

# Spatio-temporal analysis of potential aquifer recharge: Application to the Basin of Mexico

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## Abstract

Regional estimates of aquifer recharge are needed in data-scarce regions such as the Basin of Mexico, where nearly 20 million people are located and where the Basin's aquifer system represents the main water source. In order to develop the spatio-temporal estimates of aquifer recharge and to analyze to what extent urban growth has affected aquifer recharge, this work presents a daily soil water balance which uses different vegetation and soil types as well as the effect of topography on climatological variables and evapotranspiration. The soil water balance was applied on a daily time step in the Basin of Mexico for the period 1975–1986, obtaining an annually-lumped potential recharge flow of 10.9–23.8 m<sup>3</sup>/s (35.9–78.1 mm) in the entire Basin, while the monthly values for the year with the largest lumped recharge value (1981=78.1 mm) range from 1 m<sup>3</sup>/s (0.3 mm) in December to 87.9 m<sup>3</sup>/s (23.7 mm) in June. As aquifer recharge in the Basin mainly occurs by subsurface flow from its enclosing mountains, urban growth has had a minimal impact on aquifer recharge, although it has diminished recharge in the alluvial plain.

*Key words:* Aquifer recharge, urban growth, soil water balance, Mexico City, Basin of Mexico, evapotranspiration.

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2 The Analysis of the spatial and temporal variability of potential aquifer  
3 recharge is needed in order to improve the understanding of regional and  
4 local groundwater flow systems as well as to prevent pollution of aquifers.  
5 The variability of recharge events is important in both arid and semi-arid  
6 areas where from a long-term analysis, evapotranspiration greatly exceeds  
7 rainfall but where short, high intensity rainfall events largely exceed evap-  
8 otranspiration, thus making more water available for recharge. Recharge  
9 can be classified based on its spatial occurrence: diffuse recharge is de-  
10 rived from precipitation or irrigation on large areas, while focused (local-  
11 ized) recharge occurs at topographic depressions such as streams and lakes  
12 (Scanlon et al., 2002). Aquifer recharge was classified by Lerner et al. (1990)  
13 as actual recharge, which is the water that reaches the water table and po-  
14 tential recharge, which is the water that might be available for recharge but  
15 which due to specific situations (e.g. high water table) is transformed into  
16 run-off. Different methods can be used to analyze aquifer recharge such  
17 as direct measurement, water balance methods, Darcian approaches, tracer  
18 techniques and empirical methods developed for particular case studies  
19 (Lerner et al., 1990).

20 Regional estimates of aquifer recharge need to consider both its spatial and  
21 temporal variability as this will improve its estimation (Lerner et al., 1990).  
22 In addition, a regional hydrogeological conceptual model needs to be de-  
23 veloped before attempting to estimate recharge, as it can occur as subsur-  
24 face flow, from streams located above the water table (i.e. losing streams),  
25 or as in a common situation in alluvial basins defined as Mountain Block  
26 Recharge (MBR), which is used to define the flow that enters an aquifer  
27 through the mountains by which it is limited. Studies that have developed  
28 estimates of MBR can be classified depending on whether they focus on the  
29 mountain block or on the basin (Wilson and Guan, 2004). Basin-centered  
30 methods include the calibration of groundwater flow models which limit  
31 the modeling domain to porous media or to the application of Darcy's  
32 law along the mountain block, while mountain block approach methods  
33 include isotope methods, empirical relations between MBR and precipita-  
34 tion or by lumped water balances (Wilson and Guan, 2004). A large number

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35 of studies have attempted to estimate MBR, mainly in the Western United  
36 States: Wasiolek (1995) developed both seasonal and annual estimates of  
37 MBR through a simple water balance in five different watersheds to esti-  
38 mate seasonal and annual MBR to the Tesuque aquifer system in Santa Fe,  
39 New Mexico while Maurer et al. (1996) determined subsurface flow to Ea-  
40 gle Valley in Nevada using Darcy's law and the chloride balance method,  
41 Wilson and Guan (2004) describe seven studies that estimated MBR in New  
42 Mexico, Utah, Colorado, Texas and Arizona.

43 A good overview of methods to estimate regional aquifer recharge is given  
44 by de Vries and Simmers (2002), while an inter-comparison study of recharge  
45 estimates using different methods is given by Flint et al. (2002) who com-  
46 pared the outcome of water balance techniques, Darcy's law in the unsat-  
47 urated zone, chloride mass balance, atmospheric radionuclides and empir-  
48 ical approaches in the Yucca mountain in Nevada. The recharge values ob-  
49 tained with each method were different, and ranged from 0 to 300 mm/yr,  
50 and according to these authors no single method adequately characterizes  
51 recharge. The difficulty of estimating aquifer recharge has been mentioned  
52 by several authors such as Sophocleous (1995) who states that it is one of  
53 the most difficult and uncertain factors to measure and that there is no  
54 established practical methodology to satisfactorily regionalize recharge es-  
55 timates. The main factors that control recharge are climate, soils, vegeta-  
56 tion/land use and topography (Fayer et al., 1996; Keese et al., 2005). The  
57 role that vegetation plays on aquifer recharge varies according to different  
58 authors: Keese et al. (2005) mention that its presence diminishes recharge,  
59 while others (Berndtsson and Larson, 1987) mention that it increases infil-  
60 tration. Keese et al. (2005) studied 13 regions in Texas with different cli-  
61 mate, vegetation and soil types. They found that vegetation reduces aquifer  
62 recharge as areas covered with trees have lower recharge values than those  
63 areas covered by grass due to the tree's deeper roots; for their study ar-  
64 eas, mean annual precipitation explained 80% of the variation in recharge.  
65 The fact that vegetation diminishes recharge is explained by Finch (1998):  
66 when root depth increases, aquifer recharge decreases as larger soil mois-  
67 ture deficits develop and need to be replenished before the soil reaches field  
68 capacity, which is when the soil will start to drain. However, the plants' wa-  
69 ter demand should also be considered here as a pine does not require the  
70 same amount of water as an arid shrub.

71 Despite the existing difficulties and uncertainties, regional estimates of aquifer  
 72 recharge are needed even when data are scarce, as is the case for the Basin of  
 73 Mexico, home to nearly 20 million people and to whom the Basin's aquifer  
 74 system is the main water supply source. Unfortunately existing data in the  
 75 region do not suffice to develop a detailed infiltration model and exist-  
 76 ing data are limited to the Basin's southern area, where the Mexico City  
 77 Metropolitan Zone (MCMZ) is located. In addition, estimates of the spatial  
 78 distribution of recharge in the study area are needed in order to analyze  
 79 the impact of urban growth both on its quantity and quality. Accordingly,  
 80 a methodology to estimate potential aquifer recharge in the Basin (which  
 81 mainly occurs as MBR) was developed, which can also be applied to other  
 82 areas. This methodology estimates potential aquifer recharge through a sim-  
 83 ple soil water balance which considers different vegetation types, soil units  
 84 and the effect of topography on climatological variables such as rainfall and  
 85 temperature, as described in the following sections.

## 86 **2 Development of a simple daily soil water balance**

87 The daily soil water balance developed for this study, considers the evolu-  
 88 tion of a depletion depth caused by a water deficit when plant water require-  
 89 ments are not met. This simple bucket model uses daily evapotranspiration  
 90 which is computed according to the FAO-56 methodology (Allen et al., 1998)  
 91 and the Near Surface Soil Storage (NSSS) term introduced by Rushton et al.  
 92 (2006), which partitions water that enters the soil water balance into a com-  
 93 ponent that remains in the upper soil (NSSS) and another component that  
 94 diminishes soil depletion through the use of a fractional storage coefficient  
 95 ( $F_{st}$ ). The daily soil water balance is expressed as:

$$96 \quad D_i = \text{if}(ET_{act} \leq SM_i, D_{i-1} - SM_i(1 - F_{st}), D_{i-1} + ET_{act_i} - SM_i) \quad (1)$$

97 where  $D_i$  represents depletion (e.g. water deficit with respect to the soil's  
 98 field capacity),  $SM_i$  soil moisture and  $ET_{act_i}$  actual evapotranspiration on  
 99 day  $i$  in [mm]. Before applying this equation, rainfall ( $R$ ) is partitioned into  
 100 runoff and water that enters the soil water balance under the assumption  
 101 that all excess rainfall is transformed into runoff, where excess rainfall is the  
 102 rainfall that exceeds the infiltration rate. In this case the infiltration rate is

103 considered equal to the saturated conductivity of the soil ( $K_s$ ); Accordingly,  
 104  $SM_i$  is determined as:

$$105 \quad SM_i = if(R_i > K_s, K_s + NSSS_{i-1}, R_i + NSSS_{i-1}) \quad (2)$$

106 where  $SM_i$  is limited by the soil's field capacity, and  $NSSS_i$  is determined  
 107 by:

$$108 \quad NSSS_i = if(ET_{act_i} \leq SM_i, (SM_i - ET_{act_i}) \times F_{st}, 0) \quad (3)$$

109 From (1, 2 and 3) it can be seen that the first factor required is  $NSSS_i$ , which  
 110 in this work was originally set to zero for the first day of the simulation,  
 111 as December and January are months in which rainfall is generally absent  
 112 in the study area, thus it is logical to assume this term to be equal to zero  
 113 by starting the soil water balance in January, while the soil's depletion is  
 114 equal to its maximum value, which in this case was set to each soil's Total  
 115 Evaporable Water (TEW) as suggested by Allen et al. (1998).

116 The soil's water deficit is a function of  $ET_{act}$  (1), which in turn is limited by  
 117 the available soil moisture; when  $ET_{act}$  is less than or equal to the available  
 118 soil moisture,  $D_i$  is equal to the depletion of the previous day minus the  
 119 fraction of  $SM_i$  available to diminish the soil's water deficit (e.g. water that  
 120 is not retained near the soil surface). When  $ET_{act_i}$  is larger than  $SM_i$ , then  
 121 it is limited by the available moisture and  $D_{i-1}$  will increase by this deficit.  
 122 Soil moisture is determined using daily rainfall that enters the soil water  
 123 balance, and  $NSSS_{i-1}$  as shown in (3) when  $ET_{act_i} \leq SM_i$  through the use  
 124 of the  $F_{st}$  coefficient; when this condition is not met, then all water is taken  
 125 by  $ET_{act_i}$ . Finally, actual evapotranspiration ( $ET_{act_i}$ ) is determined by:

$$126 \quad ET_{act} = K_c ET_o \quad (4)$$

127 where  $ET_o$  represents potential evaporation and  $K_c$  is a coefficient based on  
 128 each vegetation type, soil water stress and soil evaporation, computed by:

$$129 \quad K_c = K_{st} K_{cb} + K_e \quad (5)$$

130 where  $K_{cb}$  is a factor that varies according to the vegetation type of inter-  
 131 est, its growing stage and relative humidity. This coefficient is multiplied

by a stress factor  $K_{st}$  in order to consider the effect of soil water stress and an evaporation coefficient  $K_e$  which considers the evaporation due to wetting of the soil surface. The way in which these parameters are obtained is explained in the following sections.

## 2.1 Reference evapotranspiration

In this work the FAO-56 Penman-Monteith equation is used to determine reference evapotranspiration ( $ET_o$ ), considering the spatial distribution of net radiation, topography and both minimum and maximum temperature. This section is based on Allen et al. (2005), Allen (2000) and Allen et al. (1998), where a detailed description of the procedure is given. The Penman-Monteith equation is given by:

$$ET_o = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T+273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (6)$$

where  $ET_o$  is given in [mm/day] and  $R_n$  represents net radiation at the crop surface [MJ/m<sup>2</sup>day],  $G$  soil heat flux density [MJ/m<sup>2</sup>day],  $T$  mean daily air temperature at 2 m height [°C],  $u_2$  wind speed at 2 m height [m/s],  $e_s$  saturation vapour pressure [kPa],  $e_a$  actual vapour pressure [kPa],  $\Delta$ = slope vapour pressure curve [kPa/°C] and  $\gamma$  the psychrometric constant [kPa/°C]. Using elevation ( $z$ ), these factors can be estimated as shown in Appendix A.

