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# Construction of compostable packaging with antibacterial property and improved performance using sprayed coatings of modified cellulose nanocrystals

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#### 8 Abstract

Increasing concerns about food safety and the environment have facilitated the 9 development of eco-friendly antibacterial packaging. This study aimed to demonstrate a 10 facile way to fabricate active packaging materials with modified cellulose nanocrystals 11 (CNCs) and compare the effects of different modified CNCs on the performance of 12 compostable materials. Polylactic acid (PLA) film was selected as a model, and CNCs were 13 modified with methacrylamide, cetyltrimethylammonium bromide, and zinc oxide, 14 respectively, and then applied on the surface of PLA films by spray-coating. All modified 15 CNCs showed excellent antibacterial activity against S. aureus and E. coli (>99.999%). 16 The effects of different CNC modifications on the performance of PLA films were 17 investigated. Compared to neat PLA films, PLA/CNC films exhibited improved 18 mechanical strength with maintained flexibility, lower gas permeability, and faster compost 19 20 disintegration rate, and extended the shelf life of wrapped pork samples from 3 days to more than 10 days. Therefore, this work will also facilitate the applications of PLA 21 materials in eco-friendly packaging. 22

Keywords: cellulose nanocrystals, modifications, polylactic acid, active packaging,
antibacterial activity, compost disintegrability

1

#### 25 **1. Introduction**

Foodborne diseases are global public health concerns that cause considerable 26 socioeconomic implications. In fact, the problem is caused by consuming food 27 contaminated with microorganisms or chemical substances that result in over 200 diseases 28 (Gao et al., 2022). According to the estimation of the World Health Organization, 29 foodborne diseases lead to over 420,000 deaths annually, with 40% being children under 30 five (WHO, 2020). Among the solutions to reduce foodborne diseases, antibacterial 31 packaging is an important approach to controlling foodborne pathogens during 32 33 transportation and storage. At the same time, the increasing environmental concerns about non-degradable plastics bring awareness of "green" packaging materials. Numerous 34 research efforts have been made to promote the applications of biodegradable polymers, 35 which include polysaccharides, proteins of animal or plant origin, lipids, and polyesters 36 from microbial sources (Fonseca-García et al., 2021; Omerović et al., 2021). Among them, 37 polylactic acid (PLA) has been reported as a promising candidate to replace the fossil-38 based plastic, due to its renewability, biodegradability, facial processing, and 39 biocompatibility (Ma et al., 2022; Shojaeiarani et al., 2022). PLA has the largest market 40 41 share in the biodegradable plastic field, estimated for a market of \$6.5 billion by 2025 (Shojaeiarani et al, 2020). Various PLA based antimicrobial packaging materials have been 42 developed by the incorporation of functional agents such as metal oxide (Zhang et al., 43 2021), lignin (Cerro et al., 2021), chitosan (Kongkaoroptham et al., 2021), and essential oil 44 (Fiore et al, 2021). For instance, Jiang et al. (Jiang et al., 2022) prepared antibacterial 45 membranes based on PLA, polybutylene adipate terephthalate, carboxymethyl cellulose, 46 and silver, which showed the effective antibacterial property against Staphylococcus 47 aureus (S. aureus) and Escherichia coli (E. coli). However, the poor mechanical and barrier 48 properties of PLA films limited their applications in food packaging due to the low 49 crystallinity of PLA (Beauson et al., 2022; Sharafi et al., 2022). 50

51 Cellulose nanocrystals (CNCs) have received noticeable attention owing to their unique 52 morphology, high mechanical strength, and broad functionalization capacities (Huang et 53 al., 2020). Numerous studies focused on the reinforcing effects of CNCs on the mechanical properties of packaging films (Niinivaara et al., 2021; Rojas-Lema et al., 2021; Salmieri et 54 al., 2014a, 2014b). To further broaden the application scope of CNCs, recent research 55 investigated the modifications of CNCs with multifunctions and applied them as functional 56 nanofillers of packaging materials. For example, Koshani et al. (Koshani et al., 2021) 57 58 synthesized the antibacterial hairy CNCs with the conjugated photosensitizer rose bengal, 59 which could inactivate over 80% of both Listeria monocytogenes and Salmonella enterica serotype Typhimurium upon normal light irradiation. Shin et al. (Shin et al., 2022) prepared 60 the functionalized CNCs by grafting 3-pentadecylphenol that showed the antibacterial 61 property of 99.99% against E. coli. However, these nanofillers needed to be incorporated 62 when the packaging materials were formed, and the effects of different modified CNCs on 63 compostable packaging have been seldom compared. 64

