a PAR undertaking with community groups that have been in operation long enough for a top-down management to be rather firmly instituted, as well as mechanisms for maintaining power differentials? Many such organizations exist and their motivation to espouse a PAR approach is driven by an escalating tendency among donors to make funds and grants conditional upon adopting a participatory methodology. This situation has led to the emergence of much misuse and abuse of PAR, deplored loudly in the literature. In such situations, we are likely to witness the adoption of formulaic prescriptions for participation that are presented as full democracy, although they seldom go beyond consultation.

### 6.3 Power, Resistance, and Foucault

A fourth question is related to the strategy adopted by PAR critics who are informed by poststructuralist/postmodernist insights. Healy (2001, p. 97) rightly observed that the claim of a radical egalitarian stance by many PAR researchers not only produces “power aversion rhetoric”, but also seems to encourage researchers to claim the realization of democratic processes of participation “even in the face of considerable contrary evidence”. This prompts me to ask whether such attitudes denote a certain resistance, and if so, how it can be dealt with. It seems to me that insisting on using Foucault’s notion of power, as do Healy and other critics inspired by poststructuralism and postmodernism, may only fuel the “power aversion rhetoric” and intensify practitioners’ resistance to “post-” theories that are increasingly charged with being paralyzing and nihilistic, as Healy and others acknowledge.

The notion of power is easily confused with that of domination, and it is understandable that it creates aversion amongst activists, who often prefer to have a purer image of the self. While it is important to challenge this, it is equally important for educators not to rock the boat so violently as to produce the contrary effect. For in addition to the resistance elicited by the power discourse, the present global context seems to be one of disillusionment with the possibilities of change. This disillusionment has lately been intensified by the aftermath of the September 11 event, which has clearly led to significant losses of individual freedom and setbacks to civil society’s struggle for democratic participation. The rapidity and ease with which many democratic rights have been suspended points to the fragility of these ‘acquired’ rights. This tendency is all the more dangerous when, as we are currently witnessing, a war is declared to save Western democratic values from the threat of ‘evil’ forces. In this light, we can expect that further confusion, if not chaos, is likely to occur with regard to the democratic ideal.

The point that I am making is that in cynical times like the present, action strategies, to the dismay of revolutionary activists and theorists, cannot be designed with the sole aim of acquiring more power for the oppressed. Instead, whether we like it or not, action strategies will need to focus on minimizing further inevitable losses with a view to saving the essentials. Even achieving this objective is not going to be an easy task, given that the current corruption of many political systems, in the North and in the South, is bound to impinge further on local realities (the trickledown of corruption).

Resistance then becomes the primary issue that ought to be in the forefront of PAR researcher and practitioner concerns.

The fact that Foucault himself abandoned the use of the notion of power in his last two books (Foucault, 1984, 1984a) does not seem to inspire poststructuralist/postmodernist critical perspectives. On the contrary, Foucault's emphasis on ethics in his last two books is either dismissed (or neglected) or acknowledged merely in order to lend "credence to a view that it is not appropriate to locate him within postmodernism (a location he himself contested)" (Fawcett and Featherstone, 2000, p. 17). Beyond the concern for situating Foucault, what is hidden and muted by dismissing his last writings are the reasons for his shift from focusing on the concept of power to that of the *ethics of government* (including "government of self" and "government of others"). Foucault recognized that in his earlier works, including Volume 1 of *The History of Sexuality*, he had maintained a focus on the "government of others", whereas in the following two volumes he focused on the "government of self". He also acknowledged that this shift came as a surprise, if not a disappointment, to his readers, who were expecting, in accordance with his work plan announced in the first volume, a history of sexuality modelled on his famous history of madness, emphasizing the role of repressive mechanisms and institutions. However, Foucault explains that in undertaking this shift, he was only being faithful to himself and to his belief that intellectuals should strive to shed their fondness for themselves by trying not only to change the thought (*pensée*) of others but also their own: "Qu'est-ce que l'éthique d'un intellectuel—je revendique ce terme d'intellectuel qui semble aujourd'hui donner de la nausée à certains—sinon cela: *se rendre capable de se déprendre de soi-même*" (*Magazine Littéraire*, May 1984, p. 22).

In conclusion, I am inspired by Foucault to ask whether in light of mounting resistance to postmodernism and the acknowledgement by many scholars inspired by it of a nihilistic tendency in some of its extremely relativist positions, it is not now time to let go of one's fondness and persistent referral to it and to its "fatal attraction", while keeping the essence of its insights (criticizing the status quo, certainty, and oppositional identity categories; rejecting imposed artificial uniformity and the privileging of technical rationality and experts' knowledge; emphasizing difference and focus on

process), which, after all, are not that new? And in light of mounting aversion to power rhetoric observed among activists, it is important to ask whether the ethics with respect to the government of self and of others might not be a better entry to elicit the critical reflection necessary for producing social emancipatory change?



## Chapter 2

### STREET WORK

#### Introduction

I was introduced to street work in the early 1980s in Montreal through my participation in the design and implementation of *Le Projet d'Intervention auprès des Mineur(e)s Prostitué(e)* (PIaMP), sponsored by *Le Bureau de Consultation Jeunesse* (BCJ). By design, the PIaMP project employed both street work and action research (AR) as basic methodological tools. In 1993, in Cairo, I incorporated street work into the design of a participatory action research (PAR) project—the subject of this dissertation—with street children and youths, which was implemented over a period of eight years.

In the early 1980s, when the PIaMP project was initiated in Montreal, there was almost no literature to consult; the transmission of knowledge and techniques of street work took place orally, relying on the experiences of few (surviving) street workers. More specifically, the experiences of Jacques Pector, the PIaMP coordinator, and Gilles Lamoureux, a BCJ street worker, provided the momentum for the revival of street work practice in Quebec (as we will see in the historical section concerning Quebec). Pector, who had recently emigrated from Belgium, introduced various European perspectives on street work from France, Switzerland, and in particular Belgium.

Since then, an escalating number of projects and programs using street work approaches and methodology have emerged in both industrialized and developing societies throughout the globe. These undertakings, mostly within the non-governmental sector, have attempted to address the social problems associated with marginal and excluded children and youth populations that fall within the cracks of the government network of social services. However, the rapid development of street work practices over the last 25 years has not been matched by similar developments in widely published documentation and theorization. Most relevant writings are by groups of individuals associated with street work projects and organizations, and, as we will see, are published and debated within the context of study groups emerging out of an international network of street workers initiated in early 2000.

As a practitioner-researcher interested in the phenomenon of marginal youth, I have had the opportunity to visit many street work projects in Quebec, Ontario, British Columbia, California, Costa Rica, Egypt, India, France, Lebanon and Turkey. I have also maintained a collegial relationship with *l'Association des Travailleurs et Travailleuses de Rue du Quebec* (ATTrueQ) since its foundation in 1993. In 1997, Jacques Pector, researcher and trainer at l'ATTrueQ, and I put together the initial design for a participatory action research program intended to be used in the ongoing training and education of street workers, members of l'ATTrueQ. The program was subsequently named '*Action-Recherche-Réflexive-Formative*', and has been in operation since 1999 (Pector, 1999). Through international networking activities, local versions of this program have been adopted by other Non-Governmental Organizations (NGOs) in 15 countries since 2000. A co-ordination committee made of representatives of the NGOs has been monitoring progress made locally, and organized 'The First International Conference on Street Work' in Brussels in November 2002. At this conference, it was agreed to further promote PAR as the general framework for the street work projects implemented by the network's member organizations, to sustain the activities of the coordination committee and to hold a yearly international conference to allow for exchanges of experience and expertise as well as to increase advocacy for critical street work.

This privileged position has allowed me access to very enriching exchanges with street workers and researchers as well as to a number of documents published by various street work organizations in different countries. Access to the *Centre de Documentation*, which is presently being established by l'ATTrueQ, has been of considerable help to me in writing this chapter. Indeed, the objective of this centre is to set up a database of practical and theoretical references regarding street work, which will be available for individuals and organizations.

The documentation review undertaken includes over one hundred documents consisting mostly of project proposals, annual reports, minutes of team meetings, as well as budgets of street work organizations in Quebec. Only a few evaluations and reflective or analytical documents from Belgium, France, Switzerland and Quebec were identified.

Both the documentation and literature reviews reveal the existence of different conceptions and practices of street work that are only briefly addressed in the literature. In this chapter, I highlight and analyze two major conceptions of street work that have characterized it since its emergence. I specify their values and assumptions as well as the theoretical perspectives that inform and shape their discourses and practices. I start with an overview of the development of street work since its emergence in the United States and its subsequent transplantation to Quebec, France, Belgium and Switzerland. I then examine the major parameters of street work practice before discussing the ‘underpinnings’ of the current situation in street work.

The major focus in this historical overview is on the United States, where street work first emerged and was already characterized by a polemic regarding its goal and methodology, one that is still vigorous in contemporary debates surrounding street work. Another, but less intense, focus on Quebec reflects my own biography and the fact that it was in Quebec that the first effort to document what had until then been an oral tradition got underway. It was also in Quebec that the twinning of street work and PAR was first implemented in the West after the Egyptian experience, the subject matter of this thesis.

## **1. HISTORICAL OVERVIEW**

Inasmuch as it refers to solidarity ties and comradeship among people sharing misery and destitution, street work can be said to have always existed. The Socratic method presented in the work of Plato may have been one of the earliest forms of aid delivery. Having devoted his life to the poor and needy, Socrates spent a great deal of time in street markets and may be considered the prototype of the street worker of his times.

The modern philanthropic movement, which dates from late 18<sup>th</sup> century, started to adopt a more scientific approach towards the end of the 19<sup>th</sup> century with the emergence of social work as a profession and, later, as a discipline. These early forms of social intervention were characterized by a practice of ‘immersion’ in the living milieus of targeted urban poor populations. Indeed, the escalating industrialization in the West at that time resulted in massive migration from rural regions to large cities where the working and living conditions of the masses of workers were becoming increasingly precarious. Children, youths and adults were resorting to the street and were perceived

as a threat to urban organization. It is in this context that some pioneers of social work, like Jane Addams, decided to live and work in poor neighbourhoods in order to share the lives of the poor, to help them and to advocate for structural reforms to remedy the social injustices that perpetuate poverty (Brieland, 1990).

However, this philosophy of 'being with' the poor and disadvantaged that characterized the early history of social work practice did not last for long. The ensuing, rapid *scientific* development was articulated along a *case work* perspective that held the poor themselves "at fault for their condition" (Germain and Hartman, 1980, p. 326), and who, so it was claimed, needed "personal rehabilitation" (Franklin, 1986, p. 508). It was only in the context of the late 1920s in the United States (great depression and escalating fascism), when community organizing was given legitimacy within the discipline and profession of social work, that the call to reach out to and be with the marginal re-emerged. It is in this context that Kurt Lewin, as described in the previous chapter, established the foundations of action research, and called for academics to go out and try to change problematic social situations. It is also in this context, as will be described below, that the street work tradition emerged in the United States.

### 1.1 U.S.A.

According to many contemporary street work organizations, street work first emerged in the 1920s as a new practice. Reference is made, without much elaboration, to Saul Alinsky, the famous American organizer, as well as to two sociologists of the same period, Shaw and McKay at the University of Chicago, who supposedly undertook innovative research and worked on the delinquency of marginal youth gangs (Maurer, 1992; Schaut, 1994; L'ATTRueQ, 1997). In this section, I provide a detailed review of the work of these protagonists. My purpose here is to add to the meagre body of street work literature some historical elements, which, in addition to their significance to today's debate on street work, can consolidate the rather marginal status of contemporary street work practice.

A review of the literature reveals that the phenomenon of *street youth gangs* in the 1920s in the United States was a cause for great concern:

One of the most disturbing aspects of the problem of crime in America is the fact that such crimes as burglary, robbery, and larceny, which comprise a numerically important place among the total crimes for which persons are committed to reformatories and prisons, are to a very great extent a phenomenon of youth. The large number of youthful offenders in our prisons comprises one of the critical problems confronting the American people (Brandorn in Shaw and McKay, 1942, p. vii).

This excerpt is from the foreword by R.H. Brandorn, Director of the Department of Public Welfare in the State of Illinois, to Clifford R. Shaw and Henry D. McKay's 1942 book. In addition, in the book's introduction, Ernest W. Burgess from the University of Chicago describes Shaw and McKay's work as

a magnum opus in criminology . . . [that] has been in progress for twenty years . . . covers twenty cities, includes cases of thousands of juvenile delinquents, and is the product of the collaboration of several investigators with a field staff and clerical assistants (Burgess in Shaw and McKay, 1942, p. ix).

Like that of other sociologists of the Chicago School, Shaw and McKay's work adopted an ecological perspective that considered society as a living organism (Shaw and McKay, 1942, p. 5; see also Rémy, 1990). The notion of *social disorganization* is essential to this ecological conception of urban settings. In this light, juvenile delinquency is perceived as a deviant sub-culture and a sign of social disorganization. The delinquent, in this perspective, is diagnosed as suffering from faulty socialization.

I propose to dwell further on the work of Shaw and McKay in order to shed light on the main assumptions of the ecological approach to juvenile delinquency. These assumptions continue to underlie several discourses.

Interpreting the data gathered throughout their studies, Shaw and McKay formulated a general conception of juvenile delinquency, incorporating both the structural determinants and the subjective experiences of youth. With regard to structural determinants, the writers strongly view the material conditions of life in the slums as the major cause of social disorganization (physical deterioration of housing conditions, lack of space, except the streets, for children to play, poor infrastructure, unemployment and so on). Poverty and precarious living conditions are not conducive to healthy organization, and lead to deviations from traditional conventional values promoted by the family, the church and school (Shaw and McKay, 1942, p. 440).

At the subjective level, the writers insist that social disorganization does not necessarily reflect an aversion towards conventional values; these are present, albeit

suppressed, even in communities having the highest rates of delinquents. Three case studies (life stories) of juvenile delinquents are reported to highlight the fact that children growing up in the slums are exposed to competing value systems, including both conventional and delinquent values (Shaw and McKay, 1942, p. 166). Thus, delinquent behaviours, within the same community, may be defined as right and proper by some groups and as immoral by others. Accordingly, a career in delinquency and crime is one alternative for youth growing up in the slums. Moreover, because it develops in the form of a social tradition inseparable from the life of the local community, juvenile delinquency needs to be understood within the context in which it emerges:

From the point of view of the delinquent's immediate social world, he is not necessarily disorganized, maladjusted and anti-social. Within the limits of his social world and in terms of its norms and expectations, he may be a highly organized and well-adjusted person (Shaw and McKay, 1942, p. 436).

Shaw and McKay's studies advance new conceptions of the phenomenon of juvenile delinquency and of how it should be approached. The innovation may reside in their insistence on viewing juvenile delinquency as a group rather than as an individual, phenomenon:

Year after year, decade after decade, large cities—and especially certain areas in large cities—send to the courts an undiminished line of juvenile offenders. Year after year, decade after decade, likewise, society continues to organize or construct new agencies or institutions designed to reduce the number of these offenders and to rehabilitate those who have already offended against the law. Perhaps the unsatisfactory results of these treatment and prevention efforts have been due, in part at least, to the fact that our attention has been focused too much upon the individual delinquent and not enough upon the setting in which delinquency arises (Shaw and McKay, 1942, p. 446).

To remedy this “century-long”, faulty approach, a collective perspective is advocated:<sup>9</sup>

If juvenile delinquency is essentially a manifestation of neighborhood disorganization, then evidently only a program of neighborhood organization can cope with and control it. The juvenile court, the probation officer, the parole officer, and the boy's club can be no substitute for a group of leading citizens of a neighborhood who take the responsibility of a program for delinquency treatment and prevention (Burgess in Shaw and McKay, 1942, p. xii).

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<sup>9</sup> For those who still deplore the individualistic approach to the treatment of deviancy, it is interesting to note that almost a century ago Shaw and McKay were deploring it as well, and were complaining that it had been the dominant approach for a century.

The call for community organizing in the slums in American cities was thus voiced by the Chicago School. In 1932, in Chicago, Shaw and McKay designed and supervised the implementation of *The Chicago Area Project*, a mega-urban project that took the neighbourhood as the unit of operation (Burgess, Shaw and Lohman, 1939). A committee composed of local residents (including members of churches, labour unions, business groups and others) was set up in every targeted poor neighbourhood. These committees functioned as boards of directors, and assumed full responsibility for the sponsoring, planning and management of all aspects of the community project. The employment and training of local leaders was a major feature of the project. The input of professional workers was sought but not glorified: "While there is much that the lay resident can learn from the professional, it is equally true that the professional can learn from the lay resident" (Shaw and McKay, 1942, p. 442).

Interestingly, the Chicago scenario was played out again in the period following WWII, this time in other major American cities in which several "area mega-projects" were designed and implemented, with "area committees" to supervise and a team of "area workers" to reach out to street youths and gangs (Klein 1995; Spergel, 1976). *The New York City Youth Board Project* was initiated in 1950, and grew to include some 80 street workers who had contact with more than a hundred street gangs throughout the city (Klein, 1995, p. 142). In Boston, between 1954 and 1957, *The Boston Roxbury Project* reached out to some 400 youths, members of 21 street gangs (Klein, 1995, p. 144). In Chicago again, *The Chicago Youth Development Project*, implemented between 1960 and 1966, reached over 5000 youths within a geographic area of 1,000 square miles in downtown Chicago (Klein, 1995, p. 144). Other area projects were implemented in Philadelphia, Los Angeles, San Francisco, El Paso, Seattle and San Antonio

The practitioners who implemented these mega-community organizing projects in the United States were referred to as "street workers", "street educators", "outreach workers", and "detached workers" (Klein, 1995). Regardless of the term used to designate them, these workers, many of whom were professional social workers, did go out into the streets, yet with a predominant conception of community organizing that informed and guided their practice. This conception was built on a simple assumption: if youths *elude* the institutions that are supposed to contain them, then the institutions should reach out to them in order to reinsert them into the mainstream. Broadly

speaking, this is how juvenile delinquency was to be treated. No wonder, then, that these mega-projects were generously funded by private foundations and a wide range of religious organizations; the disturbing phenomenon is defined in rather simplistic terms, and the prescribed solution is 'functional' and 'pragmatic'.

By dismissing the political dimension of community organizing, and by endowing it with a set of formulaic prescriptions, these mega-urban projects succeeded in reducing it to a mere technique for controlling deviancy and disorganized neighbourhoods. As we saw in the preceding chapter, this is quite similar to what happened to Lewin's insight, i.e., the instrumentalization of action research. The overemphasis on group and interpersonal dynamics, common values, and social integration was as prominent in the co-opted community organizing discourse. Furthermore, this discourse was given a radical nuance by appropriating principles of *citizenship* and *civil society* such as access to public services, defence of rights, participation in collective actions, democracy, education and the like.

It would be unjust if we allowed the glamour of these mega urban projects to dominate our historical overview, and failed to acknowledge less well-known local street work practices in the United States which subscribed to other, more humanistic and often critical conceptions. While most of these critical street work practices remain in the shadows, one notorious exception was the person and practices of Saul Alinsky (1907-1972), perhaps the last of the American radicals. Commonly known as the "radical organizer", the "revolutionary", the "genius of social reform", and the "radicals' radical", Chicago-born Alinsky referred to himself using these designations and added some of his own, such as the "irreverent" and the "survivor of the Joe McCarthy holocaust". Yet the designation he preferred the most seems to have been "the rebel", to which he proudly responded by quoting Tom Paine: "Let them call me rebel and welcome. I feel no concern from it; but I should suffer the misery of devils, were I to make a whore of my soul" (Alinsky in Sanders, 1970, p. 38).

Aside from the rather sensationalist media representation of Alinsky, his innovation resides in the use of conflict as a vehicle for advocating and promoting more rights to disadvantaged and poor social groups, black people and immigrants in particular. As such, he represents a school of thought and action in community organizing that is quite different from the consensus social theory approach of the



Chicago School presented above. Equally interesting is the fact that Alinsky, himself a Chicagoan, was community organizing in the slums of Chicago around the same time *The Chicago Area Project* was implemented under the supervision and guidance of Shaw and McKay and other sociologists from the Chicago School. Alinsky's work and thoughts not only represent a different conception of community organizing, but also carry a provocative critique of the Chicago School. Indeed, his writings reveal an impressive capacity for reflexivity and critical analysis. Moreover, Alinsky's discourse, as we will see, is quite similar to that of present-day proponents of street work who resist, as he did, the reduction of street work to a mere tool for implementing the technocratic model of community organizing.

In the remainder of this section, I provide a detailed review of Alinsky's history of organizing, covering his background, philosophy, discourse and some of his criticism. Since there is little awareness of it among contemporary street workers and in light of the fact that it has been largely overlooked by academia, it is important for the sake of the street work tradition to document the legacy of Alinsky's organized activism. While contemporary street workers in both North America and Europe take pride in asserting that they follow Alinsky's heritage, they seem to be unaware of the context within which Alinsky laid the foundations of radical community organizing, one that was politically positioned on the side of the oppressed and disadvantaged. Alinsky's *Rules for Radicals* (1971), written in a didactic style, is the only reference contemporary street workers mention, and without much elaboration at that. There would thus appear to be a need to supplement the historical documentation of street work with more details and analysis with regard to Alinsky's heritage for contemporary street workers to gain a better understanding of the full meaning and implications of this rich legacy, which contains much of significance in today's context.

### **Alinsky's Organized Activism**

In a tape-recorded conversation with a friend of his, Alinsky relates that he was born to Jewish and very orthodox Russian immigrant parents in one of the worst slums in Chicago: "As a kid I remember always living in the back of a store. My idea of luxury was to live in an apartment where I could use the bathroom without one of my parents

banging on the door for me to get out because a customer wanted to get in" (Alinsky in Sanders, 1970, p. 12).

Alinsky (1969) describes the period of his youth as one of "upheaval and massive dramatic change, with the collapse of many accepted values . . . [of] security, work and money as the way to 'happiness' . . . [in] the great crash of 1929" (p. viii). This seems to have entailed a certain disillusionment in the young Alinsky that shaped his irreverent attitude: "Disillusionment's child is irreverence, and irreverence became one of my major heritages from an angry, irreverent generation" (p. ix). However, irreverence for the mature Alinsky meant being neither unruly nor aggressive; it was political in the sense of giving oneself a basic democratic right: "I believe irreverence should be part of the democratic faith because in a free society everyone should be questioning and challenging" (Alinsky in Sanders, 1970, p. 56).

This kind of irreverence is particularly clear in Alinsky's determined rejection of the claim to objectivity made by academics:

Objectivity, like the claim that one is non-partisan or reasonable, is usually a defensive posture used by those who fear involvement in the passions, partisanship, conflicts and changes that make up life; they fear life. An 'objective' decision is generally lifeless. It is academic and the word 'academic' is a synonym for irrelevant (Alinsky in Sanders, 1970, p. 56).

Alinsky was quite familiar with academia. In 1926, he attended the University of Chicago, majored in archaeology, and took many courses in sociology that did not leave a profound impression on him:

In the sociology department it was a cardinal sin to make a categorical statement. You qualified everything you said; then you qualified the qualifiers and added some footnotes so that the final conclusion had more escape hatches in it than a loan shark's mortgage contract (Alinsky in Sanders, 1970, pp. 14-15).

He graduated in 1930 as the Depression was hitting, could not find a job, and had to face hunger. Much to his surprise, the University of Chicago awarded him the Social Science Graduate Fellowship in Criminology despite the fact that he had not taken any courses in criminology. As a graduate student, he had the assignment of gaining insight into crime. After several failed attempts, he managed to infiltrate the ranks of Al Capone's gang. However, two years after starting graduate studies, he was offered a job with the State Division of Criminology, which he accepted and, consequently, dropped

out of university. Alinsky's dismay with academia stemmed from the fact that he found himself "dependent on foundation grants, on university trustees, and on public authorities. You're not supposed to get involved in controversy or public issues or you will become known as a 'trouble maker' or as someone who has 'personality difficulties'" (Alinsky in Sanders, 1970, p. 25).

In his new job, Alinsky once again managed to infiltrate a rather hardcore gang of Italian youth. Known as the "Forty-two gang", this gang was responsible for 80 percent of automobile theft in Chicago at the time: "It was much harder than getting in with the Capone gang because these kids were very suspicious . . . [But] I was lucky and we got to know each other as people" (Alinsky in Sanders, 1970, p. 22). In his next job, as a criminologist at the state prison in Joliet for three years, Alinsky learned more about human relationships. However, working for state institutions made him feel equally alienated: "you get institutionalized-callous. You stop thinking" (Alinsky in Sanders, 1970, p. 24). After three years of working in the prison, he quit and never returned either to academia or to working with state institutions, refusing many tempting offers; his decision was clear: "I decided I'd do the organizing myself" (Alinsky in Sanders, 1970, p. 30). He then embarked on a long journey of what can be called *organized activism*, still circumscribed within the emerging methodology of community organizing but politically positioned on the side of the oppressed and disadvantaged populations and aiming at more economic and social justice.

When Alinsky first became involved in organized activism in the 1930s, he was very critical of the community organizing model advocated by Chicago School sociologists. Although this model was founded on the recognition of both structural and subjective determinants, the latter received undue focus at the expense of the former:

All the experts agreed that the major causes of crime were poor housing, discrimination, economic insecurity, unemployment, and disease. So what did we do? We went in for supervised recreation, camping programs, something mysterious called 'character building'. We tackled everything but the actual issues. Because the issues were controversial (Alinsky in Sanders, 1970, p. 25).

Indeed, in their appraisal of the impact of *The Chicago Area Project*, Shaw and McKay (1942, p. 444) acknowledged that the changes regarding the improvement of housing and sanitary conditions as well as the creation of job opportunities were modest.

Alinsky was also very critical of approaches to youth problems in which the young individual was viewed as a composite of “separate problems to be addressed through separate and different interventions” (Alinsky 1969, p. 57). This critique still holds today amongst critical street workers with respect to the numerous community projects that are implemented with a “prevention” mandate specific to one particular problem, like drug-abuse, reproductive health, social exclusion, housing, unemployment and so on. This approach fragments the totality of the individual youth into separate problems, which are addressed through correspondingly separate prevention projects.

‘Uncertainty’ may be a weak choice of word to refer to the 1930s, a period marked as much by the Depression as by the rise of Fascist groups in America and abroad. Although he was appalled and knew that it would be tough, Alinsky was not deterred from organizing in *The Back of the Yards*, Chicago’s most notorious slum, situated behind the gigantic stockyards, and which inspired the title of Upton Sinclair’s novel *The Jungle* (Doering, 1994, p. xviii). Reflecting on his adventure there,<sup>10</sup> Alinsky says:

When I went into ‘Back of the Yards’ . . . this was not the slum from across the tracks. This was the slum across the tracks from across the tracks. Also, this was the heart, in Chicago, of all the native fascist movements—the Coughlinities, the Silver Shirts, the Pelly movement. Lots of people can tell you what was in mind at the time. Boy, there are pages in criminology textbooks on my philosophy of ‘grassroots holism’. I don’t know what that is. I went in there to fight fascism; delinquency was just incidental, the real crime was fascism. If you had asked me then what my profession was, I would have told you I was a professional anti-fascist (Alinsky in Sanders, 1970, pp. 30-31).

The Back of the Yards Council, set up under the tutelage of Alinsky, was successful in mobilizing the population of poor immigrants living in the “nightmare” of this slum. They were able to create an efficient local organization that involved the local population and determined to fight against all forms of discrimination and exploitation. They achieved their goal and were able to establish local services (housing, public health, social welfare, and others), to the extent that their neighbourhood became a national model of successful local self-organization.

<sup>10</sup> “Life is an adventure of passion, risk, danger, laughter, beauty, love, a burning curiosity to go with the action to see what it is all about, to search for a pattern of meaning, to burn one’s bridges because you’re never going to go back anyway, and to live to the end. Terrified by this dramatic vista, most people just exist . . . for an illusionary security and something called status (Alinsky, 1969, p. viii)

In the wake of this success, Alinsky rose to national prominence. Among other prominent figures, Alinsky drew the attention of the wealthy Marshall Field III, who offered funding to set up a foundation which would provide him with a modest salary enabling him to continue to implement his ideas and methods. After ensuring that his freedom of action would not be jeopardized, Alinsky accepted the funding, established *The Industrial Areas Foundation*, recruited a small staff and began to work as a freelance professional organizer in turbulent cities (riots and mobs) around the country. During numerous visits to local jails, Alinsky was able to write the first draft *Reveille for Radicals*, which in 1946, the year of its publication, became the number one non-fiction best-seller, received wonderful reviews and was hailed as the “Common Sense” of today (Alinsky in Doering, 1994, p. 24).

### **The Alinsky Heritage**

When Alinsky died in 1972, he had already observed the retirement of American radicals, whom he felt were in a deep sleep within “the cradle of organized labor” (Alinsky, 1969, p. 24). He felt that the passing of the activist “torch” had been aborted:

Few of us survived the Joe McCarthy holocaust of the early 1950s and of those there were even fewer whose understandings and insights had developed beyond the dialectical materialism of orthodox Marxism. My fellow radicals who were supposed to pass on the torch of experience and insights to a new generation just were not there (Alinsky, 1971, p. xiii-xiv).

At the time of his death, however, his fame and reputation (and as he claimed, the “torch”) had already crossed into Canada and into Europe. His book *Rules for Radicals* (1971), translated into French in the same year,<sup>11</sup> profoundly inspired an emerging generation of street workers in Canada, France, Belgium, Germany, Holland and Switzerland, who were concerned about the situation of an escalating number of youth joining the ranks of marginality.

In his writings, as well as in his recorded conversations and correspondence with friends and supporters, Alinsky not only prescribed rules for the new generation, but, more importantly perhaps, he vividly described his values and assumptions and their

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<sup>11</sup> Alinsky, S. (1971). *Manuel de l'animateur*. Paris: Seuil, 1971.

unequivocal embrace of the “humanist project”. A summary of the major assumptions and values included in the Alinsky heritage is warranted here.

First, the social theory of consensus is refuted:

Talk about politics being a matter of accommodation; a cooperative search for the common good; . . . consensus . . . this is typical academic drivel. How do you have consensus before you have *conflict*? There has to be a rearrangement of *power* and then you get *consensus* (Alinsky in Sanders, 1970, p. 61, emphasis added).

Second, oppressed people are not offered power; they work and struggle for it: “people don’t get opportunity or freedom or equality or dignity as a gift or an act of charity. They only get these things in the act of taking them through their own efforts” (Alinsky in Sanders, 1970, p. 45).

Third, the lived experience of people is valued: “It should always be remembered that a real organization of the people, one in which they completely believe and which they feel is definitely their own, must be rooted in the experiences of the people themselves” (Alinsky in Sanders, 1970, p. 78). Further, the organizer becomes deeply involved in people’s reality: “When I go into a community, I suffer and resent with the people there, and they feel this. It’s a big thing in my relationships” (Alinsky in Sanders, 1970, p. 14).

Fourth, community organizing is people centred, and democratic participation is central: “There should not be too much concern with specifics or details of a people’s program. The program items are not too significant when one considers the enormous importance of getting people interested and participating in a democratic way” (Alinsky, 1969, p. 55). In addition, the “substance of democracy is its people and if that substance is good—if the people are healthy, interested, informed, participating, filled with faith in themselves and others—then the structure will inevitably reflect its substance” (Alinsky, 1969, p. 56).

Fifth, Alinsky questions the claim to objective knowledge made by experts and academics in relation to defining ordinary people’s problems and the decisions that affect their lives. He therefore values people’s knowledge: “It is impossible to overestimate the importance of knowledge of the traditions of those people whom it is proposed to organize” (Alinsky, 1969, p. 76).

Sixth, reflection is an intrinsic component in community organizing:

Through action, reflection, study, testing, and synthesis I have learned to distil experience from living. Experience is the integrating of the actions and events of life so that they arrange themselves into meaningful universal patterns . . . I have learned to search for laws of change, to discover for myself such simple truths as that *the real action is in the reaction* (Alinsky, 1969, p. ix).

Seventh, “popular education”<sup>12</sup> is conceived as an intrinsic part of the overall participatory process of organizing, and is the ultimate objective of organizing (Alinsky, 1969, pp. 155-159). It enjoins organizers to create conditions that favour participants’ learning where “[it] is no longer learning for learning’s sake, but learning for a real reason, a purpose” (p. 173). Furthermore, knowledge is viewed as “an arsenal of weapons in the battle against injustice and degradation” (p. 173).<sup>13</sup>

The above descriptions of the ideology and values in this radical discourse of community organizing are almost identical to those underlying the Southern (Freirean) school of participatory action research (PAR) presented in the previous chapter. Indeed, the convergence of the values and assumptions of these two methodologies has facilitated their combination into the same program. As mentioned above, many street work organizations in different countries are in the process of incorporating PAR into their programs. In addition to a contribution to action theory constructions, when PAR becomes part of everyday practice, that is, when action is constantly subjected to participatory reflection and analysis, the tasks of monitoring and evaluation are assumed collectively and in a timely fashion. Similarly, the ongoing process of action and reflection ensures the continuing education of street workers.

In concluding this review of the Alinsky legacy, I would like to raise the following important question for contemporary critical street work practitioners and for activists in general. As we have seen, Alinsky’s mode of organizing is more confrontational and conflictual than today’s activism, which largely conforms to procedures of claims-making activities circumscribed by a consensus ideology, and which under the guise of democratic rhetoric (partnership and dialogue), all too often serves to dilute and even mute the claims of excluded populations. In light of the escalating conservatism, if not fascism, that has been witnessed in recent history, it is

<sup>12</sup> Popular education was a concept widely used after WWII. Its premises bear strong resemblance with today’s call for non-formal education.

<sup>13</sup> Note the similarity with Foucault’s notion of power and knowledge.

legitimate to ask whether it is still appropriate to keep to methodologies of soft advocacy and claim-making activities when democratic procedures are violated by the majority of political regimes, including the American Superpower with all its claims to being the guardian of democracy?

Let us turn now to examine the development of street work after it crossed the American border to Quebec.