Due to the lack of humidity data, this variable was determined by assuming that dew point temperature is near the daily minimum temperature ( $T_{min}$ ), as suggested in Allen et al. (1998), thus  $e_a$  can be obtained by using  $T_{min}$  (eq. A.3).

## 2.2 Vegetation parameters

Actual Evapotranspiration ( $ET_{act}$ ) is obtained by applying a crop coefficient ( $K_{cb}$ ) to the reference evapotranspiration ( $ET_o$ ) value. The  $K_{cb}$  factor is represented by a curve which is divided into an initial, development, middle, and late growing seasons. To develop this curve, the initial ( $K_{cb_{ini}}$ ), mid-season ( $K_{cb_{mid}}$ ) and final ( $K_{cb_{end}}$ ) values are needed, some of which are found in the

160 FAO-56 publication. These values represent conditions for a sub-humid cli-  
 161 mate (RH=45%) and a wind velocity of 2 m/s; however, when this method-  
 162 ology is used for different humidity conditions, the crop coefficients need  
 163 to be adjusted when their values are above 0.45 as follows:

$$164 \quad K_{cb} = K_{cb} + \left( 0.04 (u_2 - 2) - 0.004 (RH_{min} - 45) \right) \left( \frac{h}{3} \right)^{0.3} \quad (7)$$

165 where  $h$  is mean maximum plant height [m],  $RH_{min}$  mean value for mini-  
 166 mum daily relative humidity during the mid-season [%]. Relative humidity  
 167 is computed from daily temperature as:

$$168 \quad RH = 100 \frac{e_a}{e^\circ(T_{mean})} = \frac{e^\circ(T_{min})}{e^\circ(T_{mean})} \quad (8)$$

### 169 2.3 Soil evaporation

170 The evaporation coefficient ( $K_e$ ) of (5) is computed with:

$$171 \quad K_e = K_r(K_{c \max} - K_{cb}) \leq f_{ew} K_{c \max} \quad (9)$$

172 where  $K_r$  represents the way in which evaporation decreases in proportion  
 173 to the amount of water remaining in the surface soil layer,  $K_{cb}$  is the crop co-  
 174 efficient obtained through (7),  $f_{ew}$  is the soil fraction that is exposed both to  
 175 solar radiation and rainfall, and  $K_{c \max}$  is the maximum value of  $K_c$  following  
 176 rain or irrigation obtained as:

$$177 \quad K_{c \max} = \max \left( \left\{ 1.2 + [0.04(u_2 - 2) - .004(RH_{min} - 45)] \left( \frac{h}{3} \right) \right\}, \left\{ K_{cb} + 0.05 \right\} \right) \quad (10)$$

178 where  $h$  is mean maximum plant height [m],  $RH_{min}$  mean value for min-  
 179 imum daily relative humidity during the mid-season [%] and  $K_{cb,h}$  repre-  
 180 sents  $K_{cb_{mid}}$  for full cover vegetation under  $RH_{min} = 45\%$  and  $u_2 = 2$  m/s  
 181 estimated as:

$$182 \quad K_{cb,h} = 1.0 + 0.1h \quad (11)$$

183 where  $K_{cb_{mid}} \leq 1.20$  when  $h > 2$  meters. The value obtained with this equa-  
 184 tion is adjusted to other climatological conditions by (7). The soil fraction

185 that can be wetted and which is also exposed to solar radiation ( $f_{ew}$ ) is  
 186 obtained by:

$$187 \quad f_{ew} = \min(1 - f_c, f_w) \quad (12)$$

188 where  $f_w$  is the average fraction of soil surface wetted by irrigation or pre-  
 189 cipitation and which varies between 0.01 to 1, while  $f_c$  is the average fraction  
 190 of soil surface covered by vegetation and determined by:

$$191 \quad f_c = \left( \frac{K_{cb} - K_{c_{min}}}{K_{c_{max}} - K_{c_{min}}} \right)^{1+0.5h} \quad (13)$$

192 On which  $f_c$  is a value between 0 and 0.99, and  $K_{c_{min}}$  is the minimum  $K_c$   
 193 for dry bare soil with no ground cover with an approximate value of 0.15.  
 194 The limitation of (12) assumes that the fraction of soil that is wetted oc-  
 195 curs within the fraction of soil exposed to sunlight and ventilation. Finally,  
 196  $K_r$ , the remainder term of (9), represents the way in which evaporation de-  
 197 creases in proportion to the amount of water remaining in the surface soil  
 198 layer, obtained as follows:

$$199 \quad K_r = \frac{TEW - D_{e,i-1}}{TEW - REW} \quad (14)$$

200 where  $REW$  represents the Readily Evaporable Water which ranges from  
 201 5 to 12 mm (Allen, 2000) and  $TEW$  is the Total Evaporable Water, defined  
 202 as the maximum depth of water that can be evaporated from the soil and  
 203 computed as:

$$204 \quad TEW = 1000 (\theta_{FC} - 0.5\theta_{WP}) Z_e \quad (15)$$

205 where  $\theta_{FC}$  and  $\theta_{WP}$  represent soil water content at field capacity and wilting  
 206 point respectively, expressed in  $[m^3/m^3]$ , and  $Z_e$  represents the depth of the  
 207 surface soil layer that is subject to evaporation and ranges from 0.10 to 0.15  
 208 m.



210 In order to obtain a more realistic value of  $ET_a$ , eq. 5 includes a water stress  
 211 factor  $K_{st}$  which is obtained as:

$$212 \quad K_{st} = \frac{TAW - D_r}{TAW - RAW} = \frac{TAW - D_r}{(1 - p)TAW} \quad (16)$$

213 where  $K_{st}$  is a transpiration reduction factor dependent on available soil  
 214 water,  $D$  represents depletion, computed from (1),  $RAW$  is readily available  
 215 water, and represents the fraction ( $p$ ) of total available water ( $TAW$ ) in the  
 216 root zone that a plant can extract without suffering water stress.

$$217 \quad TAW = 1000(\theta_{FC} - \theta_{WP})Z_r \quad (17)$$

218 where  $Z_r$  is rooting depth, which varies according to each vegetation type.

### 219 3 The Basin of Mexico

220 The Basin of Mexico, located in the central part of Mexico has a mean eleva-  
 221 tion of 2240 meters above sea level (masl) and is home to Mexico City and  
 222 its Metropolitan Zone (MCMZ) with nearly 20 million inhabitants (Fig. 1).  
 223 The MCMZ is located in the southern and lowest region of the Basin and is  
 224 bounded to the south by the *Sierra Chichinautzin* and to the west by the *Sierra*  
 225 *de las Cruces*, which both limit urban growth in these directions (Fig. 1). Ac-  
 226 cording to Durazo and Farvolden (1983), annual precipitation in the lower  
 227 part of the Basin is approximately 700 mm, while evaporation can reach  
 228 1600–1700 mm. However, it is important to mention that these two variables  
 229 exhibit a large spatio-temporal variability in the study area as the surround-  
 230 ing mountains located south of the Basin receive large amounts of rain with  
 231 low temperatures due to the abrupt change in elevation. The Basin's aquifer  
 232 system is comprised of a Quaternary alluvial unit (Qal) which reaches a  
 233 maximum thickness of 800 m in the southern part of the Basin and from  
 234 which groundwater is extracted at a depth of 300 m (Herrera et al., 1989).  
 235 This unit is bounded to the south by the *Sierra Chichinautzin*, and is com-  
 236 prised of highly fractured Quaternary basalts (Qb) that also outcrop in other  
 237 regions of the Basin located northwards such as Chiconautla, Tizayuca,

238 Apan and Tecocomulco. The vertical hydraulic conductivity value ( $K_v$ ) for  
 239 this unit is estimated to be around  $2.4 \times 10^{-4}$  m/s (DGCOH, 1994). In the  
 240 southern part of the Basin, the Qal unit is limited to the East by the Sierra  
 241 Nevada with an elevation above 5000 masl and to the West by the Sierra de  
 242 las Cruces; the foothills of these Sierras correspond to the Tarango forma-  
 243 tion (T), comprised of tuff, pumice and lahar (Mooser and Molina, 1993)  
 244 with  $K_v$  values from  $4.0 \times 10^{-7}$  m/s to  $6.9 \times 10^{-5}$  m/s (DGCOH, 1994). In  
 245 the central part of the Basin, the Qal unit is found below a lacustrine unit  
 246 (Qla) which reaches a maximum depth of 300 m in the Chalco sub-basin  
 247 (Vázquez-Sánchez and Jaimes-Palomera, 1989) with a  $K_v$  value of  $5 \times 10^{-9}$   
 248 m/s , acting as a confining unit in the central part of the aquifer.

249 The large extraction rates from the Basin's aquifer system have caused a  
 250 continuous drawdown of the groundwater level, which on average is 1  
 251 m/yr and reaches a maximum value of nearly 2.5 m/yr north of Mexico  
 252 City (Carrera-Hernández and Gaskin, 2007a); in turn, these large drawdown  
 253 rates have triggered land subsidence, which in some areas reaches a rate of  
 254 0.4 m/yr (Strozzi et al., 2003). Despite the fact that the aquifer system repre-  
 255 sents the main source of water supply in the Basin, no regional studies have  
 256 been undertaken. To date, studies have focused mainly on the area where  
 257 the MCMZ is located, a focus that needs to change (Carrera-Hernández and Gaskin,  
 258 2007a). As part of this lack of regional studies, estimates of regional recharge  
 259 to the aquifer are also missing. In order to improve the understanding of  
 260 and to develop management policies for the Basin's aquifer system, the way  
 261 in which aquifer recharge occurs needs to be understood. To achieve this,  
 262 the present work uses spatial information on soils, land cover and clima-  
 263 tological variables to determine potential aquifer recharge, as defined by  
 264 Lerner et al. (1990)

## 265 4 Previous work in the Basin of Mexico

266 The Basin of Mexico is surrounded by mountainous terrain (Fig. 1), accord-  
 267 ingly, the estimation of  $ET_o$  has to consider the effect that slope, aspect and  
 268 shadows have on global radiation. Accordingly, this work aims to analyze  
 269 the hydrological processes that occur both on the mountains that surround  
 270 the Basin and in the Valley they enclose, as aquifer recharge occurs mainly

