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To Ub or not to Ub: Regulation of circadian clocks by ubiquitination and

### deubiquitination

Shashank Srikanta<sup>1,2</sup>, Nicolas Cermakian<sup>2,3\*</sup>

<sup>1</sup> Integrated Program in Neuroscience, McGill University, Montréal, Québec, H3A 2B4.

<sup>2</sup> Laboratory of Molecular Chronobiology, Douglas Research Centre, Montréal, Québec, H4H
1R3.

<sup>3</sup> Department of Psychiatry, McGill University, Montréal, Québec, H3A 1A1.

\* Correspondence can be addressed to Nicolas Cermakian: Douglas Research Centre, McGill University, Montréal, Québec, H4H 1R3, 1-514-761-6131 ext. 4936, nicolas.cermakian@mcgill.ca

ORCID IDs:

Nicolas Cermakian: 0000-0003-4517-9131

Shashank Srikanta: 0000-0002-9437-7241

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### List of Abbreviations used in text

BMAL1: Brain and Muscle ARNT-Like 1 CCA1: Circadian and Clock-Associated 1 CLOCK: Circadian Locomotor Output Cycles Kaput COP1: Constitutive Photomorphogenesis 1 **CRY:** Cryptochrome DD: Constant darkness DUB: Deubiquitinase FBXL: F-Box And Leucine Rich Repeat Protein FBXW: F-box and WD repeat domain-containing FRH: Frequency-interacting RNA helicase FRQ: Frequency FWD1: F-box/WD-40 repeat-containing protein 1 GI: Gigantea JET: Jetlag KO: Knockout LD: Light-dark cycle LHY: Late Elongated Hypocotyl LL: Constant light PER: Period PER2::LUC: PER2 Luciferase fusion protein PRR: Pseudo Response Regulator PTM: Post-Translational Modification

REV-ERB: Reverse Erythroblastosis Virus protein

SCF: Skip-Cullin-F-Box Protein Complex

SUMO: Small Ubiquitin-related Modifier

 $\beta$ -TrCP: Beta-Transducin Repeat Containing Protein

TIM: Timeless

USP: Ubiquitin Specific Peptidase

WT: Wild-type

ZTL: Zeitlupe

### Abstract

Circadian clocks are internal timing systems that enable organisms to adjust their behavioral and physiological rhythms to the daily changes of their environment. These clocks generate selfsustained oscillations at the cellular, tissue and behavioral level. The rhythm-generating mechanism is based on a gene expression network with a delayed negative feedback loop that causes the transcripts to oscillate with a period of approximately 24 hours. This oscillatory nature of the proteins involved in this network necessitates that they are intrinsically unstable, with a short half-life. Hence, post-translational modifications (PTMs) are important to precisely time the presence, absence and interactions of these genes at appropriate times of the day. Ubiquitination and deubiquitination are counterbalancing PTMs which play a key role in this regulatory process. In this review, we take a comprehensive look at the roles played by the processes of ubiquitination and deubiquitination in the clock machinery of the most commonly studied eukaryotic models of the circadian clock: plants, fungi, fruit flies and mammals. We present the effects exerted by ubiquitinating and deubiquitinating enzymes on the stability, but also the activity, localization and interactions of clock proteins. Overall, these PTMs have key roles in regulating not only the pace of the circadian clock but also their response to external cues and their control of cellular functions.

### 1 Introduction to Circadian Rhythms

Circadian clocks are internal timekeepers present in almost all organisms. They generate selfsustained oscillations with a period of ~24 hours (Dibner *et al.* 2010). These circadian rhythms entrain to periodic external signals such as the 24-hour day-night cycle. These self-sustained oscillations affect the organism at the cellular, tissue and behavioral levels and enable organisms to adapt their behavioral and physiological rhythms to the daily changes of their environment (Refinetti 2016).

8 The rhythm-generating mechanism is based on circadian clock genes, interacting in delayed 9 negative transcriptional-translational feedback loops that cause transcripts and proteins to oscillate 10 with a period of approximately 24 hours. These feedback loops vary both in terms of molecular 11 components and complexity, between different phyla. Despite these differences, the need, across 12 phyla, to maintain a constant period of 24 hours means that there are many commonalities between 13 these different mechanisms. These include PAS domain-containing transcriptional factors that 14 comprise the positive arms of these feedback loops, as well as the post-translational modifications 15 (PTMs) that, together, determine the stability, activity and lifetime of many of the proteins 16 comprised in the clock feedback loops (Stojkovic et al. 2014; Loros 2020).

The PTMs in the clock comprise a variety of modifications to the proteins, each of which affect the proteins differently. PTMs such as phosphorylation, acetylation or ubiquitination act on the stability of the proteins, their activity, their interactions, or their intra-cellular localization.

### 1 Ubiquitination and Deubiquitination

2 Circadian clock mechanisms, as well as the rhythmic cellular processes that they control, are based 3 on transcripts and proteins whose abundance varies according to the 24 h cycle. By nature, such 4 rhythmic molecules need to have a short half-life, otherwise they would accumulate and not show 5 a rhythm. This process is mediated by various processes, including post-translational modifications 6 such as ubiquitin tagging of the protein, signalling its degradation. Ubiquitination is therefore one 7 of the important PTMs of the clock machinery, as it tags clock proteins for degradation. A negative 8 feedback loop implies that transcription of genes is stimulated by a set of proteins (the positive 9 arm of the loop) and the protein products of these genes repress the activity of the transcription 10 factors (the negative arm of the loop). Since the components of the positive arm of the loop are 11 mostly regulated at the level of their activity, the reduced protein stability is especially a hallmark 12 of the negative arm of the clock.

13 Ubiquitin is a 76 amino acid long protein which can be covalently tagged to proteins, post-14 translationally. Ubiquitin can itself be ubiquitinated, to form straight or branched chain structures. 15 Depending on the length and structure of ubiquitin chains, and on the amino acid being tagged on 16 the target protein, ubiquitination can have distinct functions such as promoting translocation to 17 different cellular compartments, regulating protein-protein interactions or tagging the protein for 18 degradation (Mukhopadhyay and Riezman 2007). For example, ubiquitin chains where ubiquitin 19 moieties are ubiquitinated at Lys48 or Lys11 are generally signals for degradation via the 20 proteasome (Peth et al. 2010; Jin et al. 2008; Nathan et al. 2013).

Three classes of proteins are sequentially involved in the process of ubiquitination: E1, an activating enzyme, E2, a ubiquitin conjugating enzyme, and E3, a ubiquitin ligase. These proteins work in complexes with other proteins, to ubiquitinate the target protein by linking the ubiquitin

1 at specific residues, generally lysines. There are over 600 E3 ligases, often with specific substrate 2 specificity. As they are involved in the ubiquitin tagging process itself, defects in E3 ligases have 3 been associated with visible changes in the pathways downstream of the protein being tagged 4 (Zheng and Shabek 2017; George et al. 2018). There are three main classes of E3 ligases: Really 5 Interesting New Gene (RING), Homologous to E6-AP Carboxyl Terminus (HECT) and Ring 6 Between Ring (RBR) ligases. While RING and HECT domain ligases facilitate the transfer of the 7 ubiquitin moiety from the E2 ligase to the substrate protein, by either acting as a platform (RING) 8 or catalyst (HECT) for this reaction, the RBR ligases contain both RING and HECT domains, 9 hence allowing them to carry out both functions and thus, to ubiquitinate multiple proteins (Zheng 10 and Shabek 2017; George et al. 2018). While many different pathways of ubiquitination exist, they 11 are all mediated by members of these three E3 ligase families.

The counterbalance to the process of ubiquitination is achieved by enzymes known as deubiquitinases (DUBs). DUBs work by removing ubiquitin tags from tagged proteins or reducing the length of polyubiquitin tags.. The balance between ubiquitination and deubiquitination impacts physiological protein abundance and activity. This interplay also drives cyclic patterns of protein accumulation in the clock machinery, hence making these PTMs a cornerstone for the rhythmicity of the circadian clock.

In this review, we will focus on the proteins that mediate the processes of ubiquitination and deubiquitination in eukaryotic models most commonly studied for their circadian clock: *Arabidopsis* (plants), *Neurospora* (fungi), *Drosophila* (insects), mice and humans (mammals) (Gallego and Virshup 2007; Stojkovic *et al.* 2014), with a particular focus on the mammalian clock. The role of clock proteins in regulating the ubiquitination/deubiquitination of other proteins will also be addressed.