Packaging materials based on biopolymers will help reduce the negative effect on the 65 environment, while the antibacterial property can better protect food products and decrease 66 food waste. In this study, we hypothesized that (i) a sprayed coating of modified CNCs 67 could improve the performance of PLA films, and (ii) the effects were related to the 68 different modifications of CNCs. CNCs derived from waste textile were selected as a model 69 and modified with N-halamine (methacrylamide, MAM), quaternary ammonium salt 70 (CTAB), and metal oxide (ZnO), respectively. The modified CNCs were spray-coated on 71 the surface of PLA films, and their effects on the properties (antibacterial activity, 72 73 mechanical properties, gas barrier properties, and compost disintegrability) of PLA films were investigated. Moreover, the preservation of pork loin with CNC-coated or uncoated 74

- 75 PLA films was monitored.
- 76 2. Materials and Methods

77 **2.1. Materials** 

Waste cotton clothes were kindly provided by Renaissance (Montreal, QC, Canada). CNCs
were directly extracted from textile waste by using sulfuric acid hydrolysis, according to
our previous work (Huang et al., 2020). Cetyltrimethylammonium bromide (CTAB, ≥98%),

81 zinc acetate dihydrate (>95%), sodium hydroxide (NaOH, ≥97.0%), methacrylamide (MAM, 98%), sodium persulfate (>98%), sodium thiosulfate anhydrous (>98%), 82 tetrahydrofuran (THF, >95%), and glacial acetic acid ( $\geq$ 99.7% w/w) were purchased from 83 Fisher Scientific (Ottawa, ON, Canada) and used without further treatment. Pellet PLA 84 (Ingeo 4043D grade, >98%, density of 1.24 g/cc) was purchased from NatureWorks 85 (Minnetonka, MN, USA). Luria-Bertani (LB) medium and tryptic soy agar (TSA) were 86 87 purchased from Becton, Dickinson and Company (Franklin Lakes, NJ, USA), and phosphate-buffered saline (10× PBS) was obtained from VWR International (Mississauga, 88 ON, Canada). 89

#### 90 2.2. Modification of CNCs

MAM-modified CNCs were prepared according to the method reported by Liu et al. (Liu et al., 2017) with some modifications. The desired amount of NaOH was added into 50.00 g of CNC aqueous suspension (10 wt%), and then 5.00 g MAM and 0.03 g sodium persulfate were added and stirred at room temperature for 20 min. The reaction was conducted at 65 °C (in a water bath) for 5 hours. After that, the product was washed with distilled water to remove unreacted MAM and sodium persulfate and coded as MAM-CNCs.

The preparation of CTAB-modified CNCs was carried out according to the method described by Ranjbar et al. (Ranjbar et al., 2020). Briefly, 40.00 g of CNC aqueous suspension (5 wt%) was slowly added to 20.00 g of CTAB solution (5 wt%). The mixture was stirred at room temperature for 2 hours. Then, the modified CNCs were washed with distilled water to remove the unbounded CTAB and coded as CTAB-CNCs.

The zinc oxide-modified CNCs were synthesized by using zinc acetate as the zinc precursor and NaOH as a reducing agent, according to a previous report (Badawy et al., 2021) with some modifications. In brief, zinc acetate solution (50.00 g, 10 wt%) was mixed with CNC aqueous suspension (50.00 g, 2.5 wt%) and stirred at 80 °C for 1 hour. Then, NaOH solution (50 mL, 0.1 mol/L) was added dropwise to the mixture, and the suspension showed a milky color. The reaction continued at 80 °C for 2 hours under stirring. The obtained samples 109 were well-washed with distilled water and coded as ZnO-CNCs.

# 110 2.3. Preparation of PLA/CNC composite films

PLA films were prepared by dissolving 0.8 g PLA pellets in 10 mL THF, and the solution 111 was filled into a glass mold and dried at 25 °C for 12 hours. After washing with distilled 112 water, the modified CNCs were centrifugated (7000 g, 30 min, Eppendorf centrifuge 5430, 113 NRW, Germany), dispersed in acetic acid (2 w/v%), and then sprayed on the surface of 114 PLA films (length  $\times$  width  $\times$  thickness: 8 cm  $\times$  6 cm  $\times$  0.15 mm). The PLA/CNC composite 115 films were dried in the fume hood for 24 hours and coded as PLA-CC4, PLA-CC8, PLA-116 117 ZC4, PLA-ZC8, PLA-MC4, and PLA-MC8, corresponding to the CTAB-CNCs, ZnO-118 CNCs, and MAM-CNCs contents (based on the dry weight of PLA) of 4 and 8 wt%, respectively. The films were stored at 25 °C and 50% relative humidity for 3 days prior to 119

120 analysis.