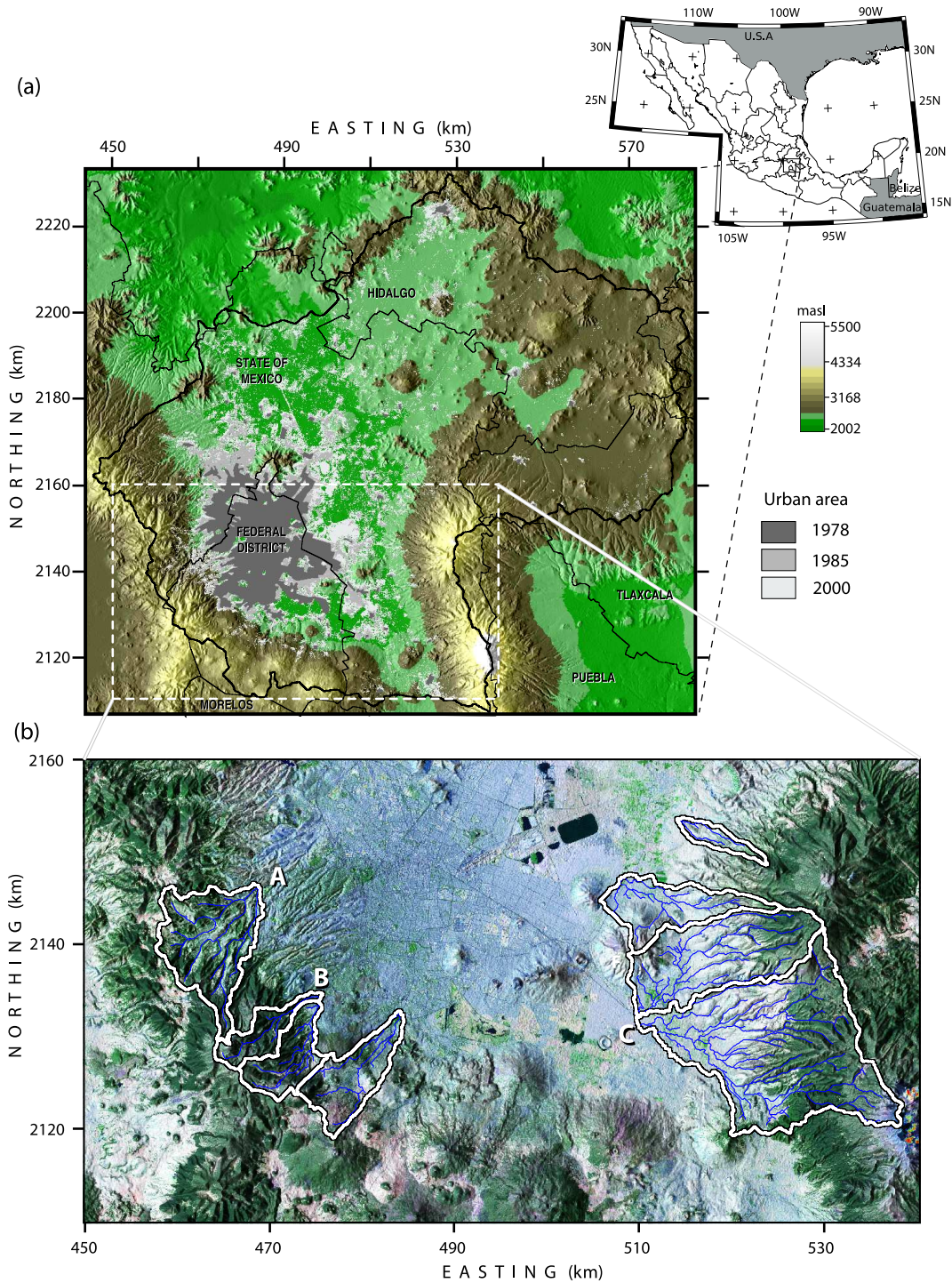


Fig. 1. Location of the Basin of Mexico showing: (a) topography and urban areas for 1978, 1985 and 2000, (b) Subbasins used to validate and calibrate the rainfall-runoff model: (A) Hondo, (B) Magdalena, (C) Compañía. Urban areas for 1978 were digitized, while the areas for 1985 and 2000 were derived from LANDSAT-TM and ETM+ imagery, respectively. The subbasins are shown on a false color composite developed from LANDSAT-ETM+ imagery acquired in 2000, topography and shaded relief developed from SRTM data

in the form of MBR. Some authors have previously attempted to determine recharge in some areas of the Basin such as Huizar-Álvarez et al. (2003) who developed a groundwater flow model for the *Pachuca-Zumpango* area, in the northern part of the Basin using a constant and uniform recharge value of  $2.92 \text{ m}^3/\text{s}$ ; however no details as to why this rate was chosen are given. Birkle et al. (1998) developed a “long-term” water balance for the Basin by using rainfall data from 1980-1985 and computing actual evapotranspiration based on the empirical relation  $ET_{actual} = P - \lambda P^2$  where  $\lambda = \frac{1}{0.8+0.14T}$ . The DGCOR (1994) used a recharge value of  $15.6 \text{ m}^3/\text{s}$  as input to its groundwater flow model for the region where the MCMZ is located, while Ortega and Farvolden (1989) estimated aquifer recharge as a percentage of precipitation in the three different Sierras that surround the Basin to the south: The *Sierra Chichinautzin* (42%), *Las Cruces* (30%–40%) and *Nevada* (40%–50%), using data from 1967 for the Sierra Chichinautzin, and both 1976 (ET) and 1983 (runoff) for the other two Sierras.

The attempts undertaken so far in the study area do not consider the effect that different vegetation types have on the hydrological processes and they have used limited climatological data. In order to overcome this problem, this work presents a methodology to estimate potential evapotranspiration at the basin scale using data which are generally available for large scale areas, such as hard copy maps, DEM, satellite imagery and climatological data, as illustrated in Fig. 2.

In order to develop the daily soil water balance, the Basin of Mexico Hydrogeological Database (BMHDB) (Carrera-Hernández and Gaskin, 2007b) which contains climatological, spatial and hydrometric data was used. As illustrated in Fig. 2, the daily soil water balance requires the spatial distribution of climatological variables, which are used to obtain reference evapotranspiration ( $ET_o$ ). Actual evapotranspiration ( $ET_{act}$ ) is determined using the FAO-56 methodology (Allen et al., 1998) through the use of ( $ET_o$ ) and different coefficients such as a vegetation-dependent coefficient ( $K_{cb}$ ), a water stress coefficient ( $K_{st}$ ) and a soil evaporation ( $K_e$ ) coefficient. This methodology was selected in order to account for different vegetation types in the Basin, instead of only relying on temperature and rainfall data. To determine  $ET_o$  an albedo map is needed in addition to other topography-related data such as an aspect (e.g. degrees from north of each slope) and slope map as indicated in Fig. 2. A land cover map is needed in order to obtain

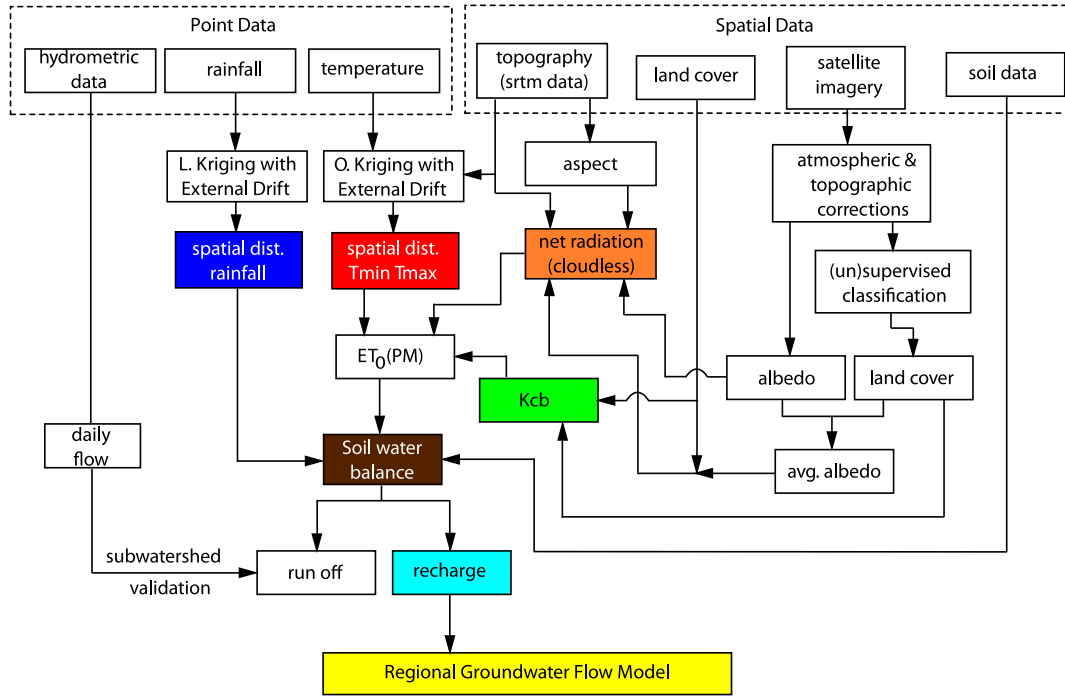


Fig. 2. Proposed approach to determine the spatio-temporal distribution of potential aquifer recharge in the Basin of Mexico and its use as boundary condition in a regional groundwater flow model

307  $ET_{act}$ , as a different  $K_{cb}$  value is used for each vegetation type which also  
 308 varies throughout the year to account for the vegetation's growing stage.  
 309 To obtain this information, different types of data can be used such as land  
 310 cover maps developed either from satellite imagery for recent years or hard  
 311 copy maps when satellite data are not available as was done in this study.  
 312 The daily soil water balance was calibrated and validated using three wa-  
 313 tersheds located in the Basin's southern region (Fig. 1(b)) by comparing the  
 314 observed and the computed daily flow volume. The basin of the *Hondo* river  
 315 is located in the *Sierra de las Cruces* and has an area of 103 km<sup>2</sup>, the *Mag-*  
 316 *dalena* river basin is located in the *Sierra Chichinautzin* with an area of 31  
 317 km<sup>2</sup> and finally, the *La Compañía* river basin is located in the southernmost  
 318 area of the Basin, in the *Sierra Nevada*, with an area close to 300 km<sup>2</sup>. What  
 319 follows is a description of the model and its implementation; however, a  
 320 more detailed description is given in Carrera-Hernández (2007).

322 Before the model could be applied in the Basin of Mexico, the spatial distri-  
323 bution of the different variables had to be obtained, a task achieved by pro-  
324 cessing point and spatial data with GRASS (GRASS development team, 2007)  
325 and the R statistical software (R Development Core Team, 2005). As a first  
326 step, a digital elevation model (DEM) at 30 meters resolution was obtained by  
327 resampling a 90 m resolution DEM obtained from the Shuttle Radar Topogra-  
328 phy Mission (SRTM) using the regularized spline with tension and smooth-  
329 ing algorithm of Mitasova and Mitas (1993). This resolution was required as  
330 surface albedo maps developed from satellite images need to be corrected  
331 for both topographic and atmospheric effects (Sjoberg and Horn, 1983). The  
332 SRTM data was also resampled to a 200 m resolution DEM following the same  
333 procedure, in order to develop the spatial distribution of climatological vari-  
334 ables; this resolution was chosen in order to: (a) account for the effects of  
335 topography on both temperature and rainfall as a 200 m grid is consid-  
336 ered to be appropriate in order to represent the variation of topographically  
337 dependent variables (Hutchinson and Galland, 1999), and (b) these climato-  
338 logical variables were developed with the idea of being used in the present  
339 study which in turn will be used as a boundary condition in a regional  
340 groundwater flow model. Therefore this resolution was used to develop the  
341 required data and to run the daily soil water balance. In order to develop  
342 the spatial distribution of climatological variables, topography was used  
343 as an auxiliary variable through the application of Kriging with External  
344 Drift (KED) in the case of both minimum and maximum temperature, while  
345 for rainfall, this was achieved by applying Kriging with External Drift in a  
346 local neighborhood (KED<sub>l</sub>), as explained in Carrera-Hernández and Gaskin  
347 (2007c).

348 As previously mentioned, the proposed methodology uses satellite imagery  
349 to develop both albedo maps and land-cover classification maps; however,  
350 the satellite images had to be corrected for both atmospheric and topo-  
351 graphic effects. Atmospheric correction was accomplished by applying the  
352 Dark Object Substraction (DOS) technique (Chavez, 1988) and by consider-  
353 ing different optical depth values for each band (Chavez, 1996). The effect of  
354 topography affects radiance due to shadows, thus the Landsat images were  
355 corrected for terrain effects using the C-correction method (Teillet et al.,

1982) as it has been found that it leads to classification improvements in forest and forest-stand/forest-type land covers (Itten and Myer, 1993). It should be mentioned that satellite imagery could have been used to estimate  $f_c$  though the use of the Normalized Difference Vegetation Index (NDVI) as shown in Carlson and Ripley (1997) or the Enhanced Vegetation Index (EVI) as used by Mu et al. (2007); however, this option was not explored in this work but could be used to analyze the evolution of  $f_c$  with remote sensing data from the Moderate Resolution Imaging Spectroradiometer (MODIS).