### 2 Ubiquitination and Deubiquitination in the Clock of Plants

#### 3 The Clock Machinery in Plants

4 The clock machinery in plants, mainly deciphered through work in the model organism 5 Arabidopsis thaliana, is based, as the other eukaryotic clocks, on transcriptional feedback loops. 6 However, this clock mechanism is complex, with many separate, inter-connected loops. The 7 following describes some of the core elements. The expression of the Circadian and Clock-8 Associated 1 (CCA1) and Late Elongated Hypocotyl (LHY) genes peak in the morning (Wang and 9 Tobin 1998; Schaffer et al. 1998). Their protein products heterodimerize and repress a number of 10 genes via a promoter sequence called the evening element (EE). Given the CCA1/LHY-mediated repression of these genes in the morning, they are expressed at higher levels later in the day or in 11 12 the evening, when the CCA1/LHY complex is no longer active. This is the case of Time of CAB 13 Expression 1 (TOC 1) (Alabadí et al. 2001), an evening transcriptional repressor, which is also 14 called Pseudo Response Regulator 1 (PRR1). TOC1 and other members of the PRR family (PRR5, 15 7 and 9) are sequentially expressed throughout the day and are involved in a series of repressive 16 events (Farré et al. 2005; Nohales and Kay 2016), as shown in Figure 1. The action of these PRR 17 factors leads to a repression of CCA1 and LHY transcription (Farré et al. 2005). Of note, CCA1 18 and LHY also repress their own gene expression (Wang and Tobin 1998; Schaffer et al. 1998). 19

Among other CCA1/LHY targets are the genes encoding Gigantea (GI) as well as components of the Evening Complex (EC), Lux (and its homolog Nox) and Early Flowering 3 and 4 (ELF3 and ELF4). The factors, like TOC1, are active in the evening (Hsu and Harmer 2014). While TOC1 and the other PRRs suppress expression of *CCA1* and *LHY* in the day, GI and the EC will act to restart the cycle by the activation of *CCA1* and *LHY* (Alabadí *et al.* 2001). Many other factors,
including the transcriptional activators of the Light regulated WD (LWD), Reveille (RVE) and
Night light–inducible and clock-regulated gene (LNK) families, also add to the mechanism, and
provide multiple routes of input from environmental light cues. The description that precedes only
scratches the surface of the plant clockwork, in our aim to present its basic players. Readers are
referred to other reviews for a more comprehensive description (Nohales and Kay 2016; Hsu and
Harmer 2014).

8 The plant circadian clock regulates most physiological processes, including the cell cycle, the 9 timing of flowering, and abiotic stress responses (Cui *et al.* 2013; Gil *et al.* 2017; Hsu and Harmer 10 2014). In addition to light, the plant circadian rhythms are also entrainable by other environmental 11 factors such as temperature and nutrient availability (Nohales and Kay 2016; Hsu and Harmer 12 2014).

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#### 14 Ubiquitin-based PTMs in plants

15 The activity and stability of the various proteins involved in the plant circadian clock is tightly 16 regulated by PTMs, including ubiquitination. The circadian photoreceptor and F-box protein 17 Zeitlupe (ZTL) associates with Skip and Cullin to form a Skip-Cullin-F-Box (SCF) E3 ligase 18 complex (Kim et al. 2007). This SCF complex ubiquitinates and targets TOC1 and PRR5 for 19 proteasomal degradation (Más et al. 2003; Kiba et al. 2007). ZTL was also shown to contribute to 20 the thermostability of the circadian clock via its ligase function. ZTL KO resulted in the plant 21 having lower thermotolerance as well as an increase in protein accumulation (Gil et al. 2017). The 22 degradation of ZTL itself, proceeds via a ubiquitination-dependent mechanism (Kim et al. 2003). 23 Interestingly, another core clock protein, GI, associates with ZTL in a blue light dependent manner,

and the two proteins reciprocally stabilize each other. Their subsequent dissociation in darkness
 provides a precise input of photoperiod (Kim *et al.* 2007).

Flavin-Binding, Kelch Repeat, F-Box1 (FKF1) and LOV Kelch Protein 2 (LKP2) are the other members of the ZTL family of F-box proteins, involved in the regulation of the plant circadian clock (Nelson *et al.* 2000; Yasuhara *et al.* 2004; Han *et al.* 2004). Moreover, in triple mutants of the ZTL family, a significant period lengthening phenotype and a reduction of *LHY* gene expression were observed, thus underlining the importance of these proteins in the clock machinery (Lee *et al.* 2018; Baudry *et al.* 2010).

9 Ubiquitin Specific Peptidases or USPs (also known as Ubiquitin Binding Peptidases or UBPs) 10 are the largest class of DUBs in plants. USP12 and USP13 (UBP12 and UBP13) are special in that 11 they are regulated by the circadian clock and they also regulate LHY, CCA1, ZTL, GI and TOC1, 12 all core clock components (Lee et al. 2019). Moreover, USP12 and 13 also regulate downstream 13 processes such as the daily timing of flowering (Cui et al. 2013; Park et al. 2019; Lee et al. 2019). 14 Using over-expression and KO-based studies, USP15 and USP26 were implicated in early 15 flowering phenotypes, by regulating non-circadian genes downstream of the circadian clock (Liu 16 et al. 2008; Schmitz et al. 2009). Recent studies have shown that Ubiquitin Carboxyl Hydrolases 17 1, 2 and 3 (UCH 1/2/3), another family of DUBs, act in conjunction to maintain circadian 18 periodicity in varying temperature conditions, although the targets of these UCHs remain unknown 19 (Hayama et al. 2019).

Light input pathways also rely on ubiquitination. Besides the ZTL family members which are photoreceptors (Kim *et al.* 2007), another pair of photoreceptors, Cryptochrome 1 and 2 (CRY 1 and 2) are involved in the light-mediated regulation of clock proteins via ubiquitination. In response to light, these CRYs bind and activate Constitutive Photomorphogenesis 1 (COP1), a RING domain E3 ligase (Wang *et al.* 2018; Yang *et al.* 2001). COP1, in conjunction with ELF3
 (a member of the EC, which acts as a substrate adaptor here), destabilizes GI and tags it for
 degradation (Yu *et al.* 2008).

In conclusion, the processes of ubiquitination and deubiquitination intricately control and are controlled by the circadian clock machinery, hence making them key PTMs involved in the maintenance of a multitude of physiological rhythms in plants. The importance of ubiquitination in the plant clock is probably much greater than described above: a recent screen of *Arabidopsis* strains expressing dominant negative forms of ubiquitin ligases has identified dozens of new ligases involved in regulating circadian rhythms, having from minor to more extensive effects on period or phase of the rhythms (Feke *et al.* 2019).

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### 12 Ubiquitination and Deubiquitination in the Clock of *Neurospora*

#### 13 The clock in *Neurospora*

14 The fungus Neurospora crassa was one of the earliest model organisms studied for their circadian 15 clock mechanisms (Dunlap and Feldman 1988). Like in other organisms, the clock in Neurospora 16 is based on an auto-regulatory feedback loop consisting primarily of four proteins. The 17 transcription factors White Collar 1 and 2 (WC1 and WC2) form the White Collar Complex 18 (WCC) (Crosthwaite et al. 1997), which induces transcription of frequency (frq) (Froehlich et al. 19 2002) (Figure 2). FRQ protein forms homodimers, which in turn associate with the Frequency-20 interacting RNA helicase (FRH) protein to form the FRQ/FRH complex (FFC) (Cheng et al. 2005). 21 The FFC binds to the WCC complex and prevents its binding to the frq locus (Froehlich et al. 22 2003; Guo et al. 2010). Later in the cycle, FRQ needs to be degraded, and a new cycle can start. 23 FRQ dimers also regulate WC1 protein at the post-translational level (Lee et al. 2000; Cheng et al. 2001). Finally, FRH being an RNA-binding protein, it can regulate *frq* mRNA's stability and
 poly A tail length (Guo *et al.* 2009). Overall, the period of the *Neurospora* clock feedback loop is
 set by the synthesis and turnover of FRQ, which, in turn, is controlled by its interactions with itself
 and with the other clock proteins.

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#### 6 Ubiquitin-based PTMs in Neurospora

7 FRQ stability is tightly regulated via its ubiquitination. F-box/WD-40 repeat-containing protein 1 8 (FWD1) is required for this PTM (He and Liu 2005; He et al. 2003; Guo et al. 2010). Nonsense 9 point mutations in the F-box domain of FWD1 resulted in higher levels of FRQ, which was also 10 hyperphosphorylated. The rhythmicity of FRQ was lost, due to a lack of ubiquitination. Complete 11 deletion of the F-box domain of FWD1 also led to the same phenotype. Interestingly, in both cases, 12 in the absence of ubiquitination activity, hyperphosphorylated FRQ was bound to FWD1 for longer 13 than in WT cells, showing the requirement of ubiquitination for the dissociation of FRQ from 14 FWD1. Further, altered conidiation patterns were also observed in these mutants: these patterns 15 were not consistent, between individual samples, but in many cases, initially, low amplitude rhythms of conidiation were observed, which evolved into arrhythmicity over time (He et al. 16 17 2003). The above studies show the essential role of FWD1 in recruiting FRQ to the SCF complex 18 for FRQ degradation.

The COP9 signalosome (CSN) is an 8-subunit complex that can remove NEDD8, a ubiquitinlike molecule, from any tagged protein, by a process called deneddylation. The Cullin subunit of the SCF<sup>FWD1</sup> complex is tagged with a NEDD8 molecule while it is part of the SCF complex. This tag prevents its association with Cullin Associated And Neddylation Dissociated 1 (CAND1), a protein for which Cullin has greater affinity than for the Skip-F-box complex. Hence,

1 deneddylation of Cullin by the CSN causes disassembly of the SCF complex, which inhibits 2 ubiquitination of FRQ (Cope and Deshaies 2003; Wei and Deng 2003). Interestingly, CSN also 3 seems to be essential for the ubiquitination activity of SCF. Studies showed that the CSN has a 4 twofold role in this process. First, it is able to stabilize the SCF complex, thus promoting 5 ubiquitination. Second, by deneddylating Cullin and allowing the SCF complex to dissociate, it 6 guards against the autoubiquitination activity of SCF complexes which remain in bound 7 conformation for too long. Thus, the CSN complex is essential to the timely ubiquitination of FRQ by SCF<sup>FWD1</sup> complex (Wolf et al. 2003; Lyapina et al. 2001). CSN mutants display FRQ 8 9 accumulation and a loss of rhythmicity, which further underscores the importance of the CSN in 10 this process (He et al. 2005).