## 1.2 Quebec

In Quebec, the practice of street work appeared in the late 1960s, and was inspired by Alinsky (ATTRueQ, 1997, p. 11). This was the era of the hippie and feminist movements, the sexual revolution and the use of the pill as contraceptive, and of the rise of the Quebec nationalist movement, the Quiet Revolution, and the rapid disengagement of the clergy and religious communities in the areas of health and social services, social work and education. The end of the 1960s was also marked by new problems stemming from the discovery and consumption of psychedelic drugs and cannabis. To address these problems, Drogues-Secours in Montreal supported the creation of the *cliniques champignons* (Lamoureux, 1994).<sup>14</sup>

Street work, which emerged as part of the function of many such clinics, was experimental and concerned with being as close as possible to the realities of the drug consumption milieus. For this reason, according to Lamoureux (1994), ex-drug addicts and even users who maintained a non-problematic relationship with drugs were engaged as full members within many teams working in these clinics. These street workers were better suited not only to meet with their peers in order to ensure them adequate support, but also to help their 'professional' colleagues to identify the different drugs, the methods of consumption, as well as the resulting short- and long-term effects.

At the start of the 1970s, a number of actions and changes took place in the medical, psychosocial, and legal domains at the federal and provincial levels alike (Pector, 2001). This was the era of the Le Dain Commission (a Royal Commission of inquiry into the use of drugs for non-medical purposes) and the implementation, by the Sainte-Justine and Saint-Jean-de-Dieu hospitals, of multidisciplinary clinics that

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<sup>14</sup> The first clinic was called "Sainte Famille", and was affiliated with McGill University (L'ATTRueQ, 1997, p. 12).



employed *travailleurs de milieu*.<sup>15</sup> These street workers undertook an interpretation of youth realities, which quickly became “in demand”. In particular, their active presence in the milieus of drug consumption was valued. In 1972, the *Regroupement des Organismes de Première Ligne à Montréal*, (ROPLAM), was constituted to bring front-line participants together (at the time, there were 100 street workers).

In the mid-1970s, several reforms to social services were introduced and street workers were integrated into existing structures (CLSC, CSS, and others). Towards the end of 1975, the *Comité d'étude sur la réadaptation des enfants et adolescents placés en centre d'accueil* (The Batshaw Commission) submitted a report that profoundly revised the philosophy of intervention and recommended that the emphasis be placed on the youths' development and social integration (Pector, 2001). As a result, many street workers were assigned to the social affairs network during that period of giving out *jobs à vie* (jobs for life) (L'ATTRueQ, 1997, p. 14). Gradually, the technocratic mode of operation gained the upper hand and street workers lost contact with the milieus of consumption, even as they maintained contact with their colleagues in the community sector. The conflict between professionals and non-professionals was ended for lack of combatants (Blais, 1986). In 1976-1977, ROPLAM was reduced from 120 to 5 members (Blais, 1986, p.3). Founded in 1969 in Montreal, the Bureau de Consultation Jeunesse (BCJ) remained the only community organization specialized in work with youths that continued to support street work.

The beginning of the 1980s confirmed the crisis in traditional social work vis-à-vis youth, social and cultural phenomena. In Montreal, a study on juvenile prostitution initiated by the *Comité de la Protection de la Jeunesse* (CPJ) confirmed this state of affairs. The CPJ recommended street work as a method for coming to terms with this phenomenon. In affiliation with the BCJ, the PlaMP (*Project d'intervention auprès des mineur(e)s prostitué(e)s*) was initiated in 1981 and became an association managed by street workers, volunteers and the youth involved in collective projects (Fahmi, 1986). In 1983, the BCJ opened a service centre, the CRIC (*Collectif de recherche en intervention communautaire*). From 1984 to 1988, the CRIC offered street work seminars to newly

<sup>15</sup> This is the designation given to street work in order to avoid a literal translation of the term *street work* during this era of linguistic assertion. Today, this designation, *travail de milieu*, is still used in reference to approaches limited to institutional structures such as schools, drop-in clinics, community centres, and youth centres (*maisons des jeunes*) (L'ATTRueQ, 1997, p. 13).

created street work organizations (in particular, the *PIPQ* in Quebec and the *Piaule* in Drummondville) to meet the new challenges arising from heroin consumption and the emergence of AIDS (BCJ, 1994).

The 1982 economic crisis had left deep traces in the living conditions of youth (minors and adults). The return in force of street work in the mid 1980s took place in the context of state withdrawal, which had already begun in the late 1970s. Out of concern for stemming the propagation of illnesses (social and physical), public health and public safety officials became interested in promoting street work in settings in which deviancy occurred (ATTRueQ, 1997). Dialogue and partnership between different actors and organizations with a social mandate became the operating formula for grant applications. The development and take-over by local milieus and communities gave birth to a multitude of new alternative resources and community practices for youth, all of which were considered preventative (maisons de jeunes, shelters for young adults, professional integration for those aged 16-30, and groups specialized in drug addiction, suicide, STDs, and AIDS).

In April 1992, a first international meeting of street workers took place in Montreal: '*Une génération sans nom (ni oui!): les jeunes de la rue et leur avenir dans la société*', a conference organized on the occasion of the tenth anniversary of PlaMP. Following the conference, a number of initiatives for street work and *travail de milieu* were launched, and resulted in the creation of some 20 non-profit organizations. In 1993, street work in Quebec entered a significant stage in its history with the incorporation of the *Association des travailleurs et travailleuses de rue du Québec*, (ATTRueQ), which currently has 120 members.

In concluding this historical overview of the development of street work practice in Quebec, it is important to note that the process of its takeover began shortly after its emergence. First, street workers were integrated into the structures of government social services during their reorganization in the mid and late 1970s. Then, in the late 1980s, the takeover was more financial in nature in light of budget cuts. Instead of integrating street workers into expensive "jobs for life", it was viewed as more cost-effective for them to continue their practice in the community sector, an approach that fit well with

the discourse of the “complementarity” and “plurality” of practices,<sup>16</sup> the promotion of community involvement, and the disengagement of the state. All this might appear rather progressive. In one sense, this policy recognizes new social vocations practised in a complementary fashion, in partnership with or alongside the (official) state network. Nevertheless, while it is true that the state apparatus, which manages the budgetary envelopes destined for the community sector, does not explicitly appear to interfere in the affairs of community organizations, street work in Quebec (and elsewhere) finds itself in an increasingly precarious situation:

First, insufficient funding and the constant threat that grants will not be renewed place street work at risk of losing its sense of direction, inasmuch as it can only achieve its full potential over a long period. Street work entails a long and patient presence that cannot be reduced to instrumental short-term projects. In addition, because of limited funding, many organizations do not offer their street workers sustained supervision and educational services, indispensable supports for both professional and personal growth. This situation is even more precarious in that street workers are often obliged to work alone and not with a team.

Second, governmental funding of programs for community organizations has been managed since 1996 by the regional boards (*régies régionales*), and more than 50% of the amount destined for street work comes from various prevention programs (drug addiction, STDs, and AIDS, homeless, school drop-outs, and others). Youth reality is thus fragmented into isolated problems. For each of these problems, a very precise mandate is established by the program framework and developed according to an epidemiological strategy of social-sanitary prevention. In this way, the technocratic approach to street work reduces it to the mere distribution of syringes and condoms and the offering of advice, with a view to saving on the cost of treatment. Paradoxically, this approach can only produce the contrary of what is intended in its inclusion objective; that is, it maintains, if not increases, exclusion inasmuch as it sends an implicit message to youth: continue as you are, but prevent illnesses (which engender high costs on society). In the best of cases, where the technocratic approach attempts to go beyond the

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<sup>16</sup> See for example, Duval, M and Fontaine, A. (2000). Lorsque des pratiques différentes se heurtent : les relations entre les travailleurs de rue et les autres intervenants. *Nouvelles Pratiques Sociales*, vol. 13, no. 1.

simple distribution of syringes and condoms, the concern for normalization and the battle against delinquency results in burdening street work with bringing lost sheep back into the fold.

As always, the take-over by the technocratic apparatus does not erase all resistance, and many street workers in Quebec continue to advocate a form of street work that has an emancipatory, politically positioned vision.

### 1.3 Europe

In Germany, France, Great Britain, Switzerland, Norway and the Netherlands, street work was developed in the late 1960s and was sustained primarily by isolated people or associations, few traces of which remain today (De Boeve, 1997, p. 50).

In Belgium, street work made its entry towards the end of the 1970s. Two organizers, Jacques Pector and Michel Peeters, worked at the time in a youth centre in Charleroi, which conducted the kind of socio-cultural activities fashionable in Belgium since the beginning of the 1960s. Peeters and Pector, who were aware of the emergence of street work in Quebec, established contacts with their Quebec counterparts and began a first street work experiment.

Only towards the middle of the 1980s did other street work initiatives begin to occupy more space in the field of social intervention, though in a marginal way. The 1991 "riots" in Brussels made many people aware that the collective and spontaneous expression of social malaise, which was thought to be limited to French suburbs, could also occur in Belgium. As a consequence, public authorities were given a social defence mandate: to anticipate and prevent rioting in order to defend, secure and reassure society and its citizens. This defence was supposed to occur in the streets since it was there that the riots had taken place.

Significant new budget resources were made available, and "street social work" (*travail social de rue*) was rapidly developed through new projects established at the institutional and the community group level alike (Schaut, 1994). There then appeared the infamous security contracts under the auspices of the Federal Ministry for the Interior: the police engaged street workers, and their mandate was clearly placed in a perspective of social control. Nevertheless, street workers from community groups

continued to resist and maintain a political outlook for promoting the rights of youth and their emancipation (De Boeve, 1997, p. 48).

In France, street work practice, already in existence for 50 years, was institutionalized in 1972 under the rubric of *prévention spécialisée*. Particularly targeted were, above all, the suburbs of big cities, where immigrant populations resided. In 1992, during the largest assembly of street workers in Toulouse, it was proclaimed that the values and the references of street work which had characterized it in the 1970s had been overwhelmed by an increasingly technocratic, administrative logic. However, this affirmation did not incite a large-scale resistance movement since apart from several exceptions, street work in France remained limited to socio-cultural activities, with neither vision nor political agenda (Legault, 1994).

In Switzerland, a project called *Gassenarbeit* (street work in German) was launched in 1980 in Basle (Maurer, 1992). It was premised on the conviction that considerable potential for forces of emancipation lies within society and the individual. This potential, it was argued, should be supported, developed and reinforced by means of street work. Some of the initial ideas came from a critique of most of the social institutions, which were viewed as being limited to *administering* people who lived on the margin by methods that were becoming increasingly refined and concerned chiefly with the maintenance of the social machinery. The Basle experiment report stressed the importance for social work to maintain its non-institutional character. Ironically, this report was published at precisely the moment when street work was institutionalized in Switzerland. The report's authors feared that with the institutionalization and the professionalization of street work, certain essential principles could no longer be guaranteed (Maurer, 1992, p. 11).

Faced with the institutionalization of street work, a resistance movement began to be organized in 1982, when street workers from Basle, Berne, Bienne, Lugano, St. Gallen, Zug and Zurich started to meet regularly. In 1985, they took a stand: "Nous ne voyons le travail de rue ni comme intervention d'urgence pour les services officiels, ni comme rabatteur des institutions sociales. Nous essayons plutôt d'apporter un soutien

aux dispositifs et aux forces réellement existantes de la zone” (Maurer, 1992, p. 17).<sup>17</sup> In February 1989, this group of street workers created the *Groupement Professionnel Travail de Rue*. This decision, which until then had been proposed many times but always rejected, was motivated by the desire to have a greater influence on the debate surrounding the politics and conceptions of street work.

To conclude this European historical survey, it is worth noting that many street work initiatives have been started in recent years in Russia and a number of other European countries that emerged after the collapse of the Soviet Union, in order to face a growing number of children and youth who take to the streets in order to survive in very precarious socio-economic conditions.

#### 1.4 Elsewhere

In many countries to the south (Brazil, Columbia, Chilly, Morocco, Egypt, Sudan, Kenya, India, the Philippines, and others), the street children phenomenon was at the origin of a panoply of intervention projects and programs which use street work methodology with an educational scope (often non-formal) to face this ever-increasing phenomenon. The majority of these projects and programs are carried out by local social development NGOs. Despite the wealth of innovation and creativity that characterizes many of these programs, they remain as marginalized as the street children with whom they work.

As we have seen, since its emergence in the United States in the 1920s, street work has been associated with two different conceptions. The first is concerned with “standardizing” social situations that are off course, while the second is more concerned with enabling excluded youth to use their agency in a process of emancipation. In the presentation of street work’s parameters that follows, I refer to this second conception, which I call *critical street work*.

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<sup>17</sup> The notion of “zone” is frequently used in Europe in connection with the street to designate a territory where a way of life and a particular culture is expressed. The zone is also a place where social tensions and conflicts are expressed.

## 2. THE PARAMETERS OF CRITICAL STREET WORK

In this section, I attempt to illustrate the practical and theoretical elements characteristic of critical street work and which will help, I hope, to provide a better definition of this little-known and rarely acknowledged practice. I maintain a favourable judgement with respect to the rich potential that street work represents, in terms both of *action* with marginal groups and of the *reflective research* that goes hand in hand with such action with the aim of making it more efficient and, as such, more emancipatory.

In following the different stages in street work, my objective is to shed light on the concrete nature of this practice. At the same time, I will establish links with theoretical and methodological premises that appear to me to be pertinent to each of these stages. My objective is to underline the affinity between street work and methodological perspectives of critical, engaged action and research.

### 2.1 Street Work as Street Ethnography

The first task of the street worker is to develop a strategy of *social infiltration* into the living milieus of targeted youth. In so doing, the street worker, like every *ethnographer*, must turn to observation and participant observation.

Infiltrating the living milieus of youth takes place by means of a gentle process, which is well moderated and supervised. This demands considerable tact and talent from the part of the street workers in order not to perturb daily routines or the regular course of things. Indeed, street workers must demonstrate numerous qualities, a partial list of which includes: sensitivity; knowing how to observe and listen, the ability to make connections and to take time to understand; belief in the capacities of the youth and in his or her potential, accepting differences in language, values, and culture, and respect youth and those surrounding them; to be able to establish their own limits and recognize their own strengths, weaknesses, and fears; to be available; and to have a spirit of initiative.

Initially, it requires a great deal of observation to familiarize oneself with the context, the movements, the activities, the faces, the spatial and temporal dynamics, etc. Next, and very gradually, the participation dimension becomes more active after the street workers have identified points of entry. Street workers thus begin to initiate and develop contacts, to identify key people/informants and friends, which allow them to

start decoding and better understanding the *language* and the *culture* of the milieu they are attempting to infiltrate. The initial contact with youth on the street and the construction of relations of confidence and solidarity with them and their surroundings demands not only that street workers have a great deal of sensitivity and *savoir-faire*, but also that they adopt a working methodology scrupulously developed and supervised, which enables a non-intrusive and progressive approach into street groups, in such a way as to avoid being rapidly excluded. Throughout this approach, street workers must observe three rules. First, they must abstain from making moral judgements concerning the lived experiences and realities of the youth. Second, they must not situate the youth in the role of victim, nor in one of a recipient of help. Lastly, they must help youth groups and collectives to claim their rights.

As participant observers, street workers must maintain a sustained presence in various areas of the youths' lives. This sustained presence in the street constitutes, in effect, the basis of street work. It signifies a sharing of day-to-day existence by mixing oneself into the life of the group, by participating in diverse activities and by making the effort to understand them from the perspective of the attitudes and behaviours judged to be important. It is, therefore, a matter of personal engagement; that is to say, not only is the objective of distancing impossible (and undesirable), but every attempt by a street worker to maintain an external stance will limit the process of accompaniment.

In sum, the first step of street work consists in gentle infiltration into the milieus of youth groups to make contact, to become accepted, and to win their confidence and esteem. This stage is developed following an ethnographic methodology. Indeed, the three principles, which, according to Edgerton and Langness (1974, p. 2-5), lie at the heart of contemporary ethnographic inquiry are observed throughout the infiltration process. The first of these, the emphasis on participant observation methodology—reflecting the concern that ethnographers live intimately in the societies under study—is ensured by meaningful and sustained *interactions* (sharing in day-to-day activities) between the street workers and the youth and their surroundings. The second principle is that the culture under investigation must be considered from the viewpoint of the people whose lifestyles are being studied. This is usually accomplished by being acutely attentive to the lived experiences from the viewpoint of the youth themselves, viewed as social actors and self-reflecting individuals able to interpret their own realities. Lastly,



by developing an “intimate familiarity” (Blumer, 1969) with the social fabric and dynamics of street milieus, street workers act in accordance with the principle of holism, that is, the contextualization of human conduct by reference to the setting in which it naturally occurs.

It is important to note here that the ethnographic inquiry inherent to street work derives from *street ethnography*. The use of *street ethnography* for the investigation of deviancy is amply documented in the literature. According to Gigengack and Van Gelder (2000, p. 11), this tradition’s foundations were laid by W.F. Whyte in *Street Corner Society* (1943), in which he studied the social structure of an Italian slum. However, C. Shaw’s *The Jack-Roller* (1930), in which Shaw depicted the day-to-day situations that street children encounter may very well be considered as an even earlier foundation. But it was only in 1977 when R. S. Weppner edited a collection of selected studies under the denominator *street ethnography* that this kind of work came to be recognized as “a respectable academic activity” (Gigengack and Van Gelder, 2000, p. 11).

Lastly, I would like to note that unlike street ethnography, which typically seeks to understand deviance and to contextualize deviant behaviour, street work, as further elaborated below, does not claim to be neutral. It sides with the excluded groups of youth to transform personal problems into collective advocacy for inclusion and a greater stake in the public good. As such, street work can be conceptualized as street ethnography that is *engaged* and *critical* (see Kincheloe and McLaren, 1994).

## 2.2 Street Work as Action Research

There are many similarities between participant observation and action research. Both come within the scope of the day-to-day existence of individuals and groups and both have a scientific and social objective. In addition, besides the universal scientific goal of understanding the operation and realities of social groups, these methods aim to elucidate social problems, to evaluate innovations, and to draw attention to marginalized groups.

In their daily work, Street workers are engaged *de facto* in a process of action research. The term *action research*, as a compound noun, expresses its double aim well: to produce knowledge, to resolve a problem, or to contribute to the achievement of

projects. In other words, action research refers to field action closely related to a form of research activity, which, in an interactive process, enables one to sustain, orient and consolidate the action. It is, therefore, not a matter of theoretical research, but of instituting a constant process of collecting, analyzing and interpreting data, not only to enrich our own knowledge, but also, and above all, to better define the field action with the youth concerned. For this reason, the concept of an alternative approach for youth who refuse to integrate into institutional networks becomes possible.

Between action and research, *reflection* nourishes and enriches the experience of the street workers who are at once a subject and an object in this approach: street workers place themselves in the group being studied in order to understand it more thoroughly and to grasp its real-life experience, all while maintaining the distance of observation. Thus, street workers are situated both as insiders and outsiders *vis-à-vis* the group. In being both observers and participants, street workers have recourse to a dialectical approach that leads them back and forth from practice to theory, and from the observing subject to the participating subject.

### 2.3 Street Work as Action Science

Whoever is involved with action, research and reflection is necessarily situated in the domain of *action science*, a body of work developed over the last two decades primarily by Chris Argyris (Harvard University) and Donald Schön (1931-1997) (MIT) (Argyris, 1980, 1982 1983; Argyris and Schön, 1974; Schön, 1983, 1987, 1995). Interestingly, Argyris and Schön view their effort to promote action science as a renewal of the action research tradition initiated by Kurt Lewin in the 1940's in the domain of social psychology (see chapter one, sec. 1.1). It is beyond the scope of this chapter to present in details the parameters of action science. I present here a broad overview with some emphasis on the *reflective* approach developed by Schön.

The starting point for Argyris and Schön was the crisis of confidence in the professions and the decline in professional self-image that started early in the 1970s. They argued that the crisis was not only the result of bureaucratic pressure for increased efficiency; professional knowledge produced by the positivist epistemology of practice had increasingly been "mismatched" to the changing character of professional practice and increasingly entailed complex problem solving involving "indeterminate zones of

practice characterized by complexity, uncertainty, instability, uniqueness, and value conflicts" (Schön, 1983, p. 14).

The gap between research and practice characteristic of the traditional scientific model of applied sciences accounts, according to Argyris and Schön, for the fact that professional practitioners were not finding the answers to their dilemmas in the prescriptions drawn from research results. This model is based on an epistemological principle, which asserts that knowledge should come before efficient action. Accordingly, the methodological rules of this model, which consistently dissociate the practitioner from the research process (or associated only as an experimental subject), are viewed by the founders of action science as systematic errors responsible for producing results irrelevant to actual situations encountered by professionals in their day-to-day practice. This dominant model of production of practice knowledge ignores the fact that it is the practitioner who is best placed to grasp the givens and the totality of the situation under study (Argyris, 1980; Schön, 1983).

Moreover, by limiting the power of problem definitions and prescriptions of solutions to the domain of fundamental sciences, positivist epistemology promotes a perspective of "technical rationality", which reduces practitioners to mere consumers of scientific products. By the same token, professional practice is reduced to a process of problem solving, which thereby evacuates the definitional process of "problem setting" with respect to decisions to be made, ends to be achieved and the means to be chosen:

In real-world practice, problems do not present themselves to practitioners as givens. They must be constructed from the materials of problematic situations which are puzzling, troubling, and uncertain. In order to convert a problematic situation to a problem, a practitioner must do a certain kind of work. He must make sense of an uncertain situation that initially makes no sense (Schön, 1983, pp. 39-40).

This awareness of the limits of technical rationality made Argyris and Schön depart from the dominant discourse according to which theoretical knowledge is considered the generative basis of knowledge production and should precede the action for it to be efficient. Rather, they advocated the opposite: action itself is a source of valid knowledge, and this paved the way for the emergence of a new epistemology.

*Knowing-in-action*, *reflection-in-action*, and *reflection-on-action* are the three operational concepts in action science which are used to produce a deliberate overlap of action and reflection in the context of a spiral process in which every cycle is composed

of fact finding, analysis, conceptualization, planning, implementation, and evaluation (Schön, 1983).<sup>18</sup> Accordingly, knowledge that emerges from an action/practice, i.e. experiential knowledge, is *constructed* through a continuous process of *reflection during action and on action*.

If, as the proponents of action science maintain, it is true that every professional innovator often has recourse to knowledge stemming from his or her own practice—to a greater degree than disciplinary or curricular knowledge, or from professional training—when s/he faces uncertain, unique or ambiguous situations, that is, the social *par excellence*, then this is the case to an even greater extent for the street worker who works in the territory of the ‘client’. Indeed, for street workers, reflection is an indispensable means of continuous self-education. Two spaces of reflection are necessary: individual supervision and group reflection. It is within these two spaces of reflection that the continuing education of street workers is enriched through the problematization of the link between theory and practice.

Before concluding this section, it is important to note that the great majority of street workers do not use the notion of ethnographer/researcher to refer to their work, and even less the notion of action science to describe the theoretical framework of their practice and education. Nevertheless, they would agree with the general process described above. The conceptual attributes I have advanced are formulated in order to illuminate the potential within the street worker’s practice, a potential that is little or poorly known.

#### **2.4 Street Work as Accompaniment Practice**

The practice of street work is located directly within the field of the social, and is essentially a practice of *accompanying* youths, to whom numerous stigmas and prejudices have been attached (drop-out, runaway, from the street, in the street, itinerant, junkie, homeless, ‘I don’t give a damn-ists’, at risk, lost, problem kid, beyond redemption, and even victim and/or delinquent). These youths are often excluded as being non- or anti-conformists.

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<sup>18</sup> Note the similarity with PAR.

The notion of accompaniment is borrowed from *acompañamiento*, which means ‘accompanying the process’, and is used by “Latin American development workers to describe a relationship with communities, groups, and individuals that fosters mutual support, trust, a common commitment, and solidarity” (Clinton paraphrased in Bradbury and Reason, 2003, p. 162). Accompanying means creating a meaningful relationship following an encounter with the other and stemming from recognition of the other in whatever s/he is and whatever s/he lives as actor-subject of his/her history. It is this fundamental recognition of the other that ascribes a symbolic scope to the meaningful relationship, permitting in this way, through interaction and in a relational rapport, the emergence and construction of sense and meaning.

The practice of accompaniment is achieved through a *process* in which street workers engage themselves as subjects and bearers of their own history, culture and values. By involving themselves as subjects guided by the desire to be in contact and to communicate with youth, street workers, in adopting a reflective approach at both personal and professional levels, learn to know, understand and recognize the culture (or rather the cultures) of youth without letting themselves be carried away by the relational proximity to such an extent that they create identity confusion by a strong desire to be an insider rather than an outsider (over-identification). In other words, the street worker is continually led to set a clear relational boundary between the private and the professional. These more or less permeable boundaries are intended not to engender rigid professional distance, but a position simultaneously enabling sufficient involvement and necessary distance.

A more in-depth exploration of the practice of accompaniment reveals that the creation of meaningful relationships with youth favours a response to their fundamental needs: a contact, a bond, someone who listens, understanding, empathy and, at a symbolic level, recognition, consideration, witnessing and respect. These attributes are fundamental in a relationship of help and are taken for granted in social science discourses.

While the therapeutic dimension in the relationship between youth and street worker is often omnipresent, it is not a matter of clinical therapy from a medical perspective. Rather, it is a question of a therapeutic approach that might be described as awareness raising and as being centred on the subject-actor. It is as well an eclectic

approach guided by a humanist ideology and borrowing, among others, the principles of *active listening* and *empathy* from the Rogerian method, the notion of *liberatory dialogue* from the Frierean methodology, as well as the notion of *social accompaniment* from clinical sociology. This means that the therapeutic dimension in street work is expressed in the daily practice of accompaniment in a similar fashion to the one that takes place in field interventions within the methodology of participatory action research. In effect, street work is in many senses, and could be even more (if recognized and consolidated), one of the most interesting and efficient forms of participatory action research, since this type of community action relies essentially on the availability of the practitioner-research implicated in the day-to-day experience of the target groups. Street workers occupy a very privileged position in this respect.

Lastly, in accompanying street kids, street workers are often called upon to mediate between the kids and their families, the police, the school, social workers and a variety of other individuals and instances. This mediation dimension of accompaniment work is not without contradiction. The mediator role requires that street workers invest in their authority at the risk of being perceived by the kids as 'all powerful'. Obviously, this can entail problematic transfer issues that can be taxing if not attended to. Such situations point to the fact that despite all conscious effort from the part of street workers to downplay and control power differentials in their pursuit of egalitarian relationship with the kids, the affective perceptions of the latter are bound to raise power issues that must be addressed. It is then that the street workers become aware of their 'longing for innocence and purity' (see Flax, 1993) when they represent their work as mostly educational and devoid of any social control function.

## **2.5 Street Work as Socio-cultural, Non-formal and Collective Education**

Eventually, in the practice of social accompaniment, the street worker is asked by youths to help them *carry out a project* that interests them. Whether it be to stage a theatre play, produce a video, go for a trip or any other activity, this request opens the door for articulating and raising the *educational* component of street work. It thus becomes a matter of orienting these activities within a non-formal context corresponding to the realities of youths who have dropped out of the 'formal'.

It was in the late 1960s that non-formal education appeared in the international discourse on education policy as an alternative to the escalating “world educational crisis” (Coombs, 1968, 1985). Formal education alone could no longer respond and adapt to (rapid and constant) technological, social and economic changes; non-formal education, it was claimed, was necessary and complementary to formal education and particularly relevant to the needs of disadvantaged groups (UNESCO, 1972). As a concept, non-formal education was constructed using notions drawn from the works of John Dewey (1916, 1938) on democratic education, Ivan Illich’s notion of *deschooling* (1976, 1990), and Paulo Freire’s *liberatory dialogue* (1970) and *critical consciousness* (1973). There is not enough space here for an exhaustive discussion of the parameters and underlying theories of non-formal education. I will, however, briefly discuss some of its basic principles, drawn from the works of these writers and educators (see also Graubard, 1972 and Hern, 1996).

Mainstream notions of education are typically articulated solely around its social function—little attention is paid to the learning process, and learning and creativity are generally viewed as distinct processes. In contrast, non-formal approaches to education seek to dissolve this distinction. In these approaches, learning is not merely the addition and accumulation of knowledge and skills; rather, it involves integration and disintegration, construction and deconstruction, creating new wholes, and going beyond limits and opening the door to a limitless horizon of possibility. In this view, there is no such thing as a distinction between learning and creativity.

In non-formal education, learning is viewed as emancipatory inasmuch as it involves reinterpreting and giving significance to one’s experience of the present. As such, education is neither passive nor neutral. It contains the possibility of being a vehicle for expression, for creativity, in a dynamic relationship with action in and on the environment.

When the act of learning helps to define and form relationships with the environment, it becomes possible for individuals to redefine and reconstruct their material environments, which include power relations between human subjects. In this view, social change, at the individual and group levels, is intrinsic to the experience acquired in the process of socialization and education. In other words, in non-formal

education, change is the natural result of a voluntary and emancipatory educational process.

Consequently, when youths ask to carry out a project of their own, it is an ideal occasion for street work to become group accompaniment work in a collective undertaking of carrying out projects. Voluntary involvement in this type of activity allows youths to have a multitude of experiences in a real and concrete moment, one that requires decision-making, participation, negotiation, and organization. The very process of completing a project becomes the vehicle for very enriching interactions and exchanges because it is necessary to struggle with the meaning of notions such as responsibility, cooperation, solidarity, engagement, democracy, motivation (or its absence), tolerance, respect, criticism, justice, and many others. The role of educator assumed by the street worker is one of moderator, coach, host, informant and participant who obviously takes part with the others in creating and constructing the meaning of their communal existence and their project.

## 2.6 Street Work as Ethical *Savoir-faire*

In the foregoing description of the parameters of critical street work, I highlighted the familiarity with the realities of excluded youths that street workers develop by placing themselves in the living milieus of these youths. This “intimate familiarity” and proximity with the excluded inevitably gives rise to a multitude of ethical issues and dilemmas to which critical social workers devote a great deal of thought and discussion. These deliberations, carried out individually, with peers and with the kids themselves, constitute the vehicle for promoting a “critical reflection” that is the pre-requisite for emancipation (see Fook, 1999, 1999a).

In adopting what may be called an *ethical attitude*, street workers are continuously engaged in *problematizing* the different realities they encounter in their practice, even when these seem obvious. As such, an ethical attitude strives to raise questions without a priori conceptions modelled according to established morality, codes of ethics and laws that are enacted with a view to dictating behaviours. In other words, an ethical attitude enables the actors to participate in the ongoing interrogation and construction of what is *just* and *human*.



It is this ethical attitude of continually interrogating the obvious and problematizing issues that makes street workers able to cope with situations of *ethical uncertainty* in the "indeterminate zones of practice characterized by complexity, uncertainty, instability, uniqueness, and value conflicts" (Schön, 1983) so characteristic of intervention with marginal and excluded kids. The experiential knowledge that is constructed in conjunction with living in and reflecting upon such situations of ethical uncertainty can very well be named ethical *savoir-faire*.

Lastly, it is important to stress that the foregoing discussion of the practical and theoretical elements characterizing critical street work is an ideal representation, with a view to underlining its full potential. However, this potential is clearly not exploited, in part because the practice is unknown, and in part because of a political desire to promote the protection of society over that of individuals and groups in precarious situations.

### 3. UNDERPINNINGS

Since its origin in the United States in the 1920s, street work has been associated with juvenile delinquency and community organization. Two conceptions that are difficult to reconcile have marked in a general way the development of street work as a social practice since the end of the 1960s. According to the first conception, street work is above all a method of intervention, even a simple technique of approaching, of making contact with deviant populations—who escape the institutions that are supposed to contain them—with a view to controlling and reproducing a specific social order that is not challenged. Thus, a perspective of *social defence* characterizes the first conception, the primary objective of which is the standardization, if not the control and repression of individuals and groups who are a menace to society due to their asocial behaviour.

The second conception of street work, which I call critical street work, comes within the scope of another political and social philosophy. It proposes a reading of social life that penetrates the lived realities of actors in precarious situations. This reading begins with the assumption that problems posed by deviance are above all social in nature. In this light, the priority is the fight against exclusion, relying on the reinforcement of natural systems of socialization. Policy with regard to criminality is not a favourable instrument for mobilization; on the contrary, it should remain in the background as much as possible. In this second conception of street work, the emphasis

is placed on the protection and emancipation of excluded individuals and collectivities and not on the potential danger they represent. It is thus a perspective of *social inclusion*. This perspective rests entirely on the ability to construct a relationship of mutual trust with those excluded, a relationship that nothing should be allowed to compromise. If this approach ultimately contributes to the fight against deviance and violence, this contribution is only an added feature, not the primary objective.

Obviously, each of these two conceptions will orient the analysis of the situation and the practice in very different ways. In a conception based on a perspective of social defence, the predominant vision of the young deviant is often that of the 'lost sheep' who must be recovered from the street and redirected onto the proper path. This vision rather explicitly suggests that the street is a place of ruin, and its influence on the people who live in it can only be bad. Moreover, the idea of the deviant, the lost sheep, implies that a certain adaptation must have occurred before the aberration or deviance. The stakes here are based on the supposition that the deviants, despite everything, carry conventional societal values within them—as Shaw and McKay argue—and that a little resilience on their part and help from the interveners will suffice to get them to rejoin the flock. As for those who are not resilient enough to leave the street, they will still be offered condoms, syringes and advice, and if they become uncontrollable, they will be incarcerated.

This vision of the baneful street into which young sheep go astray excludes any approach which would attempt to grasp the meaning and significance of the lived realities and experiences of youths other than as ill-fated and negative. Youths are therefore supposed to dissociate themselves from street life, if not completely disavow their street experiences, since they have no positive value.