### 5.1 Spatial distribution of solar radiation

In order to account for the influence of topography (e.g. slope, orientation and elevation) in  $ET_o$ , the `r.sun` module developed by S uri and Hofierka (2004) was used to determine  $R_n$ . This module gives its output as three different raster maps: 1) direct radiation (e.g. cloudless direct beam radiation), 2) diffuse radiation and 3) reflected radiation. This module computes the daily sum of solar irradiation [ $Wh\ m^{-2}$ ] from sunrise to sunset and requires topography in the form of a DEM, and both an aspect and a slope map (both of which are derived from the DEM), the spatial distribution of the Linke atmospheric turbidity index, ground albedo and clear sky index (i.e. cloud cover).

Broad band surface albedo was computed from Landsat ETM+/TM imagery according to the relationship developed by Liang (2001) after applying both atmospheric and terrain corrections:

$$\alpha_{short} = 0.356\alpha_1 + 0.130\alpha_3 + 0.373\alpha_4 + 0.085\alpha_5 + 0.072\alpha_7 - 0.0018 \quad (18)$$

where  $\alpha_i$  represents at ground-reflectance. The albedo maps developed with this methodology are shown in Fig. 3 for both 1985 and 2000. As expected, albedo exhibits low values in the mountainous areas that surround the Basin (as they are forested areas) and near null values in water bodies. The 2000 albedo map was developed only for comparison, as at this moment climatological data were only gathered for up to 1990, but future work will focus on updating the BMHDB with recent data. The white regions shown in Fig. 3(a) represent cloud cover, located on areas outside of the study area.



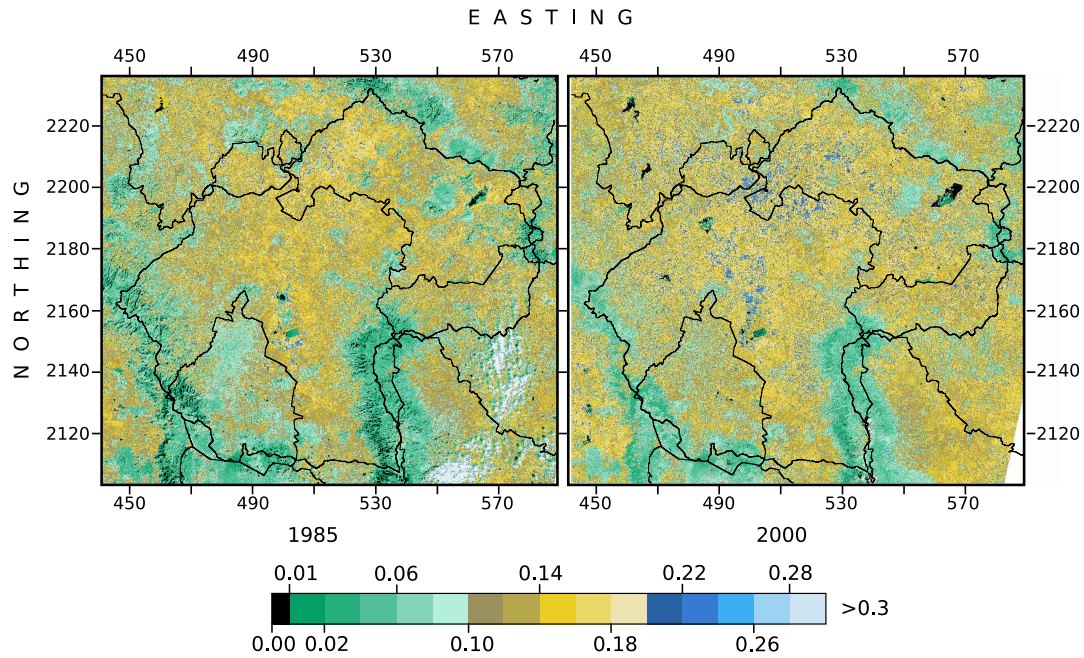


Fig. 3. Albedo maps developed from LANDSAT imagery

Table 1

Monthly averaged Linke turbidity factor for different locations in the Basin of Mexico

Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
D.F.	3.4	3.6	3.6	3.8	4.0	3.7	3.1	3.3	3.2	3.0	3.2	2.7
Pachuca	3.2	3.4	3.3	3.3	3.8	3.3	2.8	3.0	2.8	2.8	2.9	2.6
Naucalpan	3.4	3.6	3.6	3.9	4.1	3.7	3.0	3.3	3.2	3.0	3.2	2.8
Amecameca	3.4	3.7	3.6	3.8	4.0	3.6	3.0	3.2	3.1	3.0	3.2	2.6
Apan	3.3	3.5	3.5	3.6	3.9	3.5	2.9	3.1	3.0	2.9	3.1	2.6

In order to account for time variability of the Linke atmospheric turbidity value, the monthly values shown in Table 1 were used for all years of the simulation. These values were obtained from <http://www.soda-is.com>.

## 5.2 Landcover classification and vegetation parameters

The main goal of this work was to analyze the impact that land cover change through urban growth has had on aquifer recharge. Accordingly, a set of land cover maps covering the study area are required. The analysis was



394 restricted to the period from 1975–1986 in order to make use of the available  
395 data: a hard copy land cover map for 1978 and a Landsat image for 1985; in  
396 addition, although climatological data were available for up to 1990, 1986  
397 was chosen as for this year climatological data are still available for a large  
398 number of climatological stations.

399 The required land cover maps were developed from available hard copy  
400 maps for 1978 and from LANDSAT imagery for 1985 acquired in January.  
401 The Basin of Mexico is covered by two sets of Landsat images, thus for  
402 a given acquisition date the images of row 26 and paths 46 and 47 are  
403 required. Land cover in the southern part of the Basin was classified by  
404 using the 26/47 images while its northern part was classified by using the  
405 26/46 images as reflectance values for overlapping pixels were different  
406 even after applying the required corrections. Accordingly, training areas  
407 were developed separately based on the 1978 land cover map, where no  
408 land cover change was expected to have occurred.

409 The satellite images were classified into different land cover types using  
410 the Sequential Maximum *a posteriori* algorithm (Bouman and Shapiro, 1994)  
411 which uses a Multiscale Random Field for Bayesian image segmentation.  
412 This algorithm was chosen because McCauley and Engel (1995) and Bouman and Shapiro  
413 (1994) found that its classification accuracy was better than the one obtained  
414 with the Maximum Likelihood (ML) algorithm; in addition, this classifica-  
415 tion method is part of GRASS image processing modules. A visual compar-  
416 ison between the 1978 and 1985 land cover maps (Fig. 4) was used as a  
417 proxy for classification plausibility, as no ground truth data were available  
418 to this end. Both maps show good agreement between the forested, grass-  
419 land and shrub-covered areas along with the location of water bodies. In  
420 the northeastern region of the Basin, the *Tecocomulco* lake is shown in both  
421 maps (although its areal extent is larger in 1985) and the appearance of a  
422 rectangular-shaped water body west of the Federal District is noticeable in  
423 1985, as this water body, the *Nabor Carrillo* lake was created in the early  
424 80s. Due to the resolution of the satellite images, the “irrigated grass” land  
425 cover type was added in 1985 for which grass areas located in soccer stadi-  
426 ums were used as training areas.

427 Urban growth in the Basin between 1978 and 1985 can be easily seen by  
428 comparing Fig. 4(a) and Fig. 4(b). From these figures, it can be inferred that

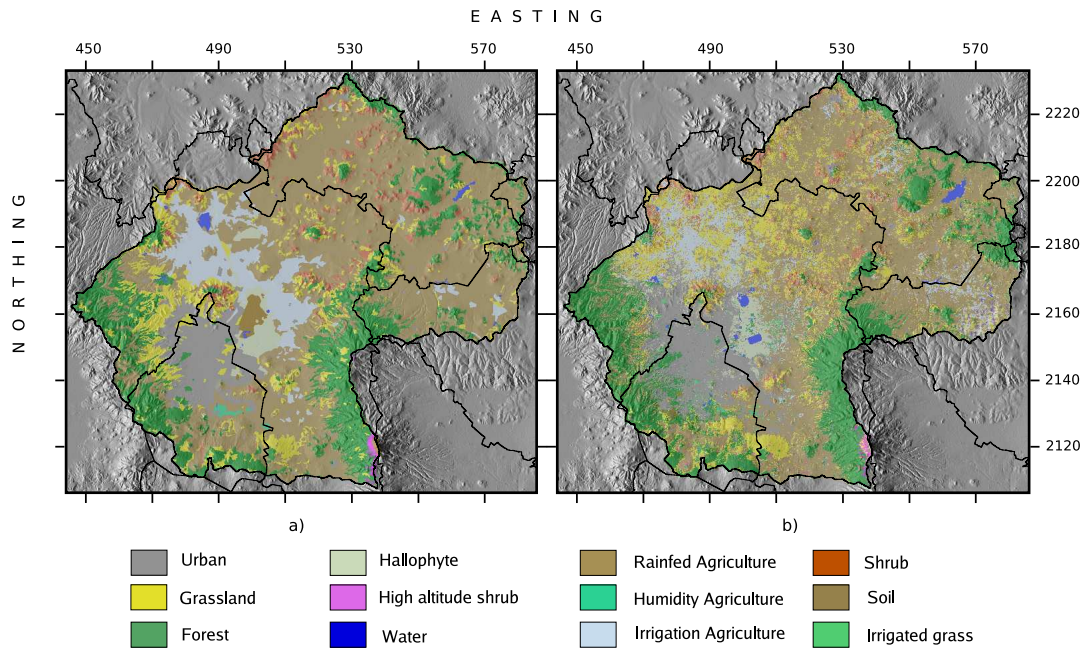


Fig. 4. Landcover maps for the Basin of Mexico for two different years: (a) 1974 derived from hard copy maps and (b) 1985 derived from LANDSAT images.

urban growth is limited to the south by the *Siera Chichinautzin* (Fig. 1(a)), which explains why urban growth has mainly occurred north of the Federal District in *Tlalnepantla*, on the left side of *Sierra Guadalupe*. As seen in Fig. 4, urban growth has occurred at the expense of grassland areas northwards of the Federal District, while eastwards urban areas have been developed in rain-fed agricultural areas. After land cover classification was achieved, a vegetation coefficient was assigned to each cover type, for which the values included in Allen et al. (1998) were used with a 15% reduction in order to account for non-pristine conditions, as recommended by Allen (2000). The details of this implementation (e.g. values and season lengths) are given in Carrera-Hernández (2007).