11 Conidial separation 1 (CSP1) is a transcription factor which is clock-controlled and hence, 12 rhythmically expressed (Sancar et al. 2011). WCC directly activates the expression of the CSP1 13 gene at the beginning of the subjective day. Thereafter, CSP1 represses the transcription of many 14 genes which are generally transcribed in the evening. The degradation of CSP1 later in the day 15 allows the transcription of these genes. Thus, CSP1 degradation is important to the regulation of 16 evening-specific genes in Neurospora. This degradation of CSP1 is regulated by the E3 ubiquitin 17 ligase UBR1. In single copy deletion mutants of ubr1, CSP1 accumulates in its 18 hyperphosphorylated form, thus showing the necessity of UBR1 to the degradation of CSP1 19 (Sancar et al. 2011).

### 1 Ubiquitination and Deubiquitination in the Clock of Drosophila

#### 2 The Clock in Drosophila

3 The circadian clock of the fruit fly Drosophila melanogaster is one of the most widely studied 4 clock systems. Many components of the animal clock machinery were initially isolated via loss-5 of-function genetic screens in Drosophila. In the Drosophila clock, Cycle (CYC) associates with 6 Clock (CLK) and they induce the transcription of *period (per)* and *timeless (tim)* genes (Figure 3). 7 PER and TIM proteins then translocate into the nucleus and inhibit the activity of the CLK/CYC 8 complex, and thus, their own expression (Williams and Sehgal 2001). The clock also has a 9 secondary feedback loop, which is made up of Vrille (VRI) and PAR Domain Protein 1ɛ (PDP1ɛ), 10 which repress and activate, respectively, the expression of *clk* (Helfrich-Förster *et al.* 2020).

The *Drosophila* master clock is localized within the head of the flies, while peripheral organs also show autonomous oscillations, under the control of the master oscillator (Helfrich-Förster 2004). This master clock is localized to discrete groups of neurons termed as the lateral neurons (LN) and dorsal neurons (DN). A hallmark of these cells is the strong *per* and *tim* oscillations, and for some subsets of these neuronal populations, the presence of the neuropeptide PDF (pigment dispersing factor) (Helfrich-Forster 1995; Schubert *et al.* 2018). These LN cells drive peripheral clocks via electrical or humoral signals (Helfrich-Förster 2004).

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#### 19 Ubiquitin-based PTMs in Drosophila

Supernumerary limbs or SLIMB (SLMB) is a well characterized F-box protein in *Drosophila* (Jiang and Struhl 1998). SLMB was previously known to be an E3 ligase, but it was only in 2002 that it was shown to have a role in circadian rhythms (Grima *et al.* 2002; Ko *et al.* 2002). SLMB ubiquitinates PER and hence facilitates its degradation (Chiu *et al.* 2008). Prior to ubiquitination, PER has to be phosphorylated by the kinase Doubletime (DBT). SLMB was also shown to ubiquitinate TIM for degradation. Flies lacking *slmb* showed an accumulation of phosphorylated PER and TIM (Grima *et al.* 2002). Constitutive expression of *slmb* led to arrhythmicity in DD, but not in LD. Further, expression of a dominant form of SLMB lacking the F-box domain also led to the flies showing long periods or arrhythmicity in DD (Ko *et al.* 2002).

6 The *Drosophila* system has a type of cryptochrome known as type 1 Cryptochrome or CRY. 7 This class of CRY proteins (found exclusively in invertebrates) are photoreceptors and contribute 8 to light reception signaling (Emery et al. 1998; Stanewsky et al. 1998). CRY is activated in 9 response to light stimulation, and then binds TIM and PER (Emery et al. 1998; Emery et al. 2000; 10 Rosato et al. 2001) and facilitates their translocation into the nucleus (Rosato et al. 2001). In the 11 presence of E3 ligase Jetlag (JET), CRY and JET complex with TIM, to prevent nuclear 12 localization and facilitate ubiquitination of TIM (Lin et al. 2001; Koh et al. 2006). The subsequent 13 degradation of TIM leads to clock resetting (Naidoo et al. 1999; Lin et al. 2001; Koh et al. 2006). 14 Cry KO flies are resistant to light-induced phase shifting, as well as free-running in constant light 15 conditions (LL) (Stanewsky et al. 1998; Tataroglu and Emery 2015; Krishnan et al. 2001; 16 Damulewicz and Mazzotta 2020). Mutants of jet on the other hand, show no alterations in 17 phenotypes in either LL or DD. In LD, however, these flies took significantly longer to entrain to 18 phase shifts (Koh et al. 2006). Put together with the phenotype of SLMB mutants being arrhythmic 19 in DD, we can hypothesize that JET and SLMB complement each other's function in the regulation 20 of TIM.

Circadian Trip (CTRIP), a HECT domain family E3 ubiquitin ligase, was identified to increase
 the ubiquitination of CLK, in *Drosophila*. It is prominently expressed in the PDF cells and a loss of-function mutant has an increased circadian period. There is also evidence suggesting that

CTRIP plays a role in the degradation of the PER/TIM complex. Hence, CTRIP is an E3 ubiquitin
 ligase involved in both the positive and negative arms of the *Drosophila* circadian feedback loop
 (Lamaze *et al.* 2011).

Nipped-A is a deubiquitinase which has a roundabout effect on circadian rhythms in *Drosophila* (Bu *et al.* 2020). Nipped-A deubiquitinates histone H2B, at the *tim* and *pdp1ɛ* loci.
Deubiquitination of these ubiquitinated loci leads to an increase in the transcription of these genes.
The effect on the *tim* locus seems to drive the long-period phenotype of the knockdown of *Nipped- A*. Indeed, *clk* mRNA levels are unchanged in the knocked down flies, in spite of a reduction of
PDP1ɛ. Mutations of the human homolog, TRRAP, are associated with schizophrenia (Bu *et al.*2020), but its involvement in the circadian system has not been studied yet.

USP8 is a clock-controlled deubiquitinase: its transcription is activated by CLK/CYC complex, with a phase similar to that of *tim* and *per*. USP8, in turn, deubiquitinates CLK early at night. USP8 knockdown led to an increase in the free-running period of the flies. Almost 50% of flies were arrhythmic in the absence of USP8. Mutants with a mutation in the catalytic domain showed a longer period (Luo *et al.* 2012).

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# 17 Ubiquitination and Deubiquitination in the Clock of Mammals

#### 18 The Clock in Mammals

19 In the mammalian clock, the transcription factors Circadian Locomotor Output Cycles Kaput

- 20 (CLOCK) (Gekakis et al. 1998) and Brain and Muscle ARNT-Like 1 (BMAL1) (Takahata et al.
- 21 1998) together bind E-box elements upstream of the *Period (Perl, 2, 3)* and *Cryptochrome (Cryl,*
- 22 2) genes, activating their transcription (Figure 4). After translation, the PER (Vitaterna *et al.* 1999;
- Akiyama et al. 1999) and CRY (Miyamoto and Sancar 1998; Kume et al. 1999; Vitaterna et al.

1 1999; Van Der Horst et al. 1999) proteins translocate into the nucleus, interact with each other and 2 suppress the activity of the CLOCK/BMAL1 complex, reducing the transcription of *Per* and *Cry* 3 and hence completing one 24-hour cycle of the circadian clock. This main feedback loop is flanked 4 by subsidiary feedback loops such as those of Reverse Erythroblastosis Virus proteins (REV-ERBs 5 (Preitner et al. 2002; Guillaumond et al. 2005) and RAR-related orphan receptors (RORs) (Sato et 6 al. 2004; Guillaumond et al. 2005). The genes encoding these proteins are transcribed by the 7 CLOCK/BMAL1 complex. While the REV-ERBs inhibit *Bmal1* expression, the RORs activate it. 8 All these proteins also have targets outside the clock machinery. Due to the circadian patterns of 9 expression and activity of clock transcription factors, their downstream targets can also be 10 expressed in a circadian fashion. Such genes are called clock-controlled genes (Zhang et al. 2014). 11 These clock-controlled genes have a circadian pattern of expression and have short half-lives, but 12 they are not a part of the circadian clock.

Most cell types in the body express clock genes and therefore have the capacity to generate autonomous circadian rhythms. A master clock, present in a brain region called the suprachiasmatic nucleus (SCN), synchronizes the other body clocks via various neuronal, humoral and systemic cues (Dibner *et al.* 2010; Hastings *et al.* 2019).

17

#### 18 Ubiquitin-based PTMs in mammals

As in other eukaryotes, mammalian clock proteins undergo ubiquitination, which is key to the functioning of the molecular clockwork. In the upcoming sections, we will explore the ubiquitination and deubiquitination of each of the core clock proteins, as well as the roles of these clock proteins themselves in regulating the ubiquitination/deubiquitination of other proteins.