This analysis of deviance is very different from the one advocated by critical street work. Here, the street is no longer perceived solely as devastating; it is also educating and socializing in ways that can be rewarding. By appropriating public spaces in the street and transforming them into spheres of work, production, relations, innovations, adventures, leisure and consumption, youths search and create a place, an identity; make their existential choices; experience recognition and solidarity with their peers; share their fears, their anxieties, their revolt, and also their friendship and love. For them, the street is a place where they can have a certain amount of power over

themselves and their environment. In taking to the street, their agency emerges in a state of re-action, that is, in 'action'. According to this conception of life in the street, it would neither be conceivable to ask youths to repent their entry into the street nor to uphold leaving the street as the primary objective of intervention. On the contrary, the street workers attempt to understand the cultures of the street and to co-construct with youths a sense of their lived experience in order for them to be able to re-appropriate it and subsequently direct themselves towards becoming responsible and autonomous.

Throughout this chapter, the opposition between two conceptions of street work has been accentuated in order to place in relief the traits of each of these poles. However, these two conceptions of street each have their legitimacy, and the contrast between them echoes the omnipresent tension between what Alain Touraine (1992) calls the pole of "rationalization" and the pole of "subjectivation". All actors, including the proponents of the two opposing conceptions of street work, are inhabited by the tension between these two poles. The primary issue rests on the ability and willingness of actors to transcend this polarization. This can happen if the actors make room for a dialectical sensitivity to concede that reality is a concrete totality in movement and agitated by contradictions that necessarily remain open. As such, it is from these very contradictions that the possibilities for new meaning and innovative actions may emerge. In this sense, the primary issue here is one of democracy. A political system that favours one pole to the detriment of the other and which does not envisage any kind of dialectics is a form of totalitarianism that only recognizes what lies along the straight and narrow line of normality. Similarly, activist groups that do not acknowledge the inevitable defence dimension in social matters will remain prisoners of their longing for innocence and purity.

If a certain equilibrium between social defence and social inclusion constituted the basis of the social system in the West until the 1970s, it seems that today the tendency towards the prevalence of social defence threatens to vitiate democratic space. Nevertheless, there is no room to situate the activist groups as the sole defenders of subjectivation, nor is there room to define state actors as the sole defenders of rationalization. Reality demonstrates that these actors may sometimes jointly contribute to normalizing a situation. Here, the subject is rare. To put forward the dialectic tension between the two poles is to permit the subject to exist.

For the promoters of critical street work, another dilemma emerges from the pressures exerted by the sponsors—essentially the state and its different bureaucratic apparatuses—for a quantifiable street work that does not escape control. Does one thus want to attempt to better formalize and structure this practice (its methodology, objectives, statute, etc.) at the risk of locking it into a form of institutionalization, or of losing its originality and of distancing it from its target public, the excluded? Or on the contrary, does one not wish to attempt to formalize it at the risk of maintaining it in its marginality, reinforcing in this way the mistrust of authority and its lack of recognition?

It is interesting to note here the similarity between this dilemma and the one which street youth often encounter when they contemplate disengaging themselves from their street career. For both street workers and youths, this sort of dilemma poses a rather difficult choice between a precarious, unstructured and autonomous way of existence and institutionalization and loss of creativity. The autonomy that critical street workers enjoy in their work often elicits the envy of those working in institutions of social work. Indeed, critical street workers feel that their mandate comes neither from the organization with which they are affiliated nor from their employer or the sponsor, but, rather, from their public, that is, those on the street, the excluded, whom they view as ineluctably engendered by the socio-economic system (see Moreau, 1990). In addition, street workers do not maintain client files, as nothing is allowed to compromise the relationship of confidentiality they have with their public.<sup>19</sup>

Thus, contrary to professionals who work in institutions and who are constrained to follow bureaucratic rules of the administration and often situated far from strategic decisions, street workers enjoy a comparative advantage that allows them to have considerable autonomy. Nevertheless, just as for the youths they accompany, this autonomy must be paid for. Street workers often find themselves in situations of precarious work, and their work itself receives little recognition or is even denigrated as amateur and non-professional.

In this context, the promoters of critical street work recognize the need to produce, implement and assert within the political sphere a viable project involving a structure of operation, purposes and appropriate and efficient methods. Indeed, this may

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<sup>19</sup> This does not mean that street workers do not keep records of work progress and problems. However, these records remain their own.

have even become more essential in order to face an increasing instrumentalization of street work, which is implemented through a variety of new initiatives (street police, street nurses, doctors and psychologists) better equipped with resources and eventually able to monopolize existing street workers and those who are available for this type of involvement. The general framework of *Action-Recherche-Participative-Réflexive-Formative* with which many street work organizations have been experimenting since 1999 in Quebec, should, to my mind, facilitate better recognition of street work, which, after all, is necessary since it responds to situations of exclusion which are beyond the limits of the institutions of social work.

However, a perspective of this kind would not be possible unless the state first developed a genuine interest in providing financial support for activities that are accountable to it while escaping its direct control. The principle is not new. It already directs relations between, for example, the state and justice, medicine, and university research. Furthermore, the framework of *Action-Recherche-Participative-Réflexive-Formative* that could provide legitimacy to the street work project can certainly constitute a space for enriching encounters with academic researchers, provided that an egalitarian mode of collaboration were established. An arrangement of this kind was actually established between university researchers and street work organizations in Belgium and Spain.

### Concluding Commentary for Part One

In this first part of the thesis I undertook an historical review of two methodologies of social action/practice and research: participatory action research (PAR) and street work. This review reveals that for each of these two methodologies, there are two opposing conceptions along a continuum stretching from radical/critical/objective to instrumental/control/subjective. The review also reveals that the polemic around these opposed conceptions has consistently featured in the debates around these two methodologies since their emergence in the 1930s (street work) and the 1960s (PAR) respectively. In addition, it reveals the fact that both methodologies, in their radical/critical version politically positioned on the side of the excluded, have occupied a marginal status both in academia and in the field. Indeed, the Southern Freirean tradition of PAR and critical street work share a common ideology that values the participation of excluded individuals and groups in the decisions affecting their existence as a means to acquiring more empowerment. This common ideology, as well as the insistence on being with participants in their own milieus, has inspired the eclectic combination of PAR and street work within programs that target excluded and marginalized youth populations.

Lastly, the historical review reveals that the Southern tradition of PAR and critical social work, each with its particular history of action with grassroots communities, not only share a common philosophy, but they have also both been co-opted and instrumentalized within technocratic structures. Nevertheless, the tradition of activism that has carried these emancipatory methodologies of social action has survived even though its substance and strategies may have changed according to place and time. It is interesting to note here—in reference to what I described in chapter one as an undue concern with the issue of co-optation—that accepting co-optation as an inevitable part of the game reflects neither a pessimistic nor a fatalist view. It is always refreshing and comforting to identify in history a common thread of *resistance* to many injustices that have prevailed in all societies over a long period of time. This thread seems to have survived the perpetual co-optation of activists' discourses and methodologies.

In the following chapters, I narrate the PAR process undertaken with street children and youths in Cairo spanning eight years of fieldwork, which eclectically combined street ethnography, street work and action science. If this work permits a better understanding of the specifics of PAR and critical street work and a better

definition of the conditions of their success—autonomy, the necessary relationship of confidence with those excluded and duration—it might also help to prevent these methodologies of social action from becoming totally distorted or from losing their substance through instrumentalist use.

**PART TWO**

**THE STORY**



## Introduction to Part Two

In this second part of the thesis, I reconstruct the story of the participatory action research project that targeted the street kids of Cairo, Egypt between 1993 and 2001. In writing this part I was very keen to highlight the processual nature of the undertaking in order to demystify some of the promises alluded to by some participatory action research (PAR) discourses. Specifically, my intention is to demonstrate that it is naïve to assume that by simply wishing ourselves into a “participatory stance”, we, as PAR practitioners, will be able to lead the community in transcending historically and culturally rooted differences and conflicts. Both subjective and structural impediments to democracy need to be attended to in a PAR process and this, in my opinion, is the essence of PAR itself. As such, I strive to demonstrate here that democratic participation, like other ideals of PAR, cannot from the outset constitute a fixed feature and mode of operation. Instead, they are to be actively, dialogically, ethically and dialectically pursued as medium- and long-term objectives in an open-ended process of ongoing action, reflexivity and adjustment.

In writing this part of the thesis I was also keen to demonstrate that for a PAR process to be emancipatory, it is necessary to dwell and critically reflect on the various and complex ethical issues and dilemmas that arise by virtue of the contradictions inherent in the very intention of promoting a democratic process of action and research. Through continually problematizing day-to-day practice situations and questioning their ethical attributes at both the individual and collective levels, the story of PAR that unfolds here is indeed one in which methodological and ethical issues merge in the organization of work.

One last, but certainly not the least, concern I had in writing the following chapters was with respect to the concept of emancipation that is increasingly becoming a catch word. I demonstrate here that the concept of emancipation, insofar as it denotes, as aptly noted by Fook (1999, pp. 201-2), the development of “a consciousness which is able to imagine the transformability of current arrangements” and “the capacity to analyse social situations and to transform social relations on the basis of this analysis”, must be applied to all the actors who are involved in the PAR process. I reject the all too often implicit assumption that emancipation defined as such is the goal reserved mostly for the participants from the “oppressed” group, often represented with “false

consciousness". In what follows, I demonstrate that the claim of *emancipating the other* is flawed if it does not involve the emancipation of those making the claims themselves. The practitioners and researchers in the PAR reported here certainly had a lot to gain from interactively constructing what Fook calls "emancipatory knowledge" by continually trying to position the different intelligence and *savoir-faire* of the actors on an equal footing.

This second part of the thesis consists of four chapters. In chapter three I analyze both the international and the Egyptian contexts with respect to the phenomenon of street children. I then describe how the PAR process with Cairene street kids, the subject matter of this thesis, was initiated and the technical and political tasks that needed to be performed in conjunction with the launching of street ethnography to conduct research into some the realities of the phenomenon in question. The analysis of the data observed and collected through street ethnography was used to develop a conceptual framework that informed the construction of the PAR process. This framework is presented in chapter four, before resuming the re-construction of the PAR story in chapter five with the opening of the drop-in centre and the launching of non-formal education and advocacy activities, which sought to empower and emancipate the participants.

Lastly, in chapter six, I highlight and discuss the changes and impact we observed at the individual and the group level, as well as changes with respect to Egyptian policy regarding street children. I then summarize and discuss the methodological features that characterized the PAR process presented in this thesis, before ending with a discussion of some of the unresolved issues.

## Chapter 3

### THE BEGINNING

In this chapter, I narrate the beginnings of eight years of action and participatory research both *on* and *with* Cairene street kids. This exploratory and preparatory beginning phase required the accomplishment of both technical and political tasks. These included the design of a PAR project to be implemented in developmental stages, the recruitment of individuals, training and educating them in street ethnographic work with a marginal and highly sceptical population of children and youth, the initiation of observation work in the streets of Cairo to identify street localities where street kids are found, and the covert infiltration of some of the kids' milieus in order to gain a better grasp of the realities and context of their lives on the street through participant observation. Furthermore, the awareness that the project was to be implemented in a rather conservative and repressive context of state surveillance—practised by an inflated and corrupt technocratic machinery—necessitated the development of an institutional and political framework that was acceptable to government officials, even if they did not completely approve of it.

I start by specifying both the international and local Egyptian contexts with respect to the street children phenomenon and by highlighting some of the conceptual underpinnings.

#### 1. THE CONTEXT

##### 1.1 International Context

Since the early 1980s, the social phenomenon commonly referred to as *street children* has been of increasing concern to policy makers, researchers and development planners in both the North and the South. A substantial number of publications has been produced since then to describe street children and their lives on the streets and to suggest possible interventions (Moura de, 2002). Many programs have been designed to intervene with street children, including the provision of services and the adoption of both rehabilitative and correctional measures (Bemak, 1996).

Despite the widespread concerns and the numerous intervention programs, the street children phenomenon continues to escalate throughout the world, and there is still confusion surrounding the definition and conceptualization of these young populations living and surviving in street environment.

### ***Street Children: A Problem of Definition***

Obviously, the formulation and definition of a social phenomenon create the cast that shapes all subsequent organizational intents and actions: the wording of policy, the choice of solutions, the political and moral responsibility for reform, and the design of programs, including the selection of personnel and the identification of assessment criteria and the scope of research.

*Street children* is the generic term used to refer to groups of children, adolescents and young adults who develop a special relationship to the street, whereby they make it a living space.<sup>20</sup> Glauser (1990, p. 139) aptly remarks that “The frequency of its use seems to suggest that such a group exists as a homogeneous phenomenon in reality”. At first glance, they do indeed resemble one another. Their clothing is shabby, and they do odd jobs or just wander around, seemingly aimlessly. This overall appearance leads outside observers to identify them as street children. On the other hand, they have different family characteristics, and different experiences, trajectories and futures. A number of researchers have come to problematize the term *street children*, arguing that it lends itself to a misleading view of these children, namely, that they are all alike, dwelling in the street in identical ways and for identical reasons (Cree. et al, 2002.). In recognition of this, scientists and policy-makers have made several attempts to divide street children into subgroups that differ in key characteristics. However, this has resulted in the production of broad, ambiguous and often contrasting definitions that have not added much clarity to the phenomenon (Moura de, 2002).

One definition that is still to be found in most of the literature to date was put forward by UNICEF. It divides the street child population into two categories. The larger category consists of working street children, or *children on the street*, who work

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<sup>20</sup> In Latin America, Africa, Asia and Eastern Europe, children and youth living on the streets are commonly referred to as *street children*. In North America and Western Europe, the term *homeless youth* is used interchangeably with that of street children to refer to this population.

on the streets during the day and often return home to their families at night. These children may attend school part time and have limited access to health and other social services. The second category consists of *children of the street*, that is, kids who work and live on the streets, maintaining minimal ties with their families but essentially living on their own (UNICEF, Executive Board, 1986).

Although the two categories do have the word *street* in common, the street also acts as a differentiating element between them. This differentiation is made according to the type of relationship that exists between the child and the street as well as between the child and his/her family. Living at home with one's family and working in the street is opposed to living in the street away from one's family, suggesting a basic, but implicit, dichotomy between home/family and street.

The underlying concept of the UNICEF definition serves to classify street kids as *children at risk*. The risk factors are assessed along two dimensions. The first is a physical dimension, measured as a function of the degree of permanence in the street. The second is a social dimension regarding the degree of contacts with family. Together, these two dimensions actually propose a linear theory of causality based on the degree of family connection. This classification continues to be a major reference in the literature on street children.

The operational value of the UNICEF definition, which is based on drawing a distinction between children *on* and *of* the street, has been seriously challenged by the majority of research and practice findings concerning street children over the last 20 years (Aptekar, 1994; Lucchini, 1996; Koller & Hutz, 1996; Rizzini, 1996). These findings have clearly demonstrated that the situation of many children does not fit easily into either category. Some children spend the night on the streets for reasons related to how they make their living. Some go back to their homes in the morning while others only do so every few days. Others remain on the streets during the weeks, returning home only on the weekends, while others work the weekend and return home during the week. Still others stay out on the street when the weather is warm and return home during cooler periods, with the result that there are more kids sleeping in the streets during the summer than in the winter. Given this wide variety of ways that children use the streets, it is difficult to decide just when a child becomes *of* the street rather than merely *on* it.

The second operational difficulty regarding the UNICEF definition is linked to the fact that many so-called children *of the street* do not live continuously on the street. They may spend up to months at a time in institutional settings before going back to the street. Others leave the street for varying periods of time, living with family or relatives in an effort to re-establish themselves. They may also live with someone who takes care of them for one reason or another. What they share, however, is the fact that they all eventually return to the street. The third difficulty concerns the operational measurement of the degree of family contact, which does not depend so much on the mere amount of contact between the child and the family as on the quality of this contact. The painful reality of many street children is that their lives on the street are healthier, both physically and emotionally, than at home.

The UNICEF definitional approach has been refuted by ample empirical evidence showing that the vast majority of street children are in fact neither homeless nor abandoned, and that although most have homes to which they could return, many end up essentially living on the street (Aptekar 1992, 1994; Lucchini 1993, 1996).

Recognizing the difficulties with the definition of *children of the street* versus *children on the street*, some definitional attempts have led the way in grouping together all working children—whether working on city streets or elsewhere—and using the term street children to refer to the *smaller* number of largely abandoned children for whom the city streets are home (UNICEF, Programme Division, 1989). Obviously, this has not helped to clarify the confusion regarding the nature and definition of the phenomenon.

*The debate around who are the street children has led to the realization that this phenomenon is typical of many complex, multifaceted social issues for which no simple, agreed-upon definition exists. The multi-causal and diverse nature of the phenomenon needed to be dissected and elucidated.*

### ***Street Children: Conceptual Confusion***

The problems surrounding the definition of street children may stem from conceptual confusion over how to represent this population. For the most part, these children are depicted as either victims or deviants (Moura de, 2002; Cree et al, 2002).

The representation of street children as victims takes all responsibility off their shoulders. They could not have played a more or less active role in the process that led

them to the street. They could not have chosen to be on the street. Rather, they are on the street because of circumstances beyond their control. In other words, any agency on the part of the kids is dismissed by the victim discourse. Furthermore, the representation of street kids as “victim children” naturally assumes that their own interests are at stake by the mere fact of spending most of their time on the street (Glauser, 1990). Children and adolescents are expected to be at home or attending school and not to be spending most of their time on the street, where they are exposed to different kinds of dangers: pollution, accidents, exploitation, sickness, abuse, and so forth. Equally hazardous is the fact that they work or live without being supervised by their parents. This is viewed as inadequate and contrary to their interests since the prevailing view in most cultures is that a child ought to grow up with his or her biological family, that is, in the presence of at least one of his/her parents. Even when physically separated from the family, a child is supposed to be under the supervision and protection of a responsible adult or group of adults. Thus, according to the victim conceptualization, the term *street children* seems to point to a group of children and youth who are found in situations considered inadequate for them for reasons that they cannot help. The coining of the term responds to the need to take action on their behalf to rescue them.

When street children are represented as deviant, the concern is more about society's interests, which are perceived as threatened (Glauser, 1990). The use these deviant kids make of the street defies accepted norms. Instead of using the street as a channel for getting from one point to another, they openly make it their main habitat, where they make a living, eat, sleep, and engage in all sorts of reprehensible behaviour. Furthermore, in appropriating a public place such as the street, they are perceived as a physical menace. The common belief that these kids would do anything for survival, including committing acts of violence and assaults, justifies the call for protecting the public. While some of the kids' behaviour may seem aggressive on an individual level, it is their very existence *in the open*, living in ways that contradict what is regarded as normal which, disturbingly, questions social and cultural patterns. Ultimately, this seems to be what is at issue, according to the deviant representation. Street kids are deviations from the norm, and confront the mainstream values of society, threatening its major interests. It becomes clear, therefore, that society should take necessary measures to express public concern.

Both representations of street children, as victims and as deviants, dominate the discourses on street children and the scope of conceptualization that they elicit is obviously quite narrow. Social norms and needs, especially the need to prevent disruption of normality and mainstream interests, colour the formulation of the concept of street children in both the victim and the deviant representation. As such, the dominant discourse in the literature on street children can be seen as conforming to the *social defence* perspective presented in the previous chapter.

*This reductionist conception of street children pointed to the necessity of enlarging the scope of conceptualization of street children. Early in the research undertaking, therefore, we assumed that street kids could justifiably be represented as social actors who play a more or less active role in the development process of their situation and are capable of participating in the making of decisions affecting them.*

## 1.2 Egyptian Context

In Egypt, street children are viewed by mainstream society as immoral and as perpetrators of serious social violations. Their very presence contradicts the state's ideological discourse on family values and ideas about public order and safety. Such transgressions justify the periodic 'cleaning up' of children from the streets, arrests, imprisonment, institutionalization, and torture (Human Rights Watch, 2003). Street girls are doubly marginalized in that they are viewed as blatant violations of femininity in mainstream discourse. The formal system of juvenile justice in Egypt operates under the Child Law (Act No. 12 of 1996), which defines the acts committed by youths under the age of 18 which constitute criminal offences. These acts include begging, vagrancy, prostitution, drug use, having no stable living arrangements, mixing with other delinquents, running away from a reform institution, rebelling against parental authority, sleeping in public areas, having no legal source of income or reliable source of support, or being mentally ill. Clearly, a street child, from the legal viewpoint, is a criminal offender and a threat to social order and should be treated as such.

The Child Law provides for a wide range of court dispositions for street children, who are described as children "vulnerable to delinquency", including referral to mental health and social services, probation, and release into the custody of parents. However,



the outright release of kids (often to the street) or their institutionalization is the most common disposition (Bibars, 1998; UNICEF, 2002).

In 1993, when the PAR reported here was first initiated, officials in the Egyptian Ministry of Insurance and Social Affairs and the Ministry of the Interior did not recognize it as a phenomenon worthy of study or interest.<sup>21</sup> The concern expressed by certain international organizations like UNICEF and the ILO (The International Labour Organisation) was trivialized in an apparent attempt to dismiss it altogether. According to the officials, there were only a limited number of children and youth to be found roaming the streets of Cairo; they were well known to security officials and the situation was under control. Many of these officials advised us not to adopt the concerns of *foreign* organizations, which they viewed as intent upon defaming Egypt.

Yet street children constituted then (and even more so now) a global phenomenon observed in large cities around the world, and Cairo was no exception. This is no surprise given that even a very conservative estimate would indicate that one in every three urban residents in Egypt lives below the poverty line. This means that in Greater Cairo, 5 million people are poor, and 50% of them are children under the age of 18, that is 2.5 million children live below the poverty line and constitute a pool for generating a street children phenomenon. In Latin America the number of street children is calculated as more or less equivalent to 10% of this pool. Accordingly, the number of street children in Cairo could be estimated to be around 250,000.

Despite the official denial of the phenomenon, there seemed to be a general consensus that the number of street children was escalating. Yet confusion existed as to the nature and definition of this phenomenon. Futile debates around the UNICEF definition mentioned above were paralyzing roundtables and study groups. While no official definition had yet been developed, juvenile crime and/or drug abuse, child

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<sup>21</sup> It is interesting to note that at the time the term *street children* was not used in Egypt in reference to the population in question. *Vagrant kids* was the most common term used by both the public and officials. Associating an individual or behavior with the street is pejorative, and it is a very serious insult to refer to someone as having been “brought up in the streets” or as “belonging to the streets”. The official adoption of the literal Arabic translation of the term *street children* took place only in 1998 when Suzanne Mubarak, wife of Egypt’s President, used the term in Arabic in a public address. Following her utterance of the term, it was adopted by the National Council for Childhood and Motherhood (NCCM)—created by Presidential Decree no.54 of 1988 and closely associated with Mrs. Mubarak, who chairs its Advisory Technical Committee. Since then the issue of street children has figured on the NCCM’s agenda as one of the most pressing social problems (see the National Council for Childhood and Motherhood, <http://www.nccm.org.eg>).

abandonment, school drop-out, child labour, poverty, domestic abuse, modernization trends, including rural/urban migration patterns, and changing family structures had all been listed as causes for the existence of street children. Each of these differing explanations indicated a distinctive response: correctional or punitive measures, rehabilitative programs, or fundamental changes in educational policies and practices, for instance.

*Therefore, it was a crucial moment in Egypt in 1993 as we were on the threshold of constructing definitions and concepts regarding the phenomenon. It already seemed that social norms and needs, especially the need to prevent disruption of normality and mainstream interests, were colouring the formulation of the concept of street children.*

### **1.3 Egyptian Research Context**

Conducting any type of field research in Egypt requires an official permit, which is issued by the Central Agency for Public Mobilization and Statistics (CAPMAS). Applicants are requested to present a research proposal, including all questions and questionnaires to be administered. In normal situations, it can take between six to twelve months before the permit is issued. Permits are not issued to individual researchers who are not affiliated with a recognized research institution.

The 'permit' is the tool used by the state to control field research. The need for control may stem from an avalanche of field research being undertaken in Egypt and other developing countries that may exceed the amount of research conducted in developed countries (after all, ethnography started in the colonies). Most of the field research undertaken for the last twenty-five years has been associated with the socio-economic development enterprise generously funded by the international community. Conducting some kind of field assessment/appraisal in order to identify needs is now incorporated as a necessary initial phase of most social, health and economic development projects. Many of the researchers who conduct this kind of research are expatriates working or studying in Egypt.

The Egyptian state apparatus has a strong aversion and suspicion towards anything 'foreign'. This trend developed under the socialist regime of Gamal 'Abd Al-Nasser, and has been constructed within a nationalist discourse. The bureaucrats who

use this discourse today are generally not motivated by ideological conviction. Instead, they exploit it as a means to line their own pockets.

More dangerously, the suspicion of the 'foreign' has been adopted and amplified by right-wing religious militants. They have developed a discourse that ascribes much of the blame for Egypt's problems to the imposition of foreign Western values on the country with the active cooperation of a corrupt regime. These values are portrayed as antithetical to Islamic values.

*It is in this local context of trivialization of the phenomenon and the global confusion with respect to its definition and conceptualization that I, with others, attempted to 'do something about' street kids.*

## 2. HOW IT STARTED

### *Spring 1993, Cairo*

I was introduced to Dr. Samia Said, a sociologist by training and president of Social Development Consultants (SDC), a private consultancy firm. One of Dr. Said's main achievements is an action research program she instigated with the objective of organizing street food vendors in the city of Samalut in Upper Egypt. We met to discuss a possible collaboration. Going over my curriculum vitae, her attention was drawn to the AR program, in which I had participated in the early 1980s in Montreal, regarding the phenomenon of juvenile prostitution. She asked me if I had thought of 'doing something' regarding the phenomenon of street children in Cairo. Dr. Said mentioned that a counterpart working for ILO had informed her that his organization wanted to deepen its understanding of the phenomenon. The *working children* phenomenon, a priority item on the agenda of the ILO, seemed to be confused with that of street children, hence the need for a better understanding and analysis. I also learned from Dr. Said that UNICEF was also interested in street children in Egypt, as being part of the population that the organization defined as *children at risk*. UNICEF had observed that the *street children* phenomenon was on the increase in Egypt, and believed that the issue needed to be addressed. In short, according to informed international observers, there was a need for both understanding and action regarding a worrying and escalating social phenomenon, that of street children perceived as children at risk.

I became excited and told Dr. Said that I would be very interested in trying to do something about the phenomenon. We both agreed that an understanding of a phenomenon such as that of street children could not be developed according to traditional methodologies. A participatory action research (PAR) approach, to be applied by means of a street work methodology, struck us as a plausible option. However, I needed some time to verify the plausibility of undertaking street work in a city like Cairo.

Verification of this kind meant first identifying prospective street workers in a context in which this kind of community organizing did not exist. This, in turn, meant that street workers had to be recruited and trained. I started thinking of the young men I knew and tried to imagine which one could be qualified to go down the streets of Cairo, link up with street kids, befriend them and gather information about them and their world. To my mind it had to be a young man since I was already anticipating the necessity of working odd hours, especially late evenings and nights.

It did not take long time to identify Samir as the street-worker-to-be. I explained the basic idea of street work to him, and soon we were going down the streets of Cairo on observation trips, laughing about our 'mad' idea of infiltrating the street world.

I met again with Dr. Said and started working on a tentative PAR design. In our mind it was clear that the *action* component of PAR, in terms of achieving social change, could only be adequately conceived through the process of getting to know these kids and through their increasing participation. Accordingly, the action of bringing about social change was not predetermined but was intended to be developed 'as we went along' and as our understanding of the social realities with which we would be engaged deepened, and after a comfortable level of trust was attained with individuals and groups from the street communities. Therefore, we designed a PAR project to evolve in developmental stages, with the overall objectives of:

- Penetrating street children's milieus and establishing a meaningful participatory presence, using a street ethnography methodology.
- Understanding the magnitude, underlying factors and conditions, and persistence of the street children phenomenon.
- Developing community-based alternatives to institutionalization with the individuals and groups concerned.

Our next hurdle was to obtain a permit from CAPMAS, which, as we knew, had the discretion to conclude that certain research proposals address what are commonly referred to, in the language of Egyptian officials, as “sensitive issues”. In such situations, CAPMAS asks the applicant to obtain preliminary approval from the City Governor and the State Security Intelligence Department. Not surprisingly, officials labelled the question of street children as “sensitive”. Fortunately, the SDC umbrella facilitated rapid acquisition of these preliminary approvals. Otherwise, the application process might have been very lengthy and might have ended with refusal.

Upon our return to CAPMAS, we were asked to produce a questionnaire including all the questions that we intended to ask the street kids. Our informants explained to us that CAPMAS normally reviewed research proposals that used traditional methodologies. A questionnaire, in the mind of the bureaucrat, is essential to every field research proposal. A methodology involving going into the streets, linking up with street kids, establishing relationships of trust, and thus gaining an understanding of the situation of street kids would be far beyond the officials’ tolerance level for innovation.

It was thus deemed useless to present a research proposal articulated around street ethnographic work. Instead, Dr. Said and I decided to present a typical research proposal in which we stated that three researchers would administer a questionnaire to about 180 children. A questionnaire containing thirty-five questions was developed. Aside from demographic questions, which later helped to draw profiles of family background and places of origin, the remaining questions revolved around some of the themes and issues we thought were linked to the three research topics that we were pursuing:

- Factors that push the kids out from home.
- Factors that pull them to the street.
- Factors that sustain them in the street.

Although we knew that the permit would not be issued until a year later, we decided to start work immediately. The fact that we had obtained preliminary permission from the relevant Egyptian security officials convinced us that major problems were unlikely to occur. In any case, we had agreed to conduct a kind of initial covert infiltration work, in which there was no need to introduce ourselves as researchers. We

certainly had no intention of administering the questionnaire, which would serve instead as a set of guidelines for themes and issues to be investigated in an informal way.

### **3. STREET ETHNOGRAPHY**

#### **3.1 Preliminary Observation Work**

The main objective of preliminary observation work was to identify street localities where substantial numbers of kids were hanging around and to find potential points of entry. The work consisted primarily of roaming around the streets of Cairo at different hours of the day and night. In our search, we followed our own prior observations and knowledge, as well as following the kids in the streets. Friends and colleagues who knew of our undertaking passed on information and told us that they were surprised to observe that there were many more kids on the streets than they had initially thought. The fact of keeping an open eye made us all see better.

Twenty-seven street localities were identified over a period of three months. They included strategic busy street corners, bus stops and terminals, railway stations, public squares, markets, commercial streets, vacant lots, areas around mosques, garbage dumps, spaces under bridges and overpasses. However, in the course of identifying these localities, we also observed a wide variety of individuals and activities. The street localities looked like living social milieus with numerous interactions, transactions and activities, many of which we were unable to discern or grasp. In some localities, the kids seemed to be actively engaged in some type of work, such as helping in a street food vending set-up, shoe-shining, or peddling goods such as selling paper tissue boxes to drivers at traffic lights. In other instances, the kids seemed to be just wandering around, or sniffing glue, or participating in some group activity, which was difficult to identify with precision. Some localities were empty by night time, whereas some kids were still to be found in other areas late at night and even slept there. A review of the literature on Latin American street children had drawn our attention to the polemic surrounding the definition of a street child. We started to wonder whether some children went back home at intervals. Furthermore, many of the interactions between street kids and their surroundings were incomprehensible to us. Who were these youths and the adults often found in the immediate vicinity of street kids and who seemed to be involved in some kind of relationship with them?

In short, we were unable to tell on many occasions what exactly was going on. We had to contend with the fact that the answers to many of the questions and puzzles confronting us could only be revealed once we managed to infiltrate some of the street milieus.

### 3.2 Getting in

Faced with the question as to which street locality to use as an entry point, we decided on a place that was most familiar to the street worker Samir. It was situated in the neighbourhood where he had been brought up, and where he still had good relations with influential and ordinary people alike.

The chosen street locality was strategically situated at the beginning of the Pyramids Boulevard, a major commercial thoroughfare, at the end of which are the famous Giza Pyramids. The area also harbours many hotels, as well as one of Cairo's most active red light districts (see Appendix II for more details: zone 1, locality B).

We had noticed that many kids hung around this locality and seemed to have relationships with the storeowners in the area. One of these shops, a small grocery store, belonged to a friend of Samir.

It was planned that Samir would pay more frequent visits to his friend at the store. Hanging around and having informal exchanges with his friend and the neighbouring milieu of shop owners was a convenient means of conducting close covert observation of the kids in the area.

A few weeks after Samir started intensive observation at this street locality, Ranya, a female street worker, was recruited and prepared to embark on a similar venture, targeting a community of street girls and women whom we had noticed in our preliminary field observation. The street locality where Ranya started working was in the busy vicinity of the Giza railway station, where kids running away from Upper Egypt disembark from the train. This is a key street locality, which groups of street people had been occupying for many years and still are. This locality had the added advantage of being close to Ranya's workplace (see Appendix II for more details: zone 1, locality A).

Ranya started by approaching female sidewalk vendors, who make tea and sell, flat cakes and cookies that they purchase from nearby bakeries. Buying cookies and

exchanging greetings with the girls and women on a daily basis, Ranya gradually became a well-known, friendly figure.

### 3.3 From Observation to Participant Observation

The main objective of this preliminary phase was infiltration and establishment of relationships of trust with some street kids. Conceived as *a process of learning and acculturation*, participant observation concentrated on observing routine activities, social organizations, behaviour, conversations, and events, and on identifying values, norms, power structures, and patterns, as well as learning the language of the street.

To facilitate the building of relationships of trust, Samir and Ranya were instructed not to be too inquisitive, but to display interest, care and humour, and to create some kind of complicity over trivial matters. In short, the strategy consisted of seducing the kids while leaving them eager for more of the street workers' company.

In his observation work at the grocery shop, Samir noticed that there were relationships between the shop owners and the kids. Cleaning the sidewalk in front of the shops, bringing tea from a nearby café or going to change a large bill were some of the petty tasks that the kids occasionally did in return for a little money. In this way, Samir started to establish contact with some of the kids by asking them to buy him tea.

Being a professional athlete, Samir quickly became a sort of idol and a great deal of his early exchanges with the kids revolved around sports. Ranya, being a mother herself, started sharing with the street mothers concerns and problems regarding child rearing and parenting. She noticed that the girls were interested in the way in which she applied make up and so, picking up on it, she started to give them some hints and advice.