The mountains that enclose the Basin are covered by forests of different types: in the *Sierra Chichinautzin* they are comprised of *Pinus hartwegii* found on Lithosol and *Abies religiosa* which is locally known as *Oyamel* and found on thick soils on steep slopes (Islebe and Velázquez, 1994). In the Sierra Nevada, oak forest, mixed forest, fir forest, pine forest and alpine grassland are found between 2800 and 4100 masl (Sánchez-González and López-Mata, 2005). Grasslands in the study area are mainly comprised of *Bouteloua gracilis*, while halophyte vegetation, which is restricted to the lowest region of the Basin (Fig. 4), where the *Texcoco* lake used to be, is comprised of *Distichlis spicata*; in contrast, alpine grasslands (locally known as *Zacatonal*)

are found in the high mountains that surround the Basin, being found as high as 4300 masl (Rzedowski, 1975) and represented by *Festuca* and *Calamagrostis*, reaching up to 1 m in height (Rzedowski, 1975). Although initially different  $K_{cb}$  values were intended to be used for each grassland type, these three types were grouped and a single  $K_{cb}$  value was used. The last natural vegetation type in the Basin corresponds to shrubs, which are represented by *Opuntia streptacantha* or *Nopal cardón* and are limited to the northern region of the Basin (Fig. 4); a  $K_{cb}$  value of 0.15 was used as this is a vegetation found in arid regions and is expected to have low water demands. Regarding the agricultural areas, one maize cycle was assumed for rain-fed areas, while two cycles were assumed to take place in areas under irrigation, the first one being for maize and the second one for alfalfa. Finally, humidity agriculture was assumed to take place throughout the year as water canals and *chinampas* are located in the the region of *Xochimilco* which is a remaining part of the Basin's lake, which is why the  $K_{cb}$  values for a wetland were used (Table 12, Allen et al. (1998)).

### 5.3 Soil properties

The spatial distribution of the soil's hydraulic properties was obtained by digitizing two paper maps at a 1:250,000 scale, acquired from the Mexican Institute of Geography, Statistics and Informatics (INEGI). As expected in a volcanic region, Andosols are found in the southwestern region of the Basin (Fig.5(a)). It is interesting to note that these soils, which exhibit excellent internal drainage due to their high porosity and a high moisture content (FAO, 2001) are located in those regions with the largest precipitation rates in the Basin and that they are located above fractured basalt (i.e. *Sierra Chichinautzin*), thus hinting that large recharge rates are to be expected in this region.

Along the Sierra Nevada, which is also another region with large precipitation rates, Regosols and Cambisols are found. Regosols, which are located in the *Iztaccíhuatl* volcano and the Sierra *Santa Catarina* are soils found in eroding lands and composed of unconsolidated materials thus having low water holding capacity and large permeability to water, while Cambisols, which are young soils with high porosity and good water holding capacity (FAO, 2001) are found in a small region in the *Sierra de las Cruces*, in some

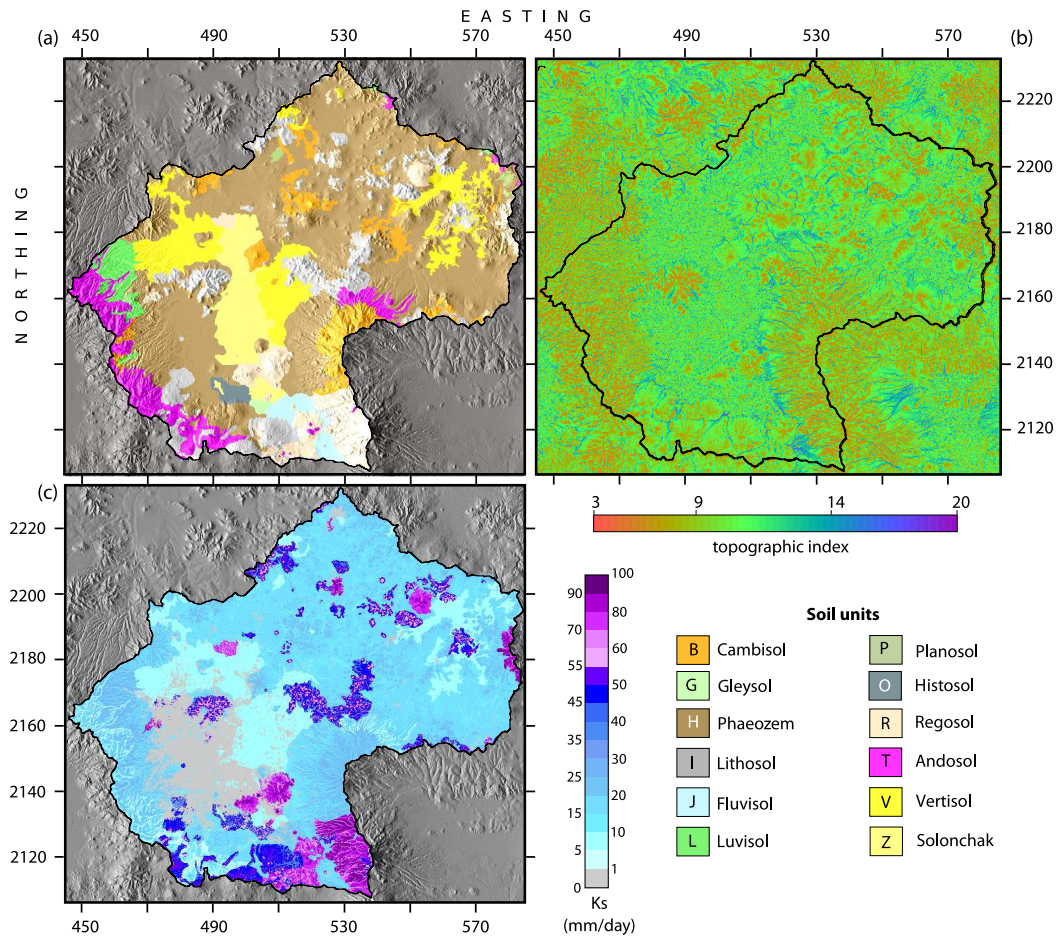


Fig. 5. Topography dependent saturated conductivity of soils in the Basin of Mexico: (a) Soil units, (b) Topographic index and (c) Saturated conductivity which also shows the location of urban areas, as  $K_s = 0$  was assigned to them.

hilly areas in Hidalgo and north of *Sierra Nevada*. The region of Xochimilco, (where the only wetland in the Basin is located) is covered by Histosol, which is formed in poorly drained basins or depressions whose highland areas have a high precipitation/evapotranspiration ratio and which are associated with Vertisols in lacustrine environments. As shown in Fig. 5(a), Vertisols, which have more than 30% clay below the first 20 cm (FAO, 2001) are found in the Basin's valley and are associated with Zolonchaks in dry climates and to Phaeozems in humid climates. Both of these soils are found in the Basin: Zolonchak, which is a salty soil is found in what used to be the *Texcoco* lake while Phaeozems, which are soils rich in Organic Matter are the main soil unit in the Basin (Fig. 5(a)).

Before the soil water budget is computed, rainfall needs to be partitioned into runoff and water that enters the soil as shown in (1). The first step to achieve this partition was to assign a  $K_s$  value to each soil unit based

on published data and compare the daily runoff volume obtained by these values with the daily volume measured in three rivers: *Hondo*, *Magdalena* and *Compañía* (Fig. 1). These basins were selected because they have larger records and the recorded volumes showed no problems when they were screened. In addition, each basin comprises different soil units in different percentages: The *Magdalena* is mainly covered by Andosol (92%) and Phaeozem (6%), the *Hondo* basin by Andosol (52%), Phaeozem (25%), Cambisol (13%) and Luvisol (8%) while the *Compañía* basin by Regosol (45%), Phaeozem (17%), Cambisol (16%), Fluvisol (14) and Lithosol (4%). The use of a uniform  $K_s$  value poorly represented the amount of rainfall that was partitioned into runoff; in addition the  $K_s$  values for each soil unit had a lot of variability.

Due to the variability of  $K_s$ , an auxiliary variable based on topography was used, as erosion at the hilltop moves fine sediments to the hill-foot, as shown for a German catchment by Merz and Plate (1997). In addition, Rawls and Pachepsky (2002) mention that soils at positions with a high slope have smaller water retention within a given textural group, as soils on steep slopes have coarser textures. Accordingly, the Kirkby index (Kirkby, 1975) (also known as topographic index) is used as an auxiliary variable, as this index represents the propensity of a given point to develop saturated conditions (Beven et al., 1990):

$$\lambda_i = \ln \left( \frac{a}{\tan(\beta)} \right) \quad (19)$$

where  $a$  is the area of the hill-slope per unit contour length that drains to a given point ( $i$ ) and  $\tan(\beta)$  represents the local surface slope at that point, giving a spatial distribution in the Basin as shown in Fig. 5(b). This index was used as an auxiliary variable to assign different  $K_s$  values within a given soil unit through the use of a linear relationship:  $K_{s_{min}}$  value within a soil unit was used for those areas with a large topography index, while  $K_{s_{max}}$  was assigned to those areas with a small topography index, producing the spatial distribution of  $K_s$  as shown in Fig. 5(c) where the largest  $K_s$  values are found for Regosols, followed by Lithosols. The  $K_s$  pattern assigned through the use of  $\lambda$  is clearly seen, as low  $\lambda$  values correspond to large  $K_s$  values within a given soil unit. In addition, Fig. 5(c) also shows urban areas in gray color, which were assigned a  $K_s = 0$ .



532 The  $K_s$  values for each soil unit were calibrated by using three different wa-  
 533 tersheds in the southern part of the Basin. This calibration was undertaken  
 534 for 1983 and then validated for years 1980, 1981 and 1982 (Fig. 6), by using a  
 535 lumped daily value for simulated runoff, thus assuming that no lateral flow  
 536 occurs as this process is not simulated.

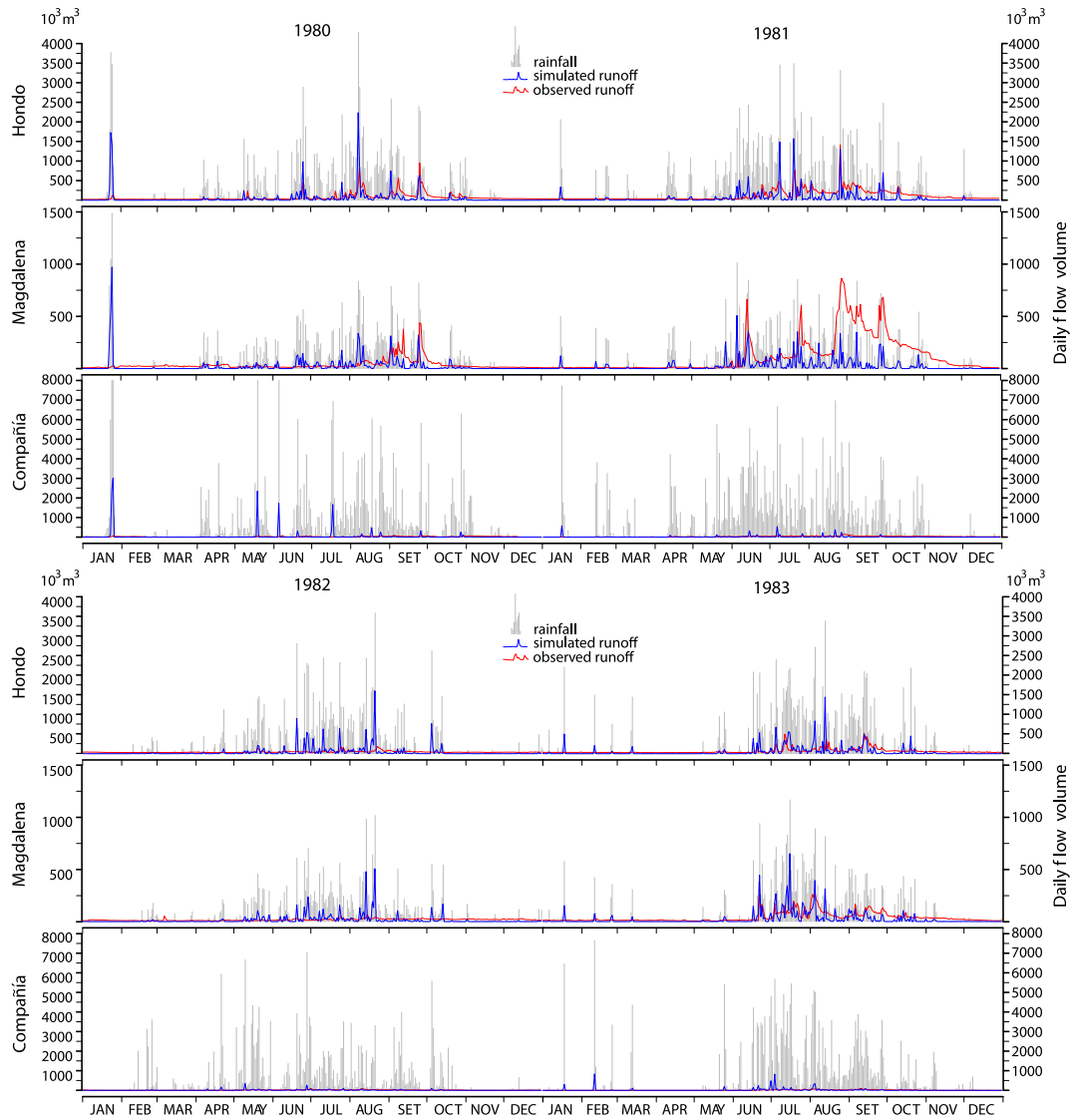


Fig. 6. Validation of runoff estimates for the Hondo, Magdalena and Compañía river basins in: (a) 1980, (b) 1981, (c) 1982 and (d) 1983