#### 121 **2.4. Characterizations**

#### 122 **2.4.1. Fourier-transform infrared (FTIR) spectroscopy**

FTIR spectra of modified CNCs and PLA/CNC composite films were obtained by using a Cary 630 FTIR spectrometer with an attenuated total reflectance sampling module (Agilent technologies, Inc., USA). The spectra were collected in the range of 4000-650 cm<sup>-1</sup> as the average of 64 scans with a resolution of 2 cm<sup>-1</sup>, using the empty accessory as blank.

## 127 **2.4.2. X-ray diffraction (XRD)**

128 XRD patterns of pristine and modified CNCs were obtained using an Empyrean 3 (Malvern 129 Panalytical Ltd., UK) X-ray diffractometer in a Bragg Brentano configuration, with Cu K $\alpha$ 130 radiation between 4° and 80°. The crystallinity index (CrI) was determined by the peak 131 height method (Park et al., 2010) in terms of Equation (1):

132 
$$CrI(\%) = \frac{I_{(200)} - I_{am}}{I_{(200)}} \times 100\%$$
 Equation (1)

- 133 where  $I_{(200)}$  is the maximum diffraction intensity associated with surface areas of crystalline
- 134 cellulose, and  $I_{am}$  is the diffraction intensity of an amorphous cellulose fraction.

#### 135 **2.4.3. UV-vis spectroscopy**

136 UV-vis spectra of pristine CNC and ZnO-CNC suspensions were collected on a DU 800

137 UV-Vis spectrophotometer (Beckman Coulter, USA) in the wavelength range of 200-400
138 nm against distilled water as blank.

#### 139 **2.4.4. Zeta potential measurement**

The surface charge of CNC samples was measured using the NanoBrook Omni zeta potential analyzer (Brookhaven Instruments Corporation, USA). Triplicate measurements were taken at 25 °C after the samples were conditioned for 300 seconds.

## 143 **2.4.5. Transmission electron microscopy (TEM)**

The morphology of modified CNCs was observed using the Talos F200X G2 TEM (Thermo Fisher Scientific, USA). A tiny drop of diluted CNC suspension was deposited on a carbon-coated copper grid. After air drying at room temperature, the sample was imaged on TEM at a voltage of 200 kV.

#### 148 **2.4.6. Scanning electron microscopy (SEM)**

The surface morphology of PLA/CNC composite films was observed by Hitachi TM1000 SEM (NJ, USA), operating at an acceleration voltage of 4 kV. The film samples were sputtered with 4 nm gold-platinum prior to observation and photographing.

### 152 2.4.7. Antibacterial test

Two strains were used for the antibacterial testing, namely *S. aureus* ATCC 6538 (Grampositive) and *E. coli* K12 (Gram-negative). Each strain was prepared from -80 °C 20% glycerol stock and streaked for isolation on LB agar plates. The plates were incubated at 37 °C overnight, and isolated colonies were picked for inoculation of 4 mL LB broth at 37 °C with constant shaking. After 16 hours, a concentration of ca. 8 log for each bacterial culture was achieved, and the bacterial inoculums were prepared by diluting the culture with PBS to a certain concentration for further experiments.

The antibacterial efficacy of modified CNCs was evaluated by mixing 20 mL of CNC suspension and 200  $\mu$ L of bacterial inoculum to a final concentration of 10<sup>5</sup> CFU/mL (Tang et al., 2020). The mixture was incubated for 1 hour with constant shaking, and then the upper suspension was used for serial dilution using PBS. After that, the diluted solution was spread onto the surface of LB agar plate and incubated at 37 °C for 24 hours. The 165 colonies on the plate were enumerated to calculate the log reduction.

The antibacterial activity of PLA/CNC composite films against *S. aureus* and *E. coli* was analyzed by a modified AATCC 100 test method (Xu et al., 2021). The composite films with a thickness of 0.15 mm were cut into 2 cm  $\times$  2 cm. Then, 20 µL of bacterial suspension (~10<sup>6</sup> CFU/mL) was sandwiched between two films to enable sufficient contact. After 1 hour of contact, 4 mL of sterile sodium thiosulfate was added and vortexed to rinse off bacteria. The rinsing solution was serially diluted for the plating assay.

172 The zone of inhibition was measured using the disc diffusion method (Zhang et al., 2021).

S. *aureus* and *E. coli* suspensions with a concentration of around  $10^8$  CFU/mL were evenly spread on the LB agar plates, and the composite films (diameter of 6 mm) were placed over the surface of the inoculated plate. The plates were incubated at 37 °C for 24 hours, and the bacterial inhibition zone was measured.

### 177 2.4.8. Mechanical properties

The mechanical properties of PLA/CNC composite films were tested using an eXpert 7601 single column testing machine (ADMIT, USA) at 25 °C according to the standard ASTM D882. The dimension of film specimens was 60 mm × 10 mm × 0.15 mm (length × width × thickness). The initial grip separation distance was set as 20 mm, and the separation speed was 20 mm/min. The thickness of the films was measured by a Traceable digital caliper (Fisher Scientific, ON, Canada).