#### 1 Cryptochrome (CRY) proteins

#### 2 Ubiquitination

3 The first known mediator of degradation of CRY proteins was F-Box And Leucine Rich Repeat 4 Protein 3 (FBXL3). This F-box protein associates with Skip and Cullin to form the SCF<sup>FBXL3</sup> E3 5 ubiquitin ligase complex, which ubiquitinates CRY proteins and targets them for degradation. 6 Loss-of-function mutations of *Fbxl3* revealed an increased half-life of CRYs as well as a longer 7 free-running period in these mutant mice (Busino et al. 2007; Siepka et al. 2007; Godinho et al. 8 2007). CRY proteins have two major functional domains: while one is necessary for CRY/PER 9 interactions, the other (conserved) one can bind FADH (required for the photoreception activities 10 of cryptochromes in other organisms such as plants and invertebrates.). While there is no evidence 11 of CRY-mediated photoreception in mammals, the FAD-binding domain acts as the primary docking site for FBXL3. After docking, SCFFBXL3 acts by binding and inactivating both the FAD-12 13 and PER-binding domains of CRY2. This interaction was reduced in the presence of PER2 (Xing 14 et al. 2013). Accordingly, KL001, a small molecule identified in a cell-based circadian screen, was 15 shown to bind the FAD-binding pocket of CRY proteins, and by doing so, to inhibit the binding 16 of FBXL3 and to stabilize CRYs (Hirota et al. 2012).

FBXL21 is a paralog of FBXL3, which is also involved in the degradation of CRY proteins. *Fbxl21* gene displays a circadian expression (Dardente *et al.* 2008). Interestingly though, despite the similarity of the two ubiquitin ligases, mice that lack (Hirano *et al.* 2013) or contain a mutated form (Yoo *et al.* 2013) of FBXL21 display either no change in free-running period or a small reduction, in contrast to the very long period of mice lacking FBXL3 function (Siepka *et al.* 2007; Godinho *et al.* 2007), while the double KO or mutant mice show intermediate phenotypes, rather than an additive effect (Yoo *et al.* 2013; Hirano *et al.* 2013). The explanation for this phenotype is

1 that, at the cellular level, FBXL21 and FBXL3 are spatially separated and have distinct — rather 2 than redundant — roles in the mammalian clock. CRY protein levels generally peak in the night 3 (Yoo et al. 2013). FBXL21 is involved in the degradation of CRYs in the cytoplasm, where it 4 forms an SCF complex that slowly degrades CRYs and prevents them from peaking too early 5 (Hirano et al. 2013). In the early night, FBXL3 begins to ubiquitinate CRYs in the nucleus for 6 degradation. FBXL21 is present in the nucleus as well and has a higher affinity for CRYs than FBXL3, but a lower ubiquitinating activity. Thus, FBXL21 reduces the association of CRYs to 7 8 FBXL3, which prevents it from targeting CRYs for degradation too quickly. This antagonistic 9 relationship between FBXL3 and FBXL21 is essential to ensuring an adequate build up and 10 subsequent decrease of CRY protein levels and thus setting the period of the clock. This model is 11 consistent with the effect of mutations on the period in mice (Yoo et al. 2013; Hirano et al. 2013). 12 F-box and WD repeat domain-containing 7 (FBXW7) is another F-box protein that might be regulating CRY proteins. Using colorectal cancer cells, a link was established between FBXW7 13 14 and CRY2. FBXW7 bound CRY2 phosphorylated at Thr300, and the overexpression of FBXW7 15 led to a decrease in CRY2 levels and half-life (Fang et al. 2015). Of note, another study described 16 later in this review, and focusing on FBXW7 and REV-ERBa mentioned (but did not show data) 17 that this ubiquitin ligase does not bind CRYs (Zhao et al. 2016). This discrepancy, and the in vivo 18 relevance of the FBXW7-CRY interaction, remains to be clarified. Further, it would be of interest 19 to understand how the activity of FBXW7 ties into the FBXL3-FBXL21-CRY regulatory 20 pathways.

Another ubiquitin ligase implicated in the degradation of CRYs is a protein complex consisting of Cullin 4-Damaged DNA-binding protein 1 (CUL4-DDB1) and CDT10-dependent transcript 2 (CDT2, also named DCAF2). The ubiquitin ligase activity on CRY1 was ascribed to 1 CUL4-DDB1 whereas CDT2 is important for the binding of the complex to CRY1. Ubiquitination 2 assays and co-IP experiments showed that CUL4-DDB1 ubiquitinates and destabilizes CRY1, and 3 knockdown of DDB1 stabilizes it. Mutating Lys585 of CRY1 (the residue being ubiquitinated) to 4 an alanine causes it to become insensitive to the ligase complex and show elevated protein levels. 5 In cells with either a mutant DDB1 or ligase-insensitive CRY1, the amplitude of bioluminescence 6 circadian rhythms was increased, although the period remained unchanged. Thus, CUL4-DDB1-7 CDT2 is a novel ubiquitin ligase complex of CRY proteins in the clock machinery (Tong et al. 8 2015).

9

#### 10 Deubiquitination

11 USP2 is a rhythmically expressed DUB that was shown to be involved in the deubiquitination of 12 CRY1 (Tong et al. 2012). USP2 is a particularly interesting protein, with respect to the clock. On 13 one hand, the gene is widely expressed in the body and presents rhythmic expression patterns in 14 all the tissues that express it (one of the only genes to have this property) (Yan et al. 2008; Zhang 15 et al. 2014). On the other hand, USP2 is intricately involved with the clock and contributes to the 16 deubiquitination of multiple clock proteins, which will be addressed further in the text. These 17 properties make USP2 an important deubiquitinase in the circadian system. Specifically, with 18 respect to CRY proteins, USP2 is involved in regulating the stability of the CRY1 protein. Serum 19 stimulation of U2OS cells led to the induction of USP2, as well as the stabilization of CRY1. 20 Knocking down Usp2, in cultured cells or in the mouse liver, resulted in decreased CRY1 protein 21 levels and increased ubiquitination patterns. Thus, USP2 modulates the half-life of CRY1 (Tong 22 et al. 2012).

1 More recently, USP7 (also known as Herpes-activated USP or HAUSP, due to an alternate 2 mode of activation of this protein, in the presence of Herpes viral protein) was shown to 3 deubiquitinate both CRY proteins. ShRNA-mediated knockdown of Usp7 in fibroblasts showed 4 an increase in the ubiquitination of CRYs and hence, a decrease in CRY protein levels (Papp et al. 5 2015; Hirano et al. 2016). As expected, the knockdown of Usp7 resulted in a reduction of circadian 6 period (also seen in *Fbxl3* overexpression mutants). More interestingly, *Usp7* overexpression in 7 *Fbx13* knockdown cell lines still resulted in greater stabilization of CRY proteins than in *Fbx13* 8 knockdown controls, hence pointing towards the role of USP7 as a deubiquitinase that is not 9 specific to ubiquitination by a specific ligase (Hirano et al. 2016). A recent study involving the 10 Melanoma Antigen L2 protein (MAGEL2) protein has further refined the understanding of the 11 USP7-CRY relationship. In this paper, it was shown that MAGEL2, a protein known to interact 12 with ubiquitin ligases and DUBs, interacts with USP7 and prevents its binding to CRY proteins. 13 Furthermore, MAGEL2 overexpression led to a reduction in CRY levels, showing that USP7 is 14 required for the deubiquitination and stability of CRY proteins (Carias et al. 2020). The circadian 15 expression of MAGEL2 suggests that the phased activity of USP7 might be regulated by the 16 presence of MAGEL2.

17

#### 18 The role of CRYs in ubiquitination

19 Recent studies have shown that many clock proteins are also involved in modulating the 20 ubiquitination of other proteins, by binding to ligases as cofactors. This function of CRYs is 21 especially well studied. The SCF<sup>FBXL3</sup> complex has two separate binding sites for CRYs: while 22 binding to one of them blocks the active site of CRY proteins and causes their polyubiquitination, 23 binding to the other site allows CRYs to act as cofactors to the SCF<sup>FBXL3</sup> complex and promotes the ubiquitination of other targets of the SCF<sup>FBXL3</sup> complex. The SCF<sup>FBXL3</sup> complex recruits the
 CRYs to ubiquitinate proteins involved in the cell cycle, such as Tousled-like Kinase 2 (TLK2)
 (Correia *et al.* 2019; Huber *et al.* 2016).

A major player in regulating the cell cycle is c-Myc. This protein is a transcription factor and activates genes involved in cell growth and proliferation. Interestingly, CRY2 (but not CRY1) is part of the FBXL3 E3 ligase complex that was recently shown to stimulate the degradation of c-Myc. In accordance with this finding, a loss of CRY2 not only stabilized c-Myc, but also resulted in increased proliferation of the CRY2-deficient cells (Huber *et al.* 2016).

Another important protein family involved in the cell cycle is the E2F family of transcription factors (including activators and repressors of the cell cycle). These proteins are key to the rate of progression of the cell cycle (especially the G-to-S phase transition) and hence, their expression and degradation pathways need to be tightly regulated. The SCF<sup>FBXL3+CRY2</sup> complex has been implicated in this process of regulation of the repressive members of the E2F family. In the absence of CRY2, the cell cycle progresses more rapidly, due to reduced ubiquitination of E2Fs (Chan *et al.* 2020).

Within the context of functioning of the core clock itself, CRY proteins are thought to modulate the ubiquitination and stability of PER proteins. PER2 levels are strongly reduced in *Cry* double KO mouse tissues (Shearman *et al.* 2000). In *Cry* double KO cells, in the presence of a proteasome inhibitor, ubiquitinated PER2 levels were higher. CRYs bind to PER2, prevent its export from the nucleus and thus, its ubiquitination (Yagita *et al.* 2002).