As noted by Prus (1996), the move from observation into participant observation adds a new and vital dimension to ethnographic work as researchers come much closer to the lived experiences of the people they are studying. Thus, after several weeks of these rather superficial participation activities, some kids and mothers started to tell their personal stories, interests, concerns, complaints and problems to Samir and Ranya. The street workers became a kind of 'buddy' for some and a 'big brother/sister' for others. Some kids and mothers started to compete for the street workers' attention, to the extent of secretly telling them about the "bad" behaviour and "strange" stories of peers. From then on, participant observation started to develop toward its full potential for revealing



the specifics of street life, the cultures and norms of its inhabitants, and the viewpoints and practices of the kids themselves.

In accompanying the kids through their everyday living in street milieus, Samir and Ranya capitalized on the use of the self as a powerful tool for mutual trust building. However, the genuine interest and care they displayed with regard to the kids were accompanied by disturbing emotions that the street workers needed to manage, as will be discussed in the commentary section 4 below.

Finally, while Samir and Ranya were accessing these two street localities, observation work was also being undertaken in other areas. The kids befriended by Samir and Ranya facilitated subsequent access to many of the other localities. That is to say, once trust and mutual respect had been established with the kids, they became the guides to other street localities.

#### **4. REFLECTIVE COMMENTARY**

##### **4.1 Initiation of the PAR Process by 'Outsiders'**

The present PAR was initiated by Dr. Said and me, two outsiders from the street milieus who had developed an interest and experience in working with marginal street populations. As already mentioned in the review of PAR literature in chapter one, the common claim that PAR processes are usually initiated by a group of oppressed individuals, who come together with the aim of taking action to improve their situation and to become more empowered, is a flawed claim. Actually, the majority of PAR undertakings, like the one presented here, are initiated by outside researchers/practitioners who are keen to develop ties of solidarity with oppressed grassroots communities or groups. Recognition of this reality helps to divest the PAR discourse of the utopian claim and ambition that the initiation of the research process itself comes or should come from members of the oppressed communities or groups. Many oppressed groups, including street kids, have neither the capacities nor the resources to initiate a PAR process.

The association with Dr. Said and her consultancy firm SDC was also strategic. As I already noted, researchers cannot undertake field research in Egypt individually unless they are affiliated with a recognized and politically approved research institution. SDC was such an institution. Moreover, the credibility of the research itself was more

likely to be accepted if at least one of the researchers had a Ph.D. Dr. Said had the additional advantage of being a researcher who was open to innovation and risk taking. Her work with street food vendors had certainly been daring and had adopted an action-research methodology that culminated in the creation of an NGO administered by the street food vendors themselves. Equally important was the fact that under the patronage of SDC it would be easier to apply and obtain grants for sustaining the process.

#### **4.2 Recruitment and Education of Street Workers-Researchers**

Many researchers have highlighted the difficulties encountered when conducting research with street children (Bemak, 1996; Lucchini, 1996; Kefyalew, 1996). Bemak, in particular, strongly believes in the non-applicability of traditional research methods. He puts forward a new paradigm for research with street children in the specific culture of the street. Introducing the concept of *street researchers*, Bemak (1996) calls for the integration of ethnography into the training of researchers to enable them to enter the culture of the street as novices eager to learn about street children in their natural milieu. The characteristics of the street researchers outlined by Bemak are essentially those of skilful ethnographers whose research can be used subsequently to inform the design of intervention programs.

Although I fully agree with Bemak regarding the value of including ethnographic skills in the training of street researchers, it does not in itself constitute a new paradigm. Bemak's main concern is for the integration of practice and research, which he views as a "formidable task" (p.147). He recommends that street researchers assume responsibilities not only as research agents but also as "social change agents". The latter role involves a clear explanation of the research findings, their implications, and their practical application. For Bemak, the social change that can be produced by street researchers resides in their ability to produce and interpret results that can be helpful to program administrators and policy makers (p.155). Notwithstanding the importance of informing and influencing policy making, we are still within the boundaries of traditional research paradigms and policies that are formulated in a top-down fashion.

The approach advocated in this thesis regarding research and work with street kids is different, in both form and content, from the paradigm presented by Bemak. Instead of viewing research as an activity that takes place prior to the design of

practice/intervention programs and where street children are the research subjects, the plea made here is to involve the kids themselves, not only in the research process, but also in the design and administration of intervention programs. In my view, many of the methodological difficulties and challenges mentioned by Bemak cannot be overcome as long as the kids perceive that they are subjects in a research project over which they have no control and from which they do not receive any immediate benefit. The numerous occasions on which the kids described to us their frustration and anger with researchers and journalists, who approached them for the sole purpose of gathering information, lend credibility to the plea for a genuine participation by the kids themselves.

In recruiting and training Samir and Ranya and, later, the other members of the team of street workers, it was clear in my mind that they were to assume both street work and research tasks. Hence, they were to be equipped with both intervention and research skills within a PAR framework.

All these considerations, as well as the lack of a street work tradition in Egypt, made us aware of the importance of carefully recruiting, training, educating, supervising and coaching street workers. Indeed, the selection of street workers itself required a great deal of caution. Many people volunteer for this kind of work because they feel sorry for street kids and want to help them. Individuals who have such feelings often encounter problems once they start working. Depression is the most common consequence, as workers become overwhelmed when they imagine how sad and desperate they themselves would feel if they had to face the adversities of the streets. Well-meaning individuals who do not understand and control their feelings may actually harm the children they wish to help by strengthening the children's feelings of exclusion and making them feel helpless. At the other end of the spectrum are individuals who perceive street kids as outcasts or juvenile delinquents, rather than as social actors who are surviving under very harsh circumstances. Individuals who view the kids as criminals do not last long on the street. Their fear or disregard for street kids prevents them from working effectively.

I had previously experimented with recruiting laypersons and training them to assume the same tasks as professional development workers. Over a three-year period (1990-1993) I was responsible for designing and implementing a comprehensive training program in *Methodologies for Community Organizing and Community Development* in

four governorates in Upper Egypt. The idea of this program was to strengthen local development work, not through the traditional manner of parachuting in foreign/outsider development experts, but through identification, recruitment, and training of local leaders. Their training started by promoting their increasing involvement in their own communities in taking the lead to identify, in a participatory fashion, a community concern that needed to be addressed.

While participants were involved in fieldwork under my supervision, regular meetings with the large group (participants from the four governorates) took place to share experiences and analyze problematic situations that had been encountered. In these meetings, the focus was on sharpening the participants' critical analysis skills while injecting theoretical references corresponding to the issues and concerns they had encountered in the field. Their lived experience in the field made it easier for them to relate to theory in a critical manner and thus avoid the trap of 'going by the book', a mistake which is characteristic of so many development programs. Not only were the participants able to be critical of development theories, but they also, and more importantly, felt free to innovate and experiment. In a sense, then, they developed their own practice research and theories.

At the time, I was not then aware of Donald Schön and Chris Argyris' work, who strongly argue that research and theory need not necessarily precede practice in a linear and deductive fashion (Argyris & Schön, 1974; Schön, 1983, 1987). Instead, they maintain and demonstrate that people's practical experience can be used to produce theories inductively through a process of reflecting on that experience (see chapter two, sec. 2.3). It was only in 1997 that I discovered their work, when I first registered in the doctoral program. I was pleasantly surprised to recognize the similarity between the reflective and experiential learning approach that they make a plea for and the one that I used with the rural local leaders and, subsequently, with the street workers. The cyclical process in which systematic *reflection on-action* and *in-action* attempts to integrate theory and practice was quite similar to the method that I used in the regular group meetings. In discussing and analyzing uncertain and complex situations they had encountered in the field, participants were actually engaged in identifying contradictions and theoretical assumptions implicit in their work, in dissecting the various perspectives for interpreting situations in their field context, and in articulating the basis for their

intuitive actions, their meaning and impact, as well as the inevitable ethical dilemmas that they raised.

Paradoxically, when I started making reference in 1997 to the reflective approach developed by Argyris and Schön, my own experimenting with training community and street workers became more credible in the eyes of many scholars, researchers and practitioners, though not in the eyes of the bureaucrats at the Egyptian Ministry of Insurance and Social Affairs (MISA). They insisted that intervention with street kids should be implemented by accredited social workers. The street workers' lack of professional accreditation was one of the main justifications provided by MISA for dismantling the program in 2001.

Lastly, I want to specify that, starting with Samir, the recruitment of street workers relied on informal networks. Samir was the son of one of my friends, and I had numerous occasions to observe him in different settings. Likewise, it was Samir who introduced Ranya into the project, and through snowball recruiting both of them subsequently introduced additional street workers. The recruitment procedure included a voluntary (unpaid) period of two to three months during which the candidates were observed by me and their peers in actual street work situations. The main criteria used for the selection included the following:

- Tact, manners and general attitude favouring the establishment of contact and developing relationships.
- Aptitudes and non-judgmental attitudes favouring the involvement with street kids in an empathic fashion.
- Familiarity with and/or having access to some street milieus.
- Motivation for street work.
- Self-confidence and the ability to be self-critical.
- Ability for active listening, clear expression, functioning in a group, negotiating, advocating, and dealing with authority.
- Ability to have a critical view on social realities and issues.

I have frequently been asked why I recruited laypersons and invested in educating and training them to become street workers and researchers instead of resorting to accredited social workers. In both Montreal and Cairo it was difficult to identify social workers willing to go down the streets and link up with kids in their life

settings. It seems that for a great majority of social workers, this kind of activity is not consistent with a professional view of what social work is.

### 4.3 Infiltration

Maurice Punch (1986, 1994) maintains that “infiltration” is a key skill in field research methods, despite the fact that this concept/technique is fraught with negative connotations and associated with police and espionage techniques. At many of the public presentations I have given over the last ten years on our work with street kids, objections have been made to the use of the term *infiltration* to describe the strategy of accessing the street milieu. Disdain has often been expressed at the analogy between a scientific endeavour and an intelligence methodology, one that is viewed as being one of the uglier aspects of repressive state conduct. Upon closer verification, the objection is often based on ethical and moral concerns that argue against deception and for the fully informed consent of the research subjects. The objection is even stronger in PAR where subjects are supposedly viewed as participants/partners, thus “to dupe them in any way would be to undermine the very processes one wants to examine” (Punch, 1994, p. 89).

The issue here is whether *covert* research is ethical or not. The debates over the covert-overt issue in the literature are far from conclusive. While some writers totally reject covert participant observation, others argue that without it many street-style ethnographies would be practically impossible to undertake. In my view, the choice of when and how to resort to covert participant observation has to be consistent with the research objectives and the methodology used, and must always be guided by principles related to the dignity and privacy of individuals, the avoidance of harm, and the confidentiality of the research data. In other words, “sound ethics and sound methodology go hand in hand” (Sieber in Punch, 1994, p. 95).

I would like to elaborate on this covert-overt dilemma using the example of the PAR presented here. Had Ranya or Samir from the outset revealed to the kids that they were involved in some kind of research aimed at understanding their situation, it would have been impossible for them to establish relationships of trust with the kids. Although it may sound contradictory to say that the establishment of trust necessitated concealment of the research agenda, this was the case in this particular situation. Continually harassed by security agents and passers-by, regularly picked up and

maltreated by police, and always suspected of different crimes, street people are understandably cautious and suspicious of inquisitive intruders. Introducing oneself as a researcher or data collector would have elicited enough mistrust to subvert the establishment of a meaningful and sustained relationship with the kids. Furthermore, most street kids are not spontaneously enthusiastic about telling their *true* stories to outsiders. These stories are sometimes the unique thing over which they may have some control. Understandably, they do not wish to readily relinquish this control to satisfy those questioning them.

Lastly, in the case of PAR presented here, the concealment of the street workers' identity, both as practitioners and researchers, was necessary in light of the uncertainty regarding the development of the PAR enterprise. During this initial phase, there was no guarantee that we would be able to identify and obtain the necessary funds that would have enabled us to make the switch from research *on* street kids to action and research *with* them. Indeed, we were eager not to elicit unrealistic expectations on the part of the kids; had we not been able to move further in the work, the promises of PAR presented to the kids would have been the source of major disappointment.

#### 4.4 Invisible Others

Prior to infiltration work, we had hypothesized that street kids did not live on the streets in a bohemian or individual way. Rather, we assumed that in order to survive, most kids would join some kind of group or street organization in which the leaders might not welcome outsiders poking their nose into their affairs. As such, we expected that the kids contacted by the street workers were being observed and had to inform their peers, as well as the leaders, about what was going on. A non-obtrusive form of infiltration was deemed necessary in order to avoid a rapid rejection by the milieu, providing another reason for being covert.

This hypothesis required the street workers to be very careful about how they presented themselves, the impressions they gave and the questions they asked. The leaders had to be neutralized. In addition, the state security agents and informants, who were bound to be mingling with the kids and their surroundings, had to be taken into account. They, too, had to feel that we were benign for them to let us proceed with our work.

These *invisible others* were thus the *gatekeepers* who had to *authorize* and not hinder our access to street milieus. Being invisible meant that our messages to them regarding who we were and what we were doing had to be conveyed indirectly through the kids and the street individuals with whom we established contact and relationships.

#### 4.5 Ethical and other Dilemmas

In our meetings during this phase of street ethnography we grappled with many issues:

1. Our fear had to be acknowledged—fear of violence, of being ridiculed by the kids, or of looking stupid, and, certainly, the fear of being unable to handle sensitive situations that might suddenly arise on the street. We realized that many of our fears originated in the stereotypical mass fantasy about the wildness and havoc of street life and the belief that street kids would readily, for the sake of survival, commit violent acts. We had to remind ourselves constantly not to let these prejudices exaggerate our fears and unduly immobilize us.

Later, as the work progressed, many of these prejudices were challenged. Most of the violence takes place in-group and is directed against members of the group, seldom against outsiders. The crimes committed by street kids are of a petty nature; e.g., stealing hanging laundry and goods in marketplaces. Contrary to widespread belief, over the eight-year duration of the program, no evidence emerged tying these kids to the drug industry or to terrorist groups, as is often assumed.

2. We also needed to come to grips with the repugnant appearance of many of the kids. Barefoot, wearing shabby and dirty clothes, most had black dirt on their faces, arms, feet, and on every exposed part of their bodies, which were often also covered with scars. Their fingernails were quite long and full of dirt. It was difficult not to feel unnerved after shaking hands with them, and as the closeness of the rapport with them increased, so did the physical closeness so characteristic of Middle Eastern societies, which further intensified our feeling of discomfort.

However, this initial apprehension dissipated gradually as the development of a closer rapport with the kids made us less disturbed by their physical appearance. Subsequently, we found out that most of them enjoyed and appreciated personal cleanliness. Access to water and washing facilities were indeed some of their main problems. Interestingly, we also learned that the black dirt on some kids' bodies was



self-administered, using mud. They resorted to this practice while they were begging, hoping to elicit enough disgust amongst prospective donors so that they would contribute some change hurriedly in order to get away from these 'filthy young monsters'. Furthermore, we came to appreciate that long fingernails are kept for defending oneself against assault.

Later, when the drop-in centre was opened, the street workers felt no hesitation about helping some of the younger children to shower. Periodic hairdressing of all the kids and application of medicated lotion became a regular practice in order to minimize hair lice.

3. During this early participant observation work, one of the most tormenting issues that we had to handle was our feeling of being incapable of providing much help regarding some situations of utmost destitution and misery facing young children. I still recall one late winter night when we met two girls holding hands and going around parked cars trying to identify a convenient sleeping spot. The older girl, who was seven or eight years old, told us that they had to sleep under a car so that they did not get picked up by the police. Under her arm, she was holding a piece of cardboard to use as a mattress for her and her younger sister, who was crying desperately. "She is very tired and wants to sleep" said the older sister, who looked exhausted and pale herself. She nevertheless made the effort to explain to us that they had fled from a police raid on nearby 'Ataba Square' earlier in the evening, where their mother had been arrested. We knew that an urban upgrading plan was about to be implemented in the area of the square. This, as is often the case, was accompanied by the eviction of street people, who had managed to eke out a living and make a kind of habitat around this busy square for many years, surviving by selling tea, soft drinks, and food to travellers waiting for buses at a main terminal located in the vicinity of the square. The dilemma for the older sister was that she did not really trust sleeping under a car. She was worried that she might not notice if the car started moving and that it might run them over. It was getting late and cold when it occurred to us to ask the doorman of an apartment building if he would let the two girls sleep in the building entrance hallway for few hours. To our relief, he agreed.

Later, in my warm bed, I was thinking of the strong desire that I had felt to bring these two girls home, to make them safe and comfortable and to accompany them to

look for their mother the following day. Such situations occurred repeatedly afterwards, and we had to learn to acknowledge the limits of our ability to help.

4. On a practical level, it was necessary to appreciate the physically and emotionally demanding nature of direct, day-to-day street work. The workers were relating not only to the kids, but also to their surroundings and the street community as a whole. These included a wide variety of street adults who, in some capacity or another, influenced the daily existence of street kids: natural leaders, police informants, street food vendors, tea makers, security agents, street employers, grocery and coffee shop owners, shoe-shiners, commercial sex workers, and others, who were part of the kids' surroundings in the streets.

Although many kids were forming attachments with individual workers, the workers began to realize that such gratifying relationships could also be very taxing if they were not adequately managed. Further complicating the situation was the tendency of the kids to manipulate. Presenting untrue, well-rehearsed stories about experiences, family background, current situation, age and reasons for leaving home is often well integrated into the behaviour patterns of street kids. This misrepresentation seems fundamental to survival and is related to an ability to manipulate the environment. The street worker becomes another facet of the environment that the kids must successfully manoeuvre in order to survive. There are other reasons why the kids manipulate information: it allows them to get back at a society that devalues them. Falsified information also serves to keep society from knowing the details of their lives.

Consequently, street workers had to learn to accept a degree of 'healthy manipulation' from the kids, who were seeking to maintain a sense of control. This also facilitated the development of a relationship between the child and the street worker based on the child's terms and not just the worker's.

Moreover, street workers had to carefully maintain a balance between the outsider and insider roles. They needed to learn not to let their desire for being perceived as insiders lead to an over-identification (false acculturation) that would give rise to identity confusion.

All of the above required the maintenance of a high level of engaged support.

### Concluding Commentary

The action research with Cairene street kids was initiated by two researchers who had previous experience of action research with street populations. The preliminary/preparatory phase encompassed the following:

- Establishing an alliance and association with a like-minded researcher, Dr. Samia Said, and the institutional framework, SDC, which she headed, in order to bring support, credibility and legitimacy to the projected PAR.
- Designing a PAR that would evolve in developmental stages. The design needed to be easily adjustable to be able to respond to the evolving analysis of the situation 'as we went', and as the emergence of new data, and the increasing participation of different actors fed into the process.
- Recruiting individuals and training and equipping them *on the job* with the skills and knowledge necessary to carry out an ethnographic investigation of Cairene street kids.
- Working out the intricacies of how to obtain approval for our activities from the relevant Egyptian authorities in a context that did not encourage the undertaking of such research.
- Conducting observation work in the streets of Cairo in order to identify street localities where street kids were to be found in substantial numbers.
- Covertly infiltrating, researching and establishing a meaningful and sustained presence in several identified street localities.
- Overcoming our fears and prejudices.
- Resisting the discouragement of colleagues, friends, and families who warned us that we were embarking on a 'difficult and dangerous' mission.

It took over two years to accomplish the above, to acquire an adequate understanding of the phenomenon of street children and to establish a comfortable level of complicity between the kids and the street workers. The acculturation required to achieve this necessitated a long-term self-investment.

This beginning phase of the PAR can be seen as street ethnography undertaken with a view to preparing the ground for a PAR to develop progressively. As such, this phase consisted of research *on* street kids. The participation dimension was focused on educating and enabling street workers to become practitioners-researchers and,

consequently, increasing their participation in the design, implementation, and monitoring of the different activities. The explicit participation of the kids was not possible as long as the work was being conducted covertly. As we shall see, the progressive participation of the kids started when we ‘came out’ to them and revealed our hidden interest in supporting them through a PAR process aiming at their empowerment. I describe the evolution of this process in chapter five. But before continuing the story, I propose first to present in the next chapter the conceptual framework that I developed with the group by analyzing the data observed and collected through street ethnography.

## Chapter 4

### CONCEPTUAL FRAMEWORK

I present here the sense we made out of the data gathered through observation and participant observation, which constituted the bulk of ethnographic street work that was implemented over the beginning phase of the PAR process described in the previous chapter. The collection and analysis of the data recorded in field notes and critically reflected upon culminated in the formulation of what is tantamount to a conceptual framework that informed the orientation of the PAR presented in this thesis. Obviously, given the processual nature of this PAR, this framework, once formulated, did not become a rigid structure. On the contrary, it had to maintain a certain flexibility in order to accommodate the new data and new perspectives that kept feeding into the process as we went on. Indeed, observation and participant observation were ongoing activities throughout the project's eight years as we moved into new street localities and as we came to establish rapport and relationships with additional kids and individuals in the new settings. However, after more than two years of street ethnographic work and before the opening of the drop-in centre, we were able to formulate the essentials of the framework presented below.

#### 1. THE DIVERSE REALITIES OF STREET KIDS AND STREET LIFE (Who Is a Street Child?)

##### 1.1 Street Children: A Diverse and Heterogeneous Phenomenon

One of the early lessons learned from participant observation was that the term *street children* is deceptively simple and conceals enormous variations in the lived experiences of the kids in street environments, who spend their lives largely outside the spheres typically considered appropriate for children, such as home, school and recreational settings. Indeed, the *street children* phenomenon does not encompass a homogenous group of problem children with the same essential characteristics. The fact of being on the street over an extended period of time is certainly an important criterion that distinguishes the street child from other children. However, this shared condition is insufficient to distinguish street children as a particular social category.

Individuals included under the street-child rubric display a great diversity. They range in age from new-borns to 18-year olds of both sexes; they are often intermingled with young adults of both sexes. In some cases it is the parents who send them into the street to make money for the family's upkeep, and in others they work alongside their parents in the street. Some are forced into the street by parents who cannot afford to support them, while others leave on their own to escape abusive treatment by their parents, stepparents or the owners of the places in which they were forced to work. Some children beg or do menial work, others work in the informal economy. Some live almost full time on the street whereas others are there for varying periods of time. Some have dropped out of school, and others resort to the street to make money during school breaks. Some are of rural origins, others are from urban settings. Some are relatively sedentary, knowing little of the outside world, while others move from place to place, from city to city. Intelligent and mentally healthy children live alongside those with a variety of disabilities. This mix is made even more heterogeneous by the presence of different personalities, life trajectories, bodily characteristics, gender, family and cultural backgrounds.

This apparent diversity led us to pay less attention to the production of a universal definition and/or identification of categories and subcategories, the major concern of policy makers and many academics. We realized that efforts needed to be directed towards capturing the diversities within the phenomenon in order to avoid an approach that defines street children *ipso facto* as problems. As with many problems related to marginality, what is visible does not correspond to what really is the case. An in-depth understanding of an issue such as street children needs to be *dug out* in all respects, moving from a superficial analysis based on mere numbers and descriptive data to an analysis of what goes on in the lives of street kids and in their surrounding environment.

Notwithstanding this diversity, homelessness and street life share a number of important features. Living on the street, a public place par excellence, not only strips individuals of their right to private and personal space, it also exposes their miserable life conditions to the public gaze, arousing pity, disgust, horror, and/or disapproval. Ultimately, street populations risk becoming viewed as the epitome of social degradation (Moura de, 2002, p. 353). Furthermore, survival on the street requires a presentist attitude and the abandonment of any orientation toward the future. When survival concerns become a moment-to-moment reality, dividing and ordering time to plan for a

future comes to be viewed as a futile exercise. And the multiple facets of the mechanisms developed for survival (i.e., how people learn to live with minimum resources) do not in the least attenuate the fact that street kids are confronted with conditions of life and existence that are often very painful and endanger their psychosocial development.

## **1.2 Capturing some of the Diversities**

The street ethnography experience confirms the inadequacy of definitions of street children limited to presence in the street and absence of rapport with biological family (as is the case with the UNICEF definition). Indeed, the bi-dimensional definition of street children fails to take into account many other factors such as age, sex and factors directly related to the family of origin, (e.g., its composition, mode of organization, the quality of family ties, and economic conditions), as well as factors directly related to the street. Let us examine some of these factors more closely.

### **a. Age**

Misconceptions and confusion arise when the population in question is homogeneously referred to as “children”. Indeed, in addition to children, it also includes adolescents and young adults. Obviously, the need to distinguish between different age categories is important in many respects. For example, the vulnerability associated with living in the street differs in degree and form according to age. The comparative advantage and survival mechanisms enjoyed and elaborated by a street child differ from those of a street adolescent or adult. For example, begging and eliciting people’s affection are easier for younger individuals.

Furthermore, the reaction of families of origin to their runaway children often varies according to the age of the runaway. Likewise, mainstream society’s view of the street individual varies according to age: children may be perceived as victims whereas adolescents and young adults are more likely to be categorized as deviants, delinquents, or criminals. Lastly, from a legal perspective, the age of the street individual determines the way in which he/she is treated when arrested by the police.

### **b. Gender**

While there are many fewer street girls than street boys, their numbers are not negligible. We did not observe much difference in the deviant socialization patterns to

which boys and girls are exposed in the street. Drug consumption, group rituals and violence are not the preserve of males. Even commercial sex activities, which are commonly believed to be the girls' means of survival, are witnessed among males as well, though perhaps to a lesser degree. Furthermore, boys who do not act tough are often ridiculed by peers. It is the risk of pregnancy that distinguishes the situation of many girls when they first become sexually active. The specific vulnerability associated with unwanted pregnancies is particularly hazardous in traditional societies like Egypt.

The very human existence of female kids is negated by conflating them with prostitution. This assimilation often serves bluntly to dismiss the existence of street girls altogether and has been observed in different countries (see Beazley, 2002 and Van Beers, 1996).<sup>22</sup>

#### **c. Length of time in the street**

The length of time of being in the street varies a great deal among street kids. We met with individuals who were trying to make a habitat out of the street for the first time, others who had been in the street for a few weeks or months, and many others who had spent most of their time in the street for many years. There seemed to be no clear correlation between the length of time in the street and the decision to end a street career. Furthermore, we met with individuals who decided to quit street life many times, returned to their families of origin and/or found a regular job, only to return to the street a few weeks or months later. There seems to be no precise rule governing this movement, which may depend on opportunities and coincidences, rather than prior planning. However, this does not mean that street kids are incapable of striking a balance between the advantages offered by the street and its alternatives.

#### **d. Nature of ties with family of origin**

Many of the kids we met came from families where both parents had divorced and later remarried to spouses who also had children from a previous marriage. The children did not live permanently with one family member but alternated between their mother's new family and their father's new family, and sometimes lived with a grandparent. The lack of space, an often conflictual relationship with stepparents, as well as harsh

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<sup>22</sup> When in 1993 officials from the government and from the only NGO that was addressing the phenomenon of street boys were asked about street girls, they claimed that they were not street girls but prostitutes!



economic conditions, often caused the child to disengage gradually and join the street. In other words, the lack of a stable residence following the divorce of the parents, maltreatment by the stepparents, fights and jealousy with half-siblings, and the fact that the child became a source of dispute between the parents of origin all contributed to the child running away to the street.

Many other kids belonged to single-mother families, where the father had divorced the mother and remarried, was in jail, had died, or had just disappeared. Usually the mother had not remarried and had several children. She often encouraged and arranged for some of her children to work as apprentices in workshops or just go to the street to beg or hold menial jobs in order to increase the household income. In other cases, the mother herself went to the street with some or all of her children in a desperate attempt to make a living. As the children grow up, they gradually become independent of their mother and the street becomes their major habitat, even if they continue to visit and/or bring some income to the family.

The nature of ties with family of origin plays a major role with respect to the prospect of ending street life and returning home. We found that the vast majority of the kids who disengaged from street life temporarily or permanently entertain more or less good and healthy ties with at least one of their biological parents, especially the mother. It was quite rare for street kids to return to homes where a stepparent lived.

#### **e. Patterns of working in the street**

We observed two broad categories of street kids, differentiated according to patterns of income-generating activities. The first category consisted of those who were runaways and engaged irregularly in income-generating activities. For these kids, work and play were closely linked. Work was not an obligation but usually a choice made by a child individually or by a small group of children. Periods of inactivity on the street alternated with periods of sudden intense activity in which children took chances or created opportunities. For the majority of these kids, the street seemed to be a source of liberty. It enabled them to take initiatives: it was stimulating; and a place of apprenticeship in which they could learn about people, social life and behaviour. However, many of these kids also had ambivalent feelings about street life. Although the street is appropriate for all kinds of activities, it is also a source of boredom, and street life is sometimes repetitive, a source of danger, or the target for adult criticism.

For a second category of kids, income-generating activities are a daily and regular part of their street life. These youngsters arrive in the street, not as runaways, but are forced out into the street by their parents or guardians to work in order to increase the family income. Once again, there are significant differences in the realities of these children. Some work in the street under the supervision of one or both parents. More often than not, it is a mother who resorts to the street with a group of her kids in order to make a living by begging or itinerant selling. At the end of the day or night, some families may return home or spend nights on the street. Other kids are placed by their parent under the authority of a street entrepreneur, who employs them in return for some remuneration paid directly to the parent. Some kids are sent out on their own by their parents, but more often by a stepparent, and are expected to return home with a minimum amount of money. Failure often entails punishments of varying types and severity. Some kids work after school and during vacations; others work every day. Whereas a surprising number manage to go to school, many do not, and attendance by those who do is in most cases so sporadic that they never really become literate.

The informal street market being a difficult one, children, like adults who wish to join this sector, face substantial competition. Many small-scale enterprises employing children as shoe-shiners, car washers, tissue paper and newspaper sellers, and even as beggars and prostitutes, observe certain rules which although not necessarily obvious, are nonetheless strict: admission into the trade, respect for territorial rights and redistribution of income are some examples. As a commercial space, the street is an object of competition and control by a multitude of economic agents. A newcomer cannot freely establish himself/herself to run a business. Different obstacles and constraints have to be surmounted, not the least of which are the municipal authorities and police.

Lastly, it should be mentioned that the boundaries between the two categories of kids described above are not impermeable. Children from the second category can pass to the first one and vice versa at any time. Even though the alternation is not systematic, a great number of children we encountered were in either category at one time or another. Moreover, regular income-generating activities do not prevent many children from getting involved in recreationally and socially pleasurable activities.

Other factors that are directly concerned with the street include preliminary contacts that the kid may have had with individuals who know the street; conditions of access to the street; the initiation rites into the street culture; the insertion of the child into a street milieu; the representation that the kid makes of the street; survival opportunities in the street; police repression and internal group violence; the degree of identification with the street culture; the degree of resilience of the individual child and his/her ability to cope; the degree of insertion into the informal sector of the economy; forms of street sociability and socialization; and contacts with crime.

All of the above-mentioned factors are interdependent, and their possible combinations are numerous. These combinations and the nature of the factors that constitute them either enhance or inhibit the street child career. Appendix I provides profiles of some of the kids we came to know in order to illustrate the diversity in the trajectories that led them to the street as well as the diversity in street life circumstances and styles.

In the foregoing, I argued for the necessity of recognizing the diversity in the kids who are found on the street. I have described this diversity mostly in terms of individual differences with respect to the trajectories that lead into the street as well as the lived experiences on the street. However, the kid in the street is not just an individual being, s/he is also a collective being, the focus of the next section

### **1.3 Contextualizing the Phenomenon of Street Children within Street Societies**

The difficulty regarding consensus building around a universal definition of what constitutes a street child is related to the fact that most efforts have focused on street children as if they existed in isolation from other street populations and arrangements. Early observation work indicated that for the most part, street kids do not live on the street as isolated individuals. To be able to survive in the street, one has to establish some form of paternal/family relationships, which may be strong though informal, to improve one's chances for survival. Thus, most of the time street kids associate with other children, adolescents and adults of both sexes in 'groupings' that can be viewed as street milieus and communities.

Accordingly, any understanding of the street children phenomenon is inadequate to the extent that it fails to dissect the nature and dynamics of the relationships existing

in street communities. Furthermore, contextualizing the phenomenon of street children within the broader phenomenon of street societies—in which the kids are viewed as social actors able to cope and survive under circumstances that most of us would find unbearable—would pave the way to representing the street child as a cultural entity which cannot be reduced only to a definition articulated around the prefix “anti-”—anti-social, anti-productive, anti-moral, etc.

It is within the context of street societies that the socialization of deviance in the street takes place and influences the identity construction of street kids. Therefore, in order to capture the entirety of an individual's life in the street, we need to elucidate the organic daily ties the individual maintains with surrounding street milieus.

Street societies refer to social groupings composed mostly of youngsters nested in the heart of a metropolis in an area that geographers call *the central business district*, where there is a concentration of the most important economic and political activities, as well as the most intense real estate speculation. Street kids also occupy space in the principal markets, red light areas, commercial districts, and main transport terminals, that is where there are large agglomerations of people and activities, and city life is at its peak. While it is quite common to describe these groupings as *marginal*, the fact that they live and occupy central city districts requires an explanation of their marginal status. If marginality does not refer here to remote geographic boundaries, the question to be answered is: in what ways are these street groupings marginal?

The street, as we came to see it through participant observation and accompaniment of the kids, is composed not only of physical space, but also of mental, personal, relational and cultural space. Street populations are the world of those who have set themselves apart from conventional society and exist outside accepted social rules. The street is the space shared by the “drop-outs”, the “runaways”, the “jobless”, the “homeless”, the “judicialized” the “addicts” and many of those identified as “marginal”. They constitute a population that is at the edge of the continuum of human existence. By sharing the street they make it their home, their school, their job and their culture. It is in the street that they live their fears, their anguish and their revolts, as well as their friendships, passions and loves. In this sense, the street is certainly a place for different forms of significant socio-cultural expression.

When occupied by street people, the street, as a public space, becomes a space for a certain intimacy and visibility where a different form of social rapport is expressed and collective representations are forged. This more or less open consumption of the street, the ultimate public space, by children and other populations can be conceptualized as an open act of resisting exclusion. Let me explain.