#### 184 **2.4.9. Gas barrier properties**

Water vapor permeability (WVP) was determined using a water vapor permeability tester (model 3/61, Mocon, Inc., USA) at 37 °C and 90% relative humidity (RH). WVP values were calculated using Equation (2) (Zhou et al., 2022):

188 
$$WVP = \frac{WVTR \times n}{\Delta p}$$
 Equation (2)

189 where *n* is the film thickness (m), and  $\Delta p$  is the partial pressure difference across the films 190 (Pa).

191 The oxygen transmission rate (OTR) of PLA/CNC composite films was determined at 23 °C

and 0% RH using an oxygen permeability tester (model 2/22, Mocon, Inc., USA).

#### 193 **2.4.10. Water contact angle**

The water contact angle was tested by a contact angle meter (Future digital scientific, Co. USA) using the sessile drop method. The water droplet (5  $\mu$ L) was placed on the film surface, and the image was immediately captured and analyzed with the goniometer at room temperature. Each measurement was performed on a different spot of the film, and the results were based on the average of three measurements.

# 199 **2.4.11. Disintegration under composting conditions**

The disintegration performance of PLA/CNC composite films was tested following the 200 ISO-20200 standard (ISO, 2015). Briefly, solid synthetic waste was prepared by mixing 201 40% sawdust, 30% rabbit feed, 10% ripe compost, 10% corn starch, 5% saccharose, 4% 202 corn oil, and 1% urea together. After that, the dry waste was mixed with water in 45:55 203 ratio. The composite films were cut into 25 mm × 25 mm and buried at 6 cm depth in the 204 205 composting reactor containing reconstituted wet waste. The reactor was then put in an aircirculation oven at  $58 \pm 2$  °C, and water was added periodically to maintain the humidity 206 in the compost. The films were recovered from the reactor at different times (3, 7, 10, and 207 14 days), dried, and weighed. The weight loss of the films was calculated using Equation 208 (3) (Cerro et al., 2021): 209

210 Weight loss (%) = 
$$\frac{m_i - m_d}{m_i} \times 100\%$$

where m<sub>i</sub> and m<sub>d</sub> were the initial dry mass and residue dry mass of tested samples,
 respectively.

Equation (3)

## 213 **2.4.12. Meat preservation test**

Pork loin was selected as a food model and purchased from a local market. The pork was cut into 1 cm  $\times$  1 cm  $\times$  1 cm portions and randomly divided into five groups. The test groups were packaged with PLA/CNC composite films, and the control groups were either unpackaged or wrapped with neat PLA films. The samples were placed on the trays and stored at 4 °C. The total viable count (TVC) values were determined at 0, 1, 3, 5, 7, and 10 days of storage according to the method reported by Zhong et al. (Zhong et al., 2021). Briefly, the pork samples were transferred aseptically to a stomacher bag and added with PBS solution. The mixture was homogenized for 2 minutes using a lab stomacher blender (Seward, UK). Then, serial dilutions were prepared with PBS, spread on TSA plates, and incubated at 37 °C for 48 hours. The experiments were performed in triplicate, and the results of TVC were reported as CFU/g.

#### 225 **2.5. Statistical analysis**

226 The experiments were carried out in triplicate, and data were presented as the mean  $\pm$ 

standard deviation. The statistical analysis of the data was carried out through a one-way

- analysis of variance (ANOVA) using IBM SPSS Statistics 26 software, and the differences
- between means were analyzed by LSD post-hoc analysis at the confidence level of 0.05.

### **3. Results and Discussion**

# **3.1. Structure of modified CNCs**



Figure 1. (a) Illustration, (b) FTIR spectra, (c) XRD patterns, (d) UV-vis spectra, and (e)

234 zeta potential of pristine and modified CNCs.



235 236

Figure 2. TEM images of (a) MAM-CNCs, (b) CTAB-CNCs, and (c) ZnO-CNCs.