#### 1 Period (PER) proteins

#### 2 Ubiquitination

3 PER proteins have the shortest half-lives among clock proteins (D'Alessandro et al. 2015). Hence, 4 it is of paramount importance that the ubiquitination and deubiquitination be tightly regulated to 5 maintain the 24-hour rhythmicity. Beta-Transducin Repeat Containing Protein (β-TrCP1 or 6 FBXW1) and  $\beta$ -TrCP2 (FBXW11) are homologs of *Drosophila* SLIMB. In mammalian cells, the 7 β-TrCP proteins bind to PER proteins and stimulate their degradation (Reischl et al. 2007; Ohsaki 8 et al. 2008). Knockdown of  $\beta$ -TrCP expression dampens circadian rhythms (Reischl et al. 2007; 9 Ohsaki et al. 2008), due to a reduction in the transcription of Per and Cry genes, possibly as a 10 result of accumulation of PERs and hence, increased inactivation of the CLOCK/BMAL1 11 complex. As a result, the circadian period was lengthened in cells (Reischl et al. 2007). 12 Interestingly though, the free-running period of locomotor activity rhythms was not altered in  $\beta$ -13 *TrCP1* KO mice compared to controls, possibly due to redundancy with  $\beta$ -*TrCP2* (Ohsaki *et al.* 14 2008).

15 Addressing such a redundancy of  $\beta$ -TrCPs for their action on PER proteins in vivo was made 16 difficult by the essential role of  $\beta$ -TrCP2 during development, such that KO of this gene is 17 embryonically lethal. To circumvent this, D'Allessandro et al. generated inducible  $\beta$ -TrCP2 KO 18 mice. Inducing KO of this gene in adult mice allowed to see a reduced amplitude and an increased 19 period of locomotor activity rhythms, unlike what was seen in cells (D'Alessandro et al. 2017). 20 Notably, crossing these  $\beta$ -TrCP2 KOs with  $\beta$ -TrCP1 KO mice led to a strong worsening of the 21 circadian rhythms (D'Alessandro et al. 2017), supporting the redundancy of these ubiquitin 22 ligases, and their crucial role in the clock mechanism.

1 The recruitment of  $\beta$ -TrCP is conditional on specific phosphorylation of PER proteins. Casein 2 Kinase  $1\varepsilon$  (CK1 $\varepsilon$ ) is the kinase that is involved in this process. CK1 $\varepsilon$  interacts directly with PER2 3 and when the activity of CK1E is blocked, the circadian period is observed to lengthen, and 4 degradation of PER proteins also reduces (Eide et al. 2005). Thus, CK1e has a central role in 5 regulation of PER stability. Interestingly though, despite the effect of  $\beta$ -TrCPs on PER protein 6 stability, PER ubiquitination still occurs in  $\beta$ -TrCP double KO cells, indicating that other ligases 7 can act on PER proteins. Thus, it was suggested that  $\beta$ -TrCPs were acting specifically on the pool 8 of hyperphosphorylated PER proteins (D'Alessandro et al. 2017).

9 Ubiquitin protein ligase E3 component N-recognin 4 (UBR4) is an E3 ligase of the N-end rule 10 pathway with a potential role in PER2 ubiquitination and degradation. UBR4 protein peaks with a 11 phase that coincides with the beginning of PER2 degradation in the SCN (early night) (Ling *et al.* 12 2014). More research will be needed to define the implication of this ubiquitin ligase in clock 13 function.

14

#### 15 Deubiquitination

16 Two DUBs were shown to counteract the effect PER protein ubiquitin ligases. One of them is 17 USP2, which was discussed above as a CRY1 deubiquitinase. Mice KO for USP2 show a slightly 18 longer free-running period than WT mice. Interestingly, although USP2 associates with PER1 and 19 promotes its deubiquitination, it seems to have no effect on the stability of PER proteins (Yang et 20 al. 2012). Instead USP2 regulates the intracellular localization of PER1, and Usp2 KO cells display 21 reduced PER1 levels in the nucleus (Yang et al. 2014). A possible role of USP2 in regulating the 22 response of the clock to light was also investigated. A notable impact of Usp2 KO was found: the 23 response of Usp2 KOs to light signals causing phase delays (early night light pulse, or delay in the light-dark cycle) was enhanced, whereas the response to stimuli causing phase advances was
 largely reduced (Yang *et al.* 2012).

3 USP14 is another, recently discovered, DUB regulating PER protein levels. USP14 reduced 4 the levels of polyubiquitinated PER1 (D'Alessandro *et al.* 2017). In the presence of a dominant 5 negative form of USP14 in cells, PER2 was destabilized and the period of a bioluminescent 6 reporter (a proxy for clock function) was shortened (D'Alessandro *et al.* 2017).

7

#### 8 The role of PER proteins in ubiquitination

9 P53 is a cell cycle checkpoint transcription factor. The activation of p53 results in G2/M transition 10 arrest in the cell cycle and promotes cell apoptosis (Taylor and Stark 2001). This protein is 11 generally activated in response to DNA damage in the cell. PER2 was found to bind and inactivate 12 p53 (Gotoh et al. 2014). Another function of PER2 is to prevent the degradation of p53 by blocking 13 its ubiquitination by the murine double minute 2 (MDM2) E3 ligase. At the end of the G2 phase, 14 PER2 dissociates from p53, which allows MDM2 to ubiquitinate it for degradation. In conditions 15 of genotoxic stress, it was shown that PER2 dissociates from p53, hence allowing it to transcribe 16 proapoptotic genes (Gotoh et al. 2015).

In the section about CRY proteins, we saw that CRYs protect the PERs from degradation. The PER proteins return the favor. It was observed that CRY1/2 levels went down in *Per1/2* double KO mice (Bae *et al.* 2001). Furthermore, CRY ubiquitination decreased in a dose-dependent manner in the presence of PER2 (Yagita *et al.* 2002). The mechanism seems to be based on the binding of PER2 to the cofactor pocket of CRY proteins, hence preventing their ubiquitin ligases from binding to them (Xing *et al.* 2013). Also, the export of PER and CRY proteins out of the nucleus is reduced when they are in complex with each other (Yagita *et al.* 2002). Thus, in
 complex, PER and CRY proteins inhibit each other's ubiquitination.

3

#### 4 BMAL1

5 Ubiquitination

6 The CLOCK/BMAL1 complex, as a central player in the molecular clock, requires a precise and
7 timely regulation of its activity. This involves a number of PTMs of these proteins, including well8 orchestrated ubiquitination events.

9 A serendipitous experiment led to the discovery of a role for Ubiquitin protein ligase E3A 10 (UBE3A) in the ubiquitin tagging of BMAL1 for degradation (Gossan et al. 2014). In experiments 11 initially aimed at immortalizing mouse embryonic fibroblasts (MEFs), harvested from PER2::LUC 12 mice, using oncogenes E6/E7, Gossan and colleagues observed severely dampened 13 bioluminescence rhythms, and reduced BMAL1 expression levels and stability. They suggested 14 that this could be due to ubiquitination of BMAL1 by UBE3A, an E6-associated ubiquitin ligase. 15 Further study using a mutant UBE3A lacking ligase activity indeed showed an accumulation of 16 BMAL1. Bioluminescence assays in knockdown and overexpression models of Ube3a showed an 17 increase and decrease in period, respectively. Ube3a knockdown experiments in Drosophila 18 further indicated a role of this ubiquitin ligase in regulating circadian rhythms (Gossan et al. 2014). 19 BMAL1 is also ubiquitinated by Ubiquitin conjugating enzyme E2 O (UBE2O). This is, 20 interestingly, an E2 ligase, which is actually an E2/E3 hybrid (also referred to as E3-independent). 21 Experiments in U2OS cells have shown that UBE2O is able to ubiquitinate and hence promote 22 degradation of BMAL1. Knocking down Ube20 resulted in an increase in the amplitude of the bioluminescence rhythms being recorded from these cells, while also stabilizing BMAL1(Chen *et al.* 2018b).

TNF receptor associated factor 2 (TRAF2) is another recently identified ubiquitin ligase that seems to modulate the ubiquitination of BMAL1 (Chen *et al.* 2018a). Overexpression of TRAF2 in HEK293 cells resulted in a 2.5-fold reduction of BMAL1 half-life. Further, TRAF2 and BMAL1 physically interacted to mediate the E3 ligase activity of TRAF2. This interaction led to an increase in the rate of BMAL1 degradation, indicating that TRAF2 ubiquitinates and hence, promotes degradation of BMAL1 (Chen *et al.* 2018a).

9 The E3 ligase STIP1 homology and U-box-containing protein 1 (STUB1) ubiquitinates BMAL1 in the context of cellular ageing (Ullah et al. 2020). STUB1 was identified in a screen for 10 11 BMAL1 partners. The overexpression of STUB1 downregulated BMAL1 protein levels, while 12 they increased in Stub1 knocked-down HEK293 cells. Further, STUB1 promoted 13 polyubiquitination of BMAL1. Although the impact of this interaction on circadian rhythms was 14 not investigated, this process was found to take place during conditions of oxidative stress (Ullah 15 et al. 2020). Whether this interaction takes place even in homeostatic conditions and what its 16 effects are, still needs to be studied.

Small Ubiquitin-related Modifier (SUMO) is a protein related to ubiquitin. This is also a PTM and follows a pathway of attachment similar to the ubiquitin ligation pathway (Gill 2004). BMAL1 was found to be SUMOylated at its Lys259 in the presence of the CLOCK. This SUMOylation event occurred in a rhythmic fashion in the mouse liver, and peaked in the late subjective day, i.e. the time of highest CLOCK/BMAL1 transcriptional activity. A form of BMAL1 mutated at Lys259 was more stable, and cells with this mutant BMAL1 showed altered circadian gene expression (Cardone *et al.* 2005). Further studies revealed that SUMO 2/3 are essential to the activation of the CLOCK/BMAL1 complex, as well as to the ubiquitination and subsequent
degradation of BMAL1. Blocking the proteasomal degradation pathway led to accumulation of
BMAL1 molecules that were tagged not only with ubiquitin, but also with SUMO 2/3 (Lee *et al.*2008). The activation of CLOCK/BMAL1 complex in response to SUMOylation was elucidated
in a later study, where SUMOylation was shown to be essential to the binding of the co-activator
CREB binding protein (CBP) (Lee *et al.* 2015).