The act of occupying the street and making it a habitat is one of transforming parts of a public place into *territories* for multiple usage including sleeping, working, socializing and recreational activities. As such, it is an act that defies public order. However, it is also, and mostly, a political act. By holding onto these territories and continually resisting eviction and relentlessly re-occupying spaces, street people are interpellating society. By making their exclusion visible in the public place, street people are *de facto* resisting this very exclusion.

As such, contrary to widespread belief, the street is neither a vacuum nor a place of complete ruin and everlasting damnation. Instead, the street can be conceptualized as a space for socializing, a field of adventure, pleasure, difference and newness, as well as a space for solidarity and identification with peers. It is a place for passage and fleeing, in which one can exercise some power over oneself and the environment. It is also a place where survival requires endless rounds of negotiations with different sources of authority. Obviously, there are disadvantages to street life, especially for kids. Unable to secure their basic needs, many of them often have to resort to different means to survive, such as prostitution, begging, theft, selling drugs and other illicit activities. These activities obviously have repercussions, such as being at odds with the legal system, exploitation, malnutrition, deficient health and social exclusion.

By occupying a public space (the street), which is officially regarded as devoid of any positive forms of socialization, street kids come to be perceived as threatening, and as a consequence, repressive and violent measures are taken against them. The central issue here is that these young populations create a new use of space and time, and new rules of sociability and exchange, as well as new representations of their bodies and their relations to their environment. This new form of socialization is characterized by the absence of official control over these youngsters and the absence of positive interactions with the world of mainstream adults. Street socialization creates a lag

between what the street youngsters live and believe on the one hand, and what mainstream society generally claims to offer the child on the other.

Lastly, I want to point out that the diversity in the different street territories created by street populations does not only reflect the different uses they make of the street. These territories also often reflect different sub-cultures and organizational modes. Appendix II provides some profiles of different street territories to illustrate this diversity.

Having captured some of the diversities in the realities of street kids and street life, it became quite clear that the answer to the question ‘who is a street child’ was bound to include as many variations as those observed in this population. It became necessary to identify a theoretical framework that provided an adequate lens for reading and pursuing the analysis of the various realities of street kids. A return to theory proved worthwhile in identifying such a lens, as I elaborate below.

## 2. THEORETICAL CONSIDERATIONS

In his review of the literature on street children, de Moura (2002) observes that in most discourses they are depicted either as victims whose basic rights are violated or as deviants with deficient characteristics. He argues that this depiction fits well with a representation of street life “as the outcome of an organic and linear chain of adverse factors including migration, economic hardship, family dysfunction and child abuse” (p. 353). Indeed, this dominant discourse in the literature is informed by causal theories to identify pre-existing factors that explain why kids end up on the street. One can further argue that by naming poverty and family dysfunction as the main factors that produce victims and deviants—street children—this discourse fails to explain why the vast majority of children brought up in poor dysfunctional families do not end up on the street. Furthermore, this discourse blurs the question of the *agency* of these young actors since it only recognizes it in a negative sense when their representation as ‘independent adventurers’ is associated with deviancy.

The diversity in the street-child phenomenon includes the processes that lead and sustain the kids in the streets, which are variable and complex. In other words, there are no necessary or sufficient conditions that create and/or maintain the street-child phenomenon. Instead, a number of alternative, interacting conditions seem to contribute

to the phenomenon, as a function of a number of contingent conditions. As such, the concept of linear causality that maintains that we need to know the causes in order to act seemed to have little operational value for us when we were grappling with the material collected through street ethnography.

We needed a conceptual tool that could help us to unravel the diversity of *causal paths* in the lives of street kids, and one which could accommodate the view of the street kid as a cultural entity, a social actor who cannot be reduced to a mere “deviant” or “victim” of structural processes. The agency of the kids through self-generated processes needed to be recognized, as it often helps to alleviate the weight of structural determinants. In short, we were looking for a lens to help understand not so much *why* but *how* these kids ended up on the street, what they made out of it, and how they became socialized into deviancy. To this end, we turned to the concept of *career* developed by the sociology of deviance.

Socialization processes that do not conform to mainstream values and take place within deviant and less institutional contexts, such as the street, have been relegated to a sociology of deviance, which has traditionally reduced the concept of deviance to a consequence of social disorganization, social pathology and delinquency (Becker, 1964; Lemert, 1972; Spector & Kitsuse, 1977). From this perspective, deviance is conceived as a mere detachment from orderly currents of social life, societal values, or social bonds and as a phenomenon that needs to be controlled. Shaw and McKay’s *Juvenile delinquency* (1942) is a classic in this tradition (see chapter two, sec. 1.1). Within this school of thought (the Chicago School), street children are often represented as antisocial, footloose vagrants. Most discussions depict them as victims or delinquents and project deterministic notions of disaffiliation (Aptekar, 1994).

The late 1950s and early 1960s witnessed the emergence and growth of a new sociology of deviance led by Howard S. Becker (1963, 1964), Erving Goffman (1959, 1961, 1963), John Kitsuse (1964) and Edwin Lemert (1951, 1972), whose work constitutes what has come to be known as the *New Chicago School*.

Whereas the members of the older school were focused on the deviants themselves, concerned themselves with the structural causes of deviance and believed that deviance led to social control, the new school, built on symbolic interactionism, was

focused primarily on the social construction of deviance.<sup>23</sup> Within the interactionist approach, it is assumed that human beings are actively engaged in the creation of their worlds of experience by acting according to the meanings that objects (including other people and themselves) have for them (Blumer, 1969). They construct and shape these meanings through interaction with others as well as through self-reflectivity. Viewed as a community phenomenon, the study of deviance from an interactionist perspective puts the focus on the processes by which: (a) some phenomena are defined as deviant; (b) individuals and groups become identified as deviants; (c) deviants become involved, develop, and sustain subcultures;<sup>24</sup> (d) formal and informal control agencies attempt to regulate deviance; and (e) some deviants attempt to disengage from a deviant career (Prus, 1996).

According to this theory, the socialization of deviance is understood in terms of *career* or *natural history*, a concept that includes the growth/transformation of *identity*, based on a series of experiences of self and others (Goffman, 1959, 1961). Essentially, the concept of career refers to the progression of related experiences and identity changes through which the actors move in the course of their involvement in particular settings over time. It directs attention to the *processual* nature of this involvement in terms of the ways in which the actors become initially involved in any situation, when they are more likely to continue, how they become disengaged from those settings, and when and how they might become re-involved in earlier pursuits (Prus, 1996). Equal attention is paid to the contingencies the actors take into account as they work their way through these different stages.

*Stages* and *contingencies*, the two main features of the concept of career, are useful analytical devices for investigating the processes of socialization of deviance. Composed of objective status elements, social reactions, and conceptions of self, the

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<sup>23</sup> The term *symbolic interaction* was coined in 1937 by Herbert Blumer (1900-1987), building on the *interpretive/hermeneutic* tradition generated by Wilhelm Dilthey, George Simmel, and Herbert Mead, which set the basis for a social science grounded in lived human experience (Prus, 1996).

<sup>24</sup> The term *subculture* signifies a way of life of a group of people; it denotes communities within communities, characterized by interaction, continuity, and outsider and insider definitions of distinctiveness (Prus, 1996). The centrality of subcultures for understanding people's initial involvements and continued participation in deviance has been emphasized by many theorists of deviance as early as Shaw's (1930) discussion of delinquency and continues to be a prominent theme in contemporary studies of deviance.



concept of career sheds light on the interdependence between identity, socialization and career. Its value lies in the fact that it provides a two-sided perspective that shifts back and forth between the self and significant others; it *dialectically* relates the actor's self-image to the social identity derived from interaction with surrounding social groups. A career study is thus an analysis of this dialectics in terms of the socialization processes through which an actor acquires the language, the values and rules of conduct of a certain culture. The actor continually interprets the experiences lived within these processes by attending to the accounts of others. In Goffman's (1961) words: "The concept of career, then, allows one to move back and forth between the personal and the public, between the self and significant society, without having to rely overly for data upon what the person says he thinks he imagines himself to be" (p. 127).

The socialization of deviance in the street can be sorted out by following the children's socialization, based on the theory of social interactionism mentioned above (Lucchini, 1996, 1996a). The notions of career and contingencies provide a coherent way to focus on the processes of choice and the development and transformation of the actors' identities as they move through the stages of a street career (Visano, 1993). Indeed, a child does not become a street child overnight but through stages of gradual detachment from the household, as well as the gradual forging of a street identity. Although an extended period of street life may be an important factor distinguishing the street child from other children, it is insufficient to designate street children as a particular social category. Therefore, research efforts need to be directed towards capturing the diversities within the phenomenon in order to avoid an approach that defines street children *ipso facto* as problems. These diversities can be dissected by charting the child's movement from home to street, as well as by identifying the contingencies related to the different stages of this movement.

The contemplation of departure from home, the preparation for it, experimenting with it over a period of alternation between home or institution and street, establishing some permanence in the street, building relationships, acquiring new skills, negotiating role and status with peers, developing and changing identities, going through periods of disconnecting from the street, and contemplation of giving up street life are some of the elements that constitute a street-child career. It can be argued that each of these elements corresponds to a certain stage in the street career and are associated with specific

contingencies related to conditions and consequences of interactions with others. These conditions may include, for example, the strategies used to ensure recognition and acceptance of self, the reactions of others, the ways in which the actor manages and seeks out supportive relationships, and the ways in which identity is established and sustained.

Furthermore, the movement through career stages is contingent upon the interplay of a number of situational and subjective conditions, including the benefits and costs of street life, the nature of the relationship and ties with the family of origin, the actors' survival skills and the availability of survival opportunities, the degree of resilience of the actors, their age and sex, the nature of attendance to the reactions of others, the degree of identification with street groups, the degree of integration/disintegration of the street group, and the ability to establish non-street contacts and relationships.

To summarize, in the face of a rather overwhelming diversity in street kids, the concept of career developed by the sociology of deviance helps to capture the meaning of this diversity. It makes it possible to go beyond the dominant conception of a street child as victim or deviant to see these kids as social actors able to survive under circumstances that most people would find unbearable. While the concept of career does not prescribe directives for intervention with street kids, it does provide insights for practice.

### **3. IMPLICATIONS FOR PRACTICE**

#### **3.1 Preclusion of Universal Rescuing Approaches**

There is a prevalent assumption that the provision of various resources for street kids will easily divert them from street life and cure them of their delinquency. This is the main assumption behind many intervention programs with street kids, which are implemented with a view to "rescuing" them from the misery of the street. However, this assumption fails to recognize the fact that the vast majority of street kids are socially, economically and culturally embedded in the intricate fabric of street societies.

There are at least two problems with the dominant rescuing approach. Firstly, because of their past experiences, many of these kids are wary of adult authority in general. Not having the protection of a family, school or village, their autonomy

becomes the precious something that belongs to them. They should not be expected to give it up readily.

Secondly, to a great extent, the rescuing mentality requires that street kids forget, if not repent, their deviance in the street. The general underlying assumption of the rescuing approach is that street life cannot be anything except detrimental to the kids' wellbeing. This assumption is reflected in the attitudes of the 'rescuers' and elicits the resentment of those for whom the street may have some positive meaning. Street kids are apprehensive of attitudes that tend to trivialize their lived experience in the street. Asking them to be resilient in pursuing a non-street career—without appropriating their past street life—not only negates the meaningful relationships that they may have developed, but it also dismisses the value of the skills that they may have acquired, as well as their experiences and the lessons they may have learned.

What street kids have in common is the *distinctive culture of street life*, which possesses its own logic and articulates its own normative value claims. While internally consistent, the latter are often antithetical to those adopted by mainstream society. In other words, the culture of the street is often difficult to reconcile with dominant values, and mainstream interests do not necessarily match those of street kids. For a reconciliation to happen, it needs to be critical. By this I mean that the responsibility for reconciliation cannot simply be placed on the shoulders of street kids. Indeed, the mere existence of street kids interpellates the societal institutions concerned with childhood on the one hand, and questions the authoritarian guardian, the school, or the workshop from which they run away on the other. The failure of these institutions to keep an increasing number of kids off the street ought to be a source of concern for policy makers and researchers.

It should be stressed that the nature of street life requires participants to engage in behaviour that differs to such an extent from values and forms of 'acceptable' behaviour that it often elicits coercive correctional and punitive approaches, even when undertaken under the guise of rehabilitation. Not surprisingly, successful rehabilitation is rarely achieved. Therefore, it is not a question of 'curing' the juvenile delinquent. Rather, social intervention should aim to offer the street kid the opportunity to explore voluntarily other venues of socialization and become involved in other forms of sociability.

### 3.2 The Challenges of Intervention

A major feature of 'good intentions' programs is their inflexible resolve to take the kids off the streets by any means, at any cost and as fast as possible. Those who do not cooperate with practitioners to facilitate family reunification or institutionalization are usually classified as "hopeless cases", "hard core" and/or "chronically delinquent", and they are often deprived of services and care. Many of the kids who do cooperate return home or join an institution resume street life shortly afterwards. Practitioners remain perplexed and discouraged when faced with families who are not very keen to reunite with their runaway offspring. The sober and sometimes uncomfortable fact is that for many children, the street is often a better place than a home that may be nothing more than a tin roof covering a variety of forms of abuse. The successful cases of children reuniting with their families of origin often involve kids who would have returned home anyway since they could not adapt to street life. Repeated failures in achieving family reunification or institutionalization for the great majority of 'seasoned' street kids often make practitioners feel discouraged and burnt out.

While family reunification is certainly a noble objective, it is important to recognize that just as kids do not become street children overnight but go through a process of joining the street, they abandon their street careers only through another such process. Temporary disconnection from street life is a common feature among many of the runaway children and adolescents we came to know and accompany. The length of these breaks can be a few days or weeks or sometimes as much as a month or two. Longer breaks usually reflect serious consideration and an effort to disengage from street life. Yet the kids often return to the street, either because they were unable to negotiate an improvement of the pushing factors that led them to run away in the first place, or because they found it difficult to abandon the close and relatively stable friendships and relationships with their street peers. The stronger the identification of peers with one another, the greater the bonds that unite them. Specifically, the smaller the street grouping, the less likely street kids are to jeopardize their street life. These bonds do not disappear quickly, given the emotional dependency that characterizes group relations.

Accordingly, the challenge of intervention with street kids lies in the ability to acknowledge the agency these kids display in joining street life and the meanings the

street has for them on the one hand, and simultaneously offering them supportive accompaniment and the opportunity to enlarge the scope of their socialization through non-formal education on the other.

### 3.3 Importance of Sustained Support, Accompaniment and Non-Formal Education

By rejecting the uncritical use of correctional and/or rehabilitative approaches, as well as family unification as the primary objective of intervention, not only do I emphasize the importance of socio-educational actions, but I also contend that the most urgent need of street kids is the promotion and protection of their basic physical, mental and social developmental needs and rights rather than removal from the street per se. This can be achieved through genuine *social support* and *accompaniment* in their daily street existence.

The special relationships of trust that street workers build with street kids—whose experiences with adults and mainstream societal institutions have for the major part been negative—not only demand the investment of a considerable amount of time, but also a *sustained, meaningful* and *participatory* presence in the street milieus where these youngsters live. It is through such a presence that “intimate familiarity” with ongoing community life is achieved, and that street kids, both individually and in small groups, come to realize that there are adults who genuinely care for them.

Disengagement from a street career, as already mentioned, takes place through a process of identity transformation and reconstruction, which is more manageable when support from significant others is perceived as trustworthy. Street kids need to appropriate their street career before plausible alternatives can be developed with their active and voluntary participation. To help with the emergence of a new self-concept, the role of the street worker is to accompany kids through this process, without exerting excessive pressure to hasten the disengagement decision. Experience has shown that hasty and poorly planned decisions only result in repeated failures.

Sustained support and accompaniment work, coupled with constant dialogue, non-formal education and advocacy activities, and dealing with the problems of the kids’ everyday lives entails a process of education for life. By the same token, it provides the vehicle for a resocialization process whereby the scope of the context in which street kids develop mentally, socially, and culturally is widened. Only then can these kids

consider reconciling with a society that they perceive as hostile and rejecting. And only then can alternatives to institutionalization as well as means to support voluntary withdrawal from street life be envisaged and planned for in a participatory manner.

### 3.4 Capitalizing on the Strengths of Street Kids

To proceed with PAR, it was not enough to join in the social situations of the street kids and remain confined by the role of researcher—as in many participatory research projects—contending with a sympathetic accompaniment through the risks and hazards of the street. For accompaniment work to be meaningful and engaged—that is, to go beyond participatory research into PAR—it was necessary to try, with the active and voluntary participation of the actors involved, to change their situations for the better. For this to happen, the actors needed to be *confirmed* in their capacity as agents of change in their own lives. Therefore, we had to discover and recognize in accompaniment work, not only the ‘delinquency’, hazards and risks to which they had been exposed, but also the abilities, skills, talents and strengths they had acquired through street socialization and that had been occluded by mainstream representations, depicting them as ‘helpless victims’ and/or ‘hopeless delinquents’.

In mainstream society, institutions, such as the family, school, mosque, or church, are the agents of socialization. In street societies, socialization agents for street children and youth are mainly their peers and the surrounding adults. In the street, children and youth are rarely on their own; most of the time they are affiliated with and in the company of others in what constitute milieus of living. Unlike mainstream children who grow up in a safe and protective family environment where basic needs are fulfilled, street children and youth grow up in situations that impose constant demands for coping and adjustment. Not only do they have to fend for themselves, they also have to adapt continuously to what are often unpredictable, uncertain, or threatening circumstances. The situational demands of street life necessitate the development of specific skills in order to increase chances of survival. The development of such skills culminates in the acquisition of both cognitive and instrumental competencies:

#### *Cognitive competence*

- Observing and learning about others.

- Recognizing and interpreting risky and dangerous situations, making a pertinent judgement, and acting accordingly.
- Knowing which posture, attitude and language to use in different situations (impression management).
- Learning and creating coded languages, gestures, etc.
- Learning, respecting and benefiting from street rules and culture.
- Possessing thorough knowledge of public space, including suitable refuge and/or sleeping spaces, different resources, etc.

### *Instrumental competence*

- Creative use of public spaces by converting them into places for work, life, recreation, refuge, etc.
- Capacity to give off, foster and manage impressions with the aim of controlling the reactions of others, provoking favourable responses and acting accordingly. For example, street children and youth know how to elicit compassion, guilt, fear or terror, as well as to handle any contingencies that may arise.
- Elaborate management of gesture and wording to simulate a handicap.
- Capacity to negotiate identity and a repertoire of different life stories.
- Elaboration of different survival strategies and use of the most appropriate strategy, according to the situation.
- Ability to organize in small groups by developing sophisticated forms of cooperation and solidarity, as well as setting rules for the group to follow.

Apart from cognitive and instrumental competence, for many of the kids, the act of leaving home (or an institution) and living in the street is in itself an empowering one since it often offers emancipation from oppressive institutional and parental structures. This factor is notoriously absent from the majority of discourses on street children, and, whenever we mentioned it, we were met with bemused looks. However, in seeking and finding relative freedom in the street, these children often become autonomous and are capable of actively defining their situations in their own terms, of challenging the roles assigned to children, of making judgements, and of developing a network of niches in the heart of the metropolis in order to resist exclusion and chronic repression. In short, many street children and youth are already actors actively engaged as agents of change in their own lives. As self-reflecting beings, they can and do direct, monitor, assess and

adjust their own behaviour over time (that is, they exhibit human agency). Accordingly, the role of street workers is “to recognize them as agents in the transformation of reality, through a constant dialogue which gives priority to their participation in the whole process” (UNICEF, 1987).

Capitalizing on the strengths of street children and youth was part of our discourse, further situating us in opposition to the dominant rescuing and behavioural modification approaches, in both their correctional and rehabilitative versions. It also meant that the PAR process sought not so much to empower street children and youth as to discover and valorize their strengths and build on them to engender enhanced empowerment and emancipation. With this aim in mind, we designed a rather broad strategy of non-formal education, coupled with soft and collective advocacy.

In this chapter, I paused to re-construct the PAR process that I began to describe in the previous chapter, with a view to presenting the conceptual framework that was developed according to the data obtained from street ethnography. In this framework, the dominant representation of street kids as victims and deviant is rejected in favour of one that recognizes their capacity for intentional and meaningful activity, that is, their capacity for human agency, as well as their awareness of self as an object, that is, self-reflectivity. Furthermore, I highlighted the observed diversity in the phenomenon and argued that this diversity precludes the dominant single-model approach to practice based on rescuing the kids.

Let us now resume the re-construction of the PAR process.



## Chapter 5

### CONTINUATION OF THE STORY

In this chapter I resume the re-construction of the PAR process that I began in chapter 3, in which I described the technical and political goals which needed to be accomplished during the first phase of getting-in. Ethnographic street work constituted the essence of fieldwork undertaken during this phase. The socio-political act of going onto the street, infiltrating street milieus and developing relationships of mutual trust and acceptance with street kids and their surroundings involved, as we saw, bridging a formidable gap between a mainstream society and a 'deviant' society. The construction of the necessary bridges for this operation was facilitated by the implementation of a non-obtrusive and carefully designed street work methodology. This allowed us to access marginalized and dissident street kids in the very context of the street organizations and milieus in which they were active actors. The building of non-threatening identities and relations of solidarity was the first task that had to be undertaken in order to develop reciprocal trust and respect.

In establishing a meaningful, participatory and sustained presence in the street milieus frequented by the kids, the street workers were able to develop accompaniment relationships that were not exclusively, or necessarily, 'help-oriented'. Instead, the objective was to develop rapport and relationships that were basically ones of acceptance, trust, complicity and solidarity, created by the repeated sharing of the ordinary, warm and tender gestures of everyday life: laughing together, seeing each other in different situations that might even be ridiculous, allowing the other to live and express different emotions, playing and having fun, contemplating, creating, going for walks, joking, and allowing the other the right to live a crisis, to express disappointment, fear, suffering and a desire to die. As such, the primary role of street workers was to offer their 'being' through the use of self in a sustained participatory presence in the everyday life of the kids they came to know.

It was through this kind of participation that the street workers were covertly observing behaviours, informally interviewing and collecting accounts, which gradually added to our understanding of the realities of the street kids and the milieus in which

they live. In chapter four, I presented this understanding within a conceptual framework that highlighted the agency the street kids displayed in managing the matters of their lives in order to go beyond the view of them as victims and deviants.

Equipped with this understanding, we pursued our fieldwork with the primary objective of increasing the kids' participation by inviting them to join with us in a PAR enterprise, which I describe below, beginning with the opening of the drop-in centre.

## 1. OPENING OF THE DROP-IN CENTRE

### 1.1 Preparing for the Drop-in Centre

In our plan, the setting up of a drop-in centre was intended not as a substitute for street work, but as a physical structure to serve as a landmark or point of reference for a concrete community mobilization with the aim of advocating for improved wellbeing for street kids. Accordingly, the focus in the drop-in centre was to be placed on capitalizing upon the strength and skills of the children and widening the scope of their socialization, not on removing them from the streets. The plan did not include the design of a well-defined program. We wanted the centre to develop step-by-step with the active participation of the kids. Therefore, it was necessary to adopt a flexible approach, open to constant re-evaluation and accommodation of changes.

I had explained to the street workers that the introduction of a drop-in centre in the context of a street work program usually took place after establishing relationships of mutual trust with children, youth and key members in the targeted street milieus. They had registered the information, but when the time came for action, they wanted to know the objectives of the centre and the specifics of its operation. My non-specific answers and insistence on a step-by-step approach and the impossibility of foreseeing its exact development—to take place with experimentation and increasing participation of the kids—left them worried. One of their main concerns was how they could present such a vague idea to the kids. I suggested that we ask the core group of kids, those we had come to know best, whether the idea of having a place where we could meet was appealing to them. A spontaneous answer from one of the kids was, “Do you mean a club?” From then onwards the drop-in centre was always referred to by the kids as *the club*.

We had expected that floating the idea of a drop-in centre would incite the kids to ask questions regarding the sources of its funding. It was therefore time for us to come out to the kids and divulge the hidden agenda behind our hitherto covert practice. The anticipated questions did come up, and our identities as action research practitioners were disclosed—our practice became overt. What facilitated the disclosure was the fact that many of the kids were familiar with the only other NGO in Egypt that targeted street boys. These kids were aware of the existence of donors that fund NGOs to help disadvantaged populations, and they communicated their understanding to peers and surrounding adults.

In order to establish the drop-in centre, we needed to identify a suitable location and prepare the neighbourhood for the arrival of the ‘young devils’. Given the overwhelming housing crisis in the city of Cairo and our meagre financial resources, we could only afford to rent an apartment. We wanted it to be situated in the vicinity of the Giza railway station, a key street locality where we had established good rapport with street kids and surrounding adults. This was also the area where both Samir and Ranya had grown up and continued to live. They were thus well acquainted with a small neighbourhood in the vicinity of the station, where, through their personal contacts, a small apartment (about thirty square meters), consisting of two rooms, a kitchen, and bathroom, was identified and rented. The apartment was situated at the street level of a six-story building inhabited by about fifteen middle class families. The owner, the father of one of Samir’s childhood friends, promised to let us use the building’s courtyard (about forty-five square meters), which was accessed through the kitchen and had been used as a garbage dump.

Many of the kids participated in the cleaning and renovation work, which lasted for almost three months. After removing three-meter-high piles of garbage from the courtyard, we found that two walls, at each end of the courtyard, were constructed adjacent to the courtyard fence. Roofing the area between each of these two walls and the fence would provide two additional rooms which would be dearly appreciated. We thought that one of these rooms could serve as clinic to dispense medical and health care, which would be particularly welcomed, given the difficulties that the kids had experienced in trying to gain access to public dispensaries and/or hospitals. Being a visible minority, the stigma that they carried usually elicited maltreatment, if not refusal

of access altogether. The harsh living conditions in the street made street kids particularly vulnerable to many health hazards. Skin diseases, respiratory infections, sexually transmitted diseases and various types of injury were quite common. Accordingly, we recruited a nurse and two medical doctors, one male and one female, just before opening the drop-in centre in April 1997.

After signing the lease and starting the renovation work, it became imperative to explain to the neighbourhood what we were preparing, since many neighbours who saw the kids coming to help were quite bewildered and upset by their presence. Ranya and Samir approached key, influential figures in the neighbourhood to explain the 'project' that was about to be implemented. These included the barber, the carpenter, the mechanic, the ironer and owners of other small businesses. The wealthy and charitable businessman, a couple of schoolteachers, police officers and other professional neighbours were also among the individuals approached. Samir gave special importance to sensitizing the young men in the neighbourhood, knowing that traditionally they were among the most hostile towards street populations and that they were keen to keep the neighbourhood streets 'clean'.

We were aware that most people in the neighbourhood would not easily welcome the street kids. We expected them, like the majority of the public, to have an aversive attitude towards the kids, generally viewing them as a nuisance to be avoided. Therefore, in introducing the project, we had to present it in terms that would forestall possible rejection. To the people of the neighbourhood, the project was presented as a welfare initiative to give a helping hand to these "poor" children and to try to reunite them with their families. In so doing, the strategy was to capitalize on a deeply cherished value in Egyptian society, charitable assistance, which is also an obligation in Islam. Although this strategy may seem contradictory to PAR ideals, it was not possible at that stage to present the project as a PAR undertaking. Any reference to the objectives of community organizing with the street kids, let alone their empowerment, would have sounded too revolutionary, if not 'crazy', in this conservative middle class neighbourhood where activism, except for the forceful fundamentalists, was not welcomed. Our strategy was first to facilitate the infiltration of the kids into the neighbourhood and the drop-in centre, then gradually work toward changing the negative image of the public.

## 1.2 Start Up

At the beginning, the centre was open two days a week for girls and another two days for boys. We were aware that this separation was artificial in light of the fact that in the streets, boys and girls did not lead separate lives but were intermingled in the social fabric of the street milieus. However, we were reluctant to have boys and girls together at the centre since we knew that this would attract severe criticism in the Egyptian traditional context. Nevertheless, we opted to assume the responsibility of, once more, threatening the status quo and, a few weeks after the opening, we started implementing an open-door policy for both sexes and all ages.

During the first three months of operation, the centre's main activities consisted of preparing meals, using shower and laundry facilities, chatting with the kids, playing some social games and light sports, and watching television. The drop-in centre offered a suitable space for street workers to meet with the kids who wished to talk privately about different concerns and/or problems. It appeared to us that many of the kids who came to the centre felt free to act like spoiled children, making us realize how much they missed and needed care and love. Obviously, the harsh circumstances of survival in the street do not permit this pampering, yet the moment the children were released from caring for themselves, the child in them surfaced and demanded care and unconditional love. This was characteristic of all age brackets that came to the centre from children in their early years to youths in their late teens and early twenties.

We were surprised by the reluctance of the majority of the kids to go to the clinic and consult the doctors, even when they were in need and in pain. Their few previous experiences with medical personnel had been enough to make them dread seeing a doctor. However, when a street worker with whom they had formed a special attachment offered to accompany them, they accepted and their fear gradually dissipated. The two medical doctors and the nurse we had recruited also managed to establish trust with the kids by engaging with them in social activities outside the clinic.

During informal exchanges with the kids at the centre, we started to discuss with a core group some of the logistics regarding the operation of the drop-in centre. These included the centre's days and hours of opening, as well as some rules of conduct. We expressed our concern that consuming drugs on the centre's premises jeopardized its very existence, and we also said that we did not think that it was the appropriate place

for this activity. The kids overzealously suggested forbidding drug consumption, and some of them even proposed quite strict measures to ensure respect for this rule, as well as punitive actions to be applied to the offenders. It was agreed, however, that through the cooperation of all, the no-drug consumption rule would be observed. Indeed, no one consumed drugs at the centre. However, many of the kids used to arrive already 'high', after having their regular dose. And although fighting was also prohibited in the centre, fights erupted as often as they erupted in the street, except that in the centre there were adults from the mainstream watching, and they were called upon to judge and referee. Competition to get the special attention and care of the workers rapidly became a major dynamic.

### **1.3 The Participation Challenge**

The observations described above made us realize the immense challenge that participation, as a problematic process, represented. When people with different power, status, culture, influence and facility with language, as well as different personal, intellectual, political and interpersonal abilities, come together in a PAR undertaking, the notion of participation becomes very problematic. We could not install democratic participation just by wishing it, permitting it or ordering it. We realized that it needed to be incorporated into the PAR process as an objective to be pursued and reflected upon continuously. In other words, democratic participation, like other PAR promises, is an ideal towards which we could strive only through a process of dialogical exchanges, experimentation and reflection. This meant that the ultimate responsibility for managing the centre and the overall PAR process needed to remain ours while we were working toward its gradual diffusion.

Accordingly, participation at the drop-in centre was initially to take place largely in the form of information sharing and consultation. However, we were keen to initiate, simultaneously and selectively, a process of closer participation with a core group of natural leaders and older kids who were coming to the centre. We shared with them some of our puzzlement and questioning, discussed more extensively what we were trying to do together, drew visions and dreams for the future, and identified potential challenges and obstacles. In short, we were trying gradually to involve them in a process of individual and collective reflection.

We started delegating some responsibilities and tasks to this core group of kids. These included buying food, preparing and serving meals and tea, taking care of the younger kids, cleaning the premises, delivering messages, answering the phone, assisting in recreational and drawing activities, and coordinating the kids' access to the clinic. Not surprisingly, there were repeated failures on their part in meeting their commitments. We were determined not to let these failures, often heavily taxing and discouraging, make us give up and consequently fuel their negative self-image. As we learned to expect setbacks, we strove to manifest our unflinching commitment to these young leaders, just as a parent does not give up on a child who makes mistakes, exhibits poor judgement or lies. Sustained support was paramount in the development of reflective and critical capacities.

In thinking about the repeated setbacks, we became aware of the challenges posed to individuals when they assume a different role. In assuming responsibility for some task at the centre, individuals not only needed to learn new technical skills, to be present on time, and to be alert and cooperative, they also needed to deal with an emerging new identity, not an easy undertaking. These situations were further complicated by the reactions of peers, who often made it difficult for the leaders to perform their tasks adequately and often did not cooperate with them. Competition, jealousy, rivalry, provocation and setting malicious traps between peers and within the group of leaders assuming responsibilities in the centre were all forms of behaviour that contributed to repeated fall-outs and setbacks. As we reflected on these dynamics, we realized that these repeated failures in assuming tasks and responsibilities were as much the outcome of a sort of collective sabotage as an outcome of the individual's deficiencies. The emerging new identity associated with the assumption of leadership roles outside the street context posed a threat both to the individual and to the individuals surrounding him or her. Therefore, we needed to deal with these repeated failures both at the individual and group level.

At the individual level, it was very important, as already mentioned, to continue supporting the kids who 'failed' in the chores they had taken on at the centre in order to avoid the trap of a self-fulfilling prophecy of marginality and uselessness. As Lemert (1972, p. 64) aptly remarked:

Awareness of unenviable features of the self is a complex rather than a simple reciprocal of societal insult to identity, and, further, adaptations can turn into maladaptations on the person's own terms. This comes to light when efforts at validating the self are complicated by distinct feelings of hopelessness, entrapment, or loss of control over actions presumed to be volitional. These can be observed in certain forms of deviance best described as self-defeating; their peculiar, illogical manifestations speak of underlying difficulty or dilapidation in the communication process by which self and other are constituted.

Therefore, we never gave in to statements such as: "You have done your best with me. Thank you, but I am sort of hopeless". It was important not only to tell them that trial and error was a basic right, but also to attend to the identity problems associated with the re-socialization process.

At the group level, interrelationships between groups were oriented toward a mode of mutual accountability in order to promote a strong collective sense among the participants. The ensuing group-to-group discussions went beyond the organization and division of labour to grapple with issues related to values, beliefs, attitudes and lifestyles. Under the general theme of "how we are going to organize ourselves for a better being", we were actively encouraging and promoting the development of dialogical relationships. This allowed for the consolidation of a group reflection practice and the sharpening of critical thinking and analysis, which, it must be emphasized, were already prevalent among many of the kids. Accordingly, the groups entered into a process of examining their reality by asking penetrating questions, mulling over assumptions related to their everyday problems and circumstances, discussing alternatives for change, and suggesting advocacy actions to improve the situation and achieve further empowerment.