Figure 1 (a) illustrates the different modified CNCs, and their chemical structures were 237 analyzed by FTIR (Figure 1 (b)). The FTIR spectrum of unmodified CNCs showed the 238 characteristic peaks at 3338 cm<sup>-1</sup>, 2893 cm<sup>-1</sup>, 1427 cm<sup>-1</sup>, 1371 cm<sup>-1</sup>, 1315 cm<sup>-1</sup>, 1160 cm<sup>-1</sup>, 239 1055 cm<sup>-1</sup>, 1031 cm<sup>-1</sup>, and 893 cm<sup>-1</sup>, corresponding to O-H stretching, C-H stretching, C-H 240 bending, CH<sub>2</sub> bending, O-H bending, asymmetric vibration of C-O-C, pyranose ring 241 stretching, and  $\beta$ -glycoside bonds of the glucose ring, respectively. After the modification 242 with MAM, the FTIR spectrum of MAM-CNCs showed a significant new peak at 1643 243 cm<sup>-1</sup> assigned to amide carbonyl C=O bond. Besides, the peak at 1600 cm<sup>-1</sup> attributed to 244 C=C bond of MAM was not observed in MAM-CNCs, indicating that the residue of 245 unreacted MAM monomer was removed from the product. Proof of the successful 246 modification of MAM onto CNCs was interpreted in comparison with the previous report 247 248 (Rosace et al., 2017). Compared to original CNCs, only slight changes of FTIR spectrum were observed for CTAB-CNCs. Strong C-H stretching at 2984 cm<sup>-1</sup> and 2900 cm<sup>-1</sup>, 249 corresponding to the long-chain alkyl group of CTAB, suggested the presence of CTAB on 250 CNCs and the absence of direct chemical bonding (Ranjbar et al., 2020). For ZnO-CNCs, 251 no changes were found in the FTIR spectrum (Sharma et al., 2019), but a new and strong 252 characteristic peak at 365 nm appeared in the UV-vis spectrum (Figure 1 (d)), ascribed to 253 the basic bandgap absorption of ZnO (Xiao et al., 2020). It has been reported that hydrogen 254 255 bonds and electrostatic attraction are two potential interactions between CNCs and ZnO (Fu et al., 2015; Sharma et al., 2019; Zhao et al., 2017). The XRD patterns of pristine and 256

257 modified CNCs are shown in Figure 1 (c). All the samples exhibited similar diffraction peaks at 14.6° (110), 16.4° (110), and 22.5° (200), ascribed to cellulose  $I_{\beta}$  crystal structure 258 (Duarte Urueña et al., 2021). ZnO-CNCs also showed the peaks at 31.8°, 34.5°, 36.2°, 259 47.8°, 56.5°, 62.8°, and 68.0°, corresponding to (100), (002), (101), (102), (110), (103), 260 and (112) of the ZnO crystal structure, respectively (Elfeky et al., 2020). The surface 261 262 charges of the modified CNCs are shown in Figure 1 (e). The original CNCs had a zeta potential value of around -23 mV, which was similar to the samples from sago frond wastes 263 prepared by sulfuric acid hydrolysis (Arnata et al., 2020; Asadi et al., 2021). After surface 264 modification, MAM-CNCs showed similar surface charges with CNCs. The electrostatic 265 interaction between CTAB and CNCs resulted in the reduced negative charges of CNCs 266 (Baggio et al., 2022), while ZnO-CNCs had the least charges due to the attracted ZnO 267 (Badawy et al., 2021; Guan et al., 2019). Figure 2 shows the morphologies of modified 268 CNCs, which had a typical rod-like shape with an average length of 158 nm and a diameter 269 270 of 10 nm, without obvious aggregation (Badawy et al., 2021; Gahrooee et al., 2021; Li et al., 2020). The particle size of ZnO in Figure 2 (c) was  $36\pm16$  nm, which was similar to the 271 reported ones (Elfeky et al., 2020; Lizundia et al., 2018). 272

### 273 **3.2. Antibacterial activity**



#### 274

Figure 3. Antibacterial efficiency of pristine and modified CNCs against *S. aureus* and *E. coli*. Statistical significance (p < 0.05) between different CNC samples was indicated by different letters.

The pristine and modified CNCs were cultivated with Gram-positive (S. aureus) and Gram-278 negative (E. coli) bacteria to evaluate their antibacterial activity. For MAM-CNCs, 279 280 chlorination treatment with sodium hydrochloride was conducted to convert the N-H bond to oxidative chlorine, which activated the antibacterial capacity of MAM-CNCs (Chang et 281 al., 2018). In contrast, ZnO-CNCs and CTAB-CNCs did not require any pre-treatment. As 282 shown in Figure 3, the original CNCs did not exhibit any effect against both S. aureus (-283 0.31 log) and E. coli (0.09 log), which was consistent with a previous report (Zhou et al., 284 2022). At the same time, significant antibacterial efficiency (p < 0.05) was observed in all 285 modified CNC samples, with >99.999% reduction for both strains. After the chlorination 286 287 treatment, MAM-CNCs could generate active chlorine that transferred to cell membranes 288 and resulted in cell death (Kong et al., 2019). CTAB-CNCs could change the bacterial membrane permeability or bacterial surface electrostatic balance after contact, ultimately 289 leading to cell death (Xie et al., 2011). As for ZnO-CNCs, several probable routes have 290 been proposed to explain their bactericidal mechanism. The released ion mediated killing 291

was one of the possible mechanisms, where the released  $Zn^{2+}$  entered the bacterial cells, leading to inhibited DNA replication. ZnO might also attach and induce deformation of the bacterial cell wall (Ahmad, 2021; Roy & Rhim, 2019; Zhang et al., 2021; Larki et al., 2022).