7

#### 8 Deubiquitination

9 The deubiquitination of BMAL1 involves at least two DUBs, USP2 and USP9X. In 2008, Lee and 10 colleagues were the first to find that BMAL1 was being deubiquitinated by a protein known as 11 UBP41, which was later shown to be the 45 kDa isoform of the USP2 protein (Lee et al. 2008; 12 Gousseva and Baker 2003). This result was confirmed using biochemical and mouse behavioral 13 studies. BMAL1 protein levels were reduced in the SCN of Usp2 KO mice, especially in the late 14 day and early night, a time when CLOCK/BMAL1 has its highest activity. Accordingly, PER1 15 levels are also reduced around those times (Scoma et al. 2011). Further, the KO mice showed only 16 minor phenotypes in their light responses, and no difference in their free-running period, compared 17 to WT mice (Scoma et al. 2011). This is surprising, considering the effects on BMAL1 stability 18 and abundance, and given the different results in the other Usp2 KO line described above (PER 19 section), which have an altered free-running period and a clear light response phenotype (Scoma 20 et al. 2011; Yang et al. 2012).

More recently, cell culture studies identified USP9X (also known as UCH FAF-X) as another potential BMAL1 DUB (Zhang *et al.* 2018). Overexpression of USP9X in HEK293 cells showed a marked increase in the stability and abundance of BMAL1, with the complementary

bioluminescence studies showing higher amplitude circadian oscillations. Knocking down *Usp9X*via siRNA supported the above results, by presenting a marked decrease in BMAL1 levels (Zhang *et al.* 2018). Based on the slight phenotypes detailed above, it is possible that USP2 and USP9X,
and perhaps other DUBs, might act on BMAL1 in a partly redundant manner.

5 Finally, Protein Kinase C  $\gamma$  (PKC $\gamma$ ) was shown to inhibit ubiquitination of BMAL1 in 6 HEK293 cells and increase its stability. Interestingly, it is also able to unconventionally promote 7 deubiquitination of BMAL1: PKC $\gamma$  phosphorylates the ubiquitin tags on BMAL1, hence 8 promoting cleavage and removal of these degradation signals from BMAL1(Zhang *et al.* 2012).

9

#### 10 The role of BMAL1 in ubiquitination

11 BMAL1 (together with CLOCK) was found to associate with DDB1-CUL4, an important ubiquitin 12 ligase in the DNA damage repair pathway. This association helps the monoubiquitination of 13 histone H2B around E-box motifs near the *Per1* and 2 genes. This histone monoubiquitylation 14 seems to be essential to the subsequent stable binding of the PER component of the PER/CRY 15 complex, which results in the inhibition of CLOCK/BMAL1, and thus, completes the circadian 16 cycle. This seems to be an additional safety layer to the circadian cycle's negative arm. Knocking 17 down Ddb1 or Cul4 shortened circadian period. It was then proposed that other ubiquitinated 18 histones might also be involved in similar processes of recognition and regulation of the other 19 clock genes (Tamayo et al. 2015). This is an example of the non-degradative properties of 20 ubiquitination within the clock machinery.

#### 1 REV-ERB $\alpha$

#### 2 Ubiquitination

3 Like most of the other clock proteins, REV-ERB $\alpha$  is also ubiquitinated by an F-box protein, 4 FBXW7, which selectively ubiquitinates and hence tags REV-ERBa for degradation (Zhao et al. 5 2016). Ubiquitination by FBXW7 requires prior phosphorylation of REV-ERBa by Cyclin 6 dependent kinase 1 (CDK1). Cells with an siRNA based Fbxw7 knockdown showed a reduction 7 in the amplitude of circadian rhythms of a bioluminescent reporter. This is expected, since REV-8 ERBa would constitutively repress BMAL1 expression in the absence of degradation. Further, 9 global deficits in sugar metabolism were observed, even in liver-specific Fbxw7 KO mice (Zhao 10 et al. 2016). Fbxw7 expression is, interestingly, circadian, since it is controlled by D-binding 11 protein (DBP), a rhythmically expressed transcription factor. SUMOylation seems to be a 12 prerequisite for FBXW7-mediated ubiquitination of REV-ERBα (Pariollaud et al. 2018). CDK1 13 phosphorylation allows SUMO2 addition to REV-ERBa, which then allows for ubiquitination by 14 FBXW7. In the lungs, this SUMOylation event seems to be activated by inflammatory signals. 15 Thus, in the lungs, FBXW7-mediated ubiquitination of REV-ERBa seems to be primarily a 16 response to inflammation (Pariollaud et al. 2018).

17 Arf-bp1 and PAM (Myc-bp2), a HECT E3 ligase and a RING-domain E3 ligase, respectively, 18 act jointly to ubiquitinate and hence degrade REV-ERB $\alpha$  (Yin *et al.* 2010). Silencing their genes 19 in HFR cells resulted in an upregulation in REV-ERB $\alpha$  levels and hence a downregulation of 20 BMAL1, which resulted in a shorter period, as expected. It is thought that one of these ligases acts 21 as a scaffold, while the other performs the catalytic activity, although the specific roles of the two 22 have not yet been elucidated (Yin *et al.* 2010).

Seven in absentia 2 (SIAH2) is a RING type E3 ubiquitin ligase which was found to regulate 1 REV-ERBa was via a screen aiming to isolate E3 ligases acting on this clock protein (DeBruyne 2 3 et al. 2015). A FLAG-tagged version of this clock protein was expressed in AD293 cells, along 4 with various ubiquitin ligases, protein synthesis was blocked, and the cells screened for a reduction 5 of the FLAG signal. SIAH2 downregulated REV-ERBa, and its knockdown resulted in an increase 6 in stability of REV-ERBa. This points towards a role for Siah2 as an E3 ligase that helps catalyze 7 the degradation of REV-ERBa. However, SIAH2 is not itself the primary E3 ligase for this clock 8 protein, as evidenced by the continued, efficient, if slow, degradation of REV-ERBa in the absence 9 of SIAH2. Further, real-time bioluminescence recordings of Bmall-luciferase cells showed a 10 decreased amplitude and increased period upon knockdown of SIAH2 (DeBruyne et al. 2015). 11 This increased period in the knockout of a ligase specific to REV-ERBa contrasts with the 12 shortened period observed in cells knocked down for ARF-BP1 and PAM.

13 In addition to its role in CRY ubiquitination described earlier, FBXL3 contributes to the 14 maintenance of circadian rhythms via a second mechanism, where it modulates the levels of REV-15 ERBa (Shi et al. 2013). Knocking out Rev-erba rescues the long-period phenotype of Fbxl3 KO 16 mice, independently of the effect of the latter KO on CRYs and E box-mediated gene expression. 17 In Fbxl3 KOs, REV-ERBa levels remained constitutively high. Further, HDAC3, a histone 18 deacetylase recruited by REV-ERBa to RREs (the DNA elements bound by REV-ERBa) to 19 suppress transcription, showed a more sustained binding to chromatin in these KOs. Using binding 20 and co-IP assays, it was shown that REV-ERBa recruits FBXL3 to the RREs and FBXL3 then 21 inhibits transcriptional repression by ubiquitinating REV-ERBa and hence destabilizing the REV-22 ERBa:HDAC3 complex (Shi et al. 2013).

23

### 1 A diversity of roles for ubiquitination in circadian clocks

As can be seen from our overview of the literature, ubiquitination and deubiquitination serve a
number of key roles in circadian clocks of different phyla. Although the factors involved are
different, a few general principles can be observed.

5

#### 6 Stability and period determination

As expected, E3 ligases and DUBs control the half-life of clock proteins and this helps in precisely setting the period of the circadian clock by modulating the stability of clock proteins. Based on when it takes place in the life cycle of a protein, modulating its half-life can have different effects. Pro-degradative ubiquitination signals in the build-up phase of the protein can prevent it from accumulating or peaking too fast. For example, FBXL21 ubiquitinates CRY proteins in the cytosol in the daytime and prevents them from peaking too early in the cycle (Hirano *et al.* 2013; Yoo *et al.* 2013).

14 On the other hand, in the phase out of the protein rhythms, any modification that destabilizes 15 a protein will accelerate the circadian clock. FWD-1 in *Neurospora* (He et al. 2003) and FBXL3 16 in mammals (Busino et al. 2007) are good examples of E3 ligases promoting degradation of the 17 proteins in the negative arm of the clock, hence allowing the restart of a new cycle of the circadian 18 clock. This being said, these two phases of the clock protein regulation sometimes overlap in their 19 mechanisms to regulate the speed of the clock: a fitting example of this is the crosstalk between 20 FBXL21 and FBXL3, described above, where FBXL21 (which is also present in the nucleus) 21 slows down the action of FBXL3 on CRY proteins (Yoo et al. 2013). Overall these effects on 22 clock protein stability are key in regulating the free-running period of the clock.