In ending this section, I want to mention that six months after the opening of the drop-in centre, the municipal authorities, accompanied by a force of about eighty armed policemen, arrived one morning to enforce a court order to demolish the two rooms that we had built in the courtyard, on the grounds that their construction contravened municipal regulations.<sup>25</sup> Watching the demolition operation, we were all in a state of shock. Then the authorities left, leaving behind the rubble and ruins. As the feelings of

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<sup>25</sup> A tenant in the next building had illegally opened a window overlooking the courtyard. The landlord of the building where the centre was located had filed a complaint, obliging the tenant to close the window. In retaliation against our landlord, this same tenant filed a complaint about the construction of two rooms in the courtyard, resulting in their demolition.



sorrow and discouragement were becoming overwhelming, a couple of kids started to clean up. We all followed and the spirit generated was so high that we worked all night removing the rubble through the tiny apartment out onto the street. If I mention this incident, it is to highlight the wonderful survival capacity of the kids. We learned a lesson from watching the ‘coolness’ and pragmatism displayed by the kids when faced with a situation of this kind. As well, we were quite happy to observe that the kids were tidying up the centre with the zealotness and enthusiasm that people display toward a place that is dear to them.

#### 1.4 Progression of Non-formal Education

As already mentioned, during the first three months of operation, only a limited number of activities took place at the centre as we were waiting for the kids to express their desire for other activities. The opportunity to introduce some educational activities came about one evening when some kids were playing *Monopoly*, which they enjoyed since it involved the use of simulated banknotes in large denominations. In this game, there are instructions and orders to be read at each move. One of the kids was fed up with calling on one of us to read the instruction cards. He said that he wanted to learn how to read. We took his wish seriously and offered to teach him. A couple of other kids said that they too wanted to learn to read and write.

I was somewhat reluctant to start a literacy class immediately since none of us had any relevant experience. However, the kids and one of the street workers were very impatient to start. Upon their insistence I briefed the street worker on the basics of the Freirian literacy methodology (Freire, 1970, 1973). This method is based on teaching letters and composing words in a way that is particularly meaningful to participants (in this case, the word *glue*, for example). At the same time, the participants engage in a “liberatory dialogue” around the composed words, their meaning and the values they project. We bought pencils, notebooks and a tiny blackboard, and the first literacy class started with four kids.

As I watched the worker and the kids in the improvised classroom, I noticed that the created learning environment was very much coloured by the sincerity in the personal relationships between the ‘educator’ and the ‘students’. The educator was not a teacher they only saw at school. He was also the street worker who went onto the streets

and joined them, that is, someone with whom trust and complicity had been established prior to embarking together on a learning adventure. I was quite struck by the splendid creativity displayed by both the street worker and the kids. They were enthusiastically engaged in identifying the means to facilitate the mental retention of the different letters by associating them, for example, with shapes of familiar objects. The master-servant relationship inherent in traditional education seemed to be replaced by a subject-subject relationship. Further, in the dialogues around existential issues evoked by the words that they composed, the kids felt free to break through the limits of meaning construction, thereby moving from limitation to infinite possibilities. In other words, the learning relationship was a creative space in and by which a multiplicity of truths were constructed, enabling individuals to recreate themselves, while at the same time redefining their relations to others. It dawned on me that both teacher and students were collectively dismantling the rigid distinction between learning and creativity that characterizes the mainstream definition of education. The basics of non-formal education were all there in a non-intimidating, supportive, inspiring and collaborative environment.<sup>26</sup>

At the kids' request, a painting activity was introduced in a similar fashion. Some kids who were fond of drawing with coloured pencils and crayons wanted to experiment with painting. A Board member who was a painter brought brushes and paints to the centre and showed the kids how to prepare the colours and use the brushes. She sat with them doing her own painting and looked encouragingly at their first attempts, giving some advice every now and then, but avoiding a didactic fashion. We wanted them to express freely whatever they wanted, and they did. It was surprising to see some of the kids spending hours silently painting, and seeming to enjoy it tremendously. Some other kids would paint only sporadically, and many others gave it a try for a couple of times but never got really into it.

Gradually, a wide array of cultural, educational, sportive and recreational activities was introduced within the framework of a non-formal educational approach

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<sup>26</sup> The street workers involved in literacy classes subsequently had the opportunity to attend a Freirean training session designed for literacy teachers. The workers' hands-on experience and experimentation helped them to be discerning and critical of the philosophy and the tools presented to them during the training. They were thus capable of adopting what they found useful, while feeling confident about adjusting and/or modifying other components.

that acknowledged the realities of street life in a safe and useful way. All the activities were introduced step by step with the active participation of the kids, who suggested, planned and managed them. The activities developed through experimentation and flexible adjustments included painting, gymnastics, karate, table tennis, storytelling, collage, theatre, sewing, percussion, stick dancing, social games, literacy classes, computer, beadwork, woodwork, carpentry and watching educational videos.<sup>27</sup> The street workers were acting more as 'facilitators' than as 'instructors', always involving the kids in developing ideas and in decision making. The relatively large number of participants led us increasingly to rely on reciprocal teaching between the kids. This method was an integral feature of their relational modes in the street, and we certainly capitalized on it rewardingly.

At another level, participation in activities that were more vocationally oriented, like sewing and carpentry, allowed the identification of those who were particularly talented and wished to develop their skills. They were accompanied to other programs and workshops that had more sophisticated facilities and training programs. In other words, the objective of the activities at the centre was not to set up a comprehensive vocational training program, but to offer the kids the opportunity to experiment with elementary vocational skills, which helped to identify talents and dispositions that could be further developed at the individual's request. We simultaneously identified a number of workshops where some of the kids could be hired as apprentices. We selected these workshops according to criteria that would guarantee that the kids would be treated humanely, be housed conveniently, not work endless hours, learn a vocation, and be allowed enough time off to pursue cultural and educational activities at the drop-in centre. Many of these workshops were located in the vicinity of the drop-in centre, and the street workers knew their owners. To motivate these owners, we offered them a modest grant to be paid in instalments to help them in renewing their premises or acquiring a new tool. More importantly, we signed a contract with them stipulating all the above-mentioned conditions, and, obviously, there was close follow-up by the street

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<sup>27</sup> The introduction of many of the activities had to wait until we were able to rent a second apartment next door. This added about 50 square meters to the overall available space, including a second shower room and laundry facility.

worker. The kids who were referred to these workshops had to be 14 years old, the legal age for employment.

Another function of the centre emerged a few months after its opening when an increasing number of parents and/or relatives started coming to ask about children. It is common in Egypt to see parents, whose children have run away or got lost, going down the streets where there are large numbers of street children and looking for their own. As the centre and the street workers became known to many people in street milieus, they started directing many of these parents to the centre. Sometimes, the parents or relatives arrived at the centre and their kids were actually there. On other occasions, we knew the kids they were looking for or could find out where they were by asking their peers. Whenever this happened we seized the opportunity to mediate between the runaway and his/her family, while making sure to safeguard the best interests of the kids by paying friendly visits to the household. It was during these visits that we came to realize why many kids kept running away from home to the street—it was often safer and less exploitative than the home.

### 1.5 Collective Soft Advocacy

Under the title of 'The First Painting Exhibition by Children and Young People of the Egyptian Association to Support Street Children—The Front Line Street Intervention Program', the Minister of Social Affairs, Dr. Mervat El Tellawy, opened the exhibition on the 30<sup>th</sup> of November, 1998, at the British Council in Cairo where it was open until the 14<sup>th</sup> of December. It was then shown at the British Council's branch in Heliopolis from the 16<sup>th</sup> to 23<sup>rd</sup> of December. The presence of the young artists at the opening of the exhibition and their welcoming the Minister of Social Affairs and the other guests definitely had a very positive impact. The minister and the guests took time to exchange and discuss with the children about their paintings, personal lives, and circumstances.

The exhibition has so far attracted an audience of more than 300 people, including painters, movie directors, NGO representatives, businessmen, international donors, ambassadors, journalists, TV and radio presenters (including the BBC who reported the event on its Arabic and English stations) and social workers, who were impressed by the artistic value, originality, and bold colours of the paintings. Mr. David Marler, the Director of the British Council, wrote in the Council's newsletter, *The Link* (January, 1999), that the exhibition was 'one of the best-attended exhibitions we have had and an inspiring occasion'.

As a touring exhibition, it has been shown at a recently opened downtown art gallery, Townhouse, from the 14<sup>th</sup> to the 21<sup>st</sup> of January. It will be also shown soon at the British Council in Alexandria, and it is planned to keep it touring during 1999 (The Egyptian Association to Support Street Children, EASSC, Progress Report, 2000, p. 8).

The above quotation from a progress report intended for EASSC funders and friends describes one of the events that was held as part of our soft advocacy strategy. Indeed, the painting exhibition referred to above was also the first time that the kids sort of came out and engaged with the public in a non-street setting.

Prior to that date, most of the advocacy was done ‘on behalf’ of the street kids. As the program became increasingly known, we were continually receiving invitations to participate in different meetings, workshops, working groups, forums and conferences on “children at risk”. We were keen to be present and participate actively at such events, knowing that they constituted arenas for the construction of the social problem(s) regarding the street-child phenomenon. The prevailing tendency then was, as already mentioned, to define street children, in and of themselves, as the problem and to prescribe rescue approaches for the “eradication” of this shameful phenomenon. Obviously, our “empowerment” discourse encountered strong resistance, if not outright hostility, on the part of policy makers and social affairs bureaucrats. The very few who were secretly in agreement with us were those who had adopted a participatory rhetoric to please the funders. The latter had increasingly pressed for adopting participatory approaches in local community development strategies, making it, as well as the objective of empowering the poor, a condition for allocation of grants. However, most participants in public forums concerned with street children were very keen not to run counter to mainstream ideologies, especially since the state bureaucrats, including social affairs officials, were represented at these forums. Even the support of UNICEF and the international community could add little credibility to our discourse, which was persistently dismissed by the bureaucrats as culturally alien to our society.

The strong opposition to our approach was somewhat dampened when individuals came to visit the drop-in centre and interacted with the kids. Gradually, we were able to attract the attention and support of several professionals and policy makers, as well as some media people. However, for the most part, alliances were formed on the individual level, and not on the institutional level. As for the resistance and the attacks that we often encountered at public meetings, they were softened whenever we were allowed to bring some of the kids along with us.

The idea of involving the kids in the arenas where their realities were being constructed was not so much motivated by the desire to dampen resistance and gain

supporters, as by the desire to give the kids an opportunity to talk for themselves, whether directly or through their works of art and handicrafts. 'Advocacy on their behalf' was gradually substituted by 'advocacy with them'.

The participation of the kids in public events was not restricted to these arenas. They took part in several cultural activities, summer camps and other socio-educational and sporting activities where they interacted with mainstream kids.

Many of the kids were very eager to take part in public events, and preparation for such events usually took place with a great deal of excitement and joy. Indeed, their participation, especially in events that lasted for more than one day, required a great deal of organization and self-discipline. Most of the kids refused to go to public meetings in their shabby street clothes, and many of the meetings would start at 9 a.m. This meant that they had to come to the centre quite early to wash and to try on some of the donated clothes, and after a rapid breakfast, quickly rush to the meeting. Afterwards, they would go back to the street to resume their lives and come back to the centre the following morning—if they had not been arrested—dusting off their clothes, which they had tried to keep clean throughout their night on the street. Those who had dirtied their clothes, would, though they felt quite embarrassed, nonetheless start bargaining for a new set.

After participating in a couple of these events, it was interesting to observe one of the kids suggesting to the organizers of a three-day workshop to start at 10 a.m. instead of 9 a.m., in order to accommodate the special circumstances of those who spend the night in the street. His suggestion was adopted and from then on it became a consistent request that we would make to the organizers of the events to which we were invited.

The kids' enthusiasm, zealousness, eloquent spontaneous responses, assertiveness and critical capacities were often quite thrilling to observe at public events. On many occasions, the kids' input incited other participants to adopt a more realistic and reflective position. Their outstanding performance made some participants think that we had achieved a "miracle" with these kids, and they would come to congratulate us. This probably reflected the very poor prognosis usually made regarding street children. Actually, the "miracle", if any, was created by the convergence of the kids' persevering will for empowerment with the benefits of sustained accompaniment, non-formal education, and collective advocacy organizing.

## 2. REFLECTIVE COMMENTARY

### 2.1 Sensitive Issues: Drug Consumption and Sexuality

The intensification of accompaniment work described above allowed us access to an array of morally sensitive information, especially with regard to drug consumption and sexual matters. We had to deal with uncertain, complex, ambiguous and value-laden situations that raised ethical and moral dilemmas. Supplementing our own observations, many kids increasingly confided to us details of behaviour regarded, in the context of traditional societies like Egypt, as highly immoral. Adults who witness or come to know about such behaviour are expected to severely reprimand the deviant and take necessary action to correct him or her.

We adhered to a strong belief, according to which accompaniment of deviant populations demands an increased *tolerance* with respect to use of drugs, violence, sexual activities and all those values and lifestyles usually condemned by mainstream norms and considered detrimental to the wellbeing of children and youth. Yet we remained confused as to whether this tolerance required us to accept any kind of behaviour. Recognition and acceptance of difference was indeed a major issue with which we grappled throughout the program. Below are some examples taken from situations in which we were confronted with issues related to drug consumption and sexuality.

In the meagre literature on street children, drug consumption and sexual matters are usually referred to as sensitive issues, and represented in terms of abuse, addiction, promiscuity, exploitation and high risk behaviour, such as prostitution, homosexual activity and anal intercourse (Moura de, 2002). This representation, guided by the negative aspects attributed to street life, often reduces the debate regarding the ethical questions raised by these sensitive issues to the moral obligation of 'protecting' the children (see, for example, Young & Barrett, 2001). Researchers are advised to assess carefully the possible negative impacts on street children before divulging information regarding abusers and the children's spatial survival strategies to avoid retaliation from the police or other abusers. This 'protectionist' discourse is employed at the expense of dismissing and excluding other aspects of the lived experience, such as exploration, pleasure and adventure, which are not exploitative and can even be empowering at times. These aspects are not addressed in the literature.

In exploring closely the issue of glue sniffing and drug consumption, we came to understand that in the context of street life, there are very good reasons to engage in the use of inhalants: peer pressure, the need to belong to a group and the fear of isolation, to name a few. Moreover, the anaesthetic effect of glue helps to block out not only 'bad thoughts', but also hunger pangs and the effects of harsh weather. In this sense, glue sniffing is vital for street survival. However, we were also concerned with the possibility of cognitive deterioration and detrimental repercussions on the nervous system, which are widely believed to result from the use of inhalants. As such, we were at a loss as to how best to react when children informed us about their drug consumption and sniffed glue—sometimes provocatively—in our presence. On the one hand, we felt that criticizing, discouraging or condemning this kind of behaviour was obviously negating the unique context and circumstances associated with it. On the other hand, we felt an urge to 'protect' the children from the detrimental health effects of drug consumption. It was not without pain that we came to realize that for any prospect of behavioural change regarding drug consumption to emerge, the living circumstances of the young 'addicts' themselves needed to change.

This early concern with how best to *react* to drug consumption and the concern to *protect* the youngsters may have concealed some of our own value judgements, prejudices and ignorance. We did not differentiate between different usage of inhalants (moderate, occasional or chronic), and we assumed that every kid who sniffed glue must be an addict. It was only later that we came to observe and understand that many kids used drugs socially and were not drug dependent. We also learned that the alleged negative effects of inhalants in the form of cognitive and personality disorders were far from being conclusive (Jansen et al, 1992). Therefore, it became important not only to be aware of the unique street circumstances that led to glue sniffing, but also to start identifying and discerning differences in usage.

The concealment of our own cultural specifications was even more apparent when reflecting on sexual matters. The street workers felt ill at ease discussing the kids' sexual promiscuity, even though they did not seem to elicit much shame or guilt on the part of the kids. It must have elicited great discomfort amongst the workers as our discussions—as in the literature—tended to focus on issues of prostitution, paedophilia, sexual abuse and exploitation. This tendency among the street workers resulted, once



more, in an overemphasis on the protection dimension, blocking deeper reflection. However, unlike researchers who were in contact with street children for only a limited period of time, we could not continue with a protectionist discourse and attitude since our relationships with the kids would have remained patronizing. Let me explain.

Notwithstanding the fact that drug abuse and sexual exploitation take place in street culture, representing the kids' sexual relationships and use of drugs solely in terms of exploitation and abuse not only, as already mentioned, excludes other pleasurable aspects, but also confirms the victim label. To accompany the kids in a meaningful way, we needed to identify, recognize and relate to both the victim and the actor in them. Furthermore, the street culture context in which the sensitive realities of abuse and exploitation are lived and experienced needed to be specified and discerned in order to avoid the trap of adopting a universal perspective, informed by mainstream values. But to go beyond the protectionist obstacle, we first needed to deconstruct.

As a trainer and ethnographer, I was aware of the fact that, publicly at least, the street workers in their own life worlds, adhered to mainstream values. Regarding sexual behaviour in particular, I was also aware that, privately and secretly, this adherence was often less strictly observed. While open and public discussion of sexual matters is generally frowned upon, and the mention of deviant behaviour is whispered about and severely condemned, in both practice and beliefs, a rather surprising *tolerance* is observed among both the affluent and less privileged classes.<sup>28</sup> The two cardinal rules for indulging in unacceptable sexual behaviour, such as premarital, extramarital, homosexual and anal sex, are silence and secrecy. Thus, the challenge for me was to establish enough trust with the street workers for the whispers to become louder and for them to acknowledge painfully the inevitable phoniness/paradoxes that often colour mainstream values. That was the prerequisite for embarking on a process of critical analysis not only of the realities and lived experiences of street children, but also of our own.

Through the dialogical relationship with the street workers, we came to recognize that by referring to the kids' sexual behaviour only in the negative terms of exploitation, abuse, and risky behaviour, we were negating any possible pleasure or

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<sup>28</sup> This differentiation between professed values and the actuality of behaviours is analogous to the distinction in action science between *espoused theories* and *theories-in-use* (Argyris & Schon, 1974).

exploration/experimentation that might be there as well. This orientation was in flagrant contradiction with our own observations: the majority of the kids had reached an age at which their sexual maturity and their bodily changes aroused curiosity and the desire to discover and experiment. We also observed that both boys and girls were often involved in sexual relationships that were not necessarily exploitative but had more to do with exploration, intimacy and even love. From our privileged position in the daily lives of the kids, we witnessed the genuine, sincere and intense emotions associated with many of these relationships. This made us think that the absence of parental restraint *might* have been of positive benefit to the street kids by enabling them to experiment more freely in comparison with kids growing up with the security of parental love and protection.

We also came to understand that the sexual and physical abuse of street kids was not committed by their peers or adults in their milieu as often and as brutally as we had imagined. Instead, the abusers were too often the very same individuals whom society had appointed as their protectors: the various policing agents and their informants (Human Rights Watch, 2003). Exploiting the exclusion and voicelessness of the street kids, these abusers committed their acts of abuse quite freely, confident that the kids' voices would be easily muted and never reach a sympathetic ear. We knew that reporting such abuse would not result in any significant change, except for our removal from the scene. Both governmental and non-governmental organizations know about the abuses and exploitation of street children, yet a law of silence prevails: the threat of the counter-accusation of defaming Egypt is too intimidating.

It was perhaps paedophilic and homosexual relationships that were the most difficult to *accept*. Struggling with the issues that these relationships raised helped us realize that acceptance of the other in the work of accompaniment did not require accepting or sanctioning just any kind of behaviour. Instead, it meant not suppressing the other's words and experience and being non-judgmental, realistic and capable of facing facts, rather than ignoring them. It also entailed recognizing the right to trial and error. Accepting the other required us to reject the desire to control the other, and to engage instead in a liberatory dialogue that invites the questioning of certain assumptions without feeling threatened or pressured, but empowered by an evolving critical consciousness.

## 2.2 Silent Practices

This attitude of recognition and acceptance of the other enabled the gradual incorporation of silent practices, especially in relation to reproductive health issues. The children's sexual promiscuity required us both to convey general information and advice about reproductive health and take the risk of being severely reprimanded in the event that we were found out. However, the relationships of mutual trust established with the kids prevented the disclosure of this silent practice, even at times of difficult negotiations with street leaders. In other words, there was a moral obligation on both sides not to divulge information that could hurt the other.

Another 'tricky' silent practice was the accompaniment of teens and young adults during their pregnancies in a cultural context where pregnancy outside marriage is so severely condemned that the very life of the female is threatened. The shame that she incurs justifies killing her to wipe it out. Therefore, we were quite alarmed when young women and teenagers became pregnant, even more so when they decided to keep the baby. In addition to our concern that they were putting their lives at risk, we were worried about the difficulties of giving birth and nurturing an infant on the street. With time we were able to identify and develop some rudimentary emergency resources for such situations. However, the burden of nurturing the infant when the mother's own survival was so precarious inevitably led to entrusting the baby to an acquaintance, often with an informal agreement that the mother could not see her new-born again.

On some occasions, a young man from the street who was not the father—and in love with the young mother—offered to marry the mother and register the baby under his name. Incidents of this kind are examples of how an individual act of solidarity in a context of exclusion can freely occur in a collective space.

We went through other dramatic situations when new-borns had handicaps or were in precarious health. I still recall the sad incident involving Samar. A few weeks after giving birth, Samar came to us one morning at the drop-in centre, holding her new-born tight in her arms. She was looking very worried and told us that she did not know what the matter was—the baby was dead. Samar's unrecognized status as single mother with no birth certificate for her infant meant that the usual procedure for burial could not be followed. If she had gone to a hospital or a police station, she would have been considered a criminal offender, been humiliated and been locked up. This incident, like

many others, occurred within what might be called the grey zone of practice. Such incidents often took place suddenly and created some shock as well as panic given the uncertainties they evoked and the immediacy of action they required.

Some of the kids' silent practices also raised difficult dilemmas. One example involved Mervat, whom we came to know shortly after she had run away from her village in the governorate of Minia, about 300 kilometres south of Cairo. She was about 12 years old, with white skin and blond hair (such features are less common in Egypt and highly valued). She was quite witty, as well as good-looking, and was successful in gaining our love, care and attention. Despite her age, origin and physical features, all of which made her particularly vulnerable in the street, Mervat managed to extract herself from precarious situations with the least possible damage by investing dramatically in her boldness, her capacity for seduction and her unusual survival instincts. In fact, her family situation, as we came to observe later, was quite stable, and her father had complied several times with her wish, expressed to him in a heart-breaking letter, to return home and had come to Cairo to take her back. However, Mervat always ran away again, and even helped her younger brother to do the same.

Mervat wanted to continue with street lifestyles, and it was clear that she had adapted exceptionally well in terms of taking greatest advantage of the free and adventurous aspects of street life, as well as experimenting with a variety of petty jobs usually reserved for boys, such as shoe-shining and fire-eating. Mervat was also a convincing liar, displaying the proper emotions and gestures for whatever dramatic situation she was relating, and naturally, she wanted to become an actress.

At the age of 16, four years after running away, Mervat was developing into a mature and charming young woman, and in her street career she had managed to become a leader. Acquiring this status was contingent upon her ability to establish that she was not an easy sexual prey for speculating males, and this was no easy task. Unlike some other females, who become leaders by acting tough and hard, inflicting on themselves additional razor cuts, and dressing and behaving like men, Mervat, although she smoked, sniffed, took pills, played karate and worked like the boys, was feminine and quite proud of it. Acting tough in order to survive and establish status was not her strategy. To avoid sexual harassment from males, Mervat managed to establish a tacit pact with two key figures in the street locality where she spent most of her time. These two men, a police

informant and a tea maker, were her friends and, using the clout of the first, they always tried to be the first exploiters of female newcomers to their street locality. The two males had a pact with Mervat whereby she quickly linked up with female newcomers and led them to the den of these two exploiters. In return, Mervat was not only spared the viciousness of these two monsters, they also provided her with protection from other preying males, as well as protection from police harassment and abuse. This protection, as well as Mervat's attributes, including her ability to read and write, definitely placed her in a privileged status among the leaders.

Mervat's example was one of many that made us realize that in street culture, values are often paradoxical. For each value, one inevitably finds its very opposite. Group solidarity and intense individualism appear to go hand in hand. Selfless sharing and selfishness are equally widespread. And although tolerance is valued, there are no compunctions about turning a friend in order to avoid problems. Our bewilderment and feeling of unease with these paradoxes were attenuated when one day, one of us said, after some reflection, that she would, in all likelihood, deceive her buddies if her own survival was seriously threatened. This made us realize that these paradoxes do not reflect behaviour and characteristics specific to street children. Indeed, they are aspects of human nature.

### **2.3 Drop-in Centre and Street Work: Two Complementary Strategies**

It is important to emphasize that the opening of the drop-in centre did not mean the cessation of street work. On the contrary, accompaniment work in the street localities where we had established our presence had to be sustained and to be intensified in the less infiltrated localities. This was partly because the kids were always on the move in the street. They constantly needed to identify temporary, often precarious refuges and niches until the regular raids to clean up their preferred areas calmed down. It was during these round-ups that many kids were arrested. Those few who managed to escape were afraid and had to be awake and alert all night. Solidarity and a supportive presence during these rough times were greatly appreciated and enhanced the rapport.

Yet this was not the only most important reason for sustaining street work after the opening of the drop-in centre. The kids who came to the centre returned at the end of the day to the street localities which were their living milieus and habitat. Ensuring a

presence here gives accompaniment work its full meaning. Our street work philosophy held that the relationships developed in the street with the kids should not be conditional on their coming to the centre. In fact, not all the kids came to the centre on a regular basis, nor were they expected to do so. Some never or only rarely came to the centre, others came only occasionally, while others came regularly but with intervals of absence. It is true that regular attendance was often, but not necessarily, associated with positive prospects for change, and it definitely motivated the workers. Yet making regular attendance a condition would have resulted in instituting a selection mechanism whereby the more 'difficult' kids would, all too easily, be dismissed, thus focusing the approach on the individual. Therefore, we needed to remind ourselves constantly that accompaniment work targeted both the individual and the milieu and the communities—the collectives—in which he or she lived and interacted.

Sustaining active street work was important for settling problems and difficulties at the centre itself. On many occasions when some of the kids were displaying extremely disturbing and provocative behaviour for no apparent reason, the answer to our bewilderment was to be found in the street. We came to realize that the kids and the people surrounding them in the street were conducting a form of continuous assessment and evaluation of the centre. The provocative behaviour of the kids at the centre was a message from the milieu that there was something about which they were not happy: they wanted to negotiate, and we always welcomed such requests. This dynamic occurred on more than one occasion when a street leader was assigned tasks and responsibilities at the drop-in centre, failed to measure up to them, and was asked by us to step aside until he was more ready for them. The leader would then use his influence on the kids to stir up trouble, using the pretext of our 'unfairness'. In situations like this, we made sure not only to go and seek this leader to clarify issues, but we made sure that this happened with the participation of other kids and leaders.

Street children programs that operate drop-in centres in isolation from the street milieu of the children who frequent the centres often end up in a conflictual relationship with these milieus. Programs of this kind usually do not have an open-door policy. Access to their school-like centres is usually reserved for a certain age bracket and is conditional upon appropriate behaviour and adherence to a rigid program consisting of classes and some recreation. These programs usually apply what has come to be known

as a “tough love” policy. Children who are admitted to the centre are expected to be resilient and determined to break with the bad habits that they displayed on the street. In replicating the same authoritarian family and school structures from which many of the kids run away, it is not surprising that such programs appeal only to the kids who are ‘nice’ and ‘easy’ and on their way back to a family or an institution. Furthermore, this selective approach usually requires that the more ‘seasoned’ and ‘turbulent’ kids be warned a couple of times, excluded temporarily, and then expelled permanently. These seasoned kids often retaliate so that many of the managers of these programs feel the need to protect themselves from the street milieus. The story does not end here though. For these programs to survive, some street kids must come to the centres. To find them, the staff need to go into the street—as they did when they first opened their centre—and attract some kids. But this time the staff are likely to face a rather hostile milieu. Hard negotiations take place, and it is not uncommon for the staff to resort to dubious manoeuvres such as threatening to report street people to the police. Attitudes such as these hasten an escalation of animosity, and the requested police interventions, far from settling the dispute, result at best in temporarily silencing the vocal street leaders, and at worst in widening the gap and the mutual feeling of resentment and hostility between mainstream and street milieus.

Undoubtedly, many of these programs and their staff have good intentions. The point I am making here is that intervention efforts conducted in isolation from the context of the street milieus in which the kids are embedded not only suffer from serious limitations, but also run the risk of being counterproductive. Furthermore, for drop-in centres to be effective tools of intervention and resocialization, they need to offer alternatives to traditional authoritarian school settings by making education a pleasant, exciting and voluntary experience that can pave the way to better reintegration.

## **2.4 Implications of the Open-door Policy**

The open-door policy adopted at the drop-in centre entailed the intermingling of kids who were of different age brackets (from new-borns to early twenties), of both sexes and from different street locations. The space provided by the centre was used to tackle many community organizing issues: disputes were settled; news about absent peers was shared; difficulties and problems encountered in the streets were discussed; and trips and

other events were planned. However, this open-door policy resulted in relatively large numbers of kids and adults coming to the centre, and this presented an immense challenge. There was an average daily presence of about sixty youngsters in a rather small space under the supervision of six street workers and a couple of volunteers. Therefore, the management of space, resources and people was dependent upon prompt improvisation and creativity, given that every day was different in terms of the numbers, the individuals and the groups present, and in terms of the ensuing dynamics. Flexibility and continual reorganization of the space were necessary in order to accommodate the different activities that changed on a daily basis, as a function of who was there, their number, and what they wished to do. Serving two meals and drinks required elaborate organization and accurate estimation, as did lining up for the shower, using the laundry facilities and visiting the clinic. With different activities often taking place in very close spatial proximity, clashes and fights were bound to erupt. These situations were further complicated by our keenness to avoid disturbing the neighbours and abusing their tolerance.

The management of what often seemed like a situation on the verge of chaos raised dilemmas with which we continuously grappled at our meetings, in which we had started including some of the leaders. The question of when and how to use authority to keep functioning adequately and to avoid jeopardizing the existence of the centre was not an easy matter and needed to be discussed. We sometimes resorted to actions that from the perspective of our early appraisal did not fit with the 'ideals' of the open-door policy that we wished to implement. Several times on very busy days, the only measure we could take in response to extremely disturbing behaviour by some kids was to ask them to leave the premises for the day. While it was true that the relationships of trust that had been developed allowed for this measure to take place without eliciting violent reactions, we still made sure to go out at night after the centre closed to find these kids and settle the issue with them.

Grappling with the authority issue, we became aware of the family dynamics that were at work at the drop-in centre. There was no escape from assuming parental roles in this substitute family. For example, Samir, the most experienced of the street workers and their leader, acted like the father of the kids, while Ranya played the mother role. With older individuals, these parental relationships alternated with adult-to-adult ones.



In a similar fashion, while Samir and Ranya were, professionally speaking, senior to the other workers and assumed supervisory and training tasks, they were also like their older siblings. As for myself, in addition to my professional role as the person in charge of the PAR program, I was also father/big brother to the workers and grandfather to the kids, who on many occasions did not hesitate to solicit my intervention when their wishes were denied by the workers.

The identification of these different roles helped us to address the associated problematic issues of power and authority, and, in exposing these issues to group discussions with the core group of leaders, a form of collective monitoring of these issues emerged. As for the punitive measures to which we sometimes resorted, they came to be integrated as part of culturally acceptable family rules.

While we consciously attempted to implement a democratic family—constantly reminding ourselves that the culture of the street possessed its own logic and articulated its own normative value claims—there were times when value clashes caused what seemed like strong and insurmountable emotions. For example, one morning a group of kids arrived at the centre holding Hassan, a young boy around 10 or 11 years old, by the arms.<sup>29</sup> Hassan was obviously in great pain and could hardly walk. They told us that Hassan had been forcibly “taken” by Tarek, an older kid of about 15, who also visited the centre. While trying to comfort Hassan and reach the doctor over the phone to ask him to come earlier than usual, the street worker with whom Hassan had a special rapport looked quite disturbed by the situation. A few minutes later, when Tarek walked recklessly into the centre, this street worker grabbed him and proceeded to beat him up. As we watched with mixed emotions, the physical punishment of ‘the monster’ and before any of us would intervene, the street worker stopped as suddenly as he had started and walked away.

Later, the street worker told us that he could tolerate deviant behaviour like homosexuality and paedophilia, but he could not tolerate sexual assaults. Our grappling with the issue inevitably led us to wonder about the psychological repercussions of sexual violence on the victims. Over the eight-year duration of the program, we came to face several other situations similar to the one experienced by Hassan. The kids told us

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<sup>29</sup> The approximation of the kids’ ages is due to the fact that many of the kids did not know their real age and/or did not have birth certificates.

many other stories about their experiences with being sexually assaulted. It was definitely surprising to us that these kids did not seem to have been particularly 'damaged' by the event. Although they would only tell us about such incidents when enough confidence had been established, observing the ease with which, among themselves, they humiliated each other jokingly for being forcibly taken, helped us to contextualize the incidents of sexual assault. We came to understand that one of the very early pieces of advice that newcomers to the street obtains from their peers is a repeated warning to protect themselves against such assaults. However, newcomers also learn that most peers have experienced being taken by force, which is usually marked by a razor cut on the face inflicted by the offender. Despite the obvious cruelty involved in these assaults, we wondered whether the expectation of the trauma could result in better survival. We also wondered whether the fact that there was no shame attached to these public incidents alleviated the emotional and psychological repercussions of the assault. What seemed certain to us was if kids brought up in a safe and secure family were to be exposed to this kind of brutality, the lack of awareness of the coming threat and the subsequent 'privatization' that usually follows would make the situation more complicated for them than for street kids. The apparent absence of psychological damage to many street kids who are exposed to sexual assault may, after all, be explained in the words of Hamada, a seasoned kid, who in reply to our bewilderment regarding the frequency of these assaults told us: "It's only natural: when you are young you get taken, and when you grow up you take".