537 When comparing the observed and the simulated values it should be kept  
 538 in mind that the goal was not to simulate runoff, but rather to get an es-  
 539 timate of its volume variation through a simple approach: no interception  
 540 is considered to occur in addition to neglecting lateral flow. Although the  
 541 validation years were selected as they had more continuity on their records,  
 542 some errors are evident as for the *Magdalena* basin in 1981 (Fig. 6(c)) mea-

543 sured run-off is larger than the estimated rainfall. The simulated flow vol-  
544 umes react with a peak whenever a large precipitation event occurs, which  
545 is not the case for the observed volumes; this is notorious in January of 1980  
546 (Fig. 6(a)) for the three watersheds as a large precipitation event occurred  
547 over three days which in turn caused a large simulated volume but a small  
548 peak in the observed volumes. Overall, estimated runoff volumes are ac-  
549 ceptable, as observed hydrograph peaks are generally reproduced though,  
550 in general, the simulated values are larger than those observed. The idea be-  
551 hind this methodology was to develop a boundary condition for a regional  
552 groundwater flow model using the Unsaturated-Zone Flow (UZFI) package  
553 (Niswonger et al., 2006) of MODFLOW-2005 (Harbaugh, 2005). Accordingly,  
554 although the present validation was limited to comparing observed and  
555 simulated runoff, it is considered to be satisfactory, as an uncertainty analy-  
556 sis and further validation will be made as part of the regional groundwater  
557 flow model.

558 The final soil parameters required are the soil's field capacity ( $\theta_{FC}$ ) and  
559 wilting point ( $\theta_{WP}$ ) which are required to determine Total Evaporable Water,  
560 Total Available Water and Readily Available Water. Unfortunately as in the  
561 case of  $K_s$ , no data were available in the study area, as the only study in  
562 the region that treats these variables is the one of Bell (1993) who analyzed  
563 sixty nine samples in *Chalco* and found that the levels of Soil Organic Matter  
564 (SOM) were primarily related to altitude. Sixty two of these samples were  
565 taken near the Chalco area, while the remainder were collected outside of  
566 the Basin of Mexico on soil that has been cultivated for more than 50 years.  
567 However, even though no more research on these variables has been done in  
568 the Basin, Batjes (1996) developed values of Available Water Capacity (AWC)  
569 for different FAO soil units as shown in Table 2.

570 text The values shown in Table 2 were used in order to determine Readily  
571 Evaporable Water (REW) and Total Evaporable Water (TEW) from the rela-  
572 tionship of these variables and AWC as shown in Table 19 of Allen et al.  
573 (1998). The factors used to obtain TEW and REW from AWC were 1.5 and 0.5  
574 respectively.

Table 2

Available Water Capacity per FAO soil unit by textural class (mm) to a depth of one meter, after Batjes (1996)

	Soil Unit	Coarse	Medium	Fine	All
B	Cambisol	115	130	130	130
G	Gleysol	117	119	129	122
H	Phaeozem	109	123	120	122
I	Lithosol	–	13	13	13
J	Fluvisol	82	120	114	116
L	Luvisol	57	90	87	89
N	Nitisol	–	85	74	75
O	Histosol	–	–	–	480
R	Regosol	80	120	107	100
T	Andosol	188	193	141	187
V	Vertisol	–	130	130	130
Z	Solonchak	51	133	190	135

## 575 6 Spatio-temporal distribution of potential aquifer recharge: results and 576 discussion

577 The daily soil water balance was applied to the entire Basin, after obtaining  
578 an acceptable partition of rainfall into runoff and water that enters the soil.  
579 This daily soil water balance was run from 1975 to 1986 with the goal of  
580 estimating the impact that urban growth has had on aquifer recharge. In  
581 order to exemplify the spatio temporal distribution of rainfall,  $ET_{act}$  and  
582 recharge, Fig. 7 shows the spatial distribution of their accumulated values  
583 for June of 1980, 1981, 1982 and 1983 in order to use the same years used in  
584 Fig. 6.



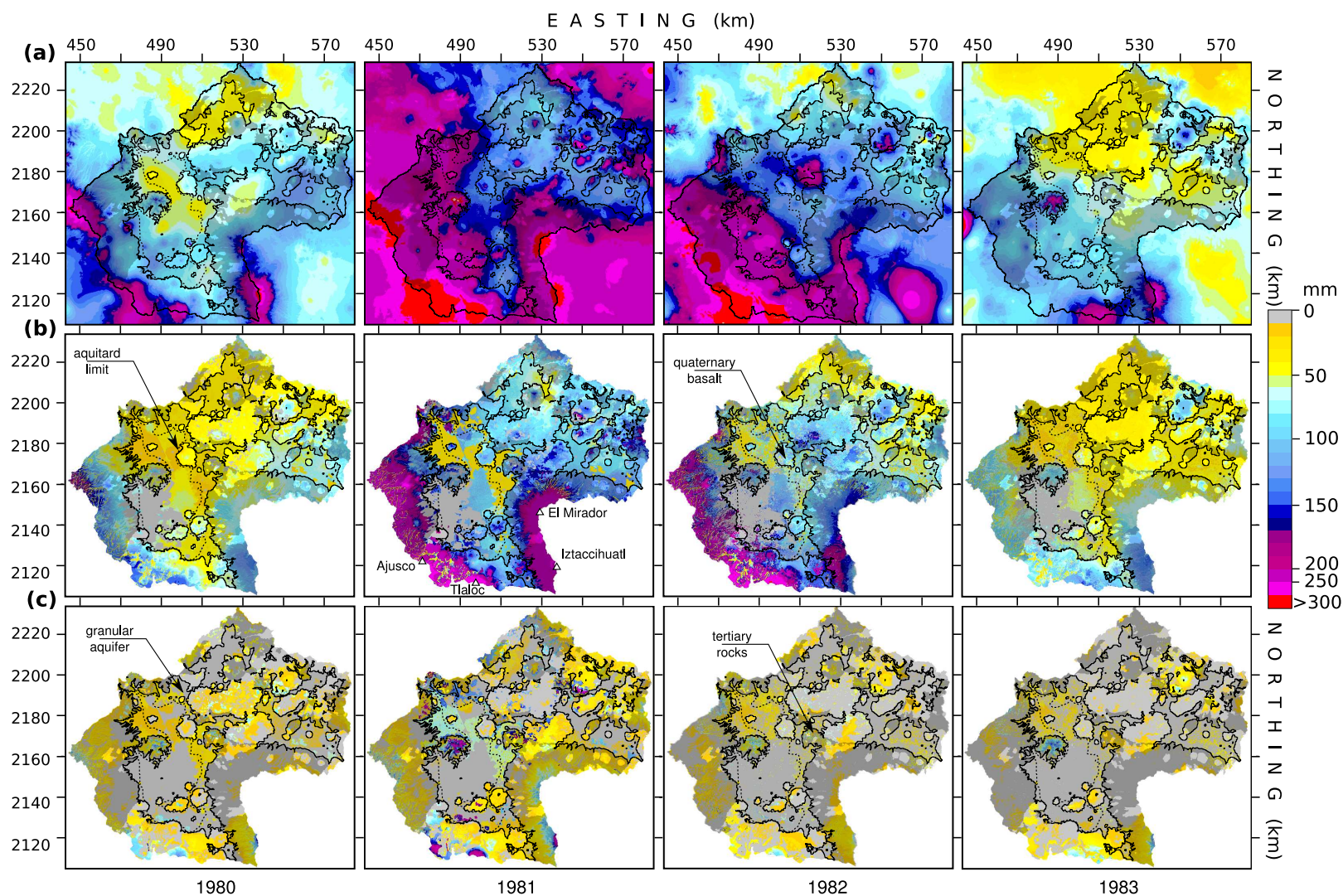


Fig. 7. Spatial distribution of monthly (a) rainfall, (b) actual evapotranspiration and (c) potential recharge in the Basin of Mexico for June of 1980–1983. Dark-shadowed areas represent tertiary rocks, while light-shadowed areas represent the granular aquifer. Quaternary basalts are not covered by shadows.

585 The largest precipitation rates for these four years are observed in the moun-  
586 tains that enclose the basin, in particular in the southwestern region (Fig.  
587 7(a)), with rainfall depths above 300 mm for three of these four years, while  
588 June 1981 was the month with the largest rainfall events. The effect of these  
589 events on  $ET_{act}$  (which includes soil evaporation as shown by (4) and (5)) is  
590 evident by comparing their spatial distribution (Fig. 7(a) and (b)) in addi-  
591 tion, it is interesting to note that forests are located in those regions showing  
592 large rainfall events, which is expected as their  $ET$  is close to  $ET_o$ . By look-  
593 ing at the  $ET_{act}$  patterns in the *Sierra de las Cruces* (Fig. 7(b)), it appears that  
594 low  $K_s$  values in low regions (Fig. 5(b)) limit the amount of  $ET_{act}$  (Fig. 7(b)).  
595 The effect of urban areas is also shown in both  $ET_{act}$  and potential recharge  
596 as it was assumed that in these areas all rainfall is converted into runoff.  
597 The distribution of soils is also noticed in the spatial distribution of these  
598 two variables in the *Sierra Nevada* for 1982 as potential recharge values are  
599 clearly influenced by the large permeability values of Regosol.

600 Potential aquifer recharge is larger in the *Sierra Chichinautzin* the *Sierra*  
601 *Nevada* and the *Sierra de Guadalupe* for these four years (Fig.7(c)). In the  
602 *Sierra Chichinautzin* there is a clear pattern in the *Tlálloc* and *Ajusco* peaks,  
603 where even though  $ET_{act}$  is large as they are covered by forests, Lithosols  
604 with a large  $K_s$  and low Available Water Capacity (AWC, Table 2) are found.  
605 These large recharge rates can be assumed to go into recharging the aquifer,  
606 as they occur on top of the fractured quaternary basalt (areas without shad-  
607 ows in Fig. 7) with large  $K_v$ . As previously mentioned, the large  $ET_{act}$  ob-  
608 served in the mountains is explained by the fact that  $K_{cb} = 0.8$  for forests,  
609 which was assumed to be constant through the entire year and the fact that  
610 the largest precipitation rates occur in this region (i.e. even if  $K_{cb}$  is large,  
611 without water there would not be  $ET_{act}$ ). In order to analyze how the differ-  
612 ent vegetation coefficients vary with time and within each vegetation type,  
613 four vegetation types located in different soil types were chosen for 1981:  
614 grassland on Andosol, forest in Regosol, shrub in Phaeozem and rain-fed  
615 agriculture in Phaeozem (Fig. 8). As can be observed in this figure, both  
616 the grassland and forest sampling points are located in regions with large  
617 precipitation (*Sierra de las Cruces* and *Sierra Nevada*, respectively), while  
618 the shrub and rain-fed agriculture sampling points are located in Hidalgo,  
619 which is the region that receives less precipitation (Fig. 7).