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Figure 4. (a, b) Antibacterial activity and (c, d) images of inhibition zone of PLA/CNC composite films (1-Neat PLA, 2-PLA-CC8, 3-PLA-MC8, 4-PLA-ZC8) against *S. aureus* and *E. coli*. Means with different letters on the column top were significantly different (p< 0.05).

As shown in Figure 4 (a, b), the control groups, including neat PLA film, neat PLA films treated with acetate acid, PLA film with pristine CNC coating, and PLA film with MAM-CNC coating (without chlorination), did not show any antibacterial activity against either *S. aureus* or *E. coli*. On the contrary, all the antibacterial CNC coatings exhibited obvious effects, depending on the types of bacteria and CNC modifications, as well as the amount 305 of CNC derivatives. Particularly, PLA-CC composite films displayed an increasing log reduction of S. aureus from 2.14 to 6.29 with increasing CNC contents, while PLA-MC 306 showed high antibacterial efficiency against S. aureus even with low coating mass. 307 However, the antibacterial activity of PLA-ZC for S. aureus was not as high as the other 308 two coatings. In the case of E. coli, all three CNC coatings showed significant antibacterial 309 310 efficiencies (>99.9999%) upon 1 hour of contact. It meant that CTAB-CNC and ZnO-CNC 311 coatings had a stronger influence upon the Gram-negative bacteria than the Gram-positive strains, and the chlorinated MAM-CNCs showed excellent antibacterial activity for both 312 types of bacteria. Similar results have reported that E. coli was less resistant to the 313 antibacterial agents (e.g., ZnO nanoparticles) than the Gram-positive bacteria (Shankar et 314 al., 2018; Zhang et al., 2017). In one aspect, the Gram-positive S. aureus consists of a 315 thicker cell wall than that of the Gram-negative E. coli; in another aspect, the Gram-316 positive bacteria could form aggregates to protect the internal cells from the antibacterial 317 agents (Pantani et al., 2013). Based on the antibacterial efficiencies, PLA-CC8, PLA-ZC8, 318 and PLA-MC8 were selected for the following tests. The inhibition zones of neat and 319 coated PLA films are shown in Figure 4 (c, d). No obvious inhibition zone was observed 320 in neat PLA, PLA-CC8, and PLA-MC8 films for both S. aureus and E. coli, suggesting 321 little or no release of the antibacterial agents from the coatings to the agar plates. On the 322 contrary, PLA-ZC8 films exhibited similar inhibition rings (~10 mm) against S. aureus and 323 *E. coli*, which was due to the release of ZnO and/or  $Zn^{2+}$  ions (Pantani et al., 2013; Wahid 324 et al., 2019). Table 1 summarizes the antibacterial properties of the recently reported 325 composite materials, suggesting that the sprayed coatings of modified CNCs provided a 326 promising strategy for effective bacteria elimination. 327

Composite materials	Antibacterial agent	Antibacterial activity		Deferrer
		S. aureus	E. coli	References
PLA/CNC nanofluids	CNC nanofluids	98.5%	92.7%	(Shen et al., 2021)
PLA/acetylated CNCs/ZnO	ZnO	99.9%	99.9%	(Yu et al., 2021)
PLA/propolis	Propolis	-	99.99%	(Ulloa et al., 2019)
CMC/gelatin/nano ZnO	CMC & ZnO	84.7%	99.2%	(Chen et al., 2022)
PU/chitin/ZnO-doped-SiO <sub>2</sub>	ZnO-doped-SiO <sub>2</sub>	99.289%	99.942%	(Moustafa et
Graphene/N-halamine-coated	N-halmaine	99.9999%	99.9999%	al., 2022) (Xu et al., 2022)
Polycaprolactone/Zein/ZnO- QAS	ZnO-QAS nanoparticles	99.9999%	99.9999%	(Wang et al., 2021)
PU/PSDT	N-halmaine & QAS compound	99.9999%	99.9999%	(Tian et al., 2021)
PLA/ZnO-CNCs	ZnO-CNCs	99%	99.9999%	Present work
PLA/CTAB-CNCs	CTAB-CNCs	99.9999%	99.9999%	Present work
PLA/MAM-CNCs	MAM-CNCs	99.9999%	99.9999%	Present work

328 **Table 1.** Antibacterial activities of recently reported composite materials.