The drop-in centre's open-door policy also allowed kids to come to the centre without necessarily participating in any of the activities described above. Indeed, many of the kids came just for a meal, a shower, the laundry facilities, to consult the doctor, to have a word with a street worker, or simply to sleep in a safe atmosphere. Furthermore, participation in the activities was not conditional on regular attendance. This would not have been a realistic expectation on our part. Many of the kids kept trying to return home while others enjoyed travelling to Mediterranean cities in the summer and to Upper Egypt in the winter. Some of those who wanted to maintain continuity in their activities at the centre were picked up by the police regularly and detained for days, if not weeks, before they were released, humiliated, angry and in pain. Recovering from this maltreatment required a degree of determination and strength that many could not

sustain. They thus understandably succumbed to depression, heavy drug consumption or self-mutilation, and for those who were engaged in exploring avenues of withdrawal from their street careers, it often meant another setback. This made us feel very angry and frustrated ourselves with our powerlessness and incapacity to denounce more loudly police brutality. It also made us realize how such repressive actions and attitudes tend to confirm the kids' mistrust in adults and mainstream society. They made us realize and witness how for many seasoned kids, police brutality and the general hostility of the public over the years had resulted in so many physical as well as psychological scars that the prospect of disengaging from a street career often looked unattainable to them. They knew that they were unwanted and that the only place offered by society was behind secure fences in isolation. Many end up internalizing social degradation and spend the greater part of their lives behind bars.

In accompanying the kids through their many arrests and maltreatment, the challenge was not so much in lending empathy and support: their formidable insistence on survival and the solidarity generously displayed by their peers handled that quite well. The challenge lay in the difficult task of capitalizing on their experiences of repression in order to increase their empowerment and emancipation. As we expressed our own anger and frustration to the kids with regard to our inability to protest their maltreatment, it made us realize that in a sense we were in the same boat, despite the obvious fact that we were much more privileged and enjoyed many more comparative advantages. Nevertheless, the knowledge that we were together trying to do something different from the mainstream kept emerging throughout our experience, further strengthening our complicity and providing a solid base for planning and strategizing the action component of PAR, articulated through street work and non-formal education, as well as through collective soft advocacy as well.

In this chapter, I resumed the re-construction of the PAR process undertaken in Cairo with street kids, which was begun in chapter three. In this second phase, our identity as street workers-researchers was revealed to the kids with whom we had established trust relationships during the first phase of ethnographic street work. This 'coming out' was needed so we could shift from doing research *on* street kids to doing research *with* them within a PAR framework. The opening of the drop-in centre and the intensification of

accompaniment work, along with the non-formal educational activities and collective soft advocacy, were the major features of this second phase. I narrated and reflectively commented on the major ethical issues and dilemmas that surfaced during this phase of the PAR process.

This second phase, which began in 1997, came to an abrupt end in 2001 with the dismantling, by MISA, of the EASSC, the association that was sponsoring the PAR programme. However, the beneficial effects of this program had already begun to appear, as I discuss in the following chapter.

## Chapter 6

### IMPACTS AND CONCLUDING COMMENTARY

In this last chapter, I discuss some of the changes brought about by eight years of action and research on and with the street kids of Cairo. I highlight and discuss changes that we observed at the individual and the group level as well as changes with respect to street children policy in Egypt. I then summarize and discuss the methodological features that characterized the PAR process presented in this thesis before ending with a discussion of some of the unresolved issues.

#### 1. IMPACTS

Another consequence for seeing deviance as an interactive process is that we are able to correct false impressions fostered by earlier theoretical assumptions. For instance, if we assume, as has been often done, that deviance is somehow a quality of the person committing the deviant act, we are likely to suppose without looking any further that the person who commits the deviant act is somehow compelled to do so and will continue to do so. On the other hand, if we view deviance as something that arises in interaction with others, we realize that changes in interaction may produce significant changes in behaviour.

(H. S. Becker, 1964, p. 3)

As a non-institutional social intervention practice that subscribes to the humanist tradition, street work with the street kids of Cairo—within PAR context—aimed at a sustained accompaniment of otherwise inaccessible marginal and excluded populations of youngsters, who are often repressed, deprived of their fundamental rights and, understandably, adopt behaviours considered to be deviant according to mainstream views. This sustained accompaniment—in the street, in non-formal education activities and in collective advocacy—led to the development of authentic relationships between the street workers and the kids, at the heart of which was the *recognition* of difference, as well as the basic and fundamental acceptance of the *other*. This facilitated the construction of rapport based on *reciprocity* through a dialectic movement between self and other. In turn, the reciprocal consideration for each other was the basis for the development of a subject-to-subject dialogical relationship rather than a relationship of expert to client. Dialogue and interaction became the basic tools for the co-construction

of meaning, which is the prerequisite for the development of a critical consciousness able to question certain assumptions, to specify underpinnings, to elucidate choices and to evaluate them. Gradually, the kids, individually and collectively, began to assume an active role in making decisions about the issues central to their lives and about the means of enhancing their lives.

Indeed, the interactive and relational approach adopted throughout the duration of the PAR undertaking has, like Becker (1964) believed, produced significant changes in the behaviour of individuals and groups. The situation of Hind can be quite illuminating as an example of change at the individual level.

With the nickname 'el sal-owwa' (a type of coyote that frightens many villagers in remote rural areas and sometimes attacks children), Hind, a 15-year-old female, only about one meter tall, sturdy and fully developed, was indeed quite 'wild'. She mumbled her words and never exchanged more than a couple of sentences. Failure to understand her was met either with her giving up on you or her having a fit of bad temper. Calling her by her nickname would make her so furious that she would bite the person responsible and, paradoxically, confirm the appropriateness of her sobriquet. Biting was also her method of fighting, and she fought a great deal. On the days when she came to the centre, we would look at each other in anticipation of the difficult hours ahead of us. She was so demanding, particularly because of her tantrums, that we often had to dedicate the full attention of a street worker to her. More about her background is found in Appendix I, vignette 5.

One day Hind took a coloured pencil and began to draw. Her drawing drew our attention, and we were quite encouraging. She would draw only occasionally until she started to form some attachment to the volunteer female painter, the Board member who introduced the painting activity. A series of wonderful paintings followed fairly rapidly and she acquired a new nickname, 'the artist'. Calling her by her old nickname did not seem to bother her as much, her heavy consumption of drugs became more discerning and she stopped biting. When an exhibition of the kids' paintings was organized a few months later, Hind was the missing star on the opening evening. She made her appearance only on the second day. After closing time, as I was looking alone at the paintings, Hind came up to me, took me gently by the hand, and said: "Why are you

standing alone; come and sit with us,” pointing to where the other young artists and a couple of street workers were sitting.

The story of Hind, like that of many other kids, lends credit to the claim made by Becker (1964) regarding the importance of positive interactions for eliciting behavioural changes. It also adds credit to the value of non-formal education: changes in behaviour need not be, and seldom are the outcome of strict correctional measures. Instead, enhanced self-esteem and self-confidence, and a wider scope of awareness and creativity change the way individuals feel about themselves, and their behaviour is modified accordingly, almost naturally, I venture to add.

At the collective level, we had set as an indicator of meaningful change the kids' capacity to take responsibility for their own affairs and to develop a collective voice. This was partly achieved by virtue of the fact that a small group of kids were able to assume supervised street work tasks just before the abrupt end of the process. Furthermore, the group-to-group negotiations and participation in organizing and strategizing collective advocacy activities seem to have equipped many of the kids with the necessary tools and capacities to address politicians and policy makers publicly and within the arenas concerned with their realities. We were pleasantly surprised and indeed moved when, in the midst of the confrontations with the state bureaucrats in the period preceding the dismantling of EASSC, a group of six kids came to show us a copy of a letter they had written themselves and had presented, without our knowledge, to the MP of the jurisdiction where the association was registered and with whom they had managed to obtain an appointment. In the letter which took them days to write, they eloquently described their undertaking with EASSC, and asked the PM to do whatever in his power to ensure the sustainability of the association. This initiative confirmed the validity and value of the PAR endeavour, and it gave me the bittersweet feeling that the imminent abrupt ending would not nullify the emancipation at both the individual and collective levels.

With regard to changes at the policy level, the National Council for Childhood and Motherhood (NCCM), closely associated with Egypt's First Lady, Mrs. Mubarak, who chairs its Advisory Technical Committee, announced in March 2003, in a spectacular ceremony that received lots of media coverage, a national strategy to “eradicate” the phenomenon of street children (NCCM, 2003). In this strategy, the core

of the discourse and recommendations we had developed over the years of PAR with the street kids was adopted: the diversity of the phenomenon and its organic link with street societies, the importance of viewing the kids as active social actors capable of participating in the search for the means to improve their situation, the necessity of establishing drop-in centres and shelters that the kids can voluntarily join, developing training courses to sensitize public servants concerned with the phenomenon, and so on.

It is interesting to note here that despite the fact that EASSC had a good working relationship with NCCM (with whom we shared our views and analysis of the phenomenon), the council, notwithstanding its influence, did not do much to prevent the dismantling of the association. The question that can be asked here is whether the act of adopting our discourse and recommendations—almost word for word—was one of co-optation. While it is true that the adopted policy appears sophisticated and constitutes a powerful tool for the Egyptian State to respond to accusations of maltreating street children (Human Rights Watch, 2003), it remains to be seen whether it will result in meaningful changes at the practical level.

## **2. METHODOLOGICAL CONSIDERATIONS**

The production of replicable methodological formulae has never been an objective in PAR. Indeed, it would even be considered contradictory to its tenets. As an open-ended process, each PAR needs to progress along the emerging, sequencing and unfolding realities of a group's life, which are continuously shaped, forged, formed and constructed by the interactions among the different members. While the PAR process described in this thesis could not be replicated as such, I nevertheless propose to identify the main methodological traits and concerns that characterized it and which in my view could inform future PAR undertakings.

### **2.1 The Processual Nature of PAR**

As an open-ended process, the PAR described in this thesis has all the characteristics of generic social processes noted by Blumer (1969). It encompassed the interpreting, planning, anticipating, doing, experiencing, assessing and readjusting features of action. It incorporated the perspectives of participants, as well as people's capacities for reflectivity, their ability to influence one another and their tendencies to develop and act



upon particularistic relations with others. In addition, the PAR process actively assumed the problematic and uncertain features of group life, the dilemmas the actors experience, and their *savoir-faire* in coming to terms with them. However, the PAR process presented in this thesis went beyond the parameters of Blumer's interactionist ethnography. The practitioners-researchers became involved in educational as well as therapeutic relationships with participants, were politically positioned in favour of the participants' fight against exclusion, and organized advocacy activities both *for* and *with* them.

PAR processes of this kind are understandably very time-consuming, labour intensive, field based, longitudinal, and engaged undertakings that require extensive patience, perseverance and a capacity to handle a great deal of ambiguity. They also require a certain experiential knowledge that allows practitioners to venture into the life-worlds of the other while being careful not to provoke fusion and confusion problems by over-identifying with the other. Moreover, by taking the side of the excluded, PAR practitioners put their own identities on the line and subjugate their viewpoints to those of the many "moral entrepreneurs" (Becker, 1963) who may have a variety of vested interests regarding either the non-respectability of some people or practices being studied or the ethics of aspects of methodology.<sup>30</sup> Furthermore, the promotion of values and notions such as empowerment, equity, self-reliance and commitment to the interests of local participants often entail challenging oppressive political and social arrangements, such that the research group is often positioned in opposition to dominant and mainstream forces.

For an undertaking with such a wide scope of multiple and diverse issues, the PAR process must necessarily to be conceived along a multi-component approach—rather than a single-model approach—in order to allow for different issues to be addressed in a parallel fashion. The case presented in this thesis is one that has conformed to this requirement. Let us examine this claim more closely.

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<sup>30</sup> Becker's notion of *moral entrepreneurs* includes both the roles of "rule creators" and "rule enforcers" that individuals and groups may play to regulate morality in a community context.

## 2.2 Multiplicity and Diversity in Methodology

As we saw, the eclectic methodology that was used for the implementation of the present PAR process combined street ethnography, street work and action science. The beginning of fieldwork consisted of street ethnography (observation and participant observation) that allowed access to the street milieus frequented by street kids. After establishing an “intimate familiarity” with these milieus and building relationships of mutual trust and respect with individuals and groups, fieldwork gradually incorporated accompaniment and non-formal education components. In so doing, fieldwork marked a shift from an ethnographic inquiry (i.e., studying and understanding deviance) into street work to becoming involved and taking sides. The reflective approach that was adopted from the outset, ensured the training and education of both street workers and participants, focusing on enabling them to use their intuitive *savoir-faire* to continually add to the construction of their experiential knowledge.

The *multiplicity* feature in the present PAR was by no means restricted to its eclectic methodological framework; indeed, this feature characterized all three dimensions of PAR—participation, action and research.

**Participation:** The multiple stages and facets of participation observed in the PAR described in this thesis were carried out in conjunction with the processual nature of the undertaking. Contrary to the promises by some PAR rhetoric, democratic participation, like other PAR ideals, cannot constitute a fixed feature and mode of operation from the outset. As I have argued, it may be more realistic to view PAR ideals as goals that serve to guide and inform the process. Accordingly, democratic participation as a major PAR ideal cannot from the outset of a PAR process be the actual state of affairs. This kind of democratic participation requires a wide range of abilities, including personal, intellectual, political, interpersonal, group management and data management skills, in addition to a capacity for self-awareness and self-reflexivity. It is very unlikely at the initial stage of a PAR enterprise with marginal and excluded populations that practitioners would be able to constitute a group that is more or less homogeneously equipped with these abilities. Therefore, the promotion of democratic participation requires educating participants to acquire such abilities so that they can eventually participate more actively.

In the PAR case narrated in this thesis, the increasing participation of two groups of actors was of vital importance for the PAR process to progress democratically. For their participation to be meaningful and to become fully operative, both the street workers and the targeted kids needed to be equipped with practical and theoretical tools and concepts to sharpen their self-reflectivity and to increase their capacity to work in a collegial fashion.

With regard to Ranya and Samir, the street workers' group leaders, who started as volunteers conducting street ethnography under my supervision, they needed to be coached to acquire both street work and research capabilities, that is, to become practitioner-researchers. During the initial ethnographic street work, the hands-on experience in the street that was continuously subjected to reflective analysis facilitated the gradual acquisition of skills and the construction of their experiential knowledge. Gradually, Ranya and Samir progressed from mere data collectors to full participants in the management of the overall PAR process, including the recruitment and coaching of additional street workers.

Likewise, the participation of street kids also followed a coached progression. As the scope of their socialization kept widening, new dimensions of their participation were gradually incorporated in the process. Many of the youngsters who started by being mere providers of data and recipients of care and accompaniment were able to acquire enough knowledge to participate in the interpretation of data, that is, to critically examine themselves both individually and collectively. Some were also able to participate in the planning of change and its implementation. And just before the abrupt ending of the process, a couple of the kids had assumed street work tasks and responsibilities under the supervision of Samir and Ranya.

Lastly, it is important to note that at different moments in the overall process of construction of democratic participation, different actors are found at different stages, and this requires vigilant monitoring on the part of practitioners, as we have seen in chapter four, to attend to the many issues related to these variations in the degree and forms of participation.

**Action:** The action dimension in the present PAR incorporated several features, and its content was determined in conjunction with the unfolding process. Initially, the action took the form of going up and down the streets of Cairo to locate the targeted

youngsters in living environments. This was followed by socially infiltrating some of the identified street milieus and building relationships of trust with key informants. These relationships gradually developed to accommodate actions of support and accompaniment of the kids through the sustained, meaningful and interactive presence of practitioners in the accessed milieus. With the opening of the drop-in centre, the non-formal education activities, coupled with on going individual and group negotiations for increasing participation, paved the way for the political action of organizing advocacy activities.

**Research:** The research dimension was equally multifaceted. The combination of symbolic interactionism and ethnographic methodology, the plurality of fieldwork areas (different street localities and the drop-in centre), the triangulation of methods used (observation, participant observation, informal discussions/interviews, open-ended, semi-structured and in-depth interviews, focus-groups, and collection of biographical accounts), the techniques employed (field notes, personal notes, drawings, street workers' reports, observation of participants in different settings and by different observers), the active involvement of participants in the analysis and interpretation of data, and the longitudinal nature of the research undertaking all contributed rich data for critical reflection, analysis and the gradual construction of knowledge.

### **2.3 *Savoir-faire*, Ethics and Reflectivity**

The "intimate familiarity" developed with the groups of street kids that we worked with often involved unpredictable situations in which uncertainties and ambiguities gave rise to a large number of ethical issues. From the outset, reflectivity became for us a sort of survival mechanism to feel our way, to manage the dilemmas raised by ethical issues, to acquire and develop conceptual understanding, and to contain our own affective states.

When reflectivity is instituted as a group activity, the varied knowledge and competencies of the participants come face to face with one another and produce a dialectic tension that allow them to engage in definition, interpretation, intentionality and assessment. Increasingly, participants develop the capacity to attend to the lived experience of the other, to take the viewpoint of the other with respect to oneself, and thereby become objects of their own critical awareness. This capacity to see themselves from the standpoint of the other and to talk about themselves fosters the participants'

sense of awareness of self as an object, that is, their self-reflectivity, and enhances their capacity for intentional and meaningful activity, that is, human agency. The momentum for growth and empowerment generated in this way can then be exploited to its full potential.

Furthermore, reflectivity in groups often gives rise to an array of issues that are often described in dualistic terms or dichotomies such as subject and object of research, theory and practice, research and practice, participatory and top-down research, academia and fieldwork, individual and collective, oppressor and oppressed, advocacy *for* and advocacy *with*, democratic and authoritarian action, ethical and unethical, professional and unprofessional, empowerment and alienation, education and social control, expert and popular knowledge, private and public, to name some of those that were addressed within the PAR process described here. In exploring these dyads, we followed the Southern tradition of PAR in terms of developing a dialectic sensitivity that was concerned less with solving contradictions than with developing the capacity to identify and dissect them, to recognize them in the self and in others and to struggle with them actively, both individually and collectively.

This vigilance in maintaining a reflective approach and a dialectic sensitivity is paramount in PAR undertakings. In a process that requires political involvement and taking sides with the excluded, a critically reflective stance can act as a safeguard against the pitfalls of reproducing dyads, of accusations of treachery, and of longing for innocence and purity.

### **3. UNRESOLVED ISSUES**

In this final section, I would like to present some of the issues and concerns that the present PAR would have needed to address had it continued to operate. These are issues that we had been reflecting on around the time of the forced dismantling.

#### **3.1 Street Workers and Street Educators**

With regard to the complementarity of street work and the drop-in centre, I argued that while street work was articulated along a strategy of building bridges to access the excluded in the margin, the strategy at the drop-in centre aimed, through non-formal education and collective advocacy, at more emancipation and empowerment for

participants. While most contemporary street work activists adopt this dual strategy, we had internal debates with people who questioned the appropriateness of practitioners who implemented street work activities being involved at the drop-in setting. In their view, the street worker is a practitioner who basically works in the street and develops a *savoir-faire* and knowledge articulated through being and working in the kids' territories. The space created within a drop-in location is viewed as a shared territory in which the street worker may have to resort to the use of authority, if only at times, to keep a minimum of order within the locale. For example, practitioners in the street would never ask the youngsters to stop sniffing glue. They may talk and exchange with them about glue sniffing as a habit, its effects, and the like, but they would not feel the need to take any meaningful measure should one or more of the youngsters start sniffing in their presence. However, within a drop-in centre, practitioners would feel more compelled to try to stop such behaviour, especially when agreed upon rules do not permit it. In this view, then, the street workers who do not assume responsibility within a drop-in centre stand a better chance of developing an egalitarian relationship with the youngsters.

The debate is far from being conclusive. As discussed earlier, the issue of the use of authority was on the reflective agenda at the drop-in centre, and the practitioners grappled a great deal with the question of when to assume a parental (authoritarian) role. My view is that those who favour the separation of tasks may want to avoid 'confrontation' situations with the youngsters, and do not seem to realize that issues of power and authority are bound to surface in the relationship between street workers and the youngsters. This avoidance is bound to be challenged by the youngsters sooner or later. More importantly, such 'difficult' situations can be very emancipating when handled collectively, that is, when individual deviance is contextualized within the larger group and the latter is challenged to take responsibility for its own actions.

Furthermore, the separation of the street worker and the street educator tasks artificially creates unnecessary areas of expertise. By both accompanying the youngsters in the street and becoming involved with them in non-formal educational activities at the drop-in centre, street workers can really claim that their work of accompaniment is full and meaningful.

### 3.2 Gender

A second concern I would like to discuss here was whether it would be more beneficial for girls if they had time and space reserved only for them at the drop-in centre. Initially, boys and girls came to the centre at different times. As mentioned before, we had days for boys and others for girls in order to be congruent with policies adopted in public schools. However, in the street milieus, children and adults of both sexes intermingle, so we decided to let everybody come at the same time. While this policy reflected the living realities of participants, it may have overlooked some particular needs of female participants. This concern was triggered by repeated observation of many of the girls' behaviour in presence of male peers. Some of us had actually started to be irritated by the display of stereotypical female attitude and seductive behaviour on the part of many girls competing to seduce male peers. We also noticed that this kind of behaviour was much less prominent when the girls were engaged together in some kind of activity apart from the boys.

We came to realize that we might be able to deal with the issues associated with this behaviour if some space and time were reserved for girls and female workers to undertake activities together, apart from the males. While there were always occasions when the girls preferred to be alone or with female workers to privately discuss different matters, including their relationships with the boys, many times they had to specifically request to be left alone. Furthermore, we felt that the elucidation of the meaning of their stereotypical behaviour couldn't occur only through dialogue with the girls. Our view was that if the girls become involved in some activities together, like in sports, where the focus is on notions like team building, and personal skills rather than just their femininity, there might be more opportunities for exploring group emancipation and the development of identities other than stereotypes.

### 3.3 Shelter

A third concern that became urgent before the PAR described here came to end was that of shelter. As an increasing number of youngsters decided to try to disengage from their street careers and as they were exploring other venues, it became quite clear that to continue sleeping and living in the street was hindering them from fully exploring alternatives to street life. We rapidly realized that offering some shelter in the form of

institutional settings (reception centres) was not the answer. Many street kids develop an autonomy that is suppressed by the authoritarian and paternal treatment they receive in such settings, and not surprisingly most run away the moment they get the chance. Therefore, we started to experiment with forms of supervised apartments in which the participants collectively assumed the supervisory task themselves. As was expected, many problems arose and needed to be attended to. Conflicts with landlords and other tenants often reflected the prejudices most people held with regard to street kids. Whenever there was theft or other infractions in the neighbourhood, the kids were suspected and accused. However, these initial experiments were encouraging, and they demonstrated the organizing skills that many of the youngsters had acquired by virtue of surviving under the harsh circumstances of the street.

### 3.4 The 'In-between' Position

We were quite aware of the fact that in accompanying street kids we were situating ourselves in an in-between position, dissident youngsters on one side and a scornful and hostile mainstream on the other. For some practitioners, this in-between position is a neutral one that allows for mediation between the two sides. While mediation between the kids and family, school, police, social and probation workers, reception centres and other instances was certainly an important aspect of fieldwork, it did not mean that we remained neutral. On the contrary, we sided with the kids as a means of validating their experiences not so much as victims or deviant but more as active actors who were trying to cope with being excluded. Obviously, this was a politically sensitive position. However, it enabled us to witness human reality and to advocate not only for an increased mutual tolerance between mainstream and deviant street societies, but also, and more importantly, to advocate for a genuine, humane representation of a young excluded population living precariously on the fringes of society.

However, despite the fact that we had opted for a soft collective advocacy approach, it did not save the association from being dismantled. This raises a question regarding the appropriateness of soft advocacy in light of escalating conservatism in the North and repressive regimes in the South. Behind this question is the issue of *resistance*, an issue which fortunately seems to be making its way again onto the agenda



of social activists, albeit slowly and tentatively. I would like to put forward some thoughts that are meant to add further complexity to the issue.

The mounting conservatism in both the North and the South is fuelled by a wave of political discourses that heavily invest in the revival of one of the most pernicious, yet stable, dichotomies constructed by human thought, namely, *good versus evil*. This has resulted in a considerable shrinking of the comparative advantage of the democratic space, which permits the addition of some nuances and a dialectical sensitivity to go beyond the 'good and evil' conception of things. What is even more dangerous is the resonance these discourses have among the masses, which carries with it the risk of an upsurge of a mass psychology that might well waken the dormant fascism in us. This coupled with the intensification of surveillance mechanisms raises complex and difficult questions regarding the prospect of pursuing emancipatory social change.<sup>31</sup>

Within such a regressive macro-context of blatant exercise of power (under the guise of pre-emptive security measures), it would seem vital to formulate and articulate methodologies of resistance that aim not only at achieving more social justice, but also and mostly at trying to save the essential and minimizing the damage that is likely to be trickled down to the micro level. I would like to suggest here that the inevitable repercussions at the day-to-day micro (local) level might be best addressed through the notion of ethics.

Lastly, I would like to mention that in April 2001 after the forced closure of EASSC by the Egyptian government we all felt overwhelmingly discouraged, if not depressed. It took more than a year to recover and to start re-organizing. In December 2002, Ranya and Samir, along with two other street workers and the kids who had started to assume street work tasks just prior to the dismantling of the association,

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<sup>31</sup> "The FBI is currently asking libraries to provide them with lists of the books and Internet sites consulted by their members as a way of building 'intellectual profiles' of individual readers", *The Washington Post*, National Weekly Edition, 21-27 April 2003. Even more frightening is the creation by the Pentagon of a system of "total surveillance" of all 6 billion individuals that constitute the inhabitants of the planet, as reported in *Le Monde Diplomatique*, August 2003.

decided that they would not wait for the court to settle the dispute between EASSC and MISA, realizing that it could take another couple of years. They studied the feasibility of establishing a new association, and in June 2003 they finalized all the tedious paper work and formalities necessary for registering it and filed an application.

**APPENDIX I**

**PROFILES OF STREET KIDS**

## APPENDIX I

In this Appendix, I sketch the profiles of some of the street kids we came to know in order to illustrate the diversity in the trajectories that led them to the street as well as the diversity in street life circumstances and styles.

### **Vignette 1: Ashraf, male, 13 years old**

Ashraf was born to a father who worked in a 'beans and falafel' restaurant and a housewife mother who took care of him and his younger sister. The family lived with the maternal grandmother. When Ashraf was about 10, the father decided to move to a piece of land he owned on the outskirts of Cairo, where he set up a tent for the family in which they lived until completion of a one-storey house. Ashraf had difficulties adapting to the new shanty neighbourhood and complained that at the school the teachers treated him with violence. He started missing school and roaming about the streets, and in so doing came to meet and befriend some street kids who introduced him to street life.

Ashraf's performance at the school deteriorated and he quit. His father placed him as apprentice in a mechanic workshop, from which he ran away a few weeks later because of violent treatment by the owner. This scenario was repeated several times, and each time ended with his father becoming increasingly violent towards him.

Around that time, fights were endlessly erupting between the mother and the father, and the latter was often absent from home. The mother started to bring a man home during father's absence, and Ashraf complained that "this man started acting as if he was our real father, and he used to beat up my sister". This is when Ashraf ran away from home and linked up with the street kids he had befriended. He spent most of his time in zone 1 (see Appendix II and III). In the vicinity of this zone, he met a man working as a driver for a micro-bus network of communal transportation, who took him to work with him, calling passengers and collecting fees. Ashraf slept at the man's house, who was kind to him.

Ashraf's uncle happened to see him one day in the street. He took him to his place, and a few days later returned him to his mother. To avoid living with her, Ashraf told his uncle and grandmother about the relationship the mother secretly had with "that man". This resulted in the mother running away with her daughter and friend to a distant neighbourhood where she later, after divorcing from Ashraf's father, married her friend.

Ashraf succeeded in identifying the mother's new address and, curiously enough, went to live with her and the friend "because I loved my sister very much and I was attached to her". However, the mother and the friend constantly fought with Ashraf who "even" tried to convince his sister to run away together. But the sister told the mother about the plan, and Ashraf was severely punished. He went back to the father, who again placed him as apprentice in a workshop. He only ran away again with 20 pounds he stole from the owner.

Ashraf spent a few months on the street with his friends in zone 1, and they introduced him to the entertainment street locality in zone 2 (see Appendix II and III) where they spent the money they made from begging and wiping car windows on watching karate movies, sniffing glue and gambling.

When asked about how he spent his days on the street, Ashraf replied:

On Fridays I wake up in the morning and got to El... mosque at the time people are coming out of prayer and I beg for money and food. There are lots of people there who distribute food and vows after Friday prayer. After that, I go to a café to watch one or two video movies and then I start looking for a place to sleep. As for the other days, we go for breakfast near the mosque, and then each one of us goes off to make some money. Sometimes we go wiping car windows and begging, and with the money we make we buy cigarettes and glue. Sometimes, we go to the sea (he means the Nile corniche) under the bridge where we bathe and do good business. Because every one standing there has a woman with him, we keep sweet talking them by begging and sometimes we bring some flowers and sell them to them by force.

Ashraf was found once more by a relative of his (this time, his aunt's husband), who took him to live with them and got him a job at the woof factory where he worked. Once more, despite his love for his aunt, he went back to the street, this time because he was upset to find out that the boss at the factory knew that his aunt's husband had "picked him up from the street". He found that out on one of the occasions when he was reprimanded violently by the boss, the boss said to him "you street beggar, who wants to sleep in the street and sniff glue". But this was not the only reason he went back to the street. He said that he made more money there than at the factory.

Ashraf kept in contact with his aunt, and would periodically try to go back to the living arrangement with her and her husband, but always ended up going back to the street. After the opening of the drop-in centre, the aunt regularly came to see him or ask about him. One of the street workers became increasingly involved with trying to negotiate one of Ashraf's many returns. One arrangement was made whereby the aunt

and her husband agreed not to use violence with Ashraf, who promised not to run away again. Additionally, Ashraf asked that he be able to bring along one of his friends to work and live with him at his aunt's house. The request was granted, and the new arrangement worked fine for a while. During this time, Ashraf's relationship with his aunt and her husband became more peaceful and loving, until the day his friend ran away after stealing some money from the household. Ashraf was punished by the aunt and the husband on the grounds that he was responsible for his friend's deed.

Back on the street, Ashraf told the street worker:

The street is better. My father doesn't care and my mother, it's finished, she doesn't exist, and my aunt beats me. Finished. What can I do? From the time my mother ran away with the man who used to come up to our place, and one feels that really there is no use for nothing. Finished. If it wasn't for my mother's story, may Allah forgive her, we could be living well now.

## **Vignette 2: Sahar, female, 20 years old**

Asked to tell her story, Sahar starts by saying:

I have gone through a lot of difficult things in my life. My father divorced my mother when I was still in diapers. And I knew nothing about my mother until I grew up and discovered that she was quite the opposite of what my father said about her. She remarried and gave birth to 3 children. My father remarried, and I lived with him and my stepmother, and she is kind and I love her and call her mama. I was 12 when I first left home.

Sahar left home because they were living in a small place with her uncle, his wife and their five daughters. The uncle's wife hated Sahar and managed to turn her father against her by accusing Sahar of misbehaving. Her father started to beat her often and violently for the least mistake. However, Sahar still loves her father, who she says once wept after beating her feet with a strap.

The death of her uncle's wife had a strong impact on Sahar. She died after catching fire and going around screaming, and when Sahar tried to help, she tried to grab onto her so she would die by fire as well. This is what Sahar believed, and she still believes that her uncle's wife set fire to herself because she was continually sneered at and shamed by her husband and neighbours for giving birth to five girls and failing to give her husband a son.

After that incident, Sahar says she felt psychologically disturbed and started to “see imaginary things”. She “saw” her uncle’s wife around the place and was afraid to go to the bathroom by herself. These hallucinations and visions continued to curse Sahar’s life. She asked her father to move to another place, but he refused, claiming he didn’t have enough money. Instead, he brought one of the Koran readers to Sahar to pray over her head for her salvation.

When she was ten, she began to run away from home and spend time in the street, mostly in zone 1 “because the atmosphere at home and the whole neighbourhood made me feel suffocated”. In this area she made friends with a girl who ushered her into a downtown district where she introduced her to a woman (auntie N...) who let her live with her, took good care of her and bought her fancy clothes. When Auntie N... was arrested for running a prostitution ring, Sahar was placed in a reception centre where she managed not to be returned home by lying about her identity and origin.

The reception centre in which Sahar lived for about year was half-closed. She could go out and she met a young man with whom she fell in love. She gave him her father’s address in order to bring him to get her out of the centre on the understanding that they would marry. The young man convinced the father, paid him a sum of money as a dowry and married Sahar the ‘orfy way.<sup>32</sup> They lived together for two years (from about the age of 16 to 18), and Sahar resumed her relationships with the street friends she had met prior to being placed in the reception centre. The couple began to fight, and the marriage ended in divorce.

Once back to the street, Sahar spent most of her time with her friend Salwa in zone 3 near the mosque, surviving on begging and prostitution in the milieu of taxi and micro-bus drivers. Explaining how she ended up in prison, Sahar relates:

I used to sit with Salwa near the mosque and we were regularly arrested for possessing drugs. I was once arrested for vagrancy and sentenced to one month at the reception centre, and then I got out. Salwa and I were always fighting. Every time she wants to pick a fight, Salwa takes all her clothes off except her underwear. One day she did that while we were fighting, a policeman saw us. He arrested us and we were charged with indecent exposure on a public road. We were sentenced to 6 months in prison.

<sup>32</sup> The ‘orfy way of marriage in Islam requires just the signatures of two witnesses and does not get registered in civic registries. Although frowned upon by the majority of Moslems, it is used by a variety of people, often to give legitimacy to relationships that are considered ‘non-respectable’.

Sahar was six month's pregnant when she was sent to jail. Two months later, she gave birth to a premature baby who needed an incubator, but because of lack of money the baby died. Sahar vehemently denies that she let the baby die as many of her girl friends claim. When they fight with Sahar, these friends shame her for her father's knowledge of her work as a prostitute, and they claim that both he and her ex-husband are Sahar's pimps and make money out of it.