620 The interaction of the different vegetation coefficients:  $K_{cb}$ , Soil evaporation

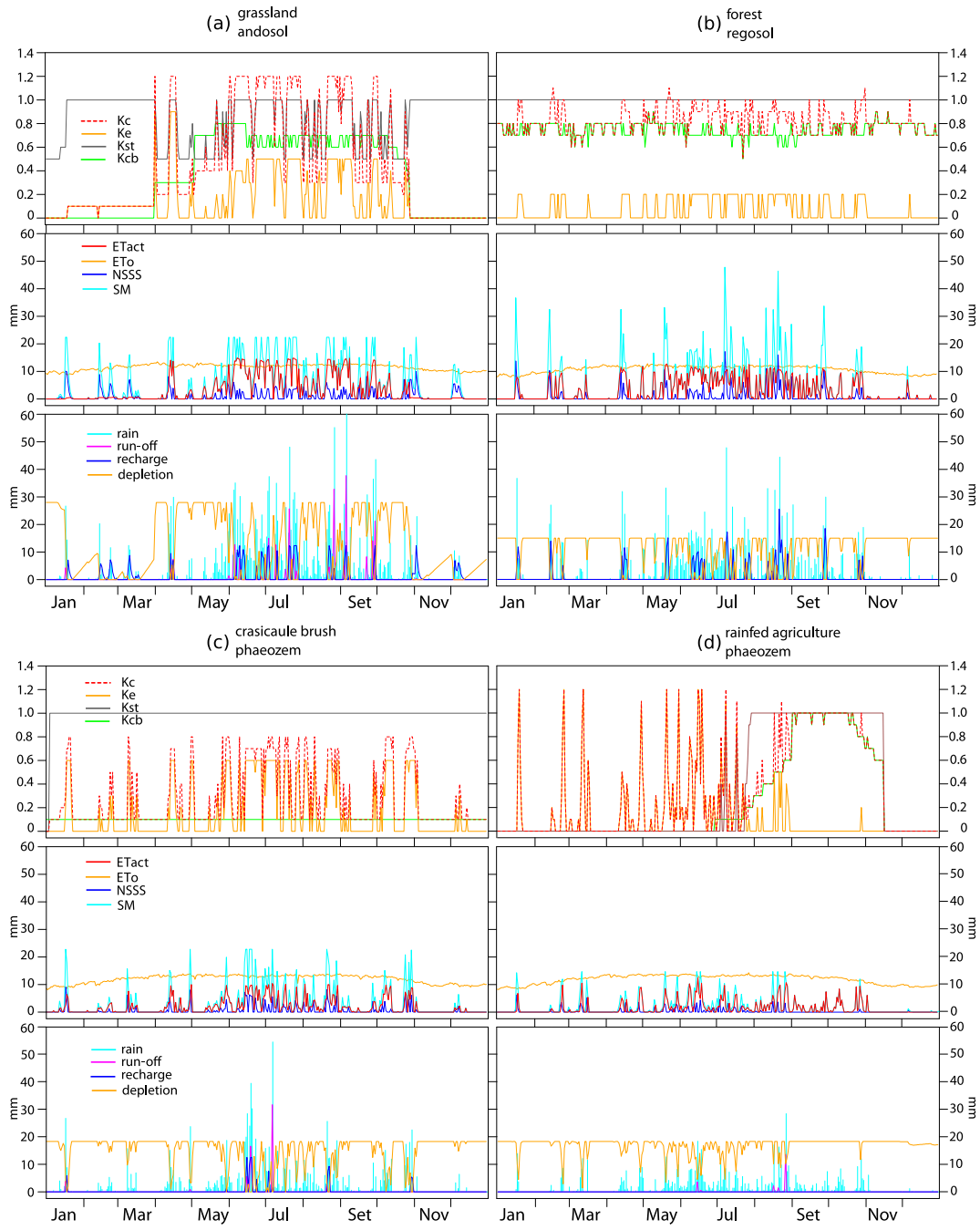


Fig. 8. Evolution of crop coefficients, evapotranspiration (both potential and actual), soil moisture, near surface soil storage (NSSS), rainfall, runoff, soil depletion and recharge in four different vegetation types: (a) grassland in andosol, (b) forest in regosol, (c) crasicaule brush in phaeozem and (d) rain-fed agriculture in phaeozem for 1981

621 ( $K_e$ ) and actual evapotranspiration ( $K_c$ ) is shown in the upper plots of Fig.  
 622 8(a-d), where it can clearly be seen that  $K_c$  represents both  $K_{cb}$  and  $K_e$ ;  
 623 these plots also show how  $K_{cb}$  varies throughout the year according to each  
 624 vegetation type: grassland was considered to be in a dormant state for the

625 first three months of the year, as its  $K_{cb}$  value increases in time, it is corrected  
 626 when RH45% through (7). It can also be noted that soil evaporation only  
 627 occurs when there is soil moisture and that sometimes water that enters the  
 628 soil is entirely used by the plants, as occurs for forested areas in March (Fig.  
 629 8(b)). For both grassland and forest areas (Fig. 8(a),(b)) on some days  $ET_{act}$  is  
 630 equal to or larger than  $ET_o$ , caused by considering evaporation from the soil.  
 631 As can be seen, the soil's depletion is bounded; this maximum value was  
 632 set equal to the Total Evaporable Water (TEW) as suggested in Allen et al.  
 633 (1998). This figure also shows how potential recharge only occurs when  
 634 the soil's depletion is equal to zero, which occurs when the available soil  
 635 moisture satisfies the  $ET$  needs and that this situation is required in order  
 636 for Near Soil Surface Storage (NSSS) to occur as well. Soil evaporation is an  
 637 important component for both shrub areas and rain-fed agriculture; even  
 638 though shrub areas have a low  $K_{cb}$  (Fig. 8(c)) the soil component makes  $K_c$   
 639 reach a value of 0.8 in several days, which in turn makes less water available  
 640 for deep percolation; the same pattern is observed in the rain-fed plot (Fig.  
 641 8(d)): for the six months where no vegetation is present,  $K_c$  reaches values  
 642 of 1.2.

643 Finally, Fig. 8 clearly shows the effect that both vegetation and soils have on  
 644 potential aquifer recharge. This can be easily explained as the soil's physi-  
 645 cal properties have an effect both on rainfall partitioning and on Available  
 646 Water Capacity (AWC). Soils with low  $K_s$  and large AWC values (such as the  
 647 lower areas in the *Sierra de las Cruces*, Fig. 5) will most certainly experience  
 648 low recharge rates as the amount of water that can replenish the soil's water  
 649 deficit is limited. Evidently the main driver of aquifer recharge is rainfall,  
 650 but the soil/plant interaction plays an important role on the amount of wa-  
 651 ter available for recharge. A rainfall event of 25 mm in forest areas (April  
 652 , Fig. 8(b)) produced a 10 mm recharge value, while a 30 mm precipitation  
 653 event caused no recharge in the sample point located in rain-fed agriculture  
 654 (August, Fig. 8(d)), as almost all water was used by the plants and the re-  
 655 maining water was used to diminish the soil's water deficit. As June 1981  
 656 was the month with the largest rainfall, evapotranspiration and potential  
 657 recharge, the monthly variability of this year is analyzed in the following  
 658 section.

660 The spatial distribution of rainfall,  $ET_{act}$  and potential recharge of Fig. 7  
 661 show that for that particular month, 1981 was the year with the largest rate  
 662 for the three variables. Accordingly, this year is used in this section to ana-  
 663 lyze how recharge varies through the year considering a monthly aggrega-  
 664 tion period (though the soil water balance was run at a daily time-step). The  
 665 monthly recharge values for 1981 (Fig. 9) show that for this year, June was  
 666 the month with the largest rate of potential aquifer recharge. The recharge  
 667 pattern observed for the 12 months is similar, as the largest rates are found  
 668 south of the Basin, in the *Chichinautzin* and *Nevada Sierras*; however the  
 669 recharge pattern in the *Sierra de las Cruces* changes, as even though the soil  
 670 units and vegetation cover are the same, larger recharge rates are observed  
 671 in this Sierra's northern region. For this year, the three months with the  
 672 largest rates of potential aquifer recharge are June, July and August with  
 673 an equivalent flow of 87.9, 41.1 and 36.6 m<sup>3</sup>/s (or 23.7, 11.1 and 9.9 mm)  
 674 respectively (Fig. 9), while December and November have the lowest rates  
 675 (1 and 4.5 m<sup>3</sup>/s). The spatial pattern observed in Fig. 7 and Fig. 9 is also  
 676 observed for all years from 1975 to 1986 (Fig. 10) as again, large recharge  
 677 rates are observed in the same regions previously discussed.

678 On an annual basis (1975–1986), the year with the largest potential recharge  
 679 rates is 1981, with an annual lumped flow rate value of 23.8 m<sup>3</sup>/s (78.1 mm),  
 680 while the lowest lumped flow rate of 10.9 m<sup>3</sup>/s (35.9 mm) was obtained for  
 681 1977. As for the monthly recharge rates (Fig. 9), the same spatial pattern  
 682 is observed as for the annually-aggregated recharge values (Fig. 10) with  
 683 the exception of some spots located in the Basin's northern region for years  
 684 1975, 1980, 1981 and 1986. The large rates in those areas are explained by  
 685 the fact that they are covered by shrub (Fig. 4), having a low  $ET_{act}$  rate  
 686 ( $K_{cb} = 0.15$ ), which helps to improve recharge as a vegetation cover factor  
 687 ( $f_c$ , eq. (13)) of 0.5 was also assumed, which in turn decreases the amount  
 688 of water that evaporates from the soil. When this decrease is considered  
 689 together with the plant's water requirements, which are low, more water is  
 690 available for recharge.

691 The lumped annual recharge values obtained with this methodology for  
 692 1975–1986 (10.9–23.8 m<sup>3</sup>/s), when compared to previous estimates in the

693 study area yield similar results: Birkle et al. (1998) estimated a recharge flow  
694 range of 13–18.8 m<sup>3</sup>/s for the region where the MCMZ is located, while the  
695 DGCCH (1994) estimated a recharge value of 15.6 m<sup>3</sup>/s in the same area; how-  
696 ever, Durazo and Farvolden (1983) estimated a recharge flow of 55 m<sup>3</sup>/s,  
697 which seems to be too high when comparing it to the previously mentioned  
698 studies.