#### 1 Subcellular localization

While modulation of degradation pathways is the best-known function of ubiquitination, another role of ubiquitination is to regulate the intracellular localization of proteins. The clock is no exception to this, and ubiquitin tags can, in some cases, modulate subcellular localizations of clock proteins, as a way to modulate interactions within the clock machinery. One example relates to the TIM nuclear localization in *Drosophila*: CRY binds to and causes TIM to translocate into the nucleus, in response to light (Dissel *et al.* 2004), but the presence of E3 ligase JET prevents this translocation and rather targets TIM for degradation (Koh *et al.* 2006; Van Gelder 2006).

9 USP2, a mammalian DUB, also exerts a similar function. In *Usp2* KO fibroblasts, subcellular 10 localization of PER1 was shown to be impaired (Yang *et al.* 2014). Moreover, the acrophase of 11 the USP2 protein corresponds to that of the PER1 protein. This makes it possible that USP2 12 regulates nuclear localization of PER1, at a time of day when this clock protein generally regulates 13 the activity of the CLOCK/BMAL1 complex, in conjunction with the CRYs.

14

#### 15 Integration of input pathways

16 The processes of ubiquitination and deubiquitination can also mediate the connection between 17 input pathways and the clock machinery. The most obvious example of this is the mediation of 18 light input pathways. In many organisms, cryptochromes are light sensors and pass on this photic 19 information to the clock. For example, in plants, COP1 is an E3 ligase which is activated by light 20 input via CRYs (Yang et al. 2001). This causes ubiquitination (and degradation) of GI, which 21 promotes transcription of CCA1 and LHY (Yu et al. 2008). Hence, light input in the daytime leads 22 to the suppression of morning phased genes. Similarly, light is perceived by Drosophila CRY, leading to JET-mediated TIM ubiquitination and degradation, and hence, clock resetting (Koh et 23

al. 2006). In mammals, mice KO for the DUB USP2 showed alterations of the response of the
 clock to light stimulation (Yang *et al.* 2012).

The integration of input pathways by clock-based ubiquitination and deubiquitination also
extends beyond photic inputs. An example is again provided by USP2: CRY1 protein is stabilized
and upregulated in fibroblasts upon serum stimulation, due to its deubiquitination by USP2 (Tong *et al.* 2012). A similar USP2-dependent stabilization of CRY1 occurs in response to the cytokine
TNFα, which could underlie some of the effects of inflammation on the molecular clock (Tong *et al.* 2012; Cermakian *et al.* 2014).

9

10 Activity of clock proteins

11 Another aspect of clock function that is modulated by ubiquitination is the activity of clock 12 proteins. This is seen, for example, in the modulation of the transcriptional activity of the 13 CLOCK/BMAL1 complex, independently of the regulation of their stability and abundance. Many 14 PTMs regulate BMAL1 activity, including ubiquitination and SUMOylation. It is interesting to 15 note that the ubiquitination of BMAL1, which closely follows its SUMOylation, occurs around 16 the late subjective day and early subjective night, a time of the circadian cycle when 17 CLOCK/BMAL1 activity is maximal (Lee et al. 2008). This might be related to the need for 18 SUMOylation of BMAL1 for the recruitment of co-activator CBP by CLOCK/BMAL1 (Lee et al. 19 2015).

20

#### 21 Regulation of clock output

Besides the timekeeping mechanisms and the input pathways, the other key component of anycircadian clock consists in the output pathways, whereby the clock regulates cellular functions in

a rhythmic fashion. This is another aspect where ubiquitination and deubiquitination can be
 involved. Indeed, these processes, if they display circadian rhythmicity, can convey such
 rhythmicity to various cellular proteins.

4 This happens for substrates of USP2, which was discussed above as a regulator of input 5 pathways to the clock but has roles to play in output pathways as well. Its abundance is rhythmic 6 in all tissues studied so far, which suggest that it could rhythmically deubiquitinate multiple 7 substrates across the body (Zhang et al. 2014; Yan et al. 2008). Examples of this process can be 8 seen in the liver and in the small intestine. In the small intestine, USP2 regulates the expression of 9 the membrane scaffolding protein NHERF4 and imparts a circadian rhythm on the abundance of 10 this protein (Pouly et al. 2016). The authors suggested that this could lead to a rhythmic regulation 11 of membrane permeability to calcium or of endocytosis. In the liver, USP2 was shown to regulate 12 the daily glucose homeostasis (Molusky et al. 2012). This was proposed to happen through a 13 regulation, by USP2, of the activity of the transcription factor C/EBPa, itself regulating HSD1, an 14 enzyme involved in glucocorticoid signaling.

In other phyla, the involvement of ubiquitin-modifying enzymes in the circadian control of physiological processes includes, as described in previous sections, the action of USP12/13 on the timing of flowering in plants (Cui *et al.* 2013; Park *et al.* 2019; Lee *et al.* 2019). In *Neurospora*, the clock-controlled transcription factor CSP1 regulates the evening expression of various genes involved in particular in metabolism (Sancar *et al.* 2011; Sancar *et al.* 2015).

20

### 21 Looking past ubiquitination and deubiquitination

Interestingly, recent papers have shown a certain degree of redundancy to the process of clock
protein degradation itself. Larrondo and colleagues showed, in *Neurospora*, that in the absence of

1 FWD1, the cognate E3 ligase of FRQ, the clock was still able to maintain its normal periodicity and amplitude (Larrondo et al. 2015). They showed, using mutants where they could modulate 2 3 phosphorylation patterns without affecting protein stability, that the circadian cycle was modulated 4 only by the availability of active, non-phosphorylated proteins. Upon phosphorylation, even in the 5 absence of degradation, the clock machinery functioned normally, as if blind to the existence of 6 the phosphorylated proteins (Larrondo et al. 2015; Kramer 2015). This could suggest that FWD1-7 mediated degradation of FRQ is dispensable for core clock function. Yet, evidence of altered 8 conidiation cycles in FWD1-disrupted cells, reported above, points towards the importance of 9 degradative signals in regulating circadian rhythms of clock output pathways, at the very least (He 10 et al. 2003).

11 Either way, these data suggest that mechanisms beyond ubiquitination can be relevant for the 12 fate of clock proteins and for the functioning of the clock feedback loops. This is not restricted to 13 the Neurospora system and was observed in mammals as well. Ode and colleagues generated CRY 14 mutants with point mutations of various phosphorylation sites on the protein. While in some of 15 these mutants, the period lengthened, without altering the stability of the protein itself, in others 16 the cells turned arrhythmic while the protein stability remained almost the same (Ode et al. 2017). 17 This is consistent with a prior report where constant CRY1 and CRY2 in fibroblasts did not result 18 in a loss of circadian rhythms, showing that CRY turnover and the cycling of these proteins is not 19 the cornerstone of circadian-clock function in mammalian fibroblasts (Fan et al. 2007). Together, 20 these results suggest that phosphorylation, which often precedes ubiquitination, might be able to 21 independently control the clock. While it is undeniable that mutants of ubiquitin ligases and DUBs 22 have shown altered circadian rhythms even though their precursor kinases were unaltered, it might 23 be possible that some of the phosphorylated protein does not get ubiquitinated or degraded even when the protein levels seem to be reducing during a circadian cycle. The protein might just be phosphorylated, sequestered and reused by the clock machinery at the phase when that protein is required yet again, in the circadian cycle.

4 Further credence is lent to this theory by the concept of Intrinsically Disordered Proteins 5 (IDPs). These IDPs, which are multifunctional proteins, exist in a conformation which is not fully 6 folded. Moreover, they can switch from one ensemble of conformations to another. This can be 7 triggered by a PTM (e.g. phosphorylation), but such a switch can happen even without PTMs 8 (Hurley et al. 2013). CRY proteins in mammals (Partch et al. 2005) and FRQ in Neurospora 9 (Hurley et al. 2013) were shown to be IDPs. FRQ was shown to be degraded quicker when not 10 bound to FRH (Cheng et al. 2005; Hurley et al. 2013). Such a regulation was proposed to be FWD-11 1 independent or to be "degraded by default", i.e. without the need for a chaperone or a ligase 12 (Hurley et al. 2013). Therefore, IDP conformation switching and degradation by default appear to 13 be additional potential ubiquitination-independent mechanisms of regulating the levels of clock 14 proteins available to complete the clock cycle.

15 Finally, all of the above is not to undermine or play down the role of the processes of 16 ubiquitination or deubiquitination in the clock. Rather, we use this opportunity to point out an 17 additional layer of control to the clock machinery, which might be able to acutely shore up the 18 circadian cycle, in the (temporary) absence of the ubiquitin-based degradation system. More 19 research is required to understand this interplay between phosphorylation, IDPs and ubiquitin-20 based control of the clock mechanisms before actual conclusions about their relative importance. 21 However, these concepts open up interesting and novel avenues, to look past the ubiquitin-22 proteasome system, about the regulation of the activity and life cycle of clock proteins.

23

# 1 The role of ubiquitination and deubiquitination in cancer

2 The circadian clock regulates virtually all physiological systems. Given the key roles of 3 ubiquitination both in clock mechanisms themselves and in the cellular outputs from the clock, it 4 is intuitive that ubiquitin-modifying enzymes active in the circadian system might mediate the 5 links between circadian clocks and various disease conditions. Although this has not been explored 6 extensively yet, examples include the possible involvement of the ubiquitin ligase UBE3A (which 7 ubiquitinates BMAL1) in neurological disorders (Shi et al. 2015) and that of the DUB USP2 in 8 metabolic pathways (Molusky et al. 2012). The area where links between ubiquitination in the 9 clock and disease have been mostly drawn so far, though, is at the intersection of the cell cycle 10 and cancer. Many studies have shown a definite link between the cell cycle and circadian rhythms 11 (Borgs et al. 2009; Gaucher et al. 2018). This is also intuitively understandable, as the cell cycle 12 is a strictly and precisely timed process in the lifetime of a cell. Hence, it comes as no surprise that 13 cancers, which involve a dysregulation of the cell cycle, also involve the dysregulation of multiple 14 processes implicated in the circadian clock pathway. Many of the ubiquitin-modifying enzymes 15 mentioned above are also, hence, tumor suppressor genes and/or play a prominent role in 16 regulating the cell cycle. Thus, studying the roles of the interacting partners of these ligases in a 17 circadian context provides an opportunity to better understand the different pathways affected 18 during cancer progression.