Once out of prison, Sahar went back to hanging around the mosque in zone 3 and re-established contact with her biological mother. She resumed her street life, oscillating between periods where she was high on drugs, unclean, wearing shabby clothes, roaming around, and periods where she took excessive care of her appearance, wearing fancy clothes that she kept at her mother's place. This was the period during which she became involved in different prostitution deals either with taxi drivers or with Arab tourists.

In 2000, Sahar became pregnant for a second time. She insisted on keeping the baby, gave birth and took care of him for 3 months before confining him to the care of a woman friend who worked as a taxi driver (rare in Egypt). The arrangement seemed to be working all right, as every now and then Sahar would meet the woman to spend some time with her child.

### **Vignette 3: Nihal, female, 12 years old**

This vignette illustrates the situation of a kid who spends most of the day time in the street working to contribute to the family's income and returns home by sunset.

Nihal's family consists of her biological mother and two sisters, a step-father and three step-sisters. They live in a one of those villages near the Pyramids that is part of an agglomeration of small and poor shanty villages where poor immigrants from upper Egypt squat.

We live in one room, and in this room we sleep with my mother and my step-father. In the room next door Dalliah and her sisters live, and on the other side Hoda and her kids live. All these people make their living through mendacity.

Indeed, Nihal's entire family are engaged in menial work. Her sisters who are 16 years old or above are not permitted to work; they stay home waiting to be married.



Nihal is allowed to work without her parents' supervision and in the company of one the neighbourhood girls. They sometimes wipe car windows and sell paper tissues in front of a famous supermarket. At other times, they receive some money by lighting incense and going around street stores or simply begging from pedestrians.

Nihal is very keen to present herself in a manner that differentiates her from street kids, although she does have some relationships with them. She believes she is on the street to work, and is proud of contributing to her family's income. She is also proud of the fact that her mother warns her about going to the "dangerous" Pyramids Boulevard, and that she consistently turns down offers from young men to get involved with them in "impolite behaviour". She also turns down offers from other girls to work with them in prostitution. Nihal considers begging and petty jobs better than indulging in such behaviour. She feels angry when some store owners or passers-by treat her like a street kid:

People look at us as if we were dogs, not human beings. Once, a lady took me and my friend Laila and asked us to help her carry things from her car to her flat. As we were coming back down the stairs, she called us again and as we entered the flat, she started beating us with a stick she was hiding behind her back, and she also touched Laila's hand with a live electric wire and kept saying 'this is so that you stop begging'.

Nihal says that she loves her family very much, including her step father. However, she complains that her mother and step-father beat her if she comes back home without money or with less than what she usually makes. When her parents found her a job as a servant in a wealthy household, she refused to take, saying "I am freer working on the street".

#### **Vignette 4: Sherif, male, 17 years old**

Sherif was born to a father who had no fixed job, working most of the time on construction sites as a day labourer. His mother was the family's main bread winner; she begged and sold paper tissues at traffic lights in a wealthy neighbourhood. Sherif has an older brother who alternates between the street and juvenile institutions. A younger brother died after a car accident when he was with his mother working at a traffic light. Sherif was six when this happened, and he continued accompanying his mother on the street. He quit school, which he infrequently attended, when he was eight.

Sherif gained much of his knowledge of the street world from his older brother, with whom he would spend a few days at a time on the street before returning home. They wanted to be away from home because of the increasing fights between their parents and because their father pressured them “to work with their mother while he was spent most of the time at home consuming drugs”.

When Sherif was about 10, his father asked him to prepare some tea, but Sherif said there was none left. When the father later found some tea in the kitchen he grabbed a knife and threw it at Sherif, causing an injury to his thigh. His mother complained to the police, but Sherif denied what had happened, claiming that he had fallen on a piece of iron that was sticking out of the stairs.

Sherif continued alternating between the street and home, sometimes accompanying his mother in her work, until he was about 13 when his father was arrested and jailed after his mother informed police about his drug consumption. She then sent Sherif to her parents in a rural village, where he helped doing farm work. Sherif did not enjoy living in a rural village and did not appreciate the fact that he was not paid for his work. He returned to his mother in Cairo only to discover that she had married an one-armed man who worked with her at traffic lights. His father had divorced his mother, and after getting out of jail went back to his hometown in Upper Egypt. Sherif describes the situation that followed his return to Cairo:

Every time I go to stay at my mothers', my mother's husband wants me to work with him selling paper tissues and the like. My mother tells me go to my father; Ali (the husband) is no good for you; he's a bum. So I tell her why then you married him, you're not a mother, you're more like a step-mother.

Once back on the street, Sherif learned from peers about the ‘Hope Village’, a reception centre that seemed to treat kids rather nicely. He made up his mind to join it. To be accepted, his mother had to go and sign a declaration that she did not want Sherif to live with her. Sherif managed to get her to do this, and was accepted in the permanent boarding department at Hope. For about a year, Sherif stopped sniffing glue and regularly worked as a calligrapher's apprentice in a workshop. He was then accused of sexually assaulting a boy in the neighbourhood where Hope was situated, and was severely punished both by the monitors at Hope and by the boy's family, who almost

killed him. Sherif maintains that he was innocent, and that his peer who committed this act was using his name.

After this incident, Sherif's relationship with Hope started deteriorating until he ran away, taking with him a bicycle belonging to Hope that was given to him to run errands for the kitchen there. He headed to his grandparents in the countryside where he sold the bicycle and from there travelled to where his father lived in Upper Egypt. There, he lived with his father, who found him a job in a Laundromat. He constantly fought with his father, who wanted to take his earnings and prevented him from buying new clothes. Sherif then left his father to go back to Cairo, where he met up with the street kids he had known previously and resumed living in the street.

It was then that Sherif met one of the street workers and started coming to the drop-in centre, where he gradually became active in assuming different responsibilities. A few months later, after saving enough money, he paid for the bicycle he stole from Hope, and was given back his identity card.

#### **Vignette 5: Hind, female, 15 years old**

Hind is petite, very short, and looks like an 11 or 12 year-old girl, though she is fully developed. She thinks that she is ugly with her thin hair and crooked teeth. She spent most of the time by herself in zone 1, high on dope and very aggressive with her peers.

Hind lived with her mother and a younger sister in a room they rented in a popular neighbourhood where her mother sells arugula salad on the sidewalk. Six of her older brothers and sisters are married and live independently.

My mother goes out with my sister to work and leaves me alone. I clean the room and wash until they come back. A guy who lives in a room next door used to eye me, especially when he had his friends with him. I ignored them until one day he came with three of his friends and he called me as I was cleaning our room. When I went to see what he wanted, he pushed me back into the room, lifted me and put me on the bed, took out a knife and told me to take off my clothes, and he started to do bad things with me from the front, but his friends were from behind. I was screaming as I became very tired, but no one cared to check what the matter was.

When the mother came back and learned about what had happened to Hind, she complained to the guy's parents, who denied their son's deed and argued with her. All this made Hind feel that she hated their room, especially since most of the time she was

there on her own. This is when she started going out on her own, roaming around the nearby Central Station, but returning home at night time.

One day Hind met a woman who had a tea stand on the sidewalk, and who asked her to work with her. Working there, she met a lot of people who hung around the station, and she befriended Amani, a black girl who often successfully managed to look like a boy with her short hair and masculine attire. Together, Hind and Amani had fun in an area that was not very popular among street girls.

As Hind began to spend more and more nights on the street, her mother complained to one of Hind's older brothers about her behaviour, who punished her by inflicting burns on her arm. She and Amani decided to run away, and they went to hang around and live in zone 1, which was familiar to Amani. She stayed there for five years, surviving on begging and prostitution. Despite her aloofness and bad character, Hind managed to forge a place for herself in zone 1, but not without being vulnerable to rape and exploitation. She was often picked up by security agents who tried to get information from her about prostitution activities in the area. While some of her friends claim that she gives information to the police, Hind says that she only did that once when she was taken to the police station, where, because she refused to answer their questions, "they undressed me in front of the soldiers, and gave me electrical shocks, so I told them about Nancy sleeping with Moustafa and doing bad things under the blanket".

Over the years, Hind kept in contact with mother, whom she visited every two or three months: "I spend some time with her and come back here. I don't like to stay there because the young men keep insulting me and shaming me for looking ugly and say that I belong to the street".

#### **Vignette 6: Yasser, male, 22 years old**

Until he was 13, Yasser lived with his mother, two brothers and two sisters in a tiny place in one of the semi-urban shanty agglomerations on the outskirts of Cairo. His father had divorced his mother, re-married and lived with his wife in the port city of Damietta, some 130 kilometres north of Cairo. His father only occasionally visited to help the household with some money. His mother worked as a nurse's aid until her

health deteriorated and had to stop working. Yasser and his brothers had to help with bread winning.

When he was seven, Yasser was placed as apprentice in a mechanic workshop, from which he would run away to join his younger brother in zone 1, where he begged and wiped car windows and made more money than he did at the workshop. After being arrested by the police, Yasser decided to go back to the workshop, where he endured the maltreatment of the owner for a couple of weeks before returning to work with his brother. Together, they tried many petty jobs, discovered several street localities, and kept moving from one place to another. Whenever they managed to save some money they would go back home to give it to their mother.

When Yasser was 13, his father came to Cairo and took the whole family to Damietta to live there. However, Yasser, his siblings and their mother were set up in a dilapidated house next to the fancy one in which the father, his new wife and their children lived. Yasser and his siblings were not happy with this and felt jealous of their half-siblings and step-mother. His father worked in Saudi Arabia and sent money mostly to his wife and her kids, while Ahmad and his brothers had to work at the port to support their family.

Around that time, his younger brother hurt his eyes badly while playing soccer. A considerable amount of money was needed for surgery to save his sight. His father did not agree to help, so his mother went around asking the neighbours for help. His father accused her of begging and ruining his reputation, and assaulted her in a very demeaning manner in front of his wife. Yasser was so infuriated that he hit his father and took off with his brother, leaving behind his younger handicapped brother and mother.

Once back in Cairo and zone 1, Yasser, now 15, re-established contact with some of the street kids he had met before moving to Damietta, and he and his brother resumed street life, surviving mostly by begging and wiping car windows, often wearing rags and acting like retarded kids. As he grew older, begging became a difficult job:

Here I am. I stand with kids wiping car windows at the traffic light even though I said that's it. I am old now and I'm not wiping cars anymore. Only yesterday someone gave me a dirty look that made me hate myself, but here I am wearing shirt and pants, begging and wiping cars. I even thought that I should start wearing rags again.

At times, Yasser becomes obsessed with the idea of making as much money as possible. For example, when he was 19, he worked at a welding workshop during the day and begged at night time because he wanted to be able to afford a normal life with a family. At other times, Yasser is completely nonchalant, and roams around, sleeping in different places and working only as much as necessary, begging for the most part.

Despite the long time Yasser has spent on the street, he thinks often about his family. He constantly mentions them, speaking bitterly about his cruel father and step-mother, and referring lovingly to his mother, sisters and younger brother. On more than one occasion, his father came to Cairo to ask about him and his brother and to try to incite them to go back to Damietta to help their mother. Every now and then they would go back, but only long enough to provide some money for their mother, and then would return to their street life in Cairo.

**APPENDIX II**

**PROFILES OF STREET LOCALITIES**

## APPENDIX II

In this appendix, I sketch the profiles of some of the street localities in which the street workers established a meaningful presence. My purpose is to highlight the diversity in the use of public space by street people as well as the diversity in the milieus that they create.

### ZONE 1

In zone 1 (see map in Appendix III), situated south of Cairo, the street workers were actively engaged in ten street localities. Two of these localities are described below.

#### Locality A

It is in this locality that Ranya started street work. The main area is a very busy, noisy and polluted public square situated right in front of the railway station serving Upper Egypt. This is the south gate to Cairo for people coming from the poor rural governorates of the south, including runaway kids and landless farmers looking for work in the capital. In the square and the surrounding area, a great diversity of commercial activities take place, including street food vending, popular restaurants and cafés, shoe-shining, tea stands, a large number of stores reputed to be popular and cheap, and sidewalk vendors of all sorts of items.

For street people who hang around this locality, it is what they call the “garden” that constitutes the main territory where they create a milieu of living. The garden is about 3,500 square meters of fading green space with benches, a few trees and a small water fountain. During the day, street leaders who occupy the garden manage a large number of tea stands and small shoe-shine operations that employ street kids. The proximity to the railway station has the advantage of providing access to public toilets and water. At night, the garden becomes the dormitory for many of the street kids as well as for those adults who mostly inhabit the street.

However, the use street people make of the garden is not restricted to income generating activities and as a space for sleeping and resting. It is also a space for socializing and socialization. The social interactions that take place here are numerous and diverse by virtue of the diversity of the actors who are active in this milieu. The street kids who are found in this locality are often newcomers from Upper Egypt who



disembark at the station and after few exploratory missions manage to overcome their fear and establish some contact with street people. They spend sometime here under the protection (and sometimes exploitation) of one of the leaders before they discover other zones and start to navigate in the city. In the experience of some leaders, many kids come once or twice and never show up again.

Besides newcomers, the garden is the place to which many kids come back to take a break from other localities, be it because of police raids there, to stay away from troubles with peers, or for a simple change in the style of street living. Despite the fact that the garden is heavily infiltrated by police informants, and police presence there is omnipresent, paradoxically, this has the advantage of keeping violent activities at minimum, which in the opinion of several street girls makes this milieu relatively safer for them. However, the garden is not spared from periodic police cleanups, which usually result in a couple of days when it is almost deserted before regular activities start to resume.

Indeed, police informants and police officers are integral part of the street milieu in the garden. They often use their discretion to overlook many of the infractions committed by street people, including glue sniffing, gambling, sexual activities and all the commercial activities of selling tea, shoe-shining and others that are officially prohibited. In return for this, they obtain free tea, some money or the sexual services of one of the girls. Many of these police officers and informants become involved in the daily interactions in this dynamic milieu, sharing the news of newcomers, the follow-up on many individual stories, the different gossip and the love stories between boys and girls that continually erupt and often end as suddenly as they start. Some even invest small amounts of money in one of the micro-businesses and become involved in settling some disputes and fights. In short, these police officers and informants often become part of the social fabric of the garden milieu. Whereas some of them use their discretion for purposes of exploitation, others seem genuinely to care for the kids, and others do not seem to care one way or the other.

The leaders in the garden are adult males whose ages vary between early twenties and late forties. Most of them adopted the street as a main habitat since they were in their teens or earlier. While some of them live permanently in the street, others periodically manage to rent a room in one of the neighbouring shanty towns on the

outskirts of Cairo. Most of these leaders are arrested regularly and serve sentences varying from a few months to a few years, depending on the crime they commit (mostly theft and fights).

An example of a street leader in the garden is Zaki, a 37 year-old male. Although he is reputed to be a paedophile, Zaki does not feel in the least bit shameful or guilty. On the contrary, he presents himself proudly as a “do-gooder” for street kids, who helps them by giving them work and protecting them from the bums. Zaki, who has no fixed address, has been living mostly on the street and in the area around the garden for as long as he remembers, interspersed with numerous periods of incarceration. Whenever he makes enough money, he sometimes rents a hut or a room not far from the garden. At such times, he works as a manufacturer of gates for stores, a trade he claims to possess. However, most of the time, Zaki makes shoe-shine boxes, which he sells, keeping some for the kids under his protection. These kids obtain what amounts to a fair daily wage of about five Egyptian pounds, in addition to food, a “safe” sleeping arrangement and a generous provision of glue and cigarettes, for which the kids pay out their wage.

“I love the garden because I found a father there, Zaki. He is very tender, and I wish he was my real father; I would have never left home”, said one thirteen-year-old girl, whose words are quite indicative of Zaki’s genuine popularity among the kids. They refer to him as “uncle”, and they love him not only because is he “tender” and “compassionate”, but also because he makes them laugh when he imitates Yassin, a famous Egyptian comedian who, though he died some thirty years ago, is still very popular among many Egyptians, including these street kids who see him on television. Zaki’s talents as an entertainer are not limited to imitating Yassin. He is also a master in the art of telling jokes, and has created some funny skits that he acts out with the kids during the evening entertainment time at the garden. These evenings often end with an euphoric group dance, for which the kids and Zaki improvise musical instruments, and they somehow always manage to have a *tabla*. The joyful ambience that Zaki creates in the garden is indeed very well appreciated by the kids. During the month of Ramadan, when we organized *iftars* at the drop-in centre, which was followed by an evening of entertainment, the kids insisted on inviting “uncle” Zaki; whenever he came he was also the star of the evening. No wonder, then, that Zaki’s popularity among the kids is envied by the other leaders in the garden, who often try to set malicious traps for him.

### Locality B

About 500 meters from street locality A, Samir started infiltrating another locality, situated right after the exit to an underpass over which the trains cross a major commercial thoroughfare leading to the Giza Pyramids. This area also harbours one of Cairo's most active red light districts. This street locality is strategically situated close to a mosque, with access to toilets and water, and the heavy traffic there facilitates begging and wiping car windows. Many of the car drivers often stop there to buy water, cigarettes, ice cream, chips or other items from the area's many small variety stores. Many of these people are often on the way for some leisure time, and as such are good targets for soliciting activities. Furthermore, the kids who hang around this locality have developed a rapport with many of the shop owners, who pay them small amounts of money in return for cleaning the sidewalk in front of their shops, bringing tea from a nearby café, going to change a large bill, and the like.

This street locality has been occupied since the early 1990s by a group of street kids who had started their street career in locality A described above. Most of them were then in their mid teens and come from Upper Egypt. Three influential figures among them came from the same town. From the beginning of transforming this locality into a territory, this group of kids was very keen to establish a "quiet" living space in order to minimize hassles with the police (detention, investigation, etc.). To a large extent, they have succeeded and benefited well from the lucrative opportunities available in the area in addition to the excitement associated with its active night life. Work and pleasure for this group of kids were pleasantly merged with a sort of diffused leadership. Quite a cohesive group, they seldom fought over the sharing of the money they made, and displayed great solidarity at times of arrests, car and other accidents, and had fun together gambling, sniffing glue, going to the cinema and other recreational activities. From the beginning they agreed not to admit girls into their group because they were "trouble makers". Very few exceptions to this rule were observed when one of the kids fell in love with a girl. The "fiancé" was sometimes cautiously admitted, but not for a long time.

Throughout the years, this group of kids had to defend their territories from other kids by controlling access. The territory is not open to just anyone who wants to make a living. If force was needed to prevent this, it was used without much hesitation. This,

however, did not prevent the group from establishing strategic alliances and ties of solidarity and even friendship with some street food vendors, tea makers, police informants and security agents. This small community managed to establish a rather “quiet” milieu that was less of a target for police harassment and brutality.

When this group of kids became a few years older, they started having difficulties making their living from begging and wiping car windows. They became too old to elicit pity. Besides, the funny side of the business kind of lost its appeal. The joy and fun associated with wearing rags and making up with mud, simulating different handicaps and the like ceased to be attractive to these young men who started to wonder about their future. Some managed to disengage from their street careers, others tried and failed and still others preferred to continue in their careers. In order to be able to continue, these kids started to employ younger kids with whom they shared the winnings in return for protection. This was a major transformation in their career that resulted, amongst other things, in antagonizing the relationship with the milieu of the garden in which many of these kids had started their street careers, as I already mentioned, and with whom they had managed to maintain cordial ties. However, when the kids from locality B started recruiting kids from the garden, they kind of fuelled the competition over the kids among the leaders there and conflicts rose in abundance.

## **ZONE 2**

This zone is situated in a popular working class, traditional neighbourhood in old Cairo, which may account for the fact that the use of the street there by street kids is less marked by identification to a territory. This zone attracts younger kids since they can go around without being too harassed by people. Since most of the workshops in this neighbourhood employ kids, it is a common sight to see kids going about the street. Here, younger street kids can also benefit from the “kindness” usually attributed to inhabitants of such traditional neighbourhoods. For example, the kids can ask for food from popular restaurants without being scorned; indeed, their request is often granted.

This neighbourhood is named after a Lady relative of the Prophet Mohammed, and a mosque bearing her name is situated on a large square. In the mosque, her shrine and mausoleum attract huge numbers of people who come to pray, visitors and pilgrims. Here people pray to the Lady to fulfil their wishes, and vow to give to the poor should

their wishes be granted. Thus, it is quite common to see people distributing food, clothes or money to crowds of poor people who hang around the mosque waiting for the visitors whose wish is fulfilled. These crowds consist mostly of professional beggars—organized into networks—who limit access to these “distributions of vows”. It is only the younger street kids who can manage their way through such crowds, as they manage to melt in with the other kids in the company of the professional beggars.

Another attraction for street kids in this neighbourhood are the many narrow alleys and back streets that cannot be accessed by police cars, and in which they can easily hide if they are chased. As well, the kids can find a relatively safe place to sleep in one of the vacant lots in the neighbourhood. These lots, many of which are garbage dumps now, are the result of the damage caused by the 1992 earthquake to many buildings in this old neighbourhood. Some of these buildings collapsed, others were demolished afterwards because they were severely damaged, and others have been crumbling since 1992 because of damage concealed in order to avoid demolition.

In another street locality not far from the mosque, the kids come in large numbers to watch karate videos in one of the many cafés situated along both sides of one of the narrow back streets some 300 meters long. In most of these cafés, the space is divided into two. In the back area, there are regular “café sitters” playing backgammon or cards, smoking from water pipes, chatting or just watching television. In the front area, people can sit and watch karate videos for a nominal fee. The vast majority of the clients here are street boys in their early teens and younger. Observing them glued to these videos, one realizes that they must have seen them many times before in that they delight in drawing each other’s attention to an upcoming movement or scene. Listening to their comments, one can appreciate the extent of their imagination in making up stories out of the scenes they watch without being able to follow the sub-titles.

In this alley, the cafés stay open long after the official closing hour, and they tolerate the kids who hang around. They can even gamble and often sniff glue around a small fire they make before falling sleep huddled up like cats. After the cafés close, older kids often come to the alley to harass the kids, steal their glue and money, and sometimes try to take them by force. However, the younger kids are usually able to defend themselves especially when they outnumber the older ones. These older kids are intimidated from harassing the younger when the alley is still awake; the café owners do

not allow fights in their alley and tend to feel protective of the younger kids, whom they view as important clients for their karate videos.

### ZONE 3

This zone is located in one of the new, modern neighbourhoods, with a great deal of commercial activities, including fancy restaurants, movie theatres, modern cafés, fruit juice shops, take-away, American-style restaurants, fashionable shops and utility stores. This zone attracts a large number of Arab tourists from the Gulf, especially during summer holidays, and many businesses have developed in the area around these tourists. Kids and adults from neighbouring shanty agglomerations come here hoping to make a living by begging or selling different items or services to these wealthy tourists.

One street locality in this zone is situated right in front a mosque named after a famous medical doctor who built it next to a hospital that also belongs to him and carries his name. This famous doctor is a public figure reputed for being a “moderate Islamic” who advocates compatibility between Islam and science through weekly articles in prominent newspapers and on a weekly TV program that he presents. The hospital he runs is reputed for charging moderate fees, and attracts large crowds who spend long hours and sometimes days waiting to be seen. Tea stands, street food vending and taxi drivers and other micro-businesses have flourished in this street locality.

The street kids who frequent this locality occupy the sidewalk along a small green space right in front of the mosque. For the most part, these kids are in their late teens or early twenties and are of both sexes. They survive by selling tea and washing taxi cars, as well as on female prostitution, which is protected, facilitated and often exploited by the males. Nonetheless, these boys and girls often get involved together in passionate love affairs, and often marry the *‘orfy* (see footnote 32, p. 190) way to conceal their relationships and be able to rent rooms in one of the surrounding shanty areas. They are heavy drug users and always aspire to make their way through the prostitution rings serving the tourists. These rings are highly lucrative and organized, and rarely admit street kids. The latter occasionally manage, however, to “hunt” a client after quite elaborate manoeuvres and tactics that keep them very busy, but seldom result in the lavish material gains they keep dreaming about. Most of the time they have to contend with a rather poor clientele consisting of taxi drivers and micro-bus drivers.

Not surprisingly, this street locality is the scene of regular police raids despite the fact that the kids spend much of their earnings to buy off the officers and informants who are stationed there. During these raids, many of the kids, especially the girls, seek refuge in zone 1, locality A, where most began their street careers.

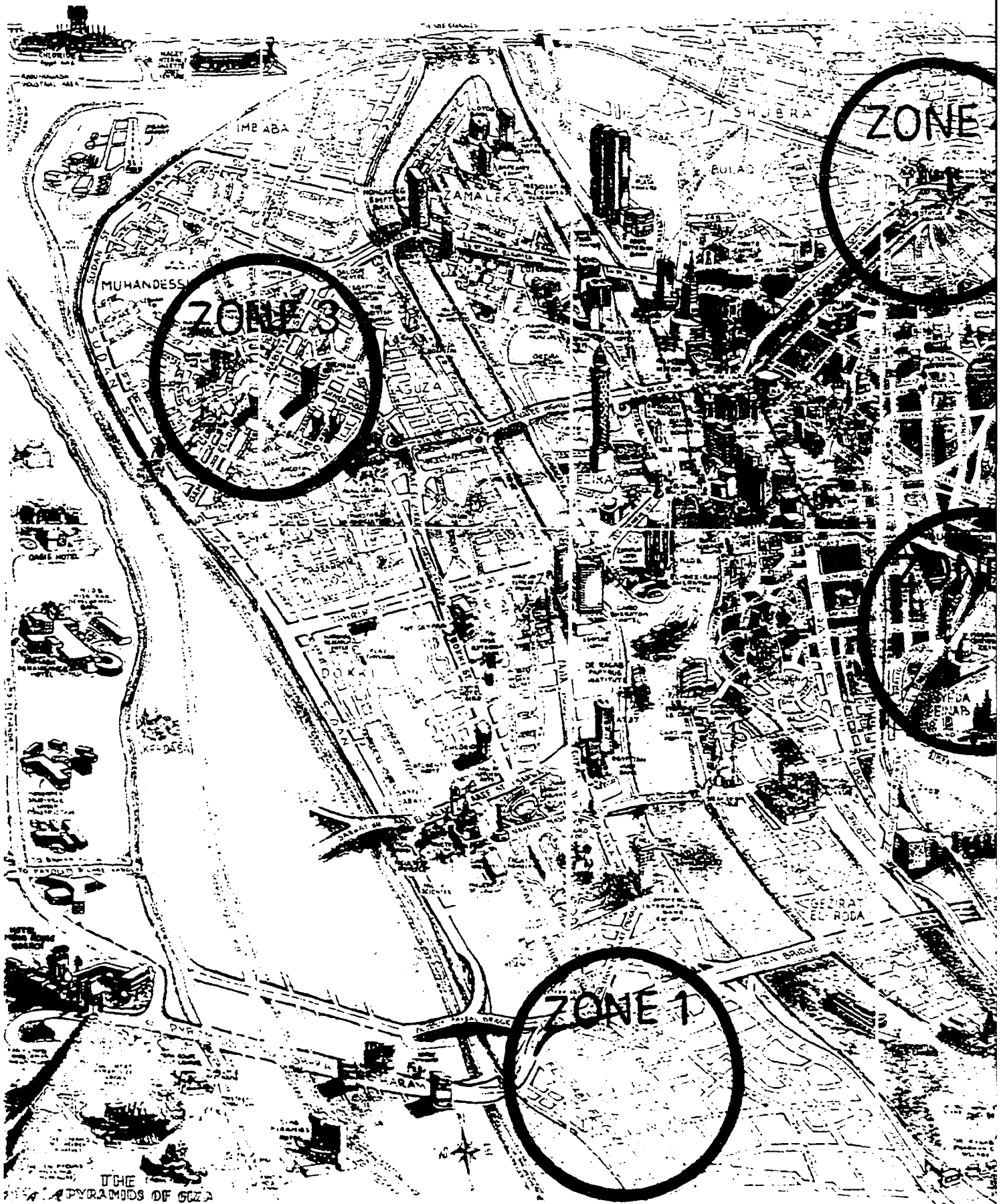
In several other street localities in the vicinity of shopping malls and western-style sidewalk cafés, other groups of younger street kids beg and sell small items. Many of these kids come from neighbouring shanty agglomerations on their own or in company of one or more family member, and usually go back home to sleep. Many of these kids attend school, and work in the street in the evenings and during school breaks. While some seem to enjoy this life style, alternating between the mainstream and an exciting marginality, feeling and acting "adultish" and being proud to contribute to their families' living, others complain about being forced to work and dread their guardians' punishment should they return home without the money they were expected to make.

Another group of kids that compete with the preceding group of kids come from a Gypsy community that has been living in the area since well before its urbanization in the 1960s. Surprisingly, this community succeeded in surviving the giant modernization of the area, and to date one can still see some of the women and kids going around with a small herd of sheep and goats. Many Gypsy kids beg under the supervision of an older person, and they seldom mix with the other kids. As is the case with Bedouin communities, the tribal and ethnic ties in Gypsy communities are quite strong, possibly because of their dual marginalization by virtue of being poor and their nomadic lifestyle. As such, Gypsy kids, like the older members of their community, do not trust the "others", whom they often refer to as the "Egyptians".

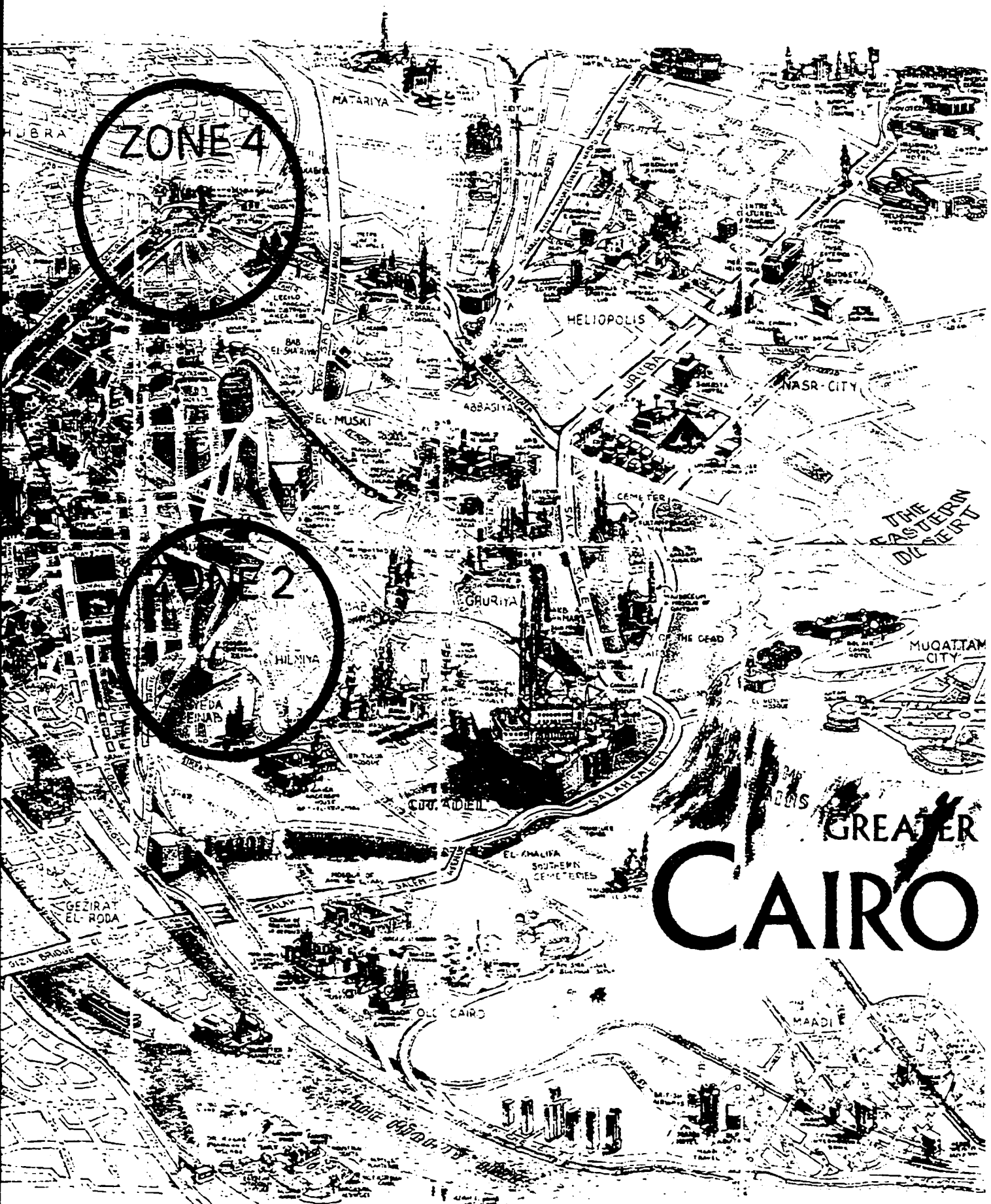
**APPENDIX III**

**MAP OF GREATER CAIRO**











**APPENDIX IV**

**RESEARCH COMPLIANCE CERTIFICATE**



**Research Ethics Board Office**  
McGill University  
845 Sherbrooke Street West  
James Administration Bldg., rm 429  
Montreal, QC H3A 2T5

Tel: (514) 398-6831  
Fax: (514) 398-4853  
Ethics website: [www.mcgill.ca/rgo/reshum.html](http://www.mcgill.ca/rgo/reshum.html)

**Research Ethics Board II**  
**Certificate of Ethical Acceptability of Research Involving Humans**

Project Title: Eight-years of Action-Research with Cairene Street Children and Youth: A Critical and Reflexive Narration

Applicant's Name: Kamal Hanna Fahmi

Department: Social Work

Undergraduate Student? (Y or N): N

Graduate Student? (Y or N): Y

Supervisor's Name (if applicable): Dr. L. Davies

Course # (if applicable):

This project was reviewed on May 28, 2002 by

1) Department Review \_\_\_\_\_  
(Signature of departmental designate/date)

2) Expedited Review \_\_\_\_\_

3) Full Review ✓

Blaine Ditto  
Signature/Date

Blaine Ditto, Ph.D.  
Chair, REB II

Approval Period: June 17, 2002 to June 16, 2003

REB File #: 81-0502

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