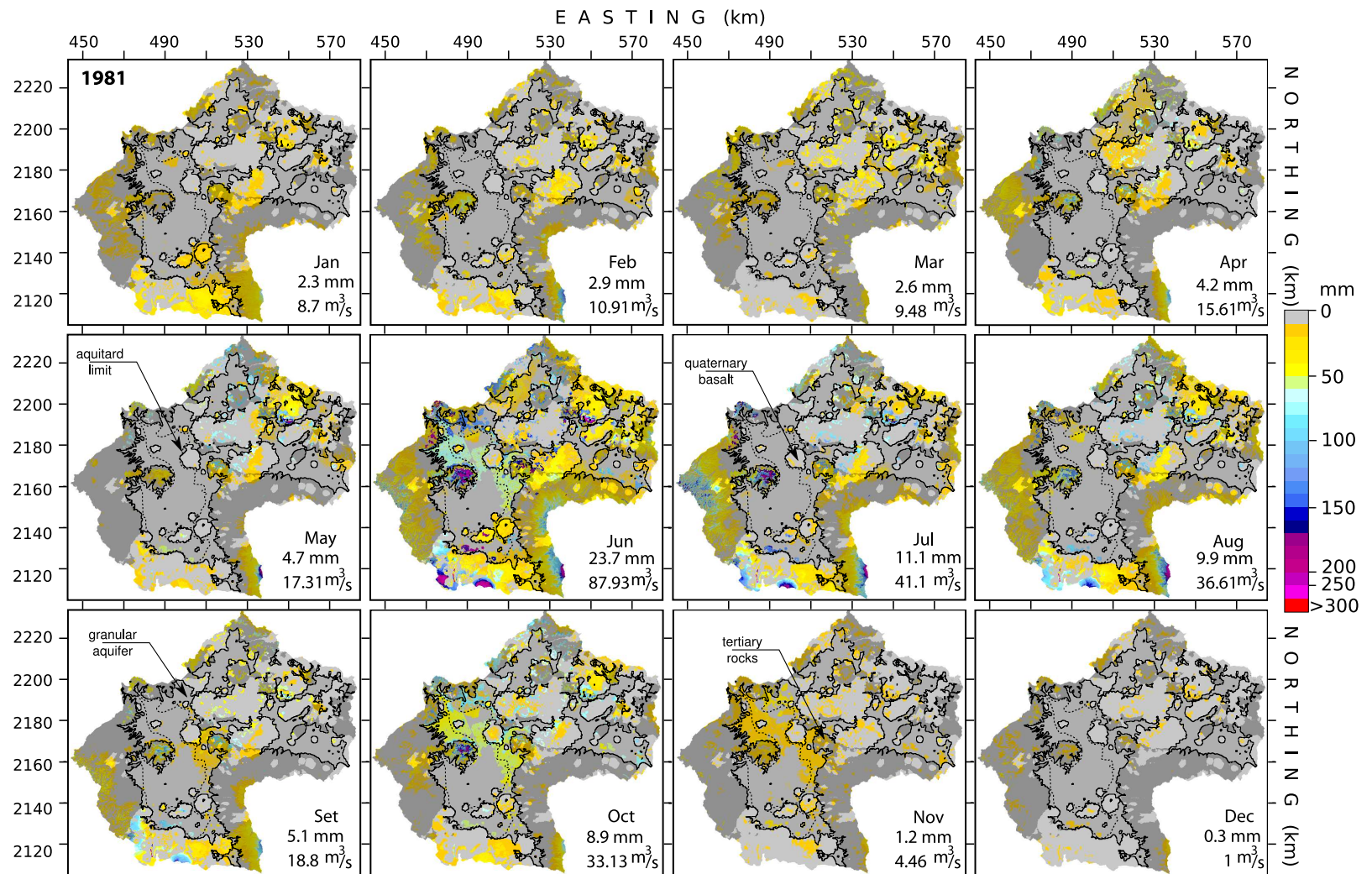


Fig. 9. Monthly spatial distribution of potential aquifer recharge in the Basin of Mexico for 1981. Dark-shadowed areas represent tertiary rocks, while light-shadowed areas represent the granular aquifer. Quaternary basalts are not covered by shadows.

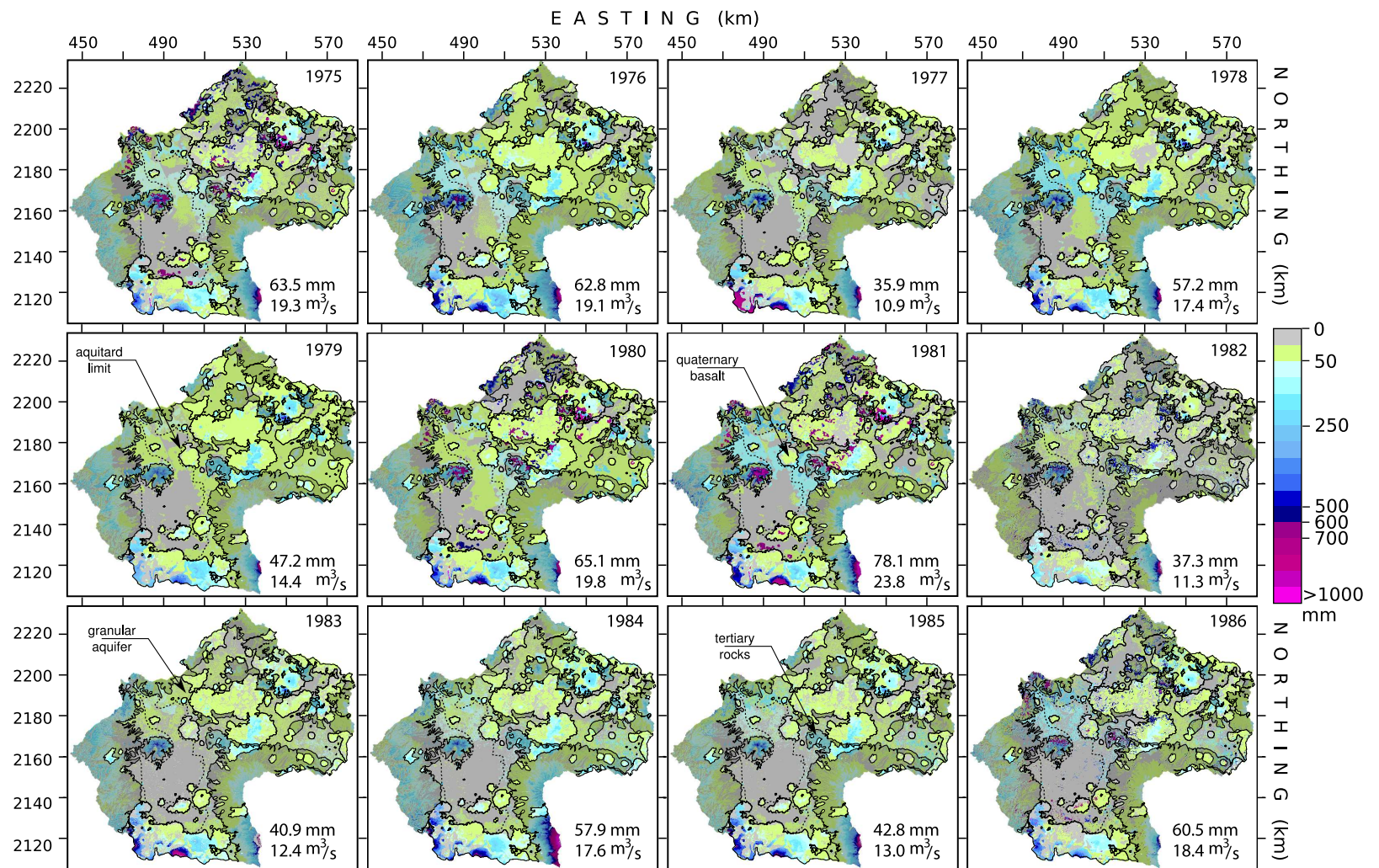


Fig. 10. Spatial distribution of accumulated annual recharge for 1975–1986. Dark-shadowed areas represent tertiary rocks, while light-shadowed areas represent the granular aquifer. Quaternary basalts are not covered by shadows.



700 In order to analyze the impact of land cover change on potential aquifer  
 701 recharge, 1981 was chosen, as this year has the largest recharge rates for  
 702 the analyzed years (Fig. 10). The analysis, which consisted of running the  
 703 daily soil water balance using the climatological variables of 1981 and the  
 704 urban distribution for 1985 was limited to the Basin's western region, as  
 705 this is where the MCMZ is located; in addition, the analysis excluded the  
 706 area covered by the aquitard (shown as a dashed line in Figs. 7, 9 and 10) as  
 707 this geological unit can be considered to be impermeable. This part of the  
 708 alluvial plain, received an equivalent recharge flow of  $1.9 \text{ m}^3/\text{s}$  (the entire  
 709 Basin received a rate of  $23.77 \text{ m}^3/\text{s}$  as shown in Fig. 10) while when the  
 710 1985 urban area was used, this flow diminished to  $1.6 \text{ m}^3/\text{s}$ , which implies  
 711 a reduction of nearly 20% caused by urban growth in this region. However,  
 712 when the entire Basin is considered, recharge is only diminished by 1.5%.  
 713 As can be seen in Figs. 7, 9 and 10 the maximum recharge rates occur in  
 714 areas which due to their topography have been "preserved" from urban  
 715 growth (Fig. 1).

## 716 7 Conclusions

717 This work has shown the development of a daily soil water balance which  
 718 can be applied using data generally available in large scale studies. Through  
 719 the application of this model, it has been shown that the mountains that en-  
 720 close the Basin of Mexico are the main recharge areas of the Basin's regional  
 721 aquifer system. The spatial distribution of potential aquifer recharge in the  
 722 Basin is not uniform, as the largest rates are found south of the Basin, where  
 723 rainfall is influenced by topography and where soils have large permeability  
 724 values.

725 From the analyses developed in this work it can be concluded that although  
 726 the main driver of aquifer recharge is rainfall, by itself it can not be used to  
 727 estimate the spatial distribution of potential aquifer recharge as vegetation  
 728 and soils also play an important role. The soil's physical properties affect  
 729 the way in which rainfall is partitioned into runoff and water that enters the  
 730 soil water balance, as well as the soil's Available Water Capacity (AWC): soils

731 with low conductivity values and large AWC will experience low recharge  
 732 rates as the amount of water that can replenish the soil's water deficit is  
 733 limited. Vegetation also needs to be considered as for a given soil and rain-  
 734 fall depth a plant with low water requirements will take less water from  
 735 the soil thus leaving more water available for recharge once the soil reaches  
 736 field capacity.

737 The spatially distributed values of potential aquifer recharge, on an annual  
 738 basis for the 1975–1986 period, range from 10.9 m<sup>3</sup>/s for 1977 to 23.8 m<sup>3</sup>/s  
 739 for 1981 while the monthly values for 1981 range from 1 m<sup>3</sup>/s in December  
 740 to 87.9 m<sup>3</sup>/s in June. As aquifer recharge in the Basin occurs in the form  
 741 of MBR, urban growth has not had a serious impact on aquifer recharge:  
 742 when considering potential recharge in the alluvial plain, where the MCMZ  
 743 is located, the equivalent recharge flow in 1981 was 1.9 m<sup>3</sup>/s, which was  
 744 diminished by nearly 20% (to 1.56 m<sup>3</sup>/s) when the 1985 urban area was used  
 745 for the same year. The explanation for this small change is that the MCMZ  
 746 extends over an area which is mainly covered by lacustrine deposits, where  
 747 recharge is negligible. In this regard it can be said that the Basin's geological  
 748 environment has protected the aquifer: the lacustrine deposits with their  
 749 low conductivity values have protected the aquifer from pollution, while  
 750 the mountainous terrain, where recharge occurs, is protected from urban  
 751 growth due to its topographic relief.

## 752 A Equations to determine $ET_0$ .

$$753 \quad \gamma = \frac{C_p P}{\varepsilon} = 0.665 \times 10^{-3} P = 0.665 \times 10^{-3} \times 101.3 \frac{293 - 0.0065z^{5.26}}{293} \quad (A.1)$$

$$754 \quad e_s = \frac{e^\circ(T_{max}) + e^\circ(T_{min})}{2} \quad (A.2)$$

$$755 \quad e^\circ(T) = 0.618 \exp \frac{17.27T}{T + 237.7} \quad (A.3)$$

$$756 \quad \Delta = \frac{0.618 \exp \frac{17.27T}{T + 237.3}}{(T + 237.3)^2} \quad (A.4)$$

757 where  $T$  represents daily average temperature:

$$758 \quad T_{mean} = \frac{T_{max} + T_{min}}{2} \quad (A.5)$$

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