329 Note: CMC-carboxymethyl chitosan; PU-polyurethane; QAS-quaternary ammonium salts; PSDT-

330 polystyrene grafted by 5, 5-dimethylhydantoin and trimethylamine.



## 331 **3.3. Structure of PLA/CNC composite films**

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Figure 5. SEM images (a-d) and FTIR spectra (e) of neat and coated PLA films. 333 The surface morphology of neat and coated PLA films was observed by SEM. Figure 5 (a) 334 displays the smooth and homogeneous surface of neat PLA without cracks or holes. The 335 addition of coating solutions (acetic acid) resulted in the eroded surfaces, and the modified 336 CNCs were combined with the polymeric matrix. It explained the little or no release of 337 CNCs from the films to the agar plates. FTIR analysis of the composite films was carried 338 339 out to study the molecular structures. As shown in Figure 5, the peaks of neat PLA film at 2994 cm<sup>-1</sup> and 2943 cm<sup>-1</sup> were associated with the C-H asymmetric and symmetric 340 stretching in -CH<sub>3</sub> groups. The peak at 1743 cm<sup>-1</sup> corresponded to the C=O stretching 341 vibration of the ester groups. The peaks between 1450 cm<sup>-1</sup> and 1357 cm<sup>-1</sup> represented 342 asymmetric and symmetric bending of C-H bond in the methyl groups, while the peaks at 343 1178 cm<sup>-1</sup> and 1077 cm<sup>-1</sup> were related to the C-O-C symmetric and asymmetric stretching 344 (Doganay et al., 2016). With the addition of CNC coatings, no new characteristic peaks or 345 obvious shift of peaks were observed. It indicated that no new covalent bonds were formed 346 after the incorporation of modified CNCs. 347



348 **3.4. Mechanical and barrier properties of PLA/CNC composite films** 

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Figure 6. (a) Stress-stain curves, (b) tensile strength, (c) elongation at break, and (d) Young's modulus of neat and coated PLA films. Means with different letters on the column top were significantly different (p < 0.05).

353 Mechanical properties of neat and coated PLA films were measured, and the representative stress-strain curves are shown in Figure 6 (a). Their thicknesses did not have any significant 354 difference, and all the films exhibited similar curves, beginning with elastic deformation 355 and following by plastic deformation. Obvious yielding points were observed, which define 356 the limitation of the elastic behavior and the beginning of the plastic behavior (Beauson et 357 al., 2022). For the neat PLA films, the stress at the yielding point was ~10 MPa and the 358 films fractured at the strain of ~400%. For all types of coated PLA films, an increase in 359 yield strength was clearly observed (20-30 MPa), suggesting their improved resistance to 360 loading before permanent deformation (Aghajani et al., 2018). Figure 6 (b-d) shows the 361

variations of tensile strength, elongation at break, and Young's modulus of PLA films with 362 different CNC coatings. All the coatings significantly increased the Young's modulus, and 363 PLA-CC8 and PLA-MC8 had an improved tensile strength, but only PLA-CC8 could 364 maintain the ductility of the neat PLA films. The increase in mechanical strength could be 365 explained that the surface coating well combined with the polymeric matrix and acted as a 366 367 scaffold (Gulzar et al., 2022). The retained ductility of PLA-CC8 might be attributed to the good interfacial compatibility, which contributed to effective stress transfer and delaying 368 the stretching failure (Dehnad et al., 2014; Jin et al., 2020). 369



370

Figure 7. (a) WVP, (b) OTR, and (c) water contact angle of neat and coated PLA films. Means with different letters on the top of the columns were significantly different (p < 0.05).

The water vapor and oxygen barrier properties of neat and coated PLA films are important 374 to their potential applications in packaging. As shown in Figure 7 (a, b), the neat PLA film 375 had an average WVP value of  $1.06 \times 10^{-7}$  g m<sup>-1</sup>h<sup>-1</sup>Pa<sup>-1</sup> and OTR value of 211.72 cc m<sup>-2</sup>day<sup>-1</sup> 376 <sup>1</sup>, while the permeability of PLA/CNC composite films significantly (p < 0.05) decreased. 377 Particularly, PLA-CC8 showed the lowest WVP and OTR values of  $5.22 \times 10^{-8}$  g m<sup>-1</sup>h<sup>-1</sup>Pa<sup>-</sup> 378 <sup>1</sup>and 64.34 cc m<sup>-2</sup>day<sup>-1</sup>, respectively. It was because the modified CNCs tightly combined 379 380 with PLA after solution coating and formed a dense layer on the surface of the films (Zhou 381 et al., 2021). These values were relatively lower than those reported previously; for example, the WVP value of PLA/ZnO composite films was around 7×10<sup>-8</sup> g m<sup>-1</sup>h<sup>-1</sup>Pa<sup>-1</sup> 382 (Shankar et al., 2018), and the poly(ethylene furanoate)/PLA films had an OTR value of 383 144 cc m<sup>-2</sup>day<sup>-1</sup> (Fredi et al., 2022). Figure 7 (c) shows the water contact angle of neat and 384 coated PLA films. As expected, the neat PLA film had a hydrophilic surface with a contact 385