One example is FBXW7, an E3 ligase already mentioned above as an enzyme modifying
 REV-ERBα and possibly CRYs. It is also a tumor suppressor that regulates mammalian Target of
 Rapamycin (mTOR, a critical regulator of proliferation) expression. In tumors of the RenCa mouse
 renal carcinoma cell line, the expression of both FBXW7 and mTOR show a daily rhythm,

suggesting that ubiquitination of mTOR by this ligase confers it rhythmicity (in the liver, neither
 FBXW7 nor mTOR are rhythmic) (Okazaki *et al.* 2014).

While the study of FBXW7 led to finding therapeutic targets, sometimes the E3 ligases themselves are therapeutic targets. USP2, a circadian DUB described above as a regulator of CRY1, PER1 and BMAL1, was shown to deubiquitinate ErbB2, a gene associated with potentially fatal cases of breast cancer. By blocking degradation of ErbB2, USP2 promotes progression of the malignancy. This process seems to be accentuated due to the mis-regulation of USP2 rhythms, which lead to its constitutive activation throughout the day. Thus, targeting USP2 might represent a novel strategy to treat malignant breast cancer cells that express ErbB2 (Zhang *et al.* 2020).

10 In the same vein, anti-cancer drugs can also directly target ubiquitin tags in circadian 11 pathways. The small molecule MLN4924 is a NEDD8-activating enzyme inhibitor, which has anti-12 tumoral properties. It is able to decrease ubiquitination of ROR $\alpha$ , and thus, increase its stability 13 (Zhang et al. 2016). Since RORa is an activator of Bmall expression, the effect of MLN4924 on 14 the expression of this gene was also tested: this drug increased *Bmall* transcription and protein 15 levels, an effect likely to be through ROR $\alpha$  as the half-life of BMAL1 was unaffected. This 16 induction of BMAL1 appears to be important for the anti-proliferative properties of MLN4924 17 (Zhang et al. 2016).

While on this topic, it is also interesting to remember that clock proteins themselves are involved in the degradation machinery of proteins involved in the cell cycle. For example, as described above, CRY2 is involved in regulating the ubiquitination of c-Myc and E2F, which controls cell proliferation (Huber *et al.* 2016; Chan *et al.* 2020). Also, PER2 regulates the degradation of p53, which is involved in cell apoptosis (Gotoh *et al.* 2014; Gotoh *et al.* 2015). Generally, the circadian clock has also been implicated in the control of various components of the cell cycle (Masri and Sassone-Corsi 2018). Accordingly, it is unsurprising that defects in clock genes can affect cancer progression and circadian disruption is associated with an increased risk of cancer development: the reader is referred to other review articles for an in-depth discussion of the links between circadian rhythms, cell cycle and cancer (Soták *et al.* 2014; Masri and Sassone-Corsi 2018; Hernández-Rosas *et al.* 2020; Chan and Lamia 2020).

6 Finally, the administration of these anti-cancer drugs at various times of day might show 7 contrasting levels of efficacy, based on the expression and presence of the target proteins. One 8 example of this is the drug everolimus. Based on the rhythmic ubiquitination of mTOR by 9 FBXW7, Okazaki and colleagues tested the effect of time of administration of everolimus, an 10 mTOR-targeting drug. The treatment was found to be more effective and mouse survival improved 11 upon treatment in the evening, as compared to treatment in the morning (with no change in the 12 pharmacokinetics of the drug) (Okazaki et al. 2014). Another interesting example of 13 chronotherapeutics is observed in the administration of cisplatin, a commonly used anti-cancer 14 drug which works by damaging DNA in cancerous cells (Dasari and Tchounwou 2014). Cisplatin efficacy is limited by the availability of DNA repair mechanisms, which prevent cytotoxicity of 15 16 healthy cells due to the damaging effects of the drug. XPA is a key component of the human 17 excision repair complex, which can repair DNA damage resulting from cisplatin administration. 18 Studies have shown that DNA repair rates are significantly different at different times of day (Kang 19 and Sancar 2009; Kang et al. 2009). XPA expression has found to be strongly circadian and 20 mediated by CRY1 mediated transcriptional activation, in some organs such as the liver (Kang et 21 al. 2010). Similar to the other proteins having circadian expression discussed in this review, 22 blocking HERC3, the cognate HECT domain containing E3 ligase of XPA1 increased the period of XPA oscillations. Thus, timing of drug administration has become an important consideration
when administering drugs such as cisplatin (Kang *et al.* 2010; Dakup *et al.* 2018).

In conclusion, we see that cancer therapeutics have been used to target downstream effectors of the ubiquitination/deubiquitination pathways, the pathways themselves and in some cases, they have been used to mimic this pathway. We also see how these degradative processes help us in increasing the efficacy of chronotherapeutic strategies against cancer. This informs us of the central role played by ubiquitination and deubiquitination in modulating cancer pathways, which makes them prime targets to combat this fatal disease.

### 9 Conclusion

10 In this review, we have provided an overview of clock mechanisms in eukaryotic models and the 11 roles played by PTMs, particularly, ubiquitination and deubiquitination, in controlling the timing 12 of these clocks. We see that these events, while mainly related to the control of the timing of 13 degradation of the clock genes, are also able to control rhythms by other mechanisms such as 14 assisting in binding to their target sites and histone ubiquitination, facilitating transcription of these 15 proteins. Thus, we posit that the roles of ubiquitination and deubiquitination in the circadian 16 system still require extensive study, especially in non-mammalian contexts. Even in mammals, 17 indirect and non-degradative roles of these proteins still remain poorly understood. While recent 18 research has shown that clock proteins themselves might have a role to play in the ubiquitination 19 of other proteins, the specific mechanisms and contexts of these functions need further study. We 20 have also seen how the importance of chronotherapeutics is beginning to become apparent. More 21 work is required to untangle the roles of the different circadian players in the cancer progression 22 pathways and to understand how this can be used in the context of combating the disease.

In conclusion, the diversity of roles played by ubiquitination-controlling proteins is best captured by the famous quote from William Shakespeare's play, *As you like it*, "All the world's a stage/ And all the men and women merely players: They have their exits and their entrances/ And one man in his time plays many parts". While we have seen the some of the parts played by these proteins and how they sequentially interact with the clock and each other, we have yet to see and understand all the roles these proteins might be playing in the circadian system.

7 Thus, while much work has been done, towards understanding the roles of ubiquitination and 8 deubiquitination in the circadian clock, a lot of work remains to be done, before we can have a 9 comprehensive idea of how all these proteins function, in conjunction to modulate the circadian 10 system.

11

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### 21 Financial or Conflicts of Interest

22 The authors have no competing or financial interests to declare.

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### Figure Legends

**Figure 1.** Schematic representation of the main components of the plant circadian clock, along with the E3 ubiquitin ligases and deubiquitinases known to regulate them. The central clock components CCA1 and LHY begin the clock cycle by activating the transcription of negative regulators, *PRR7* and *9*. The subsequent action of PRR proteins at various points through the day, negatively regulates the transcription of *CCA1* and *LHY*. A series of ubiquitination and deubiquitination events on the PRRs, in the evening/ early night regulate the precise timing of CCA1 and LHY accumulation again, hence restarting the circadian cycle.

**Figure 2.** Schematic representation of the main components of the *Neurospora* circadian clock, along with the E3 ubiquitin ligase known to regulate them. WC1 and WC2 associate to form the WCC and stimulate transcription of *frq* early in the subjective day. The FRQ protein homodimerizes and complexes with the helicase FRH, to inhibit the activity of the WCC. FWD1-mediated ubiquitination of FRQ at the end of this cycle halts the repression of the WCC, hence restarting the circadian cycle.

**Figure 3.** Schematic representation of the main components of the *Drosophila* circadian clock, along with the E3 ubiquitin ligases and deubiquitinases known to regulate them. CLK and CYC associate to stimulate transcription of *per* and *tim*. PER and TIM proteins then associate to repress the activity of the CLK/CYC complex. VRI and PDPe are proteins that constitute a secondary loop in this clock. They are activated by the CLK/CYC complex and they respectively repress and

activate *clk* expression. Competing ubiquitinating and deubiquitinating events on PER, TIM and CLK precisely regulate the timing of this clock.

**Figure 4.** Schematic representation of the main components of the mammalian circadian clock, along with the E3 ubiquitin ligases and deubiquitinases known to regulate them. CLOCK and BMAL1 associate to promote the transcription of *Cry* and *Per* genes. CRY and PER proteins then associate to repress the activity of the CLOCK/BMAL1 complex. The CLOCK/BMAL1 complex also transcribes *Rev-erb and Ror* genes. The REV-ERB and ROR proteins repress and activate the transcription of the *Bmal1* gene, respectively. Ubiquitination of various clock components affect their stability, nuclear localization and/or activity, hence regulating the timing of the circadian clock.