- angle of around 74.2° (Vilarinho et al., 2021). A slight increase (p > 0.05) in contact angle
- of PLA-ZC8 was observed, which might be due to the hydrophobic nature of ZnO (Roy &
- 388 Rhim, 2019). The surface hydrophobicity of PLA-CC8 and PLA-MC8 films was
- significantly higher than that of the neat PLA film. It could be explained by the existence
- of long carbon chains on the modified CNCs (Ly & Mekonnen, 2020).

## **3.5. Compost disintegrability**



Figure 8. (a) Photos and (b) weight loss of neat and coated PLA films after incubating under composting conditions.



397 character under specific conditions (Rojas et al., 2021). In this regard, the effect of CNC coatings on the disintegrability of PLA films under composting conditions was investigated. 398 As shown in Figure 8 (a), no particular alterations were observed after 3 days for all the 399 samples. The PLA/CNC composite films became opaque, and the fragmentation started 400 after 7 days. Compared to neat PLA film, the fragmentation of CNC coated PLA films was 401 402 more obvious on day 10 and day 14. The weight loss showed a similar trend (Figure 8 (b)). 403 All the films exhibited a gradual increase in weight loss under composting conditions. Especially after 14 days, the weight loss of PLA-ZC8, PLA-MC8, and PLA-CC8 films was 404 about 51.88%, 26.12%, and 16.38%, respectively, against 11.34% for the neat PLA film. 405 To be noted, only a few tiny fragments were recovered on day 22, so it was difficult to 406 weigh and calculate the weight loss. The results revealed a faster disintegration rate of 407 PLA/CNC composite films, and it was consistent with reported results that CNCs acted as 408 a source of energy and carbon and facilitated the initiation of disintegration (Degli-409 410 Innocenti, 2021; Lizundia et al., 2018; Sun et al., 2022).





**3.6. Preservation of pork** 411

416 the production. Herein, pork was chosen as a model for evaluating the packaging performance. The initial TVC value of 4.50 log CFU/g in the raw pork was similar to a 417 reported value (Vargas Romero et al., 2021). As shown in Figure 9, the total viable 418 population of the microorganism in pork samples (unpackaged and wrapped with neat PLA 419 film) rapidly increased during the storage period. The permissible limit of the overall 420 microorganism in fresh meat should be less than  $5 \times 10^6$  CFU/g, as regulated by European 421 422 Commission No. 2073/2005 (Commission, 2005). Therefore, both the control sample and neat PLA group exceeded the limit after 3 days of cold storage (about 6.39 and 6.98 log 423 CFU/g). It was worth noting that all PLA/CNC composite film wrapped pork samples had 424 delayed bacteria growth, and their TVC values were lower than  $5 \times 10^6$  CFU/g after storage 425 at 4 °C for 10 days. No significant difference was observed among the three coatings, which 426 indicated the sufficient antibacterial activity of all these modified CNCs for extended shelf 427 life of fresh pork. 428

#### 429 **4. Conclusions**

This work demonstrated a convenient method to fabricate compostable packaging films 430 with antibacterial activity. The effects of sprayed coatings of three types of modified CNCs 431 (CTAB-CNCs, ZnO-CNCs, and MAM-CNCs) on the structure and properties of PLA films 432 were revealed. Especially, ZnO-CNC coated PLA films exhibited prominent disintegration 433 behavior. After incubating under composting conditions for 14 days, a weight loss of 51.88% 434 was recorded, which was over 5 times higher than the neat PLA film. CTAB-CNC coating 435 436 significantly increased the tensile strength and Young's modulus without affecting the ductility, and at the same time, showed the improvement of 51% and 70% in water vapor 437 and oxygen barrier properties, respectively. MAM-CNC coated PLA films had the most 438 effective antibacterial capacity against both Gram-positive and Gram-negative bacteria. 439 With a small amount of coating, PLA-MC4 films could inactivate >99.9999% of S. aureus 440 and E. coli upon 1 hour of contact. After all, three PLA/CNC composite films could 441 significantly extend the shelf life of wrapped pork from 3 days to more than 10 days. 442 443 Further investigations are expected to ensure that the biocompatibility inherent to PLA

films is retained after coating. Hopefully, this strategy of spray coating with modified
CNCs could be applied in other plastic and paper-based packaging materials.